

Career Opportunity

Investigation Unit – Officer/Asst. Manager

Market Surveillance Department-Regulatory Affairs Division



Pakistan Stock Exchange is the only Stock Exchange of Pakistan, having its TREC Holders in Karachi, Lahore and Islamabad. PSX's mission is to contribute to the economic development of Pakistan by providing a fair, transparent, and efficient marketplace to facilitate capital formation for the benefit of investors, issuers and all stakeholders. In order to support its mission critical operations, PSX has implemented state of the art technology infrastructure and applications.

PSX is looking for a talented, qualified and highly motivated individual for the Investigation Unit in the Market Surveillance Department of Regulatory Affairs Division which shall be based in Head Office, Karachi. A competitive salary package will be offered to the chosen candidate. Position's key responsibilities, skillset and educational requirements are given below. Interested candidates can apply at Company's Website <https://www.psx.com.pk/psx/careers> or at email address hr@psx.com.pk by November 30, 2021.

Key Responsibilities:

- Carry out investigations on possible instances escalated by surveillance officials and perform in-depth scrutiny of trading activities to detect market manipulation, insider trading or any other market abuse/ misconduct prohibited under the rules, regulations of SECP and PSX;
- Prepare investigation reports related to investigative findings on market manipulation, insider trading or any other market abuse/ misconduct prohibited under the rules, regulations of SECP and PSX;
- Draft and initiate enquiry letters, review responses, perform in-depth analysis of information gathered and document initial findings for the review of HoD/supervisor;
- Maintain constructive and effective relationships with monitoring, enforcement and compliance teams for necessary support.
- Assist HoD/supervisor during the course of hearing;
- Assist HoD/supervisor in preparing business requirements of new surveillance reports or modification of existing reports;
- Perform other duties and responsibilities as may be assigned by the HoD/supervisor.

Required Skills:

- Proficiency in Microsoft Office.
- Strong understanding of securities and derivative markets.
- Strong analytical, report writing and presentation skills.
- Be a team player and multitasker with excellent written and verbal communication skills.
- Strong problem solving skills.
- Ability to work well under pressure and capability to meet deadlines.
- Ability to handle real-time applications/ software.
- Self-motivated, organized and innovative team player as well as individual performer.
- Positive attitude towards learning and development.
- Ability to understand regulatory frameworks.
- Identify, investigate, recommend and implement approaches for data analytics (big data).

Preferred Experience, Education and Certifications

- Postgraduate degree holder either in Finance, Business Management or Member of a recognized body of professional accountants.
- Fresh graduate or minimum 2 years of experience in the related function of a financial institution, a listed company, a chartered accountancy firm.