

**FORM OF APPLICATION FOR LICENCE AS A SECURITIES BROKER
[see regulation 5(1)]**

To
The Securities and Exchange Commission of Pakistan,
Islamabad.

Dear Sir,

1. We (Name of the company) ... hereby apply for licence of securities broker under the ... Trading Only/Trading and Self-Clearing/Trading and Clearing.... Category under section 68 of the Securities Act, 2015 [and/or section 51 of the Futures Market Act, 2016].
2. Certified true copies of all the documents specified in Annexure A of the Securities Brokers (Licensing and Operations) Regulations, 2016 are enclosed.
3. Original receipt of the bank for the fee of Rs.....being the licensing fee is enclosed.
4. We are interested in offering the following additional services and hereby apply for the requisite licenses (tick the appropriate box(es)):

<input type="checkbox"/>	Securities and futures advisor
<input type="checkbox"/>	Consultant to the issue
<input type="checkbox"/>	Underwriter

Yours faithfully,

Signature of the Chief Executive