PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi-74000

Phone: 111-001-122

PSX/N-4153

Dated: July 05, 2017

PUBLICATION OF PROVISIONAL LIST OF ELIGIBLE BACK OFFICE **VENDORS ON PSX WEBSITE**

In line with the PSX Regulation 4.26.1, and in light of applications received in response to PSX notice No. PSX/N-1502 of March 03, 2017, PSX is pleased to post a provisional list of Broker Back Office vendors on PSX website. The same could be accessed under "Products and Services" section of PSX website.

While PSX is carrying out further evaluations for Broker Back Office software vendors, all TREC Holders of PSX are, hereby, advised on the following:

- 1. To confirm (in writing) to the Exchange that they are taking Back Office System services from one of the eligible vendors listed on PSX website, and,
- 2. To submit to the Exchange a certificate from an auditor for appropriateness of their respective Back Office System. This certificate should have a statement of assurance that "necessary controls and safeguards", in terms of information security, have been put into place.

All TREC Holders are advised to kindly submit the abovementioned confirmation and audit report/certification to the Exchange/undersigned latest by September 15, 2017

SANI-E-MEHMOOD KHAN
General Manager
PARIST

PAKISTAN STOCK EXCHANGE

Copy to:

Securities Market Division of SECP Acting MD and Acting CRO of PSX **HODs of PSX** CEO, Central Depository Company of Pakistan Ltd CEO, National Clearing Company of Pakistan Limited Regional Heads, PSX PSX website