

CRITERIA FOR CHANGE OF BRANCH HEAD / MANAGER

PSX REGULATION NO. 22.4.

ELIGIBILITY FOR THE PERSON TO BE APPOINTED AS BRANCH HEAD OF THE SECURITIES BROKER'S OFFICE/BRANCH OFFICE:

The person to be appointed as Branch Head of the Office/Branch Office shall fulfill the following conditions:

- a) he is not less than 21 years of age.
- b) he has not been convicted of any offense, involving fraud or breach of trust.
- c) he has passed at least graduation examination and possesses at least three years' experience in the capital markets or one year's experience as trader with a Securities Broker.
- d) he has not been adjudicated as insolvent or has suspended payments or has compounded with his creditors.
- e) NOC from his previous employer.

Provided that the above conditions shall not be applicable on the Chief Executive Officer of the Broker supervising the Securities Broker's Main Office.

Checklist:

- Surrender Original Office Authorization Certificate to the Exchange.
- Detailed profile and specimen signature of proposed Branch Manager with copy of CNIC + UIN database.
- Two Latest Photographs (white/blue background)
- Copy of Graduation degree
- Copy of Stock Market Experience Certificate (one year as a Trader with a Securities Broker OR at least 3 years in the capital market).
- NOC from previous employer
- Updated list of employees of said Office / Branch along with copies of CNIC and UIN report.
- Publish a public notice in two (English and Urdu) newspapers having wide circulation in the province(s) where registered office and Branch Office(s) is situated. The said notice should also be displayed at visible place in Office(s)/Branch Office. ([format attached](#))

How to update on THIMS Portal


Branch Information

Show: 10 entries

Title	Address	City	Head Name	Head CNIC

Showing 1 to 2 of 2 entries

Previous 1 Next

Click here 

Branch Information

Place Request for: [Close Branch](#) [Relocate Branch](#) [Change Branch Head](#) [Back](#)

Id	Title	Address	City	Province	Phone Number	Phone Number	FAX Number	FAX Number	Email	Open Date	Registration Date	Registration No. BOA	Appointment Date	Joining Date	Branch Head Information	CNIC	Name	Father/Husband Name	Qualification	Experience	Date of Birth	

16.34.196:8080/tis/home/index

CNIC *

Name *

Father/Husband Name *

Date of Birth *

Qualification *

Experience *

Previous Employer

Cell Number *

Email *

Appointment Date *

Joining Date as Branch Manager *

Submit

اطلاع عام

تمام متعلقہ افراد کو مطلع کیا جاتا ہے کہ (سیکورٹیز بروکر کا نام) نے جناب (نام بمعہ ولدیت، شناختی کارڈ نمبر) کو جناب (نام بمعہ ولدیت، شناختی کارڈ نمبر) کی جگہ اپنی برانچ (برانچ ایڈریس) کا مجاز شخص مقرر کیا ہے۔

نوٹ: یہ نوٹس پی ایس ایکس ریگولیشن نمبر 22.6.5 کی تعمیل میں شائع کیا جا رہا ہے۔

PUBLIC NOTICE

It is hereby informed to all concerned that M/s. _____

(TREC No. _____) has appointed Mr. _____

S/o. _____ having CNIC No. _____

as authorized person of their Branch Office situated at _____

in place of Mr. _____ S/o. _____.

This notice is being served in compliance of PSX Regulation # 22.6.5.

UNDERTAKING

(Non-Judicial Stamp Paper of Rs.100)

I _____ S/o. D/o. _____ bearing
CNIC No. _____ appointed as a Branch Head/Manager at

_____ of M/s. _____, residing
at _____

do hereby state on oath and solemn affirmation that I :-

- a) am not less than 21 years of age.
- b) have not been convicted of any offense, involving fraud or breach of trust.
- c) have passed at least graduation examination and possesses at least three years' experience in the capital markets OR one year's experience as **Trader** with a Securities Broker.
- d) have not been adjudicated as insolvent or has suspended payments or has compounded with my creditors.

That whatever is stated above is true to best of knowledge and nothing is concealed.

Name and Signature of
Branch Manager