



PAKISTAN STOCK EXCHANGE LIMITED

(Formerly Karachi Stock Exchange Limited)

PSX/N-1803

March 22, 2016

NOTICE FOR ALL TRE CERTIFICATE HOLDERS

REQUIREMENT TO SUBMIT THE NOTES WITH THE STATEMENT OF NET CAPITAL BALANCE (NCB) CERTIFICATE OF TRE CERTIFICATE HOLDERS

Attention of all TRE Certificate Holders is invited to Clause 19.3.2(b) of Chapter 19 of PSX Regulations which states that:


"The Brokers shall submit bi-annually a certificate from its last Statutory Auditor confirming their NCB on the format prescribed by the Exchange on the dates and within such time as may be notified by the Exchange for this purpose".

Your timely submission of NCB certificate is highly appreciated. It has been observed that most of the submitted NCBs are without explanatory notes to the statement which are helpful for the Exchange in evaluating / reviewing the figures of NCB statement.

Consequently, the Brokers are advised to obtain the explanatory notes from their statutory auditors on the latest submitted verified / audited NCB statement and submit the same with the Exchange latest by **Friday, March 25, 2016**. Brokers who have already submitted the notes are not required to re-submit the same with the Exchange.

Furthermore, you are requested to adopt the above mentioned practice for future submission of verified / audited NCB statement.

The above may be noted for information and compliance purposes.


SHAFIQ ALI
CHIEF REGULATORY OFFICER

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