

**Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations**

S. No.	Name of Participant	Disciplinary Action	Notice Date	Reason
124	Indus 360 Limited	Revoked	20/07/18	Non-Compliance of CDC Regulations
126	Indus Security & Investment Corporation (Pvt) Limited	Revoked	20/07/18	Industry Participation
127	Indus Investment Capital (Pvt) Limited	Revoked	17-Sep-18	Compliance to working off of trading terminals of Participant by PSE vide notice no. PSE/IN/2018 dated September 07, 2018
128	Indus Securities Investment Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
129	Indus Market Securities (Pvt) Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
130	Indus Market Securities (Pvt) Limited	Revoked of Restrictions	17-Sep-18	Compliance to incorporation of trading terminals of Participant by PSE vide notice no. PSE/IN/2018 dated September 07, 2018
131	Indus Market Securities Investment Limited	Revoked	20-Sep-18	Industry Participation
132	Indus Market Securities Ltd.	Notice of Restriction & Revoked	17-Sep-18	Non-Compliance of CDC Regulations
133	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Compliance to working off of trading terminals of Participant by PSE vide notice no. PSE/IN/2018 dated September 07, 2018
134	PDA Securities (Pvt) Limited - MR	Revoked	17-Sep-18	Compliance to working off of trading terminals of Participant by PSE vide notice no. PSE/IN/2018 dated September 07, 2018
135	Indus Market Securities (Pvt) Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
136	Indus Securities Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
137	Indus & Investment Securities (Pvt) Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
138	Indus Investment (Pvt) Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
139	Indus Securities (Pvt) Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
140	Indus Market Securities Investment Limited	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
141	Indus Securities (Pvt) Ltd.	Notice of Restriction & Revoked	17-Sep-18	Non-Compliance of CDC Regulations
142	Indus Securities (Pvt) Ltd.	Consent Letter	17-Sep-18	Non-Compliance of CDC Regulations
143	Indus Securities Limited	Revoked of Suspension	20-Sep-18	Compliance to 100% of the requirement of Access of Participant by MIF vide notice no. MIF/IN/2018 dated September 14, 2018
144	Indus Capital Securities Pvt. Limited - MR	Revoked	20-Sep-18	Compliance to working off of trading terminals of Participant by PSE vide notice no. PSE/IN/2018 dated September 07, 2018
145	Indus Securities (Private) Limited - MR	Revoked	20-Sep-18	Compliance to 100% of the requirement of Access of Participant by MIF vide notice no. MIF/IN/2018 dated September 14, 2018
146	Indus Equities (Pvt) Limited	Revoked	20-Sep-18	Compliance to working off of trading terminals of Participant by PSE vide notice no. PSE/IN/2018 dated September 07, 2018
147	Indus Securities Limited	Revoked	20-Sep-18	Compliance to 100% of the requirement of Access of Participant by MIF vide notice no. MIF/IN/2018 dated September 14, 2018
148	Indus Capital Securities (Pvt) Limited	Consent Letter	20-Sep-18	Non-Compliance of CDC Regulations
149	Indus Capital Securities (Pvt) Limited	Notice of Restriction & Revoked	20-Sep-18	Non-Compliance of CDC Regulations
150	Indus Capital Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
151	Indus Capital Securities (Private) Limited	Revoked	20-Sep-18	Industry Participation
152	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
153	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
154	Indus Market Securities (Private) Limited	Revoked	20-Sep-18	Industry Participation
155	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
156	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
157	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
158	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
159	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
160	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
161	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
162	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
163	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
164	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
165	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
166	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
167	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
168	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
169	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
170	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
171	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
172	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
173	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
174	Indus Market Securities (Pvt) Limited	Revoked	20-Sep-18	Industry Participation
175	Indus Market Securities (Pvt) Limited	Revoked of Restrictions	20-Sep-18	Compliance to incorporation of trading terminals of Participant by PSE, as notified by PSE vide notice no. PSE/IN/2018 dated July 07, 2018

