S. No. Name of Participant Disciplinary Actions Notice Date Reason Services 1 1 Name of Participant Disciplinary Actions Notice Date Reason Services 1 1 Name of Participant Disciplinary Actions Notice Date 1 Name of Participant Disciplinary Actions Notice Date 1 Name of Participant Disciplinary Actions Notice Date 1 Name of Participant Disciplinary Notice Date 1 Name of Participant Date 2 Name of	
2 mg Andrés fatte feachtes (PA) Limited Terminated 19.0 Agi 19 Waterlay Terminated 27.0 Agi 19	
3 Mrt Sacontine (Protect) Immed 4 of 12, Aug 45 1 Voluntary Terminated 5 (Jack Leff Pursage) Limited Terminated 5 (Jack Leff Pursage) Limited Common latter 6 Memor Sacontine (Prd. Limited 6 Memor Sacontine (Prd. Limited 7 in Sacontine (Prd. Limited 7 in Sacontine (Prd. Limited 8 Aug 37 NoCompliance of Cl 8 Multilitie Sacontine (Prd. Limited 6 Common latter 8 Aug 39 NoCompliance of Cl 8 Multilitie Sacontine (Prd. Limited 7 Common latter 9 Mana Sacontine (Prd. Limited 7 Common latter 9 Mana Sacontine (Prd. Limited 7 Common latter 9 Mana Sacontine (Prd. Limited 7 Common latter 10 Sabylays Sacontine (Prd. Limited 7 Common latter 10 Sabylays Sacontine (Prd. Limited 7 Common latter 11 Sabylays Sacontine (Prd. Limited 7 Common latter 12 Owneys Sacontine (Prd. Limited 7 Sabylays Sacontine (Prd. Limited Sacontine (Prd. Limited) 7 Sabylays Saco	
5 Date Last Plans Securities (PA L Limited Common Latter BAug 19 Non-Compliance of Com	intaion
6 Memor Securities Proj. Limited Communication Proj. Limited Communication Proj. Limited Communication Proj. Limited Communication Proj. Limited Securities Proj. Limited Communication Proj. Limited	
8 Number Sections (PAC) united Commerciation 33.4.6.9.9 No. Complained of S. 19.9.9 No	
10   Dayupat Socialized Poll United   Communication   28 Ab 37   No. Complained of United   11   No. Complained Poll United   Communication   28 Ab 37   No. Complained Poll United   28 Ab 37   No. Complained Poll United   28 Ab 37   No. Complained Poll United   28 Ab 38   No. Complained Poll United   28 Ab 38   No. Complained Poll United   28 Ab 39   No. Complained Poll United   No. Compl	
11 Nayer Soith Securities (Me) List. Censure Letter 26-34-59 Non-Compliance of Cl. 12 Obwelp-Securities (Me) Limited Consure Letter 25-34-59 Non-Compliance of Cl. Consumer Letter 25-34-59 Non-Compliance of Consumer Letter Consumer Letter Non-Compliance of Consumer Letter Non-Consumer L	C Regulations
12 Khawaja Securitise (Pvt.) Limited Censure Letter 25-Jul-19 Non-Compliance of Ci	
Consequent to extension of tradio	C Regulations
13 IAK Securities (Pvt.) Limited Removal of Restrictions 18-Jul-19 PSX, as notified by PSX vide notice r	p. PSX/N-876 dated July 17,
2019.  14 (AK Securities (Pvt.) Limited Restricted 16-Jul-19 Consequent to switching off of tradi-	g terminals of Participant by
PSX vide notice no. PSX/N-87	dated July 16, 2019 .
15 Topline Securities Limited Censure Letter 15-Jul-19 Non-Compliance of CI 16 Aba Ali Habib Securities (Prt.) Limited Censure Letter 15-Jul-19 Non-Compliance of CI	
17 Asee Securities (Private) Limited Removal of Suspension 15-Jul-19 Due to removal of suspension of Part vide notice no. NCPPL/CRD/104	icipant by NCCPL as notified
18 KP Securities (Private) Limited Restricted 15-Jul-19 Consequent to switching off of tradi-	g terminals of Participant by
PSX vide notice no. PSX/N-86	
19 Azee Securities (Private) Limited Suspended 15-Jul-19 Consequent to the suspension of Par- vide notice no. NCCPL/CRO/103	
20 Alfalah Securities (Pvr.) Limited Notice of Restriction & Censure 9-Jul-19 Non-Compliance of Cl 21 Taurus Securities Limited Notice of Restriction & Censure 9-Jul-19 Non-Compliance of Cl	
Consequent to restoration of tradin	terminals of Participant by
22 Reliance Securities Limited Removal of Restrictions 28-Jun-19 PSX, as notified by PSX vide notice in 2019.	o. PSX/N-803 dated June 28,
23 Dawood Equities Limited Suspended 26-Jun-19 Consequent to the suspension of Particle Vide notice no. NCDPL/CRO/92/	
24 Dawcod Equities Limited Removal of Suspension 26-Jun-19 Due to removal of suspension of Par vide notice no. NCCPUCROP37	icipant by NCCPL as notified
25 FMA Securities (the 1 Limited Notice in respect of Participant 21.lim.19 Participant has compiled with t	e Regulation 6.8.2 CDC
Regulation  Consequent to switching off of tradi	g terminals of Participant by
Zb Relance securities Limited Restricted 18-Jun-19 PSX vide notice no. PSX/N-741	dated June 18, 2019.
27 Diyanah istamic Financial Services (Private) Terminated 11-Jun-19 Voluntary Term	
28 Standard Capital Securities (Prt.) Limited Notice of Restriction & Censure 31-May-19 Non-Compliance of Cl. 29 Din Capital Limited Consure Letter 31-May-19 Non-Compliance of Cl. 31-May-19	
30 Azes Securities (Private) Limited Notice of Restriction & Censure 30-May-19 Non-Compliance of CI	C Regulations
31 Niii Securities (Pvt.) Limited Consure Letter 30-May-19 Non-Compliance of Cl. 22 R.T. Securities (Pvt.) Limited Notice of Restriction & Censure 30-May-19 Non-Compliance of Cl.	
33 Khadim Ali Shah Bukhari Securities (Pvt.) Limited Notice of Restriction & Censure 28-May-19 Non-Compliance of Cl	C Regulations
34 A. H. M. Securities (Pvt.) Limited Notice of Restriction & Censure 28-May-19 Non-Compliance of Cl	
35 Omni Capital Management (Private) Limited Terminated 23-May-19 Voluntary Term  Consequent to restoration of tradin	
36 First Capital Equities Limited Removal of Restriction 21-May-19 PSX, as notified by PSX vide notice in 2019.	p. PSX/N-638 dated May 21,
Consequent to switching off of tradia	g terminals of Participant by
PSX vide notice no. PSX/N-62	
38 Asec Securities (Private) Limited Suspended 14-M3y-19 no. NCCP1/CR0/68/19 da	ed May 14, 2019.
39 Asia Securities (Private) Limited naminual of suspension 14-leve)-19 notice no. NCCPL/CRO/69/19	dated May 14, 2019.
41 Asso Consider (Morant Limited Suspension by N	CCPL as notified vide notice
42 Ann Consellator (Belova o Limited Removal of Supension 9.May.19 Consequent to removal of Supension	n by NCCPL as notified vide
A3 Spectrum Securities Limited Notice of Restriction & Censure 9-May-19 Non-Compliance of CI	C Regulations
44 Beaming Investment & Securities (Private) Limited Notice in respect of Participant 7-May-19 Participant has compiled with the Regulation	ne Regulation 6.8.2 CDC is.
45 SAL Securities (Pvt) Limited Terministed 2-May-19 Voluntary Term 46 Intermarket Securities Limited Notice of Restriction & Censure 30-Apr-19 Non-Compliance of CI	intaion
47 Royal Securities (Pvt.) Limited Consure Letter 29-Apr-19 Non-Compliance of City	
48 EFG Hermes Palistan Limited Notice of Restriction & Censure 29-Apr-19 Non-Compliance of CI 49 Cassim Investment (Private) Limited Terminated 19-Apr-19 Voluntary Term	
50 Supported 9 April 19 Due to non-compliances of 6.8.2	of CDC Regulations after
Completing 60 Business D  S1 Bearing Investment & Securities (Private) Limited Suspended 26-Mar-19 Due to non-compliances of 6.8.2	of CDC Regulations after
31 searing Investment to Socienties privately Limited 340/periods 240-Mari 19 completing 60 Business D  52 First Fidelity Leading Modaraba Suspended 26 Mari 19 Due to non-compliances of 6.3.2 congenting 60 Business D  53 Completing 60 Business D  54 Completing 60 Business D	of CDC Regulations after
Milennium Securities & Investments (Private) Supported 26 Mov 19 Due to non-compliances of 6.8.2	of CDC Regulations after
Limited completing 50 Business D	
Limited completing 60 Business D	us of restrictions
completing 60 Business D	ys of restrictions.
	ay of restrictions.
56 First Pakistan Securities Limited Suspended 26-Mar-19 Due to non-compliances of 6.8.2 completing 60 Business of Complet	
56         Fost Pakktas Securities Limited         Suspended         26 Mar 49         Date to not-compliance of 61.2 3.7           57         Multilities Securities (PLT) Limited         Censure Letter         2.2 Mars 19         Not-Compliance of 10.2 3.7           58         Some Securities (PLT) Limited         Censure Letter         2.2 Mars 19         Not-Compliance of 10.2 3.7           58         Some Securities (PLT) Limited         Censure Letter         2.2 Mars 19         Not-Compliance of 10.2 3.7	Civiguistions
56         First Pakkana Securities Limited         Surgended         26 Mar-19         Date tone compliance of 62 3.7           57         Multilités Securities (PLL) Limited         Censure latter         22 Mar-19         Non-Compliance of 10 5.0           58         Jones Star Securities (PLL) Limited         Censure latter         22 Mar-19         Non-Compliance of 10 5.0           59         Victor Securities (PLL) Limited         Censure latter         22 Mar-19         Non-Compliance of 10 5.0           59         Victor Securities (PLL) Limited         Censure latter         22 Mar-19         Non-Compliance of 10 5.0	
56         Fost Pakktas Securities Limited         Sequended         26 Mars 19         Date to not compliance of 16.2 in 16.5 in 1	C Regulations
56 Ass Palakate Securities (Incident Sequenced Sequenced 22Amar-19 Date tone-compliance of 6.2 3.5 57 Multithe Securities (PA.1) United Consust starter 22Amar-19 No.Compliance of C 58 Securities (PA.1) United Consust starter 22Amar-19 No.Compliance of C 59 Vector Securities (PA.1) United Consus starter 22Amar-19 No.Compliance of C 6 Consus starter 2 C 6 C 6 C 7 C 7 C 7 C 7 C 7 C 7 C 7 C 7	C Regulations C Regulations C Regulations
56	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations t terminals of Participant by
56 Post Pakktas Securities Limited Sergended 26-Mar-19 Data tons-compliance of 16-2 Compliance of 12-2 Mar-19 NoCompliance of 16-2 Compliance of 16-2 Mar-19 NoCompliance of 16-2 Mar-	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations t terminals of Participant by
56 For Pakidas Securities (Initial Securities	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations tyterminals of Participant by 25, 2019, as notified by PSX ted March 22, 2019.
56 For Pakkan Securities Limited Sergeneed 25-Main-19 Date tone-compliance of 62 3 5 5 5 Mail-19 Securities (PLL) Limited Commission Limited 22 Main-19 No. Compliance of Commission Limited 22 Main-19 No. Compliance of Commission Limited 22 Main-19 No. Compliance of Commission Limited 23 Main-19 No. Compliance of Commission Limited 24 Main-19 No. Compliance of Commission Limited 25 Main-19 No. Commission Limit	C Regulations C Regulations C Regulations C Regulations C Regulations Exeminals of Participant by 25, 2019, as notified by PSX ted March 22, 2019. Initiation g terminals of Participant by
56 Ars Palakane Securities (PA) Limited Consus starter 22 Auto-19 No. Complexes of 42 Auto-19 No. Complexes of 42 Auto-19 No. Complexes of 42 Auto-19 No. Complexes of 45	C Regulations C
56 Ars Palakane Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Removal Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Removal of Resistance Consus Later 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Removal of Resistance 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Removal of Resistance 22 Mar-19 NoCompliance of CA 5 Securities (PAL) Limited Resistance NoCompliance NoCompl	C Regulations C Regulation C R
56 Ans Pakkas Securities Limited Sequenced 124 Mark 19 Date tone compliance of 62 3 5 5 7 Matilities Securities (PLI) Limited Communications (PLI) Limited Communications (PLI) Limited Communications (PLI) Limited No. Compliance of Communications (PLI) Limited No. Compliance of Communications (PLI) Limited No. Compliance of Communications (PLI) Limited Communications (PLI) Limited No. Compliance of Communications (PLI) Limited No. Communications (PLI) Limited	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations L
56 Ass Falcase Associates (Invalided Sequenced Sequenced 22 Mars 19 Data from compliance of 62 Associates (Invalided Sequenced	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations T Reputations T Reputation T Rep
56 Ass Falcase Associates (Invalid Securities (IPVL) Limited Communication (IPVL) Limited Removal of Restrictions (IPVL) Limited Removal of Restrictions (IPVL) Limited Communication (IPVL) Limited Removal of Restrictions (IPVL) Limited Removal of Restrictions (IPVL) Limited Lim	C Regulations C Regulations C Regulations C Regulations C Regulations L Regulations C Regulations C Regulations
56	C Regulations
Securities   Part   Extended   Securities   Part   Securit	C Regulations C
Section   Securities (Principal Securities	C Regulations R Regulations R Regulations R Regulations R Regulations R Regulations R Regulations C Regulations
56 per Avalouse Societies (Prot.) Limited Common Letter 22 Mar. 19 No. Compliance of C S S Societies (Prot.) Limited Common Letter 22 Mar. 19 No. Compliance of C S S Societies (Prot.) Limited Common Letter 22 Mar. 19 No. Compliance of C S S Societies (Prot.) Limited Common Letter 22 Mar. 19 No. Compliance of C S Societies (Prot.) Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited Common Letter 22 Mar. 19 No. Compliance of C S Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Compliance of C D Limited No. Common Letter 22 Mar. 19 No. Common Letter 19	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations T Regulations C
56 Part Palabase Societies (Phot Limited Common Letter 22 Mars 19 No. Complexes of 12 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A Securities (Phot Limited Common Letter 22 Mars 19 No. Complexes of 2 A No. Complexes of 2 A Mars 19 N	C Regulations C
So	C Regulations S Regulations C
So   Part Palabase Societies (Principal Societies	C Regulations C Regulations C Regulations C Regulations C Sequitimes C Regulations C Regulati
So	C Regulations T
Solid Price Palations Southers (Institute)   Solid Non-Compliance of Ed. 3	C Regulations C Regulations C Regulations C Regulations C Regulations C Regulations T
56 Instructional Society (Price ) Date to occumplence of 12 a. 2 Mar. 19 57 Mutilitie Societies (Price) Limited Communication 2 2 Mar. 19 58 Nover Star Securities (Price) Limited Communication 2 2 Mar. 19 59 Vester Securities (Price) Limited Communication 2 2 Mar. 19 59 Vester Securities (Price) Limited Communication 2 2 Mar. 19 59 Vester Securities (Price) Limited Communication 2 2 Mar. 19 50 Vester Securities (Price) Limited Communication 2 2 Mar. 19 50 Vester Securities (Price) Limited Communication 2 2 Mar. 19 51 Nov. Compliance of Communication 2 Mar. 19 52 Nov. 19 53 Nov. Compliance of Communication 2 Mar. 19 54 Nov. Compliance of Communication 2 Mar. 19 55 Nov. Compliance of Communication 2 Mar. 19 56 Nov. Securities (Price) Limited Nemonal of Restriction 2 Mar. 19 56 Nov. Securities (Price) Limited Nemonal of Restriction 1 Mar. 19 56 Nov. Securities (Price) Limited Nemonal of Restriction 1 Mar. 19 57 Nov. Securities (Price) Limited Nemonal of Restriction 1 Mar. 19 58 Nov. Securities (Price) Limited Nemonal of Restriction 1 Mar. 19 58 Nov. Securities (Price) Limited Nemonal of Restriction 1 Mar. 19 58 Nov. Securities (Price) Limited Nemonal of Restricted 1 Mar. 19 58 Nov. Securities (Price) Limited Nemonal of Restricted 1 Mar. 19 59 Nov. Securities (Price) Limited Nemonal of Restricted 1 Mar. 19 59 Nov. Securities (Price) Limited Nemonal of Restricted 1 Mar. 19 59 Nov. Securities (Price) Limited Nemonal Nemo	C Regulations S Regulations C
56 Instruction Securities (Phrt ) Instituted Securities (Phrt ) Instituted Company Later 1 23 Mar 19 No. Company Later 1 59 No. Company Later 1 24 Mar 19 No. Company Later 1 59 No. Company Later 1 24 Mar 19 No. Company Later 1 59 No. Company Later 1 24 Mar 19 No. Company Late	C Regulations S Research S Regulations C Reg
Securities   Part   Extended Securities   Part   Extended	C Regulations T Regulations
Section   Sect	C Regulations T Regulations C Regulations
56 Instructional Societies (Phys.) Limited Census statists 22 Mar. 19 No. Complexes of Ed. 57 No. Complexes of Ed. 58 No. Comp	C Regulations S Research S Regulations C Regulation C Regul
Section   Sect	C Regulations S Research S Regulations C Regulation C Regu
Section   Sect	C Regulations T Regulations C
Section   Sect	C Regulations T Regulations C
5 for Praisable Souther (Invest   Souther Committed   Souther Souther (Invest   Souther (Invest	C Regulations C Regulations C Regulations C Regulations C Sequitations C Regulations C Regulation C Regulation C Regulation C Regulation C Regulation C Regulation C Regu
5 for Praisable Souther (Initial Southers (Initi	C Regulations 1, 2, 2019, a confirmed by PSX educations 1, 2, 2019, a confirmed by PSX educations R D Regulations C Regu
5 For an Anthon Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Common settlers 2,25 Mars 19 Non-Compliance of CA Securities (Pred.) Limited Remote Se	C Regulations 2, 2, 2019, a notified by PSS tells (PSS) C Regulations D Regulations C Regulations D Regulations D Regulations D Regulations D Regulations C
Securities   Part   Parties Securities   Part   Date to on-complexicate of 12, 32 Mars 19   Non-Complexicate of 12, 32 M	C Regulations S Research S Regulations C Reg

	Under Regulation 14.6.1 of the CDC Regulations					
S. No.	Name of Participant Javed Igbal Securities (Pvt) Ltd.	Disciplinary Actions  Censure Letter	Notice Date 25-Jan-19	Reason Non-Compliance of CDC Regulations		
100	Chenab Stock Services (Pvt) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations		
101 102	Alfa Adhi Securities (Pvt) Ltd. 128 Securities (Private) Limited	Censure Letter Notice of Restriction & Censure	25-Jan-19 25-Jan-19	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
103	HMC Stocks (Private) Limited	Removal of Restriction	23-Jan-19	Consequent to restoration of trading terminals of Participant by PSX with immediate effect as notified by PSX vide notice no.		
104	Arif Habib Limited	Notice of Restriction & Censure	22-Jan-19	PSX/N-75 dated January 23, 2019. Non-Compliance of CDC Regulations		
105	K.H.S. Securities (Pvt.) Limited	Removal of Restriction	21-Jan-19	Consequent to restoration of trading terminals of Participant by PSX with immediate effect as notified by PSX vide notice no. PSX/N-64 dated January 21, 2019.		
106	Fair Deal Securities (Private) Limited	Terminated	16-Jan-19	Voluntary Termintaion		
107	HMC Stocks (Private) Limited	Restricted	16-Jan-19	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-44 dated January 16,		
				2019.  Consequent to switching-off of the trading terminals of Participant		
108	K.H.S. Securities (Pvt.) Limited	Restricted	16-Jan-19	Lorisequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-44 dated January 16, 2019.		
109	CMA Securities (Pvt.) Limited	Restricted	15-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.		
110	KSR Stock Brokerage (Pvt) Ltd.	Removal of Restriction	15-Jan-19	Participant has complied with the Regulation 6.8.2  Failure to submit Financial Statement or Auditor Certificate as		
111	Fair Deal Securities (Pvt) Ltd.	Restricted	1-Jan-19	require to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as		
112	Beaming Investment & Securities (Private) Limited	Restricted	1-Jan-19	require to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as		
113	Maha Securities (Pvt.) Limited Invest Capital Markets Limited	Restricted Restricted	1-Jan-19 1-Jan-19	required under Resulation 6.8.2 of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as		
114	SMAC Investments (Private) Ltd.	Restricted	1-Jan-19	required under Regulation 6.8.2.of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as		
116	KSR Stock Brokerage (Pvt) Ltd.	Restricted	1-Jan-19	requried under Rezulation 6.8.2.of the CDC Resulation. Failure to submit Financial Statement or Auditor Certificate as		
117	First Pakistan Securities Limited	Restricted	1-Jan-19	requried under Regulation 6.8.2.of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as expected under Remulation 6.9.2 of the CDC Regulation.		
118	Diyanah Islamic Financial Services (Private) Limited	Restricted	1-Jan-19	requiried under Regulation 6.8.2.of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as requiried under Regulation 6.8.2.of the CDC Regulation.		
119	Millennium Securities & Investments (Private) Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as requried under Regulation 6.8.2.of the CDC Regulation.		
120	First Fidelity Leasing Modaraba	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as		
121	Sigma Leasing Corporation Limited	Suspended	31-Dec-18	requried under Rezulation 6.8.2.of the CDC Resulation. Failure to settle the CDC outstanding dues.		
122	South Asian Securities (Private) Limited	Removal of Suspension	21-Dec-18	Consequent to switching-off of the trading terminals by PSX with immediate effect vide notice no. PSX/N-6554 dated December 21, 2018.		
123	Iqbal usman kodvavi Securities (Pvt.) Limited	Censure Letter	20-Dec-18	Non-Compliance of CDC Regulations		
124	RUC Securities (Private) Limited	Removal of Restriction	18-Dec-18	Consequent to restoration of trading terminals of Participant by PSX with immediate effect as notified by PSX vide notice no.		
125	GMI Capital Securities (Pvt.) Limited	Censure Letter	14-Dec-18	PSX/N-6529 dated December 18. 2018 Non-Compliance of CDC Regulations		
126 127	Oriental Securities (Pvt.) Limited  Apex Capital Securities (Pvt) Limited	Censure Letter Censure Letter	14-Dec-18 14-Dec-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
128	Time Securities (Pvt.) Limited	Censure Letter	14-Dec-18	Non-Compliance of CDC Regulations		
129	JS Bank Limited  Gulrez Securities (Private) Limited	Censure Letter  Removal of CDC Notice datedBecember 03, 2018	14-Dec-18 13-Dec-18	Non-Compliance of CDC Regulations Participant has complied with Regulation 6.8.1 of the CDC		
131	MRA Securities (Private) Limited	Notice of Restriction & Censure	30-Nov-18	Regulations. Non-Compliance of CDC Regulations		
132 133	Creative Capital Securities (Pvt.) Limited B & B Securities (Pvt.) Limited	Censure Letter Censure Letter	30-Nov-18 30-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
134	Akhai Securities (Pvt.) Limited	Censure Letter	30-Nov-18	Non-Compliance of CDC Regulations		
135	Surmawala Securities (Pvt.) Limited	Censure Letter	30-Nov-18	Non-Compliance of CDC Regulations  Consequent to removal of suspension by NCCPL as notified vide		
136	Tariq Sayeed Securities (Private) Limited	Removal of Suspension	29-Nov-18	notice no. NCCPI/CRO/217/18 dated November 28, 2018 and restoration of trading terminals by PSX as notified vide notice no. PSX/N-6419 dated November 16, 2018.		
137 138	K&I Global Capital (Pvt.) Limited Jahanzaib Securities (Pvt) Limited	Censure Letter Terminated	23-Nov-18 23-Nov-18	Non-Compliance of CDC Regulations Voluntary Termintaion		
139	Bhayani Securities (Pvt) Ltd.	Notice of Restriction & Censure	22-Nov-18	Non-Compliance of CDC Regulations		
140 141	Nael Capital (Pvt) Limited Ts Securities (Pvt) Ltd.	Notice of Restriction & Censure Notice of imposition of Penalty	22-Nov-18 22-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
142	Dawood Equities Limited	Notice of Restriction & Censure	22-Nov-18	Non-Compliance of CDC Regulations		
143	Ts Securities (Pvt) Ltd.	Imposition of Penalty	22-Nov-18	Penalty imposed on non-compliances highlighted in Inspection of Participant Record		
144	Khayyam Securities (Private) Limited  AM Chaudhary Securities (Private) Limited	Terminated  Removal of Suspension	16-Nov-18 16-Nov-18	Voluntary Termintation  Consequent to restoration of trading terminals by PSX vide notice		
				no. PSX/N-6413 dated November 15, 2018.  Consequent to switching-off of the trading terminals by PSX vide		
146	RUC Securities (Private) Limited  Moneyline Securities (Pvt.) Limited	Restricted  Censure Letter	16-Nov-18 15-Nov-18	notice no. PSX/N-6418 dated November 16, 2018.  Non-Compliance of CDC Regulations		
148 149	Gul Dhami Securities (Pvt.) Limtied	Censure Letter Censure Letter	15-Nov-18 15-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
150	CMA Securities (Pvt.) Limited  Mayari Securities (Private) Limited	Censure Letter	12-Nov-18	Non-Compliance of CDC Regulations		
151 152	Q. Ain Khanani Securities (Private) Limited Friendly Securities (Pvt) Ltd.	Censure Letter Censure Letter	12-Nov-18 9-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
153	Shaffi Securities (Pvt.) Limited	Censure Letter	9-Nov-18	Non-Compliance of CDC Regulations		
154 155	CASSim Investments (Pvt.) Limited  Khawaja Securities (Pvt.) limited	Censure Letter Censure Letter	9-Nov-18 9-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
156	F.M. Securities (Private) Limited	Restricted	26-Oct-18	Consequent to switching-off of the trading terminals by PSX vide notice no. PSX/N-6381 dated October 26, 2018.		
157	Xpert Securities Limited	Removal of Restriction	25-Oct-18	Consequent to restoration of trading terminals of Participant by PSX with effect from October 25, 2018 as notified by PSX vide		
158	IGI Investment Bank Limited	Terminated	22-Oct-18	notice no. PSX/N-6281 dated October 24. 2018.  Voluntary Termintaion  Consequent to the suspension by NCCPL as notified vide notice		
159	Salim Sozer Securities (Pvt.) Limited	Suspended	17-Oct-18	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/184/18 dated October 17, 2018. Consequent to removal of suspension by NCCPL as notified vide		
160	Salim Sozer Securities (Pvt.) Limited	Removal of Suspension	17-Oct-18	notice no. NCCPL/CRO/185/18 dated October 17, 2018.  Consequent to switching-off of the trading terminals by PSX vide		
161	A.S. Securities (Pvt.) Limited	Restricted	16-Oct-18	notice no. PSX/N-6081 dated October 16, 2018.  Consequent to restoration of trading terminals of Participant by		
162	CAMCD (Pvt) Limited	Removal of Restriction	12-Oct-18	PSX as notified by PSX vide notice no. PSX/N-6007 dated October 11, 2018.		
163 164	Fairtrade Capital Securities (Pvt.) Limited Unex Securities (Pvt) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	9-Oct-18 9-Oct-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
165	Optimus Capital Management (Private) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations		
166 167	EFG Hermes Pakistan Limited  FDM Capital Securities (Pvt) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	9-Oct-18 9-Oct-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
168 169	Cassim Investments (Private) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	9-Oct-18 9-Oct-18	Non-Compliance of CDC Regulations		
169 170	Market 786 (Private) Limited First Capital Equities Limited	Notice of Restriction & Censure Suspended	9-Oct-18 9-Oct-18	Non-Compliance of CDC Regulations  Consequent to the suspension by NCCPL as notified vide notice		
171	First Capital Equities Limited	Removal of Suspension	9-Oct-18	no. NCCPL/CRO/175/18 dated October 09, 2018.  Consequent to removal of suspension by NCCPL as notified vide notice no. NCCPL/CRO/176/18 dated October 09, 2018.		
172	INA Securities (Pvt) Limited	Removal of Restriction	9-Oct-18	Consequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSX/N-5917 dated October		
173	Summit Bank Limited - Summit Custodial Services	Censure Letter	5-Oct-18	09. 2018. Non-Compliance of CDC Regulations		
174	MGM Securities (Private) Limited	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations		
175 176	Fair Deal Securities (Pvt) Ltd. Riaz Ahmed Securities (Pvt) Ltd.	Censure Letter Censure Letter	2-Oct-18 2-Oct-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
177	Arif Latif Securities (Pvt) Ltd.	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations		
178 179	Trust Securities & Brokerage Limited  ASDA Securities (Pvt.) Ltd.	Censure Letter Notice of Restriction & Censure	2-Oct-18 2-Oct-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations		
180	Zahid Latif Khan Securities (Pvt.) Limited	Notice of Restriction & Censure	25-Sep-18	Non-Compliance of CDC Regulations		
181	CMA Securities (Pvt.) Limited	Restricted	19-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5351 dated September 18, 2018.		
182	SMAC Investments (Private) Limited	Restricted	19-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-S3S1 dated September		
				18, 2018.  Consequent to switching-off of the trading terminals of Participant		
183	CAMCO (Pvt.) Limited	Restricted	19-Sep-18	as notified by PSX vide notice no. PSX/N-5351 dated September 18, 2018.  Consequent to restoration of trading terminals of Participant by		
184	Apex Capital Securities (Private) Limited	Removal of Restriction	19-Sep-18	PSX as notified by PSX vide notice no. PSX/N-5344 dated September 18, 2018.		
185	MSD Capital Equities (Pvt.) Limited	Removal of Restriction	19-Sep-18	Consequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSX/N-5344 dated Sectember 18. 2018.		
186	Apex Capital Securities (Private) Limited	Restricted	18-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.		

S. No.	Name of Participant	Under Regulation 14.6.1 of the Disciplinary Actions	Notice Date	Reason
187		Restricted	18-Sep-18	Consequent to switching-off of the trading terminals of Participans
188	INA Securities (Pvt.) Limited  MSD Capital Equities (Pvt.) Limited	Restricted  Restricted	18-Sep-18	as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.  Consequent to switching off of the trading terminals of Participam as notified by PSX vide notice no. PSX/N-5310 dated September
			,	18, 2018.  Consequent to switching-off of the trading terminals of Participan
189	Xpert Securities Limited  Progressive Investment Management (Pvt.) Ltd	Restricted  Removal of Restriction	18-Sep-18 18-Sep-18	as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.  Participant has removed causes of Restrictions
191	Next Capital Limited	Notice of Restriction & Censure	18-Sep-18	Non-Compliance of CDC Regulations
192 193	Horizon Securities Limited WE Financial Services Limited	Notice of Restriction & Penalty Notice of Restriction & Penalty	18-Sep-18 18-Sep-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
194 195	Elioir Securities Pakistan (Pvt.) Limited First Capital Equities Limited	Notice of Restriction & Penalty Notice of Restriction & Censure	18-Sep-18 18-Sep-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
196 197	Darson Securities (Pvt.) Limited Invest Forum (Private) Limited	Notice of Restriction & Penalty Terminated	18-Sep-18 17-Sep-18	Non-Compliance of CDC Regulations Voluntary Termintation
198	Progressive Investment Management (Pvt.) Ltd	Restricted	14-Sep-18	Due to contravention of Section 24 of CD Act 1997 read with Regulation 6.7 of the CDC Regulations. Due to the Prohibitory Order by SECP vide Order dated
199 200	AMCAP Securities (Private) Limited  First National Equities Limited	Further revised Restriction  Notice of Restriction & Censure	13-Sep-18 12-Sep-18	September 13, 2018.  Non-Compliance of CDC Regulations
201	AMCAP Securities (Private) Limited	Revised Notice of Restriction	10-Sep-18	Consequent to suspension of the trading terminals of Participant by PSX vide notice no. PSX/N-5144 dated September 10, 2018.
202	Fairtrade Capital Securities (Private) Limited	Removal of Restriction	31-Aug-18	Consequent to removal of restriction by PSX as notified by PSX vide notice no. PSX/N-4985 dated August 30, 2018 for opening of
203	Saima Qaiser Securities (Private) Limited	Removal of Restriction	20-Aug-18	new clients account.  Consequent to restoration of trading terminals by PSX as notified by PSX vide notice no. PSX/N-4832 dated August 20, 2018.
204	Saima Qaiser Securities (Private) Limited	Restricted	17-Aug-18	Consequent to switching-off of the trading terminals by PSX vide notice no. PSX/N-4761 dated August 16, 2018.
205	Venus Securities (Private) Limited	Removal of suspension	15-Aug-18	Consequent to restoration of trading terminals by PSX as notified by PSX vide notice no. PSX/N-4702 dated August 13, 2018 and in view of letter No. 2(33)SE/SMD/18 dated May 16, 2018 of the SECP.
206 207	Pearl Securities Limited	Notice of Restriction & Censure	8-Aug-18	Non-Compliance of CDC Regulations
208	Summit Capital (Pvt.) Limited - Lhr Topline Securities Limited	Notice of Restriction & Censure  Notice of Restriction & Censure	8-Aug-18 8-Aug-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
209 210	Zafar Securities (Pvt.) Limited HMC Stocks (SMC-Pvt.) Limited	Notice of Restriction & Censure Notice of Restriction & Penalty	8-Aug-18 8-Aug-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
211	Javed Zakaria Gulabi Securities (Pvt.) Limited  Value Stock and Commodities (Private) Limited	Terminated  Removal of Restriction	1-Aug-18 1-Aug-18	Voluntary Termintaion  Consequent to removal of restriction by PSX as notified by PSX vide notice no. PSX/N-4532 dated August 01, 2018 for opening of
213	Omni Capital Management (Private) Limited	Removal of Suspension	26-Jul-18	new clients account.  Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-4384 dated July 24, 2018
214	Ahsam Securities (Pvt.) Limited	Removal of Suspension	24-Jul-18	Consequent to restoration of trading terminals by PSX vide notice
215	R.F.J. Equity (Private) Limited	Removal of Suspension	24-Jul-18	no. PSX/N-4376 dated July 24, 2018  Consequent to restoration of trading terminals by PSX vide notice
215	R.F.J. Equity (Private) Limited  HK Securities (Pvt.) Limited	Terminated	24-Jul-18 20-Jul-18	no. PSX/N-4388 dated July 24, 2018 Voluntary Termintaion
217	SMAC Investments (Private) Limited	Removal of Suspension	19-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no.PSX/N-4269 dated July 18, 2018
218	Interactive Securities (Private) Limited	Removal of Suspension	17-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-4225 dated July 13, 2018
219	First Dawood Investment Bank Limited	Terminated	13-Jul-18	Voluntary Termintaion
220 221	Darson Securities (Private) Limited  Darson Securities (Pvt) Limited - LSI	Terminated Censure Letter	9-Jul-18 6-Jul-18	Voluntary Termintaion  Non-Compliance of CDC Regulations
222 223	Javed Igbal Securities (Pvt.) Limited Fair Edge Securities (Pvt.) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	5-Jul-18 5-Jul-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
224 225	General Invest. & Securities (Pvt.) Limited  Msmaniar Financial (Pvt.) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	5-Jul-18 5-Jul-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
226	Safe Securities (Private) Limited	Terminated  Removal of Restriction	4-Jul-18	Voluntary Termintaion  Consequent to restoration of trading terminals by PSX as notified
227	ASA Stocks (Private) Limited  Sakanwala Capital Securities (Pvt) Ltd.	Removal of Restriction  Censure Letter	29-Jun-18 27-Jun-18	vide notice no. PSX/N-3946 dated June 29, 2018.  Non-Compliance of CDC Regulations
229 230	High Land Securities (Pvt.) Limited 128 Securities (Pvt.) Limited	Censure Letter Censure Letter	27-Jun-18 27-Jun-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
231	KP Securities (Private) Limited	Removal of Restriction	27-Jun-18	Consequent to restoration of trading terminals by PSX as notified vide notice no. PSX/N-3897 dated June 27, 2018.
232	Fairtrade Capital Securities (Private) Limited	Restricted	25-Jun-18	Consequent to switching-off the trading terminals by PSX vide notice no. PSX/N-3828 dated June 22, 2018 in respect of the
233	R.T. Securities (Pvt) Limited - LSI	Censure Letter	21-Jun-18	opening of new Sub-Account.  Non-Compliance of CDC Regulations
234 235	Guirez Securities (Private) Limited Summit Capital (Private) Limited	Censure Letter Censure Letter	21-Jun-18 21-Jun-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
236	KP Securities (Private) Limited	Restricted	20-Jun-18	Consequent to switching-off the trading terminals by PSX as notified vide notice no. PSX/N-3783 dated June 20. 2018. Consequent to switching-off the trading terminals by PSX vide
237	ASA Stocks (Private) Limited  AMCAP Securities (Private) Limited	Restricted  Modification in Restrication	20-lun-18 19-lun-18	Consequent to swetching-off the trading terminals by PSX vote notice no. PSVN-3783 dated June 20. 2018. It Consequent to removal of suspension NCCPL as notified vide notice no. NCCPL/CRO/79/18 dated June 1.9, 2018. However, Restriction in respect of the opening of new Sub-Account not yet
239	AMCAP Securities (Private) Limited	Suspended	19-Jun-18	removed.  Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/78/18 dated June 19. 2018.
240 241	Adeel Zafar Securities (Private) Limited FDM Capital Securities (Pvt.) Limited	Terminated Notice of Restriction & Censure	1-Jun-18 30-May-18	Voluntary Termintaion  Non-Compliance of CDC Regulations
242 243	First Zeiva (Private) Limited  DJM Securities (Private) Limited	Terminated  Censure Letter	29-May-18 28-May-18	Voluntary Termintaion  Non-Compliance of CDC Regulations
244	Pearl Brokerage Private Limited	Censure Letter Censure Letter	28-May-18 28-May-18	Non-Compliance of CDC Regulations
245 246 247	Falki Capital (Private) Limited  Continental Capital Management (Pvt) Ltd  British & Securities Limited	Censure Letter  Censure Letter  Censure Letter	28-May-18 28-May-18 28-May-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
248	Rahat Securities Limited Bismillah Securities (Pvt) Ltd.	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
249 250	Yasir Mahmood Securities (Pvt) Ltd. Fair Deal Securities (Private) Limited	Censure Letter Restricted	28-May-18 16-May-18	Non-Compliance of CDC Regulations  Consequent to switching-off the trading terminals by PSX vide notice no. PSX/N-3262 dated May 16, 2018.
251 252	Standard Capital Securities Pvt Ltd. Patel Securities (Pvt.) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	7-May-18 7-May-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
253 254	Pasha Securities (Pvt.) Limited Hamza Farhad Securities ( Pvt.) Limited	Notice of Restriction  Censure Letter	7-May-18 7-May-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
255 256	Spinzer Equities (Pvt.) Limited JSK Securities Limited	Censure Letter Censure Letter	7-May-18 7-May-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
257	Punjab Capital Securities (Pvt.) Limited	Censure Letter	7-May-18	Non-Compliance of CDC Regulations
258 259	Progressive Investment Management (Pvt.) Ltd Iqbal Usman Kodvavi Securities (Pvt.) Limited	Censure Letter Censure Letter	7-May-18 25-Apr-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
260	Dosslanis Securities (Pvt) Limited	Censure Letter	25-Apr-18	Non-Compliance of CDC Regulations
	Seven Star Securities (Pvt.) Limited First National Equities Limited	Censure Letter Censure Letter	25-Apr-18 25-Apr-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
261 262	Pace Investment & Securities (Private) Limited Fortune Securities Limited	Terminated  Notice of Restriction & Censure	16-Apr-18 13-Apr-18	Voluntarily termination  Non-Compliance of CDC Regulations
262 263 264			13-Apr-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
262 263	Mannoo Capital (Pvt.) Limited Askari Securities Limited	Censure Letter Censure Letter	9-Apr-18	Non-Compliance of CDC Regulations
262 263 264 265			9-Apr-18 6-Apr-18 30-Mar-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
262 263 264 265 266 267	Askari Securities Limited Abbasi Securities (Pvt.) Limited S.D. Mirza Securities (Pvt.) Limited Brains Securities (Pvt.) Limited	Censure Letter Censure Letter	6-Apr-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
262 263 264 265 266 267 268 269	Askari Securities Limited  Abbasi Securities (Pvt.) Limited  S. D. Mirza Securities (Pvt.) Limited	Censure Letter  Censure Letter  Notice of Restriction & Censure  Censure Letter	6-Apr-18 30-Mar-18 29-Mar-18	Non-Compliance of CDC Regulations
262 263 264 265 266 267 268 269 270 271 272 273	Adacia Securities Limited Abbasi Securities (Pixt) Limited S. D. Mirra Securities (Pixt) Limited S. D. Mirra Securities (Pixt) Limited Brains Securities (Pixt) Limited Adacia R. Nademis excurities (Pixt) Limited Amer Securities (Pixt) Limited Amer Securities (Pixt) Limited MND Investment (Pixt) Limited Amer Securities (Pixt) Limited	Censure Letter Censure Letter Notice of Restriction & Censure Censure Letter Notice of Restriction & Censure Censure Letter Censure Letter	6-Apr-18 30-Mar-18 29-Mar-18 29-Mar-18 29-Mar-18 29-Mar-18 29-Mar-18	Non-Compliance of CDC Regulations
262 263 264 265 266 267 268 269 270 271 272 273 273 274 275	Askard Securities Limited  Albado Securities (Pet.) Limited  S.D. Marza Securities (Pet.) Limited  S.D. Marza Securities (Pet.) Limited  Asians Securities (Pet.) Limited  Anner Securities (Pet.) Limited  Anner Securities (Pet.) Limited  Far Dout Securities (Pet.) Limited  Far Dout Securities (Pet.) Limited  Art Securities (Pet.) Limited	Common estator Common lester Nocice of Meditation & Commune Common estator Nocice of Sentation & Commune Nocice of Sentation & Commune Nocice of Sentation & Commune Common lester Common lester Common lester Nocice of Sentation & Commune Nocice of Sentation & Commune Nocice of Sentation & Commune Common lester	6-Apr-18 30-Mar-18 29-Mar-18 29-Mar-18 29-Mar-18 29-Mar-18 28-Mar-18 28-Mar-18 28-Mar-18	Non-Complane of CIC Regulations
262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277	Assard Securities United  S.D. Micros Securities (PV.) United  S.D. Micros Securities (PV.) United  S.D. Micros Securities (PV.) United  Biosis Securities (PV.) United  Micros Securities (PV.) United  Amer Securities (PV.) United  Micros Securities (PV.) United  Micros Securities (PV.) United  Micros Securities (PV.) United  Amer Secu	Censor Letter Censor Letter Nation of New York Censor Censor Letter Nation of New York Censor Censor Letter Nation of New York Censor Censor Letter Censor Letter Nation of New York Censor Nation of New York Censor Nation of New York Censor Terminated	6 Apr.18 30 Mar.18 29 Mar.18 29 Mar.18 29 Mar.18 29 Mar.18 28 Mar.18 28 Mar.18 27 Mar.18 22 Mar.18 22 Mar.18	Non-Complaine of OCR Regulations
262 263 264 265 265 266 267 268 269 270 271 272 273 274 275 276 277 278	Assa Security Poy Li United  15. Mora Security Poy Li United  15. Mora Security Poy Li United  15. Mora Security Poy Li United  Assa Security Poy Li United  MIND United Poy Li United  Poy Security Poy Li United  Poy Security Poy Li United  Poy Security Poy Li United  Assa Security Poy Li United  Assa Security Living Poy Li United  Assa Security Poy Li United  Assa Security Living Poy Living Poy Living Living Poy Li	Consult Latter Cossos Latter Nation of Restriction & Consult State of Restriction & Consult Cossos Latter Nation of Restriction & Consult Cossos Latter Cossos Latter Cossos Latter Cossos Latter Cossos Latter Nation of Restriction & Consult	6 Apr.18 30-Mar.18 29-Mar.18 29-Mar.18 29-Mar.18 29-Mar.18 28-Mar.18 28-Mar.18 27-Mar.18 27-Mar.18 27-Mar.18 27-Mar.18 27-Mar.18	Non-Complained of CSR Regulations
262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278	Mast Securities (Limited  Souther (Limited  Souther (Limited  Souther (Limited  Souther (Limited  Mass Souther (Limited  Aced & National Societies (PA) Limited  Aced & National Societies (PA) Limited  Aced Souther (Limited  Mass Souther (Limited  Alange (Limited  A	Cessori Latter Cessori Latter Notice of Bearcitics & Cessori Cessori Latter Notice of Bearcitics & Cessori Cessori Latter Notice of Bearcitics & Cessori Notice of Bearcitics & Cessori Notice of Bearcitics & Cessori Cessori Latter Cessori Latter Notice of Bearcitics & Cessori Notice of Bearcitics & Cessori Tessorial Notice of Bearcitics & Cessori	6 Apr.18 30-Mar.18 29-Mar.18 29-Mar.18 29-Mar.18 29-Mar.18 28-Mar.18 28-Mar.18 27-Mar.18 27-Mar.18 27-Mar.18 27-Mar.18 27-Mar.18	Non-Complaines of DCC Regulations

# Disciplinary Register - Participants

S. No.		Under Regulation 14.6.1 of the		
	Name of Participant	Disciplinary Actions	Notice Date	Reason  Consequent to restoration of trading terminals by PSX vide notice
285	Shaffi Securities (Private) Limited	Removal of Restriction	19-Mar-18	no. PSX/N-1802 dated March 19, 2018.
286	Naveed H. M. Idrees (Private) Limited	Terminated	12-Mar-18	Participant has ceased to be Trading Right Entitlement Cartificate holder as communicated by PSX vide notice no. PSX/N-1586 dated March 06, 2018.
287 288	Alfalah Securities (Pvt.) Limited Saya Securities (Pvt.) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	9-Mar-18 9-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
289	F.M. Securities (Private) Limited	Restricted	9-Mar-18	Consequent to the restriction on opening of new clients account by PSX as notified vide notice no. PSX/N-1671 dated March 09,
290	128 Securities (Pvt.) Limited	Notice of Restriction & Penalty	8-Mar-18	2018. Non-Compliance of CDC Regulations
291 292	Dosslani Securities (Pvt.) Ltd	Notice of Restriction & Penalty	8-Mar-18 2-Mar-18	Non-Compliance of CDC Regulations
293	Ismail Iqbal Securities (Pvt.) Limited Aba Ali Habib Securities (Pvt) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	2-Mar-18 2-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
294 295	Summit Capital (Pvt.) Limited  Aba Ali Habib Securities (Pvt.) Limited	Notice of Restriction & Censure Censure Letter	2-Mar-18 1-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
296 297	128 Securities (Pvt.) Limited  Royal Securities (Pvt) Limited	Restricted  Censure Letter	1-Mar-18 26-Feb-18	Consequent to the restriction on opening of new clients account by PSX as notified vide notice no. PSX/N-1502 dated March 01, 2018.
298	Wisdom Securities (Private) Limited	Terminated	22-Feb-18	Non-Compliance of CDC Regulations Voluntary Termintaion
299 300	Ali Husain Rajabali Limited Ghani Osman Securities (Pvt.) Limited	Censure Letter Notice of Restriction & Censure	21-Feb-18 21-Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
301 302	HH Misbah Securities (Pvt.) Itd	Censure Letter	20-Feb-18	Non-Compliance of CDC Regulations
303	Bawa Securities (Pvt.) Limited F.M. Securities (Pvt.) Limited	Notice of Restriction & Censure Censure Letter	20-Feb-18 19-Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
304 305	ICON Capital Management (Pvt.) Limited Fortune Securities Limited - LSI	Censure Letter Censure Letter	19-Feb-18 19-Feb-18	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
306 307	Alfalah Securities (Private) Limited M.M. Securities (Pvt.) Limited	Censure Letter Censure Letter	19-Feb-18 19-Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
308	MGM Securities (Pvt.) Limited	Notice of Restriction & Censure	15-Feb-18	Non-Compliance of CDC Regulations
309 310	New Peak Securities (Pvt.) Limited Infinite Securities Limited	Censure Letter Censure Letter	15-Feb-18 15-Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
311 312	M.A.N Securities (Pvt.) Limited	Terminated Notice of Restriction & Censure	14-Feb-18 8-Feb-18	Voluntary Termintaion
313	High Land Securities (Pvt.) Limited K.H.S Securities (Pvt.) Limited	Censure Letter	8-Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
314 315	Zafar Moti Capital Securties (Pvt.) Limited BlackStone Equities (Private) Limited	Notice of Restriction & Censure Terminated	8-Feb-18 26-Jan-18	Non-Compliance of CDC Regulations  Voluntary Termintaion
316 317	Alfa Adhi Securities (Pvt.) Limited	Notice of Restriction & Penalty Notice of Restriction & Penalty	25-Jan-18	Non-Compliance of CDC Regulations
317	H. P . Byramji & Co. (Pvt.) Limited  XPERT Securities (Private) Limited	Notice of Restriction & Penalty Removal of Restriction	23-Jan-18 19-Jan-18	Non-Compliance of CDC Regulations  Participant has complied with Regulation 6.8.2 of the CDC  Regulations
319	Millennium Securities & Investments (Private)	Restricted	1-Jan-18	Consequent to suspension of the trading terminals by PSX vide
	Limited			notice no. PSX/N-001 dated January 01, 2018.  Consequent to restoration of trading terminals by PSX w.e.f.
320	Pearl Brokerage (Private) Limited	Removal of Restriction	1-Jan-18	January 01, 2018, as notified by PSX vide notice no. PSX/N-29 dated January 01, 2018.
321	Bright Investment and Securities (Pvt.) Limited	Terminated	28-Dec-17	Voluntarily termination and surrender of TREC as communicated by PSX vide notice no. PSX/N-7870 dated December 27. 2017.
322	Pearl Brokerage (Private) Limited	Restricted	26-Dec-17	Due to switching-off the trading terminals by PSX vide notice no. PSX/N-7866 dated December 26, 2017.
323 324	Asad Mustufa Securities (SMC-Pvt.) Ltd Rafi Securities (Pvt.) Ltd	Censure Letter Censure Letter	22-Dec-17 22-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
325 326	Fair Edge Securities (Pvt.) Limited First Capital Equities Limited	Censure Letter Censure Letter	22-Dec-17 22-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
327	A. N. Equities (Pvt.) Limited	Censure Letter	22-Dec-17	Non-Compliance of CDC Regulations
328 329	Khawaja Securities (Pvt.) Limited Strongman Securities (Pvt.) Limited	Notice of Restriction & Censure Censure Letter	22-Dec-17 21-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
330 331	Techno Fundamental Securities (Pvt) Ltd.  Maan Securities (Private) Limited	Censure Letter Censure Letter	21-Dec-17 21-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
332	Sherman Securities (Private) Limited	Censure Letter	21-Dec-17	Non-Compliance of CDC Regulations
333	Muhammad Amer Riaz Securities (Pvt.) Limited N.U.A Securities (Pvt.) Ltd	Notice of Restriction & Penalty  Notice of Restriction & Penalty	20-Dec-17 20-Dec-17	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
335	Nini Securities (SMC-Pvt.) Ltd	Censure Letter	20-Dec-17	Non-Compliance of CDC Regulations
336 337	Memon Securities (Pvt.) Ltd First Zeiva (Private) Limited	Censure Letter Censure Letter	20-Dec-17 20-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
338	XPERT Securities (Private) Limited	Restricted	20-Dec-17	Failure to submission of Financial Statement or Auditor Certificate as requried under Regulation 6.8.2.of the CDC Regulation.
339	K.H.S. Securities (Private) Limited	Removal of Restriction	19-Dec-17	Consequent to restoration of trading terminals by PSX w.e.f. December 20, 2017, as notified by PSX vide notice no. PSX/N-
340	A.H.K.D. Securities (Private) Limited	Suspended	14-Dec-17	7742 dated December 19, 2017.  Due to SECP directive the CDC to suspend the Participant vide
341	HK Securities (Pvt.) Limited	Suspended	14-Dec-17	order dated December 11, 2017.  Due to SECP directive the CDC to suspend the Participant vide
342	Invest Forum (SMC-Private) Limited	Suspended	14-Dec-17	order dated December 11, 2017.  Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017.
343	Invest Forum (SMC-Private) Limited	Restricted	13-Dec-17	Due to the cancellation of liscence by SECP as a securities broker
				vide order dated December 13, 2017.
344	HK Securities (Private) Limited	Restricted	13-Dec-17	vide order dated December 13, 2017.  Due to the cancellation of liscence by SECP as a securities broker vide order dated December 13, 2017.
345	HK Securities (Private) Limited  Jahanzaib Securities (Pvt.) Limited	Restricted Suspended	12-Dec-17	vide order dated December 13. 2017.  Due to the cancellation of liscence by SECP as a securities broker vide order dated December 13. 2017.  Due to SECP directive the CDC to suspend the Participant vide order dated December 11. 2017.
345 346	Jahanzaib Securities (Pvt.) Limited  Shaukat Shaffi Securities (Private) Limited	Suspended Suspended	12-Dec-17 12-Dec-17	wide order dated December 13, 2017.  Due to the cancellation of lisconce by SECP as a securities broker vide order dated December 13, 2017.  Due to SECP directive the CDC to suspend the Participant vide order dated December 13, 2017.  Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017.  Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017.
345 346 347	Jahanzailo Securities (Pvt.) Limited Shaukat Shaffi Securities (Private) Limited AM Chaudhry Securities (Private) Limited	Suspended Suspended Suspended	12-Dec-17 12-Dec-17 12-Dec-17	wide order stated December 11. 2017. Due to the carefuldrish of filterizer by VETP as a securities broken wide order stated December 11. 2017. Due to SEC Private the CETP college of the Participant vide order careful December 11. 2017. Due to SEC Private the CETP college of the Participant vide order careful December 11. 2017. Due to SEC Private the SEC because the Participant vide order careful December 11. 2017. Due to SEC Private the SEC CE outpent the Participant vide order stated December 11. 2017.
345 346 347 348	Jahanzaib Securities (Pv.; ) Limited Shaukat Shaffi Securities (Private) Limited AM Chaudhry Securities (Private) Limited First Pakistan Securities Limited	Suspended Suspended Suspended Restricted	12-Dec-17 12-Dec-17 12-Dec-17 4-Dec-17	vide order dated December 13, 2017.  Due to the canolisation of liscence by \$ECP 94 as excurrisks broker vide order dated December 13, 2017.  Due 10 5ECP derective the CDC LOS usepand the Participant vide order dated December 11, 2017.  Due 10 5ECP derective the CDC LOS usepand the Participant vide order dated December 11, 2017.  Due 10 5ECP derective the CDC LOS usepand the Participant vide order dated December 11, 2017.  Due 10 5ECP derective the CDC LOS usepand the Participant vide
345 346 347	Jahanzailo Securities (Pvt.) Limited Shaukat Shaffi Securities (Private) Limited AM Chaudhry Securities (Private) Limited	Suspended Suspended Suspended	12-Dec-17 12-Dec-17 12-Dec-17	wite order stated Genomber 13, 2017. Due to the contribution of Sicurcies by EVA as standards broken vide order dated Genomber 13, 2017. Due 1507 Genomber 13, 2017. Due 1507 Genomber 13, 2017. Due 1507 Genomber 14, 2017. Due 1
345 346 347 348 349 350 351	Jahansalb Securitise (Prv.) Limited Shaukut Shuffi Securitise (Private) Limited AM Chaudhry Securitise (Private) Limited Fest Pakistan Securitise (Imited AM Chaudhry Securitise Limited Toplins Securitise Limited Toplins Securitise Limited	Suspended Suspended Suspended Restricted Restricted Removal of Restriction Censure Letter Censure Letter	12-Dec-17 12-Dec-17 12-Dec-17 12-Dec-17 4-Dec-17 30-Nov-17 29-Nov-17 28-Nov-17	with order dated December 1, 2007. Due to the carefulness of Neurosci by Assistance Institute Due to the Carefulness of Neurosci by Assistance Institute Due to SEC describe the CEC to suppose the Participant vide and the Carefulness of Neurosci between the Participant vide and the Carefulness of Neurosci between the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the CEC to suppose the Participant vide Due to SEC describe the Participant vide vide vide vide vide vide vide vide
345 346 347 348 349 350 351 352	Jahansalb Seruntise (PW.) Limited Shaded Shaff Securities (Phreate) Limited AM Chaudiny Securities (Phreate) Limited Fagines Securities (Imited AM Chaudiny Securities AM Chaudiny Securities	Supended Supended Supended Restricted Removal of Nestriction Common Letter Common Letter Notice of Restriction & Common Letter	12-Dec-17 12-Dec-17 12-Dec-17 4-Dec-17 30-Mov-17 29-Mov-17 28-Mov-17 28-Mov-17	vide order dated Becamber 1, 2007.  Due to the carefulness of leavening legislation of succession between Due to the Carefulness of leavening legislation of leavening legislation of leavening legislation of legislati
345 346 347 348 349 350 351	Jahansalb Securitise (Prv.) Limited Shaukut Shuffi Securitise (Private) Limited AM Chaudhry Securitise (Private) Limited Fest Pakistan Securitise (Imited AM Chaudhry Securitise Limited Toplins Securitise Limited Toplins Securitise Limited	Suspended Suspended Suspended Restricted Restricted Removal of Restriction Censure Letter Censure Letter	12-Dec-17 12-Dec-17 12-Dec-17 12-Dec-17 4-Dec-17 30-Nov-17 29-Nov-17 28-Nov-17	vide order dated December 1, 2007.  Due to the Carefordine of Securicity Self-1 is alluminate broken  Due to SEC describe the CEC is supposed the Participant vide  of the SEC describe the CEC is supposed the Participant vide  of the SEC describe the CEC is supposed the Participant vide  of the SEC describe the CEC is supposed the Participant vide  of the SEC describe the CEC is supposed the Participant vide  of the SEC described the Central LT 1, 2017.  Due to so final and the supposed the Participant vide  order dated Excended 1, 2017.  Due to no final and the supposition for great office thouse assuminate  but to mindred the supposition for great order forms assuminate  order dated Excended 1, 2017.  Note Compliance of CEC Repulsions  Note Compliance of CEC Repulsions  Note Compliance of CEC Repulsions  To Compliance of CEC Repulsions  Commission the resolution of SEC Repulsions.  The Compliance of CEC Repulsions  The CEC Repulsions of CEC Repulsions  The CEC Repulsion of CEC Repulsions  The
345 346 347 348 349 350 351 352	Jahansalb Seruntise (PW.) Limited Shaded Shaff Securities (Phreate) Limited AM Chaudiny Securities (Phreate) Limited Fagines Securities (Imited AM Chaudiny Securities AM Chaudiny Securities	Supended Supended Supended Restricted Removal of Nestriction Common Letter Common Letter Notice of Restriction & Common Letter	12-Dec-17 12-Dec-17 12-Dec-17 4-Dec-17 30-Mov-17 29-Mov-17 28-Mov-17 28-Mov-17	wite order dated December 1, 2017.  Due to the careful from 6 flowers by Self-1 as students broken  Date to MCF describe the CEC to separed the Participant vide  and the Self-2 describe the CEC to separed the Participant vide  and the Self-2 described the CEC to separed the Participant vide  and the Self-2 described the CEC to separed the Participant vide  and the Self-2 described the
345 346 347 348 349 350 351 352 353	silversize Secreties (Pr.) Limited  Shoulard Shift Securities (Private) Limited  AM Chaulthy Securities  AM Chaulthy Securities  Limited  AM Chaulthy Securities  Limited	Suppressed Suppressed Suppressed Restricted Removal of Restriction Consume states Consume states Notice of Restriction Removal of Restriction Removal of Restriction Removal Restriction Removal Restriction	12-0ec-17 12-0ec-17 12-0ec-17 4-0ec-17 4-0ec-17 38-Nov-17 28-Nov-17 28-Nov-17 28-Nov-17 28-Nov-17	with order dated December 1, 2007.  Due to the care control of Tecentre by the 3 selections broken  Due to the Care Control of Tecentre by the 3 selections broken  Due to SEC describe the CEC to suppose the Participant vide  and the Care Control of Tecentre by the CEC to suppose the Participant vide  and the Care Control of Tecentre by the CEC to suppose the Participant vide  Due to SEC describe the CEC to suppose the Participant vide  and the CEC to suppose the Participant vide  Due to Security the SEC to suppose the Participant vide  and the CEC to suppose the Participant vide  Due to Security the Security the CEC to suppose the Participant vide  control of the Security the CEC to suppose the Participant vide  control of the Security the Participant vide of the CEC to suppose the Participant  Consumption to the restricted or of trading terminals by PSC vide  and control of the CEC to suppose the Security the CEC to suppose the CEC to suppose the Security that the Security the Security the Security the Security the Security that the Security the Security the Security that the Security the Security that the Security the Security that the Security that the Security the Security that the Sec
345 346 347 348 349 350 351 352 353 354	scherozel Securities (Pri-s) Limited  Schedard SMR Securities (Private) Limited  AM Challer Securities  Challer Securities (Private) Limited  AM Securities (Private) Limited	Superioled Superioled Superioled Superioled Superioled Restricted Remove of Restriction Censors Letter Genove Letter Notice of Restriction Terminated Terminated	12 dec47 13 dec47 13 dec47 14 dec47 14 dec47 14 dec47 15 dec47 16 dec47 16 dec47 17 dec47 18 dec47	with order dated December 1, 2007. Due to the Carefullow of December 2, 3 selectricities bridger Due to the Carefullow of December 3, 3 selectricities bridger Due to SEC describe the CEC is suggested the Participant vide and the Carefullow of December 1, 1971. Due to SEC describe the CEC is suggested the Participant vide and the Carefullow of December 1, 1971. Due to SEC describe the CEC is suggested the Participant vide and of the Carefullow of December 1, 1971. Due to SEC describe the CEC is suggested the Participant vide and of the Carefullow of December 1, 2017. Due to SEC describe the SEC is suggested the Participant vide and of the Carefullow of December 1, 2017. Due to SEC describe the SEC is suggested from the SEC is suggested f
345 346 347 348 349 350 351 352 353	Jaharusa Securities (Pr.) Limited  Shadari Shaff Securities (Private) Limited  AM Chadrift's Securities (Private) Limited  AM Chadrift's Securities (Imited  AM Chadrift's Securities  Dis Capital Limited  Tumbil Securities (Private) Limited	Superidad Surpendad Surpendad Surpendad Restricted Restricted Removal of Restriction Censure Letter Censure Letter Notice of Restriction Removal Letter Notice of Restriction & Censure Removal Removal Removal Removal Removal	12-0e-17 12-0e-17 12-0e-17 13-0e-17 13-0e-17 4-0e-17 4-0e-17 13-0e-17	with order dated Science 11, 2027.  Due to the care actions of Science 11, 2027.  Due to the Care action of Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and the Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and the Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and the Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and the Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and the Science 11, 2027.  Due to SCF directive the DCC to support the Participant vide and the Science 11, 2027.  Consequent to the restoration of trading terminals by STA vide strong the Science 11, 2027.  Non-Compliance of CDC Regulations.  Non-Compliance of CDC Regulations.  Non-Compliance of CDC Regulations.  Participant to concept vide by Today Regular Continued Conference 11, 2027.  Participant to concept to the Today Regular Continued Conference 11, 2027.  Participant to concept to the Today Regular Continued Conference 11, 2027.  Participant to concept vide 11 to Today Regular Continued Conference 11, 2027.  Participant to concept vide 11 to Today Regular Continued Conference 11, 2027.  Participant to concept vide 11 to Today Regular Continued Conference 11, 2027.  Non-Compliance of CDC Regulations.  Non-Compliance of CDC Regulations.
345 346 347 348 349 350 351 352 353 354 355 354 355 356 357	silversals Secretise (Pr.4) Limited Disease Statis Securities (Private) Limited AM Chaulthy Securities AM Chaulthy Securities Apub Chaulthy Securities Chaulthy Securities Apub Chaulthy Securities Chault	Superioled Superioled Superioled Restricted Removal of Restriction Communitation Communitation Communitation Restriction & Communitation Restriction & Communitation Restriction & Communitation Terminated Terminated Restrict of Restriction Native of Restriction Native of Restriction & Communitation	12 dec-17 13 dec-17 13 dec-17 13 dec-17 14 dec-17 15 dec-17	with order dated December 1, 2017.  Due to the careful for all the careful for a standard broken  Due to the Careful for Secretary Secretary of a standard broken  Due to MCF directive the CEC to support the Participant vide  and the Careful for Secretary Secretary of the Careful for Secretary Se
345 346 347 348 349 350 351 352 353 354 354 355	scherozek Scrutter (Private) Limited Schade S Man Scrutter (Private) Limited AM Chadriffy Scrutter Limited AM Chadriffy Scrutter Limited AM Chadriffy Scrutter AM Chadriffy Scrutter AM Chadriffy Scrutter  Tenth Scrutter (Private) Limited  Mr. Chadriffy Scrutter Am Scrutter (Private) Limited  Mr. Scrutter (Private) Limited  Amd Chadriffy Investig Limited  And Chadriffy Investig Limited	Superioled Superioled Superioled Superioled Restricted Removal of Restriction Cessors latter Cessors latter Notice of Restriction Terminated Terminated Terminated Notice of Restriction & Cessors Notice of Restriction & Cessors Terminated	12.0ec17 12.0ec17 12.0ec17 12.0ec17 14.0ec17 14.0ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 23.8ec17 23.8ec17 23.8ec17 23.8ec17 23.8ec17	wite order dated Science 11, 2017.  Due to the Care Carelon of Nacrose by Science 12, 2017.  Due to the Carelon of Nacrose by Science 12, 2017.  Due to SCF direction the CCF to separed the Participant vide of the Carelon of Nacrose 12, 2017.  Due to SCF direction the CCF to separed the Participant vide of the Carelon of Nacrose 12, 2017.  Due to SCF direction the CCF to separed the Participant vide of the Carelon of Nacrose 12, 2017.  Due to self-direction the CCF to separed the Participant vide of the Carelon of Nacrose 12, 2017.  Due to self-direct the CCF to separed the Participant vide of the Carelon of Nacrose 12, 2017.  Due to self-direct the CCF to separed the Participant vide of the Nacrose 12, 2017.  Due to self-direct the CCF to separed the Participant vide of the Nacrose 12, 2017.  Non-Compliance of CCF Replations  And Compliance of CCF Replations  Non-Compliance of CCF Replations  Non-Compliance of CCF Replations to Compliance of CCF Replations  Non-Compliance of CCF Replations CCF Compliance of CCF Replations  Non-Compliance of CCF Replations CCF Language Limited vide notice on Participant Science CCF Act and National Science CCF Replations  Non-Compliance of CCF R
345 346 347 348 349 350 351 351 352 353 354 355 355 356 357 358	salversals Securities (Pri-1) Limited Should st Silver Securities (Private) Limited AM Chaudhry Securities Chaudhry Securi	Supproded Supproded Supproded Supproded Restricted Ammout of Restriction Censor latter Censor latter Nation of Restriction & Censor Revised Motion of Restriction Terminated  Terminated  Nation of Restriction & Censor	12.0ec17 12.0ec17 12.0ec17 12.0ec17 14.0ec17 15.0ec17 16.0ec17 17.0ec17 17.0ec17 17.0ec17 17.0ec17 17.0ec17 17.0ec17	with order dated December 1, 2017.  Due to the Care Carellant of Victories by EAT of a state Carella bridge of the Carellant of Victories by EAT of State Carellant of Victories by EAT of State Carellant of Victories by EAT of State Carellant of Victories to Victori
345 346 347 348 349 350 351 352 353 354 355 356 357 338 359 360 361	salvarsa Securities (Pri.) Limited Should Staff Securities (Private) Limited AM Charloty Securities AM Securities (Private) Limited Ample Charloty Securities AM Securities (Private) Limited Ample Charloty Securities AM Securities (Private) AM	Superioded Superioded Superioded Superioded Restrictede Removed of Restriction Censure latter Censure latter Nextco of Restriction Terminated Terminated Victor of Restriction & Censure Revised Notice of Restriction Terminated Notice of Restriction of Pownity Restriction & Censure Notice of Restriction of Pownity Restricted Censure latter	12 dec17 12 dec17 12 dec17 12 dec17 13 dec17 14 dec17 30 Nov17 28 Nov17 28 Nov17 28 Nov17 23 Nov17 23 Nov17 13 Nov17 13 Nov17 13 Nov17 14 Nov17 14 Nov17 11 Nov17	with order dated Sectionally 13, 2027.  Due to the care control of Security Section 12, 2027.  Due to the Care Control of Security Section 12, 2027.  Due to SEC directive the DEC Co Longward the Participant vide and Section 12, 12, 2027.  Due to SEC directive the CEC Co Longward the Participant vide and Section 12, 12, 2027.  Due to SEC directive the CEC Co Longward the Participant vide and section 12, 12, 2027.  Due to SEC directive the CEC Co Longward the Participant vide and section 12, 12, 2027.  Due to SEC directive the CEC Co Longward the Participant vide of the SEC Control of the SEC CONT
345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361	abertrails Securities (Price), Limited Should Staff Securities (Private) Limited AM Charlety's Securities On Capital Limited AM Charlety's Securities On Capital Limited AM Charlety's Securities (Private) Limited Charlet's Securities (Private) Limited AM Charlety's Securities (Private) Limited Charlet's Securities (Private) AT Securiti	Surpended Surpended Surpended Restricted Removed of Nationality Removed of Nationality Century Satter Century Satter Nation of Nationality Removed of Nationality Removed National Remove Revised National Remove Revised Nationality Terminaled Terminaled Nation of Nationality Nationality Nationality Removed of Nationality Century Nationality C	12 dec47 13 dec47 13 dec47 14 dec47 14 dec47 30 Nex 47 28 Nex 47 21 Nex 47 22 Nex 47 23 Nex 47 24 Nex 47 25 Nex 47 25 Nex 47 25 Nex 47 26 Nex 47 27 28 Nex 47 28 Nex 4	with order dated Science and 1, 2017.  Due to the Care Carelon of Nacrose by Serial Science in Order  Date to SEC describe the SEC SC is separed the Participant vide and the SEC describe the SEC SC is separed the Participant vide and secretary of the SEC described the Participant vide and secretary of the SEC secretary of the Participant vide and the SEC secretary of the SEC secretary of the Participant vide and the SEC secretary of the SEC secre
345 346 347 348 349 350 351 352 353 354 355 355 356 357 358 339 360 361 362 363 363	silventrals Securities (Pri-1) Limited  Should a Shall Securities (Private) Limited  AM Chaulthy Sepurities (Private) Limited  AM Chaulthy Securities  AM Chaulthy Securities  Tunds Securities (Private) Limited  AM Chaulthy Securities (Private) Limited  Private Securities (Private) Limited  Apple Chaulthy Inventorenti (Pri-1) Limited  Apple Chaulthy Inventorenti (Pri-1) Limited  Securities (Private) Limited	Suppressed  Suppressed  Suppressed  Restricted  Removal of Restriction  Census states  Census states  Notice of Restriction & Census  Revised Notice of Restriction  Terminated  Terminated  Notice of Restriction & Census  Notice of Restriction & Census  Notice of Restriction  Terminated  Terminated  Notice of Restriction  Census states  Census states	12 dec47 13 dec47 14 dec47 14 dec47 14 dec47 30 Nov47 28 Nov47 28 Nov47 28 Nov47 22 Nov47 22 Nov47 23 Nov47 13 Nov47 14 Nov47 14 Nov47 14 Nov47 14 Nov47 14 Nov47	with order dated Science and 1, 2017.  Due to the Care Carelon of Nacrose by Science and S
345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364	silversize Securities (Pri-1) Limited  Disselant Statin Securities (Private) Limited  AM Claudin's Securities  AM Claudin's Securities  Concepts Limited  AM Claudin's Securities  Concepts Limited  AM Claudin's Securities  Amend Securities (Private) Limited  Amend Securities (Private) Limited  Amend Claudin's Investments (Private) Limited  Concepts Limited  Concepts Limited  Securities (Private) Limited  Concepts Limite	Suspended Suspended Suspended Suspended Restricted Removal of Restriction Census satter Census satter Neces of Restriction Removal Revised Rotice of Restriction Terminated Terminated Terminated Notice of Restriction Removal Notice of Restriction Removal Removal Notice of Restriction Census satter Census satte	12-0e-17 13-0e-17	with order dated December 1, 2017.  Due to the care control of Security of Sec
345 346 347 348 349 350 351 352 353 354 355 357 358 357 358 360 360 360 361 362 363 363 364 365 365 365 365 365 365 365 365	Jaharda Scripties (Pri.) Limited  Shadard Shaff Scripties (Prinsip) Limited  AM Charlety's Investments (Prinsip) Limited  Amy Scripties (Prinsip) Limited  Amy Scripties (Prinsip) Limited  Amy Scripties Limited  Amy Scripties Limited  Amy Scripties Limited  Among Limited (Prinsip) Limited  Centrolis Scripties Limited  Among Limited (Prinsip) Limited	Superioded Superioded Superioded Superioded Restricted Removal of Restriction Cessor's latter Cessor's latter Nation of Restriction Terminated Terminated Terminated Nation of Restriction & Cessor's National Restriction & Cessor's Terminated Terminated Nation of Restriction & Cessor's National Restriction & Cessor's Terminated Te	12 dec47 12 dec47 13 dec47 14 dec47 14 dec47 30 Nov47 28 Nov47 28 Nov47 28 Nov47 22 Nov47 22 Nov47 23 Nov47 17 Nov47 17 Nov47 14 Nov47 14 Nov47 14 Nov47 15 Nov47 16 Nov47 18 Nov47 18 Nov47 18 Nov47 18 Nov47 18 Nov47	wide order dated forcember 13 2017.  Due to the careful conficient of Security Self-2 validation broken  Due to SEC directive the DEC CE to supposed the Participant vide  and the SEC directive the CEC to supposed the Participant vide  and the SEC directive the CEC to supposed the Participant vide  and the SEC directive the CEC to supposed the Participant vide  and the SEC directive that CEC to supposed the Participant vide  and the SEC directive that CEC to supposed the Participant vide  and the SEC directive that CEC to supposed the Participant vide  Due to SEC directive that CEC to supposed the Participant vide  Due to SEC directive that CEC to suppose the Participant vide  Due to SEC directive that CEC to suppose the Participant vide  Due to SEC directive that CEC to suppose the Participant vide  Due to SEC directive that CEC to suppose the Participant vide  Non-Compilared of CEC directive that December vide vide vide vide vide vide vide vide
345 346 347 348 349 350 351 352 353 354 355 356 357 358 360 361 362 363 364 365	salvariab Securities (Pri-1) Limited Should Staff Securities (Private) Limited AM Chaudhry Securities AM Securities (Private) Limited AM Chaudhry Investments (Private) Limited Ample Chaudhry Investments (Private) Limited Ample Chaudhry Investments (Private) Limited Ample Securities (Private) Limited AM Chaudhry Investment (Private) Limited AM Securities (Private) Limited AM Chaudhry Limited AM Chaudhry Limited AM Chaudhry Limited AM Chaudhry Limited	Superioded Superioded Superioded Superioded Restricted Removal of Restriction Cessors latter Cessors latter Notice of Restriction Terminated Terminated Terminated Terminated Notice of Restriction & Censure Cessors latter Notice of Restriction & Cessors Notice of Restriction & Cessors Notice of Restriction & Cessors	12 dec17 12 dec17 13 dec17 13 dec17 14 dec17 14 dec17 28 Nov17 28 Nov17 28 Nov17 22 Nov17 22 Nov17 23 Nov17 17 Nov17 17 Nov17 14 Nov17 14 Nov17 14 Nov17 15 Nov17 18 Nov17 18 Nov17 18 Nov17 18 Nov17 18 Nov17	with order dated December 13, 2017.  Due to the Control of Technique Service of Service Servic
345 346 347 348 349 350 351 352 353 354 355 356 357 366 367 366 367 366	salversals Securities (Private) United Should Staff Securities (Private) United AM Chaudhry Securities Chaudhry Investments; Private) Amach Chaudhry Investments; Private Amach Chaudhry Investments; Private Chaudhry Investments; Private Amach Chaudhry Investments; Private Chaudhry Investments; Private Chaudhry Investments; Private Amach Chaudhry Investment Chaudhry Investment Chaudhry Securities (Private) United Amach Chaudhry Investment Chaudhry Securities (Private) Amache Chaudhry Investment Chaudhry Securities (Private) Amache Chaudhry Investment Chaudhry Securities (Private) Amache Chaudhry Investment Amache Chaudhr	Suppressed Suppressed Suppressed Restricted Removed of Restricted Removed of Restriction Centure Latter Centure Latter Native of Restriction & Centure Revised Rocks of Restriction Terminated  Terminated  Native of Restriction & Centure Native of Restriction Restriction & Centure Native of Restriction & Centure Native of Restriction & Centure Native of Restriction & Centure Centure Latter Native of Restriction & Centure Native of Restriction & Centure Restricted Restricted Restricted Restricted	12.0ec17 12.0ec17 12.0ec17 12.0ec17 13.0ec17 14.0ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 12.8ec17 12.8ec17 12.8ec17 12.8ec17 13.8ec17 14.8ec17 14.8ec17 14.8ec17 14.8ec17 14.8ec17 14.8ec17 15.8ec17 16.8ec17 16.8ec17 16.8ec17 16.8ec17 16.8ec17	with order dated Security 12, 2027.  Due to the careful control of Security Security 2 and control broken  Due to SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the Participant vide and the SEC directive the SEC for longered the SEC directive the SEC directive the SEC for longered the SEC directive the SEC direc
345 346 347 348 349 350 351 352 353 354 355 356 357 357 358 359 360 361 362 363 363 363 363 364 365 366 367 367 368	Salversale Securities (Private) Limited Debacks Statis Securities (Private) Limited AM Chaulthy Securities AM Chaulthy Securities AM Chaulthy Securities AM Chaulthy Securities Des Ceptal Limited AM Chaulthy Securities AM Securities (Private) Limited Amount Securities	Supported Supported Supported Supported Restricted Removal of Restriction Communication Communication Restricted Removal of Restriction Communication Restriction & Communication Restriction & Communication Terminated Terminated Restricted Restricted Restricted Restricted Communication Restricted Communication Restricted Communication Restricted Communication Restricted Communication Restricted	12 dec-17 13 dec-17 13 dec-17 13 dec-17 14 dec-17 15 dec-17 16 dec-17 16 dec-17	with order dated Science 1942 - 2 secretaries of the Computer Science 1942 of the Computer 1942 of the Com
345 346 347 348 349 350 351 352 353 354 355 356 357 366 367 366 367 366	silversize Securities (Private) Limited  And Chaudrish Securities  And Chaudrish Securities  Chaudrish Securities  And Chaudrish  And Chaudri	Suppressed Suppressed Suppressed Restricted Removed of Restricted Removed of Restriction Centure Latter Centure Latter Native of Restriction & Centure Revised Rocks of Restriction Terminated  Terminated  Native of Restriction & Centure Native of Restriction Restriction & Centure Native of Restriction & Centure Native of Restriction & Centure Native of Restriction & Centure Centure Latter Native of Restriction & Centure Native of Restriction & Centure Restricted Restricted Restricted Restricted	12.0ec17 12.0ec17 12.0ec17 12.0ec17 13.0ec17 14.0ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 28.8ec17 12.8ec17 12.8ec17 12.8ec17 12.8ec17 13.8ec17 14.8ec17 14.8ec17 14.8ec17 14.8ec17 14.8ec17 14.8ec17 15.8ec17 16.8ec17 16.8ec17 16.8ec17 16.8ec17 16.8ec17	with order dated December 13, 2017.  Due to the care administration of Security Section Section 19 (19 cm).  Due to MCF describe the DCC to support the Participant vide and the Commission of Section 19 (19 cm).  Due to MCF describe the DCC to support the Participant vide and the Commission of Section 19 (19 cm).  Due to MCF describe the DCC to support the Participant vide and the Commission of Section 19 (19 cm).  Due to MCF describe the DCC to support the Participant vide and the DCC to support to the
345 346 347 348 348 349 350 351 352 353 354 355 356 357 357 358 359 361 362 363 364 365 366 367 368 369 370	silventrals Securities (Pri-1, Limited Shouland Shall Silventries (Private) Limited AM Chaulthy Sepurities (Private) Limited AM Chaulthy Securities AM Chaulthy Securities On Capital Limited AM Chaulthy Inventoments (Pri-1, Limited April Chaulthy American (April Limited April Capital Limited April C	Suspended Suspended Suspended Restricted Removal of Restriction Consus Letter Consus Letter Notice of Restriction Terminated Restricted Restriction Remove Resided Rosice of Restriction Terminated Terminated Notice of Restriction Resided Rosice of Restriction Terminated Consus Letter Notice of Restriction Resided Rosice of Restriction Notice of Restriction Resided Rosice of Restriction Notice of Restriction Consus Letter Resided Restriction & Consuse Restricted Restriction Restriction Restricted Restricted Restricted	12 dec-17  13 dec-17  13 dec-17  14 dec-17  15 dec-17  35 Nov-17  35 Nov-17  25 Nov-17  25 Nov-17  25 Nov-17  25 Nov-17  27 Nov-17  27 Nov-17  27 Nov-17  28 Nov-17  28 Nov-17  29 Nov-17  20 Nov-17  21 Nov-17  21 Nov-17  22 Nov-17  23 Nov-17  24 Nov-17  25 Nov-17  26 Nov-17  27 Nov-17  28 Nov-17  28 Nov-17  38 Nov-17  48 Nov-17	with order dated December 1, 2017.  Due to the care control for the Control of th
345 346 347 348 349 350 351 352 353 354 355 356 357 368 369 360 360 360 370 371 372 373	silverizab Sciorities (Pri.) Limited  Should to Silverise (Private) Limited  AM Chaulthy Securities  Limited  Am Chaulthy Investments  Am Securities  Limited  Chaulthy Investments (Private) Limited  Chaulthy Investments (Private) Limited  Chaulthy Investments (Private)  Limited  Chaulthy Investments (Private)  Limited  Chaulthy Securities  Am Securities  Limited  Chaulthy Securities  Am Securi	Suspended Suspended Suspended Suspended Restricted Removal of Restriction Census setter Census setter Neces of Restriction Removal Revised Robics of Restriction Removal Revised Robics of Restriction Removal Revised Robics of Restriction Terminated  Terminated  Netics of Reportion of Pending Netics of Reportion of Pending Restriction Removal Revised Franciscon Removal Restricted Census setter Revised of Restriction Restriction Restricted Restricted Restricted Restricted Restricted Restricted Restricted	12 dec47 13 dec47 13 dec47 14 dec47 15 dec47 14 dec47 30 htsv17 28 htsv17 28 htsv17 28 htsv17 28 htsv17 22 htsv17 23 htsv17 21 htsv17 13 htsv17 13 htsv17 14 htsv17 14 htsv17 14 htsv17 14 htsv17 15 htsv17 16 htsv17 16 htsv17 17 htsv17 18 htsv18 18	with order dated December 1, 2017.  Due to the care control of December 1, 2017.  Due to the Control of December 1, 2017.  Due to SEC describe the CEC to separed the Participant vide and the Control of December 1, 2017.  Due to SEC describe the CEC to separed the Participant vide and the Control of December 1, 2017.  Due to SEC describe the CEC to separed the Participant vide to the CEC to separed the Participant vide and the CEC to separed the Participant vide to the CEC to separed the Participant vide control of the CEC to separed the Participant vide control of the CEC to separed the Participant vide control of trading terminals by PST vide under dated the Participant vide CEC Separed vide vide vide vide vide vide vide vi
345 346 347 348 349 350 351 352 353 354 355 356 357 358 360 367 368 367 367 368 369 370 372 372	Salvatara Securities (Pri-1 Limited Danies of Salvatar Securities (Private) Limited AM Chaudhry Securities Discognities (Private) Limited AM Chaudhry Securities AM Securities (Private) Limited Ampail Chaudhry Interdiments (Private) Limited Genomi Securities (Private) Limited AM Securities (Private) Limited	Suppressed  Suppressed  Suppressed  Suppressed  Restricted  Removal of Restriction  Centural latter  Centural latter  Nation of Restriction  Terminated  Terminated  Terminated  Notice of Restriction & Centural  Centural latter  Notice of Restriction & Centural  Restricted  Restricted Separate Separ	12.0cc17 12.0cc17 12.0cc17 12.0cc17 12.0cc17 13.0cc17 14.0cc17 12.88xxx17 12.88xxx17 12.88xxx17 12.88xxx17 12.88xxx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 13.8xx17 14.8xx17 14.8xx17 14.8xx17 14.8xx17 14.8xx17 15.8xx17 16.8xx17 16.8xx17 17 18.0cc17	with order dated forcember 13 2007.  Due to the careful conficient of Security Self-2 value force to relate a force of the self-2 value force to relate a force of the self-2 value force to self-2 value force to self-2 value force of the self-2 value fo
345 346 347 348 349 350 351 352 353 354 355 355 356 357 358 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374	advantab Sciortiste (Pri-1, Limited Should Staff Sis Sciortise (Private) Limited AM Chaudhry Sciortise Chaudhry Sciortise Chaudhry Sciortise Chaudhry Sciortise Am Chaudhry Sciortise Am Chaudhry Investments (Private) Limited Am Chaudhry Investments (Private) Limited Am Chaudhry Investments (Private) Am Chaudhry Investments Am Chaudhry Investments Am Chaudhry Investments Am Chaudhry Investment Am Chaudhry	Suppressed Suppress Suppressed Suppressed Suppressed Suppressed Suppressed	12 dec-17 12 dec-17 13 dec-17 13 dec-17 14 dec-17 15 dec	with order dated December 1, 2017.  Due to the care activation of Security Section Section Section 1, 2017.  Due to the Care Section of Security Section 1, 2017.  Due to MCO Section the CEC to separate the Participant vide and Section 1, 2017.  Due to MCO Section Section 1, 2017.  Due to MCO Section Section 1, 2017.  Due to MCO Secti
345 346 347 348 348 349 350 351 352 353 354 355 356 357 366 377 368 369 370 371 372 373	silversize Securities (Pricing) Showard Statis Securities (Private) between  AM Chaulthy Securities  Out Capital United   AM Chaulthy Securities (Private) between   AM Chaulthy Securities   Out Capital United   AM Chaulthy Securities (Private) between   Apaid Chaulthy Securities  (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   Apaid Chaulthy Securities (Private) between   APAID Capital Limited   APAID Securities (Private) Limited   Apaid Capital Securiti	Suspended Suspended Suspended Restricted Removal of Restriction Consultation Consultation Restricted Removal of Restriction Consultation Restricted	12 dec47 13 dec47 13 dec47 14 dec47 15 dec47 15 dec47 16 dec47 16 dec47 16 dec47 17 dec47 18 dec47 19	with order dated December 1, 2017.  Due to the care control of Security of Sec
345 346 347 348 349 350 351 352 353 354 355 356 357 358 360 367 368 369 370 371 372 378	silversiza Sciorites (Pri.) Limited  Shoulard Shift Sciorites (Private) Limited  AM Chaulthy Sciorites  AM Chaulthy Sciorites  Amend Sciorites (Private) Limited  Amend Sciorites (Private) Limited  Amend Chaulthy Investments (Private) Limited  Chaulthy Investments (Private) Limited  Chaulthy Sciorites (Private) Limited  Amend Chaulthy Limited  Amend Chaulthy Limited  Amend Chaulthy Limited  Millennium Sciorites & Switzens (Private)  Limited  Millennium Sciorites & Switzens (Private)  Millennium Sciorites (Private) Limited  Amendus Capital Limited	Suspended Suspended Suspended Restricted Removal of Restriction Census states Census states Notice of Restriction Removal of Restriction Census states Notice of Restriction & Census Revised Notice of Restriction Terminated  Terminated  Notice of Restriction & Censuse Notice of Restriction Notice of Restriction & Censuse Census states Cens	12 dec47 13 dec47 13 dec47 14 dec47 14 dec47 30 Nov47 28 Nov47 28 Nov47 28 Nov47 28 Nov47 22 Nov47 22 Nov47 22 Nov47 14 Nov47 15 Nov47 16 Nov47 17 Nov47 18 Nov47 18 Nov47 18 Nov47 18 Nov47 19 Nov47 11	with order dated December 1, 2017.  Due to the care control of Security Security 2 - 1 securities broken  Date to MCM describe the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide of the SEC describes the SEC descr
345 346 347 348 349 350 351 352 353 354 355 356 357 358 360 367 368 367 367 368 369 370 370 371 372 373 374 375 376 377	silventrals Securities (Private) Limited  Should a Shark Securities (Private) Limited  All Chaudhry Securities  All Chaudhry Securities  On Capital Limited  All Chaudhry Securities  On Capital Limited  All Chaudhry Securities  On Capital Limited  All Chaudhry Securities  Private) Limited  All Chaudhry Securities  Apital Chaudhry Sec	Suspended Suspended Suspended Restricted Removal of Restriction Consultation Consultation Restricted Removal of Restriction Consultation Restricted	12 dec47 13 dec47 13 dec47 14 dec47 15 dec47 15 dec47 16 dec47 16 dec47 16 dec47 17 dec47 18 dec47 19	wide order dated forcember 13 2007.  Due to the careful confidence of the confidence
345 346 347 348 349 350 351 352 353 354 355 356 357 366 367 368 369 370 371 372 377	silversiza Sciorites (Pri.) Limited  Shoulard Shift Sciorites (Private) Limited  AM Chaulthy Sciorites  AM Chaulthy Sciorites  Amend Sciorites (Private) Limited  Amend Sciorites (Private) Limited  Amend Chaulthy Investments (Private) Limited  Chaulthy Investments (Private) Limited  Chaulthy Sciorites (Private) Limited  Amend Chaulthy Limited  Amend Chaulthy Limited  Amend Chaulthy Limited  Millennium Sciorites & Switzens (Private)  Limited  Millennium Sciorites & Switzens (Private)  Millennium Sciorites (Private) Limited  Amendus Capital Limited	Suspended Suspended Suspended Suspended Restricted Removal of Restriction Centure latter Centure latter Nation of Restriction Terminated Terminated Terminated  Nation of Restriction & Centure Revised Motion of Restriction Terminated  Terminated  Nation of Restriction & Centure Centure latter Restriction & Centure Nation of Restriction & Centure Restriction of Restriction Restricted Terminated Terminated Terminated Terminated Terminated	12.0cc17 12.0cc17 12.0cc17 12.0cc17 12.0cc17 13.0cc17 14.0cc17 12.88xxx17 12.88xxx17 12.88xxx17 12.88xxx17 12.88xxx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 12.88xx17 13.8xx17 13.8	with order dated December 1, 2017.  Due to the care control of Security Security 2 - 1 securities broken  Date to MCM describe the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide  and the SEC describes the CEC to suppose the Participant vide of the SEC describes the SEC descr

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
383	Value Stock and Commodities (Private) Limited	Notice of Restriction & Penalty	16-Oct-17	Non-Compliance of CDC Regulations
384	Switch Securities (Private) Limited	Suspended	13-0ct-17	Consequent to the suspension of liscence by Securities and Exchange Commission of Pakistan vide order dated October 11, 2017
385	Shaffi Securities (Pvt) Limited	Censure Letter	4-Oct-17	Non-Compliance of CDC Regulations
386	Security Investment Bank Limited	Terminated	29-Sep-17	Voluntary Termintaion
387	Kausar Securities (Private) Limited	Terminated	27-Sep-17	Voluntary Termintaion
388	Abbasi Securities (Pvt.) Limited	Censure & Restriction Letter	27-Sep-17	Non-Compliance of CDC Regulations
389	Askari Securities Limited	Censure & Restriction Letter	25-Sep-17	Non-Compliance of CDC Regulations
390	First Zeiva (Private) Limited	Removal of Restriction	22-Sep-17	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-5512 dated September 22, 2017
391	First Zeiva (Private) Limited	Restricted	21-Sep-17	Consequent to switching-off of trading terminal of Particpant by PSX vide notice no. PSX/N-5462 dated September 20, 2017
392	AM Chaudhry Securities (Private) Limited	Restricted	21-Sep-17	Consequent to switching-off of trading terminal of Particpant by PSX vide notice no. PSX/N-5462 dated September 20, 2017
393	Global Securities Pakistan Limited	Terminated	21-Sep-17	Voluntary Termintaion
394	Progressive Investment Management (Pvt.) Ltd	Notice of imposition of Penalty	21-Sep-17	Non-Compliance of CDC Regulations
395	Invest Capital Markets Limited	Restricted	18-Sep-17	Consequent to imposing the restriction by NCCPL vide notice no. NCCPL/CRO/237/17 dated September 15, 2017
396	PACE Investment & Securities (Private) Limited	Restricted	7-Sep-17	Consequent to suspension of the trading terminals by PSX vide notice no. PSX/N-5248 dated September 07, 2017
397	Live Securities Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Particleant vide order dated Auzust 21, 2017.
398	Qasim Mahmood Securities (SMC-Pvt) Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 21, 2017.
399	SMB Securities (Private) Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 21, 2017.
400	AKD Analytics (Pvt) Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 21, 2017.
401	Stable Securities (Pvt) Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 21, 2017.
402	Quality Islamic Investments & Securities (Pvt) Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 21, 2017.
403	Mian Pervaz Aslam Securities (Pvt) Limited	Terminated	24-Aug-17	Due to SECP directive the CDC to suspend / terminate the Particleant vide order dated Auzust 21, 2017.
404	Riaz Ahmed Securities (Pvt) Ltd.	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
405	Safe Securities (Private) Limited	Restriction Letter	21-Aug-17	Non-Compliance of CDC Regulations
406	Adeel Zafar Securities (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
407	IAK Securities (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
408	Shajarpak Securities (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
409	AAA Securities (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
410	K & I Global Capital (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
411	Mannoo Capital (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
412	Haji Abdul Sattar Securities (Pvt.) Limited	Restriction Letter	11-Aug-17	Non-Compliance of CDC Regulations
413	Bridge Securities (Pvt.) Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
414	Amanah Investments Limited	Censure Letter	11-Aug-17	Non-Compliance of CDC Regulations
415	Habib Ullah Sheikh (Pvt.) Limited	Restriction Letter	11-Aug-17	Non-Compliance of CDC Regulations
416	Intermarket Securities Limited	Notice of Restriction & Penalty	11-Aug-17	Non-Compliance of CDC Regulations
417	Gul Dhami Securities (Pvt.) Limited	Restriction Letter	11-Aug-17	Non-Compliance of CDC Regulations
418	Time Securities (Pvt.) Limited	Notice of Restriction & Penalty	11-Aug-17	Non-Compliance of CDC Regulations
419	128 Securities (Pvt.) Limited	Removal of Restriction	8-Aug-17	Consequent to restoration trading terminals of Participant by PSX vide notice no. PSX/N-4699 dated August 08, 2017
420	Moneyline Securities (Pyt.) Limited	Restriction Letter	8-Aug-17	Non-Compliance of CDC Regulations

S. No.	Name of Participant	Reason		
421	BABA Equities (Pvt) Ltd.	Disciplinary Actions Restriction Letter	Notice Date 8-Aug-17	Non-Compliance of CDC Regulations
422 423	UNEX Securities (Pvt) Limited Strongman Securities (Pvt.) Limited	Censure Letter Censure Letter	4-Aug-17 4-Aug-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
424	128 Securities (Pvt.) Limited	Restricted	3-Aug-17	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-4631 dated August 03, 2017
425	NIB Bank Limited	Terminated	1-Aug-17	Voluntary Termination
				consequent to restoration of trading terminals by PSX with effect from July 24, 2017 as notified by notice no. PSX/N-4413 dated
426	AMCAP Securities (Private) Limited	Modification in Restrication	24-Jul-17	July 21, 2017 However, PSX has not lifted restriction on opening of new Client Accounts.
427	S. Z. Securities (Pvt.) Limited	Terminated	18-Jul-17	Consequent to the forfeiture of Trading Right Entitlement
428	Jamshaid & Hasan Securities (Pvt) Ltd	Notice of imposition of Penalty	22-Jun-17	Certificate of the Participant by PSX/N-4346 dated July 18. 2017 Non-Compliance of CDC Regulations
429	Zafar Securities (Private) Limited	Notice of imposition of Penalty	22-Jun-17	Non-Compliance of CDC Regulations
430	Azee Securities (Private) Limited	Suspended	22-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/199/17 dated June 22, 2017
431	Azee Securities (Private) Limited	Removal of Suspension	22-Jun-17	Consequent to removal of suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/200/17 dated
				June 22, 2017  Due to suspension by National Clearing Company of Pakistan vide
432	Aziz Dawood Securities (Private) Limited	Suspended	16-Jun-17	notice no. NCCPL/CRO/197/17 dated June 16, 2017
433	Tariq Sayeed Securities (Private) Limited	Suspended	16-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRD/195/17 dated June 16, 2017
434	AB Equities (Private) Limited	Suspended	16-Jun-17	Due to suspension by National Clearing Company of Pakistan vide
435	Reliance Securities Limited	Censure Letter	13-Jun-17	notice no. NCCPL/CRO/196/17 dated June 16, 2017  Non-Compliance of CDC Regulations
436	Habib Metropolitan Financial Services Limited	Censure Letter	13-Jun-17	Non-Compliance of CDC Regulations
437	Invest and Finance Securities Limited	Notice of Restriction & Penalty	13-Jun-17	Non-Compliance of CDC Regulations
438	Dawood Equities Limited	Suspended	5-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no.NCCPL/CRD/187/17 dated June 05, 2017
439			5-Jun-17	Consequent to removal of suspension by National Clearing
	Dawood Equities Limited	Removal of Suspension		Company of Pakistan vide notice no. NCCPL/CRO/188/17 dated June 05. 2017
440	Oriental Securities (Pvt.) Limited	Censure Letter	5-Jun-17	Non-Compliance of CDC Regulations  Consequent to imposing restriction on Participant by PSX vide
441	Value Stock and Commodities (Private) Limited	Restriction on opening of New Sub-Recounts	1-Jun-17	notice no.notice no. PSX/N-3566 dated May 31, 2017 for opening of new sub-accounts Due to non-compliances of 6.8.2. of CDC Regulations after
442	Escorts Capital Limited	Suspended	31-May-17	completing 60 Business Davs of Restrictions.
443	Allied Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017 Pursuant to directive issued by SECP vide order dated May 26,
444	S. A Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017  Pursuant to directive issued by SECP vide order dated May 26,
445	Interactive Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017  Pursuant to directive issued by SECP vide order dated May 26,
446	Chippa Securities (Private) Limited	Suspended	29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26,  Pursuant to directive issued by SECP vide order dated May 26,
447	JCR Capital Securities (Private) Limited  Luxor Securities (SMC-Pvt.) Limited	Suspended Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
448	Luxor Securities (SMC-Pvt.) Limited  MAN Securities (Pvt.) Limited	Suspended Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
450	Omni Capital Management (Private) Limited	Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
451	Jawed Zakaria Gulabi Securities (Pvt.) Limited	Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
452	MAC Securities (Private) Limited	Suspended	29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26,
	Muhammad Anaf Kapadia Securities (SMC-Pvt.)	-		2017  Pursuant to directive issued by SECP vide order dated May 26,
453	Limited	Suspended	29-May-17	2017
454	Motiwala Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
455	MY Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
456	AAU Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017 Pursuant to directive issued by SECP vide order dated May 26,
457	Abid Ali Habib Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017  Pursuant to directive issued by SECP vide order dated May 26,
458	Ahsam Securities (Pvt.) Limited	Suspended	29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26,
459	Ashfaq Ashraf Securities (Pvt.) Limited	Suspended	29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26.
460	BMS Capital (Pvt.) Limited	Suspended	29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26,
461 462	Bright Investment & Securities (Pvt.) Limited	Suspended Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
462	Fine Securities (SMC-Private) Limited	Suspended	29-May-17 29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26,
464	Fort Securities (Pvt.) Limited  Fortress Financial Services (Pvt.) Limited	Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
465	H.H.K. Securities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
465	Khayyam Securities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
467	Prime Capital Management (Pvt.) Limited	Suspended	29-May-17	2017  Pursuant to directive issued by SECP vide order dated May 26,
468	R.F.J. Equity (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
469	S. M. Securities (Private) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
470	Schon Capital Markets Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
471	Sher Capital Equities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26,
472	SMAC Investments (SMC-Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26, 2017
473	South Asian Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
474	Union Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
475	Venus Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
476	ZM Capital (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
477	MAS Capital Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
478	R. A. Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
479	Fine Securities (SMC-Private) Limited	Restricted	26-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no.notice no. PSX/N-3463 dated May 25. 2017
480	Luxor Securities (SMC-Pvt.) Limited	Restricted	26-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no.notice no.PSX/N-3463 dated May 25, 2017
481	Mian Pervez Aslam Securities (Private) Limited	Restricted	23-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no.PSX/N-3401 dated May 23, 2017
482 483	Trust Securities & Brokerage Limited Switch Securities (Private) Limited	Restriction Letter Restriction Letter	18-May-17 18-May-17	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
484	SETHI SECURITIES (SMC-PVT) LTD	Restriction Letter Censure Letter	18-May-17 18-May-17	Non-Compliance of CDC Regulations
485 486	ASA STOCKS (PVT.) LIMITED  M. M. SECURITIES (PVT.) LIMITED	Censure Letter Censure Letter	18-May-17 18-May-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
487	Live Securities Limited	Restricted	12-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-3196 dated May 12, 2017
488	MSD Capital Equities (Private) Limited	Removal of Restriction	10-May-17	Consequent to restoration trading terminals of Participant by PSX vide notice no. PSX/N-3075 dated May 10, 2017
489	MAM Securities (Private) Limited	Terminated	10-May-17	Consequent to the forfeiture of Trading Right Entitlement
				Certificate of the Participant by PSX/N-3074 dated May 10, 2017  Consequent to restoration trading terminals of Participant by PSX
490	Tariq Sayeed Securities (Private) Limited	Removal of Restriction	8-May-17	vide notice no. PSX/N-2987 dated May 08, 2017
491 492	SHAFFI SECURITIES (PVT) LIMITED	Restriction Letter Restriction Letter	5-May-17 5-May-17	Non-Compliance of CDC Regulations
492	Techno Fundamental Securities (Pvt.) Limited  NAYYAR SHEIKH SECURITIES (PVT) LTD.	Restriction Letter Restriction Letter	5-May-17 5-May-17	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
494	MAAN SECURITIES (PRIVATE) LIMITED	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
495 496	Pearl Brokerage (Pvt.) Limited INTEGRATED EQUITIES (PRIVATE) LIMITED	Restriction Letter Censure Letter	5-May-17 5-May-17	Non-Compliance of CDC Regulations  Non-Compliance of CDC Regulations
497	Gulrez Securities (Pvt.) Limited	Notice of Restriction	28-Apr-17	Non-Compliance of CDC Regulations
498 499	Fairway Securities (Pvt.) Limited Networth Securities Limited	Notice of Restriction Notice of Restriction	28-Apr-17 28-Apr-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
	Khawaja Securities (Pvt.) Limited	Notice of Restriction Notice of Restriction	28-Apr-17 28-Apr-17	Non-Compliance of CDC Regulations
500		INJURIE DI RESCRICTION	26-мрт-17	Non-Compliance of CDC Regulations
500 501 502	ABM Securities (Pvt.) Limited  MSD Capital Equities (Private) Limited	Restricted	27-Apr-17	Consequent to suspension of all trading terminal of Participant
501 502	MSD Capital Equities (Private) Limited			by PSX vide notice no. PSX/N-2636 dated April 26, 2017 Consequent to restoration of sale side transactions for only Sub-
501 502 503	MSD Capital Equities (Private) Limited  AMCAP Securities (Private) Limited	Modification in Restrication	25-Apr-17	by PSX vide notice no. PSX/N-2636 dated April 26, 2017  Consequent to restoration of sale side transactions for only Sub- Accounts of the Participant by PSX vide notice no. PSX/N-2499 dated April 24. 2017
501 502	MSD Capital Equities (Private) Limited			by PSX vide notice no. PSX/N-2636 dated April 26, 2017  Consequent to restoration of sale side transactions for only Sub- Accounts of the Participant by PSX vide notice no. PSX/N-2499

		Under Regulation 14.6.1 of th	ie CDC Regulations	
S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
507	M. J. Memon Securities (Pvt.) Limited	Notice of Restriction	30-Mar-17	Non-Compliance of CDC Regulations
508	SPECTRUM SECURITIES (PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
509 510	TARIQ VOHRA SECURITIES (PVT) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
510	GLOBAL SECURITIES PAKISTAN LIMITED  H.M. IDREES H. ADAM (SMC-PVT.) LIMITED	Notice of Restriction  Censure Notice	30-Mar-17 30-Mar-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
512	Motivala Securities (Private) Limited	Notice of Restriction & Penalty	30-Mar-17	Non-Compliance of CDC Regulations
513	H.H.K. Securities (Pvt.) Limited	Notice of Restriction & Penalty	30-Mar-17	Non-Compliance of CDC Regulations
514	MUHAMMAD HUSSAIN ISMAIL SECURITIES (PVT)	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
	LTD.		30-Mat-17	Non-Compliance of CDC Regulations
515	ACM GLOBAL (PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
516	JCR Capital Securities (Private) Limited	Notice in respect of Participant	29-Mar-17	Participant has complied with the Regulation 6.8.2  Consequent to suspension of all trading terminal of Participant
517	Invest Forum (SMC-Private) Limited	Restricted	17-Mar-17	by PSX vide notice no. PSX/N-1742 dated March 16, 2017
518	HK Securities (Private) Limited	Restricted	17-Mar-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-1743 dated March 16, 2017
519	Bhayani Securities (Pvt) Ltd.	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
520	First Equity Modaraba	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
521	MUHAMMAD ASHFAQ HUSSAIN SECURITIES (PVT)	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
522	Surmawala Securities (Private) Limited	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
522	SEVEN STAR SECURITIES (PVT.) LTD.	Notice of Restriction	14-Mar-17	Non-Compliance of CDC regulations  Non-Compliance of CDC Regulations
524	IQBAL USMAN KODVAVI SECURITIES (PVT) LTD	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
525	MULTILINE SECURITIES (PVT.) LIMITED	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
526	Dattoo Securities (Pvt.) Limited	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations
527	SECURITY INVESTMENT BANK LIMITED	Notice of Restriction	14-Mar-17	Non-Compliance of CDC Regulations  Due to violation of Section 24 of the Central Depositories Act,
528	S. Z. Securities (Pvt.) Limited	suspended	14-Mar-17	1997
529	KSR Stock Brokerage (Pvt.) Ltd	Notice of Imposition of Penalty	13-Mar-17	Non-Compliance of CDC Regulations
530	Progressive Securities (Private) Limited	Notice of Imposition of Penalty	13-Mar-17	Non-Compliance of CDC Regulations  Consequent to suspension of TRE Certificate of Participant by
531	MAM Securities (Private) Limited	Further Suspended	13-Mar-17	PSX vide notice no. PSX/N-1637 dated March 10, 2017.
532	Total Consideration (No. 1997)	Part 1	714	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-1531 dated March 06, 2017 on the
532	Tariq Sayeed Securities (Private) Limited	Restricted	7-Mar-17	PSX vide notice no. PSX/N-1531 dated March 06, 2017 on the Participant request.
533	ESCORTS CAPITAL LIMITED	Restricted	6-Mar-17	Participant has not complied with Regulation 6.8.1 of the CDC
		Matricae		Regulations, Due to non-compliances of 68.2 of CDC Regulations after
534	Faith Securities (Private) Limited	suspended	6-Mar-17	completing 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
535	Stock Vision(Pvt.) Limited	suspended	6-Mar-17	completing 60 day of restrictions
536	Kayzone Capital Markets (SMC-Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2. of CDC Regulations after
537	A.H.K.D. Securities (Private) Limited	suspended	6-Mar-17	completing 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
				completine 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
538	Akd Analytics (Private) Limited	suspended	6-Mar-17	completing 60 day of restrictions.
539	SNM Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2. of CDC Regulations after completing 60 day of restrictions
540	SMB Securities (Private) Limited	suspended	6-Mar-17	completing 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
541	Stable Securities (Pvt) Limited	suspended	6-Mar-17	completing 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
				completing 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
542	Qasim Mahmood Securities (Smc-Pvt) Limited	suspended	6-Mar-17	completing 60 day of restrictions
543	JCR Capital Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2. of CDC Regulations after completing 60 day of restrictions.
544	Reliance Capital (Pvt) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
545	Fincap Investments (Private) Limited	suspended	6-Mar-17	completing 60 day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
				completing 60 day of restrictions.  Due to non-compliances of 6.8.2. of CDC Regulations after
546	Allied Securities (Private) Limited	suspended	6-Mar-17	completing 60 Business day of restrictions.  Due to non-compliances of 68.2. of CDC Regulations after
547	Ab Equities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2. of CDC Regulations after completing 60 day of restrictions.
548	Universal Equities (Private) Limited	Terminated	2-Mar-17	Voluntary Termination
549	M. R. Securities (SMC-Private) Limited	Terminated	2-Mar-17	Consequent to the forfeiture of TRE Certificate of the Participant by PSX vide notice no. PSX/N-1460 dated March 01, 2017
				Consequent to the forfeiture of Trading Right Entitlement
550	AWJ Securities (Private) Limited	Terminated	28-Feb-17	Certificate of the Participant by PSX/N-1334 dated February 27, 2017
551	MAM Securities (Private) Limited	Suspended	20-Feb-17	Due to non-compliances of CDC Regulations.
552	Sunrise Capital (Private) Limited	Suspended	17-Feb-17	Due to non-compliances of regulatory provisions
553 554	M. R. Securities (SMC-PVT.) Limited  MAM Securities (Private) Limited	Further Suspended Notice of Imposition of Penalty	10-Feb-17 8-Feb-17	Due to non-compliances of regulatory provisions
554 555	M. R. Securities (SMC-PVT.) Limited	Notice of Imposition of Penalty Intention of further Suspension	8-Feb-17 6-Feb-17	Non-Compliance of CDC Regulations  Due to non-compliances of CDC Regulations.
				Due to suspension by National Clearing Company of Pakistan vide
556	M. R. Securities (SMC-PVT.) Limited	Suspended	1-Feb-17	notice no. NCCPL/CRO/20/17 dated February 01, 2017
557	AWJ Securities (Private) Limited	Suspended	30-Jan-17	Participant has breached the provisions of the CDC Regulations
558	Sunrise Capital (Private) Limited	Suspended	30-Jan-17	Participant has breached the provisions of the CDC Regulations
559	Zafar Securities (Private) Limited	Restricted	30-Jan-17	Participant has not complied with Regulation 6.8.1 of the CDC Regulations,
560	Zafar Securities (Private) Limited	Removal of Restriction	30-Jan-17	Participant has complied with the Regulation 6.8.2
561	Sonia Shahzad Securities (Private) Limited	Terminated	24-Jan-17	Voluntary Termination
562	Pak Meezan Securities (Private) Limited	Notice in respect of Participant	18-Jan-17	Participant has complied with the Regulation 6.8.2  Consequent to removal of suspension by National Clearing
563	Zafar Moti Capital Securities (Private) Limited	Removal of Suspension	18-Jan-17	Company of Pakistan vide notice no. NCCPL/CS/36/17 dated
<b>—</b>	-			January 17, 2017.
564	Zafar Moti Capital Securities (Private) Limited	Suspended	17-Jan-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/36/17 dated January 17, 2017
565	AM Chaudhry Securities (Private) Limited	Removal of Restriction	13-Jan-17	Participant has complied with the Regulation 6.8.2
				Consequent to removal of suspension by National Clearing
566	AWJ Securities (Private) Limited	Removal of Suspension	13-Jan-17	Company of Pakistan vide notice no. NCCPL/CS/31/17 dated January 13, 2017.
567	Mashhadi Securities (Private) Limited	Terminated	13-Jan-17	Voluntary Termination
568	SIS Securities (Private) Limited	Terminated	13-Jan-17	Voluntary Termination
569	AWJ Securities (Private) Limited	Suspended	12-Jan-17	Due to suspension by National Clearing Company of Pakistan vide
570		Extension of intention to suspend	9-Jan-17	notice no. NCCPL/CS/26/17 dated January 11, 2017
570 571	Allied Bank Limited - LSE Branch Allied Bank Limited - ISE Branch	Extension of intention to suspend Extension of intention to suspend	9-Jan-17 9-Jan-17	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
571	Allied Bank Limited- ISE Branch Allied Bank Limited- ISE Branch	Extension of intention to suspend  Extension of intention of RegulatoryMction	9-Jan-17 9-Jan-17	Failure to settle the CDC outstanding dues.  Failure to settle the CDC outstanding dues.
573	Allied Bank Limited- KSE Branch	Extension of intention to suspend	9-Jan-17	Failure to settle the CDC outstanding dues.
574	Allied Bank Limited- KSE Branch	Extension of intention of RegulatoryMction	9-Jan-17	Failure to settle the CDC outstanding dues.
575	Allied Bank Limited - LSE Branch	Extension of intention of RegulatoryMction	9-Jan-17	Failure to settle the CDC outstanding dues.
576	Sherman Securities (Pvt.) Limited  Diyanah Islamic Financial Services (Private)	Notice of Restriction	6-Jan-17	Non-Compliance of CDC Regulations
577	Limited	Notice in respect of Participant	6-Jan-17	Participant has complied with the Regulation 6.8.2
578	Malik Securities (Private) Limited	Terminated	6-Jan-17	Voluntary Termination

		Under Regulation 14.6.1 of th	e CDC Regulations	
S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
579	S A Securities (Pvt) Limited	Removal of Restriction	6-Jan-17	Participant has complied with the Regulation 6.8.2
580	SIS Securities (Private) Limited	Removal of Restriction	6-Jan-17	Participant has complied with the Regulation 6.8.2
581	A.H.K.D. Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
582	Ab Equities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
583	AKD Analytics (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
584	Allied Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
585	AM Chaudhry Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
586	Diyanah Islamic Financial Services (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
587	Escorts Capital Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
588	Faith Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
589	Fincap Investments (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
590	JCR Capital Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
591	Kayzone Capital Markets (SMC-Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
592		Restricted	2.lan.17	Failure to submission of Financial Statement or Auditor Certificate
	Malik Securities (Private) Limited			as required under Regulation 6.8.2.of the CDC Regulation.  Failure to submission of Financial Statement or Auditor Certificate
593	Mashhadi Securities (Private) Limited	Restricted	2-Jan-17	as required under Regulation 6.8.2.of the CDC Regulation.  Failure to submission of Financial Statement or Auditor Certificate
594	Pak Meezan Securities (Private) Limited	Restricted	2-Jan-17	as required under Regulation 6.8.2.of the CDC Regulation.  Failure to submission of Financial Statement or Auditor Certificate
595	Qasim Mahmood Securities (Smc-Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.  Failure to submission of Financial Statement or Auditor Certificate
596	Reliance Capital (Pvt) Limited	Restricted	2-Jan-17	as required under Regulation 6.8.2.of the CDC Regulation.
597	S A Securities (Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
598	SIS Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
599	SMB Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
600	SNM Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
601	Stable Securities (Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
602	Stock Vision(Pvt.) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2. of the CDC Regulation.
603	Allied Bank Limited - KSE Branch Allied Bank Limited - LSE Branch	Notice of Intention to Suspend Notice of Intention to Suspend	23-Dec-16 23-Dec-16	Failure to settle the CDC outstanding dues.
604		Notice of Intention to Suspend  Notice of Intention to Suspend	23-Dec-16 23-Dec-16	Failure to settle the CDC outstanding dues.
606	Allied Bank Limited - ISE Branch Allied Bank Limited - ISE Branch	Notice of Regulatory Action	23-Dec-16	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
607	Allied Bank Limited - LSF Branch	Notice of Regulatory Action	23-Dec-16	Failure to settle the CDC outstanding dues.
608	Allied Bank Limited - ISE Branch	Notice of Regulatory Action	23-Dec-16	Failure to settle the CDC outstanding dues.
609	Sunrise Capital (Private) Limited	Restricted	21-Dec-16	Due to contravention of provisions of the CDC Regulations
610	Apex Capital Securities (Private) Limited	Notice of Restriction	20-Dec-16	Non-Compliance of CDC Regulations
611	Apex Capital Securities (Private) Limited	Notice of Restriction	20-Dec-16	Non-Compliance of CDC Regulations
612	A.I Securities (Pvt .)Ltd	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
613	B & B Securities (Private) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
614	Continental Capital Management (Pvt) Ltd	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
615	Fawad Yusuf Securities (Pvt.) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
616	Irfan Mazhar Securities (Pvt.) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
617	Khanani Securities (Pvt.) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
618	M H Securities (Pvt.) Limited	Censure Notice	19-Dec-16	Non-Compliance of CDC Regulations
619 620	Muhammad Tariq Moti Securities (Pvt) Ltd.	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
620 621	Vector Securities (Pvt.) Ltd.	Censure Notice Notice of Restriction	19-Dec-16 19-Dec-16	Non-Compliance of CDC Regulations
621	Z.A. Ghaffar Securities (Private) Ltd. Ghory's Securities (Pvt.) Limited	Notice of Restriction Terminated	19-Dec-16	Non-Compliance of CDC Regulations  Voluntary Termination
623	Grony's Securities (PMC.) Limited Paramount Securities (SMC-Private) Limited	Terminated	8-Dec-16	Voluntary Termination
624	AM Chaudhry Securities (Private) Limited	Removal of Restriction	7-Dec-16	Consequent to restoration trading terminals of Participant by PSX vide notice no. PSX/N-6981 dated December 07, 2016.
625	Y.S. Stocks (Private) Limited	Terminated	2-Dec-16	Voluntary Termination
626	AM Chaudhry Securities (Private) Limited	Restricted	30-Nov-16	Due to switching off of trading terminals of Participant by PSX
627	A.H.M Securities (Pvt.) Limited	Notice of Restriction	22-Nov-16	vide notice no. PSX/N-6865 dated November 30, 2016. Non-Compliance of CDC Regulations
628	Moonaco Securities (Pvt.) Ltd	Notice of Restriction	22-Nov-16	Non-Compliance of CDC Regulations
629	Invest Forum (SMC-Private) Limited	Removal of Restriction	16-Nov-16	Consequent to restoration of opening of new sub-accounts and trading in the House Account of Participant by PSX vide notice no. PSX/N-6619 dated November 16, 2016.
630	First Punjab Modaraba	Terminated	14-Nov-16	Voluntary Termination
631	MYM Equities (Private) Limited	Terminated	10-Nov-16	Voluntary Termination
632	Fort Investments (Private) Limited	Terminated	10-Nov-16	Voluntary Termination
633	Abid Investments (Private) Limited	Terminated	10-Nov-16	Voluntary Termination
634	Shajar Capital Pakistan (Pvt.) Limited	Notice of Restriction	4-Nov-16	Non-Compliance of CDC Regulations

616	Name of Participant sources (PA) Limited inpaint future (PA) Limited inpaint future (PA) Limited inpaint future (PA) Limited into the control of the control	Disciplinary Actions Native of Print Stone Native of Restriction Native of Restriction Commen Native Commen Native Native of Restriction	4-Nov-16 1-Nov-16 1-Nov-16 1-Nov-16 1-Nov-16 31-Oct-16 28-Oct-16 28-Oct-16 28-Oct-16 29-Oct-16 27-Oct-16 27-Oct-16 19-Oct-16 19-Oct-16 19-Oct-16 19-Oct-16	Reason  Non-Complane of CIC Regulations
637 on con- 638 January  640 January  640 January  641 All January  642 Private  643 All January  644 January  644 January  645 All January  645 All January  646 Capita  647 All January  648 Gen Capita  649 Gen Capita  640 January  641 January  642 January  643 January  644 January  645 January  645 January  646 January  647 All January  648 January  648 January  649 January  640 January  640 January  640 January  640 January  641 January  642 January  643 January  644 January  645	Let Management Province Limited graph Province Limited graph Province Limited graph Province Limited graph Continues graph Limited graph Limit	Common Notice  Common Notice  Pasticited  Natice of Rediction  Section of Rediction  Section of Rediction  Natice of Rediction	1-Nov-16 1-Nov-16 31-Oct-16 28-Oct-16 28-Oct-16 29-Oct-16 27-Oct-16 27-Oct-16 25-Oct-16 35-Oct-16 35-Oct-16 35-Oct-16 35-Oct-16 35-Oct-16	Non-Complanes of CCS Regulations Non-Complanes of CCS Regulations United States of CCS Regulations Date to each fine growth of the States of Participate of STA Non-Complanes of CCS Regulations Non-C
\$38 contacts \$39 CA Cycles \$40 CA Cycles \$41 CA Cycles \$41 CA Cycles \$42 CA Cycles \$43 CA Cycles \$43 CA Cycles \$43 CA Cycles \$43 CA Cycles \$45	gold Privated Limited  Georaties (Privated Limited  Georaties (Privated Limited  del Salan Salanus Securities  Georaties (Privated Limited  del Salanus Securities  Georaties (Privated  del Salanus Securities  del Salanus Securities  del Salanus (Privated  del Salanus Securities  del Salanus (Privated  del Salanus Securities (Privated)  del Salanus Securities  del Salanus Securit	Cemen Notice  Notice of Positivities  Terminated  Notice of Positivities	1-Nov-16 31-Oct-16 28-Oct-16 28-Oct-16 28-Oct-16 27-Oct-16 27-Oct-16 25-Oct-16 19-Oct-16 19-Oct-16	Non Complaines of CIC Regulations Due to sustaining off of strange terminary for Text page terminary for Strange terminary for Non Complaines of CIC Regulations
679 37 Copies 660 00 Miscond 661 00 Miscond 662 7 Prince Sci. 663 00 Miscond 664 14 MACCQL 664 15 MACCQL 665 Name 666 166 Name 667 Name 667 Name 667 Name 668 167 Name 669 167	al Securities (Private) Limited  receipt (F) Limited  and Securities Investigational  and Securities Investigational  and Securities Investigation  an	Restricted  Netice of Restriction  Notice of Restriction	31-0ct-16 28-0ct-16 28-0ct-16 28-0ct-16 28-0ct-16 27-0ct-16 27-0ct-16 19-0ct-16 19-0ct-16	Due to switching off or training remonals of Participant by PFX vision nation in PTMA-1915 side and Control 11, 2016 Non Compliance of CCN Regulations
640 944 Security 640 944 Security 640 944 Security 640 944 Security 640 945 945 945 945 945 945 945 945 945 945	writise (Prt.) Limited ad Salim Kasmani Securifies survinise (Prt.) Limited alexals Securities (Prt.) Limited alexals Securities (Prt.) Limited curries (SAMC-PYT) Limited curries (SAMC-PYT) Limited curries (SAMC-PYT) Limited advantage (SAMC-PYT) Limited and securities (SAMC-PYT) Limited and securi	Notice of Neutrition Terminated Notice of Neutrition Notice of Neutrition Notice of Neutrition Notice of Neutrition	28-Oct-16 28-Oct-16 28-Oct-16 27-Oct-16 27-Oct-16 25-Oct-16 19-Oct-16	visi notice no PSDM-92 15 dend criticale 13 1206 Non Compliance of CDE final dend criticale 13 1206 Non Compliance of CDE Regulations Non-Compliance of CDE Regulations Non-Compliance of CDE Regulations Non-Compliance of CDE Regulations
661 Multi-harmonic Friend Sect Sect Sect Sect Sect Sect Sect Sect	and Salah Economic Securities  unities (PA-1) Limited  anneas Securities (PA-1) Limited  anneas Securities (PA-1) Limited  stat (PA-2) Limited  stat (PA-2) Limited  sectionsis (SASC-PVI) Limited  sectionsis (PA-1) Limited  corrises (PA-1) Limited  anneas (PA-1) Limited  state (PA-1) Limited  anneas (PA-1) Limited  anneas (PA-1) Limited  writes (PA-1) Limited  writes (PA-1) Limited  writes (PA-1) Limited  writes (PA-1) Limited	Notice of Restriction Terminated Notice of Restriction Notice of Restriction Notice of Restriction Notice of Restriction	28-0ct-16 28-0ct-16 27-0ct-16 27-0ct-16 25-0ct-16 19-0ct-16	Non-Complaince of CDC Regulations
642 Print Sci. 643 Q. All Villa 644 SAAO Capit G. 645 Abasia Sci. 646 Castern to V. 646 Castern to V. 647 Angelo Sci. 648 Gen Capit G. 649 (Pri.) 1dd 650 Datal Sci. 650 Datal Sci. 651 R. Byra Capit G. 652 Zillon Capit 653 R. P. Byra Capit G. 654 H. P. Byra Capit G. 655 H. P. Byra Capit G. 656 H. P. Byra Capit G. 657 H. P. Byra Capit G. 658 H. P. Byra Capit G. 659 H. P. Byra Capit G. 659 H. P. Byra Capit G. 650 H. P. Byra Capit G. 650 H. P. Byra Capit G. 651 H. P. Byra Capit G. 652 H. P. Byra Capit G. 653 H. P. Byra Capit G. 654 H. P. Byra Capit G. 655 H. P. Byra Capit G. 655 H. P. Byra Capit G. 656 H. P. Byra Capit G. 657 H. P. Byra Capit G. 657 H. P. Byra Capit G. 658 H. P. Byra Capit G. 659 H. P. Byra Capit G. 650	urdites (Pu-1 Jumbad instal Securities (Pu-1 ) Limited axia (Pu-1 ) Limited curdites (Pu-1 ) Limited axia (Pu-1 ) Limited	Notice of Restriction  Notice of Restriction  Notice of Restriction  Terminated  Notice of Restriction	28-0ct-16 27-0ct-16 27-0ct-16 25-0ct-16 19-0ct-16	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Voluntary Termination Non-Compliance of CDC Regulations
643 Q. Ain Shall 644 SAMC (pil) 645 Abbard Sec 646 Cassin No. 647 Abbard Sec 648 Gilli Capatin 649 AHoqain 649 Gilli Capatin 650 Gallati Sec 651 Gallati Sec 652 Zillion Capa 653 H. P. Byral 655 Hrosportly 655 H. P. Byral	annes Securities (Pv.) Limited  Atal (Pv.) Limited  courties (SMA-CPV) Limited  accurates (SMA-CPV) Limited  accurates (SMA-CPV) Limited  accurates (Pv.) Limited  All Securities (Pv.) Limited  Limited  All Securities (Pv.) Limited  accurates (Pv.) Limited  accurates (Pv.) Limited  All Managers Securities (Pv.) Limited	Notice of Restriction  Notice of Restriction  Terminated  Notice of Restriction	27-Oct-16 27-Oct-16 25-Oct-16 19-Oct-16	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Voluntary Termination Non-Compliance of CDC Regulations
644 SAAO Capi 645 Abana 646 646 Cassin Inv. 647 Anapa 526 648 Gin Capta 649 Al-Hopani 649 (Pr.1) tal 650 Data Secu. 651 Investmen 652 Zilson capt 653 Resident 654 Prosperity 654 Prosperity 655 H. P. Byrai	intal (Pxt.) Limited cursities (SMC-PVT) Limited sections(: Pxt.) Limited cursities (Pvitate) Limited cursities (Pvitate) Limited cursities (Pvitate) Limited cursities (Pvitate) Limited cursities (Pvit.) Limited cursities (Pvit.) Limited rit Managers Secursities (Pvit.) Ltd	Notice of Restriction Terminated Notice of Restriction Notice of Restriction Notice of Restriction Notice of Restriction	27-Oct-16 25-Oct-16 19-Oct-16 19-Oct-16	Non-Compliance of CDC Regulations Voluntary Termination Non-Compliance of CDC Regulations
645 Abarri Sec.  646 Cassim Inv.  647 Angle Sec.  648 discussion  649 AH Opani  650 Obd Sec.  651 Sec.  652 Zilson Cig.  653 H. P. Byrai  654 Prospority  655 H. P. Byrai  655 H	curities (SMC-PVT) Limited vestments (Pvt.) Limited curities (Private) Limited all Securities (Pvt) Itd. 35 Securities (Pvt) Itd. 55 Securities (Pvt.) Limited with Managers Securities (Pvt.) Lind	Terminated Notice of Restriction Notice of Restriction Notice of Restriction Notice of Restriction	25-Oct-16 19-Oct-16 19-Oct-16	Voluntary Termination Non-Compliance of CDC Regulations
646 Cassim Inv 647 Anniple Sce 648 Gmi Capta 649 Al-Hospani 649 (PN-1) Anniple 650 Dabil Secu 651 Interestment 652 Zillon Capt 653 H. P. Byras 654 Prosperity 655 H. P. Byras	vectments (Pvt.) Limited  curities (Private) Limited  all Securities (Pvt) Ltd.  Securities & Investment Corporation  unities (Pvt.) Limited  nt Managers Securities (Pvt.) Ltd	Notice of Restriction Notice of Restriction Notice of Restriction Notice of Restriction	19-0ct-16 19-0ct-16	Non-Compliance of CDC Regulations
647 Angle Sec. 648 Gmi Captal 649 (Ph.) 1 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	curities (Pvivate) Limited all Securities (PvI) Ltd. Securities & Investment Corporation urities (PvI, ) Limited at Managers Securities (PvI.) Ltd	Notice of Restriction  Notice of Restriction  Notice of Restriction	19-Oct-16	
648 Gmi Capit. 649 Al-Hogani 650 Dalai Secu 651 Investmen 652 Zilion Cap 653 H. P. Byrai 654 Prosperity 655 t. P. Byrai 655 t. P. Byrai	al Securities (Pvt) Ltd.  Securities & Investment Corporation  urities (Pvt.) Limited  nt Managers Securities (Pvt.) Ltd	Notice of Restriction  Notice of Restriction		
649 Al-Hogani (Pvt.) Ltd 650 Dalai Secu 651 tevestmen 652 Zillion Cap 653 H. P. Byrar 654 Prosperity 655 H. P. Byrar	Securities & Investment Corporation unities (Pvt.) Limited nt Managers Securities (Pvt.) Ltd	Notice of Restriction	19-Oct-16	Non-Compliance of CDC Regulations
650 Datal Secu 651 Investmen 652 Zilion Cap 653 H. P. Byrar 654 Prosperity 655 H. P. Byrar	urities (Pvt.) Limited nt Managers Securities (Pvt.) Ltd			Non-Compliance of CDC Regulations
651 Investmen 652 Zilion Cap 653 H. P. Byrar 654 Prosperity 655 H. P. Byrar	nt Managers Securities (Pvt.) Ltd	Markey of Description	18-Oct-16	Non-Compliance of CDC Regulations
652 Zilion Cap 653 H. P. Byrar 654 Prosperity 655 H. P. Byrar			18-Oct-16	Non-Compliance of CDC Regulations
653 H. P. Byrar 654 Prosperity 655 H. P. Byrar		Notice of Restriction	18-0ct-16	Non-Compliance of CDC Regulations
654 Prosperity 655 H. P. Byran	ener encurides (PVL) LIMILIEU	Notice of Restriction	18-Oct-16	Non-Compliance of CDC Regulations
655 H. P. Byran	mji & Co. (Pvt.) Limited	Removal of Restriction	18-Oct-16	Participant has complied with Regulation 6.8.1 of the CDC Regulations,
655 H. P. Byran	y Securities (Private) Limited	Terminated	18-Oct-16	Voluntary Termination
	mii & Co. (Pvt.) Limited	Restricted	14-Oct-16	Participant has not complied with Regulation 6.8.1 of the CDC
	,			Regulations,  Due toswitching off of trading terminals of Participant by PSX
656 Stock Visio	on Securities (Private) Limited	Restricted	13-Oct-16	vide notice no PSX/N-5666 dated October 13, 2016
657 Growth Se	ecurities (Pvt) Limited	Notice of Restriction	10-Oct-16	Non-Compliance of CDC Regulations
658 TS Securiti	ties (Pvt.) Ltd	Notice of Restriction	10-Oct-16	Non-Compliance of CDC Regulations
659 Escorts Ca	apital Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-5643 dated October 10, 2016
660 Omni Cani	ital Management (Private) Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX
				vide notice no. PSX/N-5643 dated October 10, 2016  Due to switching off of trading terminals of Participant by PSX
661 Pak Meeza	an Securities (Private) Limited	Restricted	10-Oct-16	vide notice no. PSX/N-5643 dated October 10, 2016
662 Aziz Dawo	ood Securities (Private) Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX
663 Star Secur	rities (Private) Limited	Terminated	10-Oct-16	vide notice no. PSX/N-5643 dated October 10, 2016 Voluntary Termination
564 Limited	Investment and Securities (Private)	Restricted	30-Sep-16	Due to suspension of all trading terminals of Participant by PSX vide notice no. PSX/N-5372 dated September 30, 2016
	urities Limited	Notice of Restriction	29-Sep-16	Non-Compliance of CDC Regulations
	curities (Private) Limited	Notice of Restriction  Terminated	29-Sep-16 29-Sep-16	Non-Compliance of CDC Regulations
	rities (Private) Limited	Terminated Terminated	29-Sep-16 29-Sep-16	Voluntary Termination
	urities (Private) Limited			Voluntary Termination  Due to suspension of all trading terminals of Participant by PSX
669 Faith Secu	urities (Private) Limited	Restricted	26-Sep-16	vide notice no. PSX/N-5259 dated September 26, 2016
670 Taha Secu	urities (Private) Limited	Restricted	22-Sep-16	Due to suspension of all trading terminals of Participant by PSX vide notice no. PSX/N-5179 dated September 21, 2016
671 Smart Inve	estments Capital Management (Pvt.)	Terminated	8-Sep-16	Voluntary Termination
672 Pace Inves	stment & Securities (Pvt.) Limited	Removal of Suspension	7-Sep-16	Consequent to removal of suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/446/16 dated
				September 07, 2016
673 Pace Inves	stment & Securities (Pvt.) Limited	Suspended	7-Sep-16	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/445/16 dated September 06, 2016
674 Nizam Sec	curities (Private) Limited	Terminated	1-Sep-16	Voluntary Termination
675 SNM Secu	urities (Private) Limited	Restricted	31-Aug-16	Consequent to switch off of trading terminals of Participant by
676 AB Equitie	es (Private) Limited	Removal of Suspension	26-Aug-16	PSX vide notice no. PSX/N-4841 dated August 31, 2016 Participant has complied with the Regulation 6.8.2
677 Quality Isla	lamic Investments & Securities (Pvt)	Restricted	26-Aug-16	Consequent to imposing restriction by PSX vide notice no. PSX/N- 4741 dated August 25, 2016
<b> </b>	Securities (Private) Limited	Restricted	26-Aug-16	Consequent to imposing restriction by PSX vide notice no. PSX/N- 4741 dated August 25, 2016
679 Stable Sec	curities (Pvt) Limited	Restricted	26-Aug-16	Consequent to imposing restriction by PSX vide notice no. PSX/N-
	ahmood Securities (Smc-Pvt) Limited	Restricted	26-Aug-16	4741 dated Aueust 25, 2016  Consequent to imposing restriction by PSX vide notice no. PSX/N-
681 Markot		Restricted	26 1	4741 dated August 25, 2016  Consequent to imposing restriction by PSX vide notice no. PSX/N-
	Securities (Private) Limited		26-Aug-16	4741 dated August 25, 2016  Consequent to imposing restriction by PSX vide notice no. PSX/N-
	urities (Private) Limited	Restricted	26-Aug-16	4741 dated August 25, 2016
683 H.M.Y. Sec	curities (Private) Limited	Terminated	19-Aug-16	Voluntary Termination
684 Saima Qair	iser Securities (Pvt.) Limited	Suspended	17-Aug-16	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/410/16 dated August 17, 2016
685 Sairna Qais	iser Securities (Pvt.) Limited	Removal of Suspension	17-Aug-16	consequent to removal of suspension National Clearing Company of Pakistan vide notice no. NCCPL/CS/411/16 dated August 17, 2016
686 Cassim Inv	vestments (Private) Limited	Removal of Restriction	12-Aug-16	Participant has complied with Regulation 6.8.1 of the CDC
687 Cassim Inv	vestments (Private) Limited	Restricted	11-Aug-16	Regulations.  As the Participant has not complied 6.8.1 of the CDC Regulation

Updated as of August 31, 2019