S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
1	237		ons taken by Audit, Inspection, Default and Arbitrage (AIDA) :	1	16-Jul-19	APPLIED FOR SURRENDER
2	12	IAK Securities (Private.) Limited Reliance Securities Limited	Switching-off of trading terminals Switching-off of trading terminals	-	18-Jun-19	APPLIED FOR SURRENDER APPLIED FOR SURRENDER
3	58	Dawood Equities Limited	Switching-off of trading terminals	-	26-Jun-19	ACTIVE
4	108	Azee Securities Private Limited	Switching-off of trading terminals	-	9-May-19	ACTIVE
5	57	First Capital Equities Limited	Switching-off of trading terminals	-	16-May-19	APPLIED FOR SURRENDER
6	148 187	Oriental Securities (Private) Limited SAZ Capital Securities (Private) Limited	The Brokerage House was Advised	-	27-May-19 30-Apr-19	ACTIVE
8	153	Creative Capital Securities (Private) Limited	The Brokerage House was Advised The Brokerage House was Advised	-	30-Apr-19 30-Apr-19	ACTIVE
8 9	56	Nael Capital (Private) Limited	The Brokerage House was Advised	-	30-Apr-19	ACTIVE
10	199	Insight Securities (Private) Limited	The Brokerage House was Advised	-	30-Apr-19	ACTIVE
11	212	Horizon Securities Limited	The Brokerage House was Advised	-	8-May-19	ACTIVE
12	221	Millennium Brokerage (Private) Limited	The Brokerage House was Advised	-	8-May-19	ACTIVE
13 14	228 275	Al-Haq Securities (Private) Limited	The Brokerage House was Advised	-	8-May-19	ACTIVE
14	275	Rahat Securities Limited Yasir Mahmood Securities (Private) Limited	The Brokerage House was Advised	-	8-May-19	ACTIVE
15	311	Abbasi & Company (Private) Limited	The Brokerage House was Advised The Brokerage House was Advised		8-May-19 8-May-19	ACTIVE
17	410	Zahid Latif Khan Securities (Private) Limited	The Brokerage House was Advised	-	3-May-19	ACTIVE
18	438	Fair Edge Securities(Private) Limited	The Brokerage House was Advised	-	3-May-19	ACTIVE
19	478	Pasha Securities (Private) Limited	The Brokerage House was Advised	-	3-May-19	ACTIVE
20	482	New Peak Securities(Private) Limited	The Brokerage House was Advised		3-May-19	ACTIVE
21	523	Falki Capital (Private) Limited	The Brokerage House was Advised	-	3-May-19	ACTIVE
22 23	61 88	Abbasi Securities (Pvt) Ltd.	The Brokerage House was Warned The Brokerage House was PENALIZED and WARNED	- Rs.50,000/-	30-Apr-19 30-Apr-19	ACTIVE
23	88 16	Fawad Yusuf Securities (Private) Limited DJM Securities (Pvt.) Ltd.	The Brokerage House was Verhabized and WARNED	KS.30,000/-	24-Apr-19	ACTIVE
25	107	Seven Star Securities (Private) Limited	The Brokerage House was Warned	-	18-Apr-19	ACTIVE
26	481	Riaz Ahmed Securities (Private) Limited	The Brokerage House was Warned	-	18-Apr-19	ACTIVE
27	204	A.N Equities (Pvt) Ltd	The Brokerage House was PENALIZED and WARNED	Rs.50,000/-	16-Apr-19	ACTIVE
28	490	Baba Equities (Pvt) Limited.	The Brokerage House was Warned	-	15-Apr-19	ACTIVE
29	339	Khawaja Securities (Pvt.) Ltd.	The Brokerage House was Warned	-	8-Apr-19	ACTIVE
30	158 523	Royal Securities (Private) Limited	The Brokerage House was Warned		8-Apr-19	ACTIVE
31 32	523	Falki Capital Private Limited	The Brokerage House was Warned	-	5-Apr-19 3-Apr-19	ACTIVE
32		Shajar Capital Pakistan Private Limited Millennium Brokerage (Private)Limited	The Brokerage House was Warned The Brokerage House was PENALIZED and WARNED	- Rs.691.500/-	3-Apr-19 3-Apr-19	ACTIVE
34	221 266	Millennium Brokerage (Private)Limited Shaffi Securities (Pvt) Ltd	The Brokerage House was Vervalized and Wakined		2-Apr-19	ACTIVE
35	120	Alfalah Securities (Private) Limited	The Brokerage House was Warned	- 1	2-Apr-19	ACTIVE
36	140	M.M. Securities (Private) Limited	The Brokerage House was Warned	-	2-Apr-19	ACTIVE
37	150	Askari Securities Limited	The Brokerage House was Warned	-	2-Apr-19	ACTIVE
38	466	Unex Securities (Private) Limited	The Brokerage House was Warned	-	2-Apr-19	ACTIVE
39 40	223	Maan Securities (Pvt.) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.40,000/-	27-Mar-19	ACTIVE
40	42	ICON Capital Management (Pvt) Ltd.	The Brokerage House was WARNED	-	27-Mar-19 27-Mar-19	ACTIVE
41 42	10	Sakarwala Capital Sec.(Pvt.) Ltd Elixir Securities Pak. (Pvt.) Ltd.	The Brokerage House was WARNED	-	27-Mar-19 20-Mar-19	ACTIVE
42	100	Muhammad Salim Kasmani Securities (Private)Limited	The Brokerage House was Advised The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-	18-Mar-19	ACTIVE
44	102	Growth Securities (Pvt.) Limited	The Brokerage House was Warned and Financial Penalty was imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	18-Mar-19	ACTIVE
45	91	Intermarket Securities Limited	The Brokerage House was WARNED	-	18-Mar-19	ACTIVE
46	284	ASA Stocks Private Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 533,500/-	1-Mar-19	ACTIVE
47	229	Fairway Securities Private Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 995,000/-	1-Mar-19	ACTIVE
48	267	Bismillah Securities (Pvt.) Limited	The Brokerage House was WARNED	-	1-Mar-19	ACTIVE
49 50	179	Continental Capital Management (Pvt.) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 20,000/-	1-Mar-19	ACTIVE
50	46 297	Fortune Securities Limited MSD Capital Equities (Pvt) Ltd.	The Brokerage House was Advised	-	26-Feb-19 26-Feb-19	ACTIVE
52	237	Ghani Osman Securities (Pvt.) Ltd.	The Brokerage House was WARNED The Brokerage House was WARNED		20-Feb-19	ACTIVE
53	143	Saao Capital (Private) Ltd.	The Brokerage House was WARNED	-	20-Feb-19	ACTIVE
54	517	South Asian Securities (Pvt.) Ltd.	Switching-off of trading terminals	-	18-Feb-19	NOTICE FOR SURRENDER
55	224	K & I Global Capital (Private.) Limited.	The Brokerage House was Warned	-	22-Jan-19	ACTIVE
56	275	Rahat Securities Limited	The Brokerage House was Warned	-	22-Jan-19	ACTIVE
57	332	Trust Securities & Brokerage Limited.	The Brokerage House was Warned	-	22-Jan-19	ACTIVE
58 59	337 290	A B M Securities (Private) Limited K.H.S. Securities (Private) Limited	The Brokerage House was Warned	-	22-Jan-19 16-Jan-19	ACTIVE
60	309	HMC Stocks (Private) Limited.	Suspension of Trading Terminals Suspension of Trading Terminals	-	16-Jan-19	ACTIVE
61	12	Reliance Securities Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.430,000/-	14-Jan-19	APPLIED FOR SURRENDER
62	239	Ayub Chaudhry Investments (Private) Limited.	The Brokerage House was Warned	-	14-Dec-18	ACTIVE
63	261	M.F. Stocks (Private) Limited.	The Brokerage House was Warned	-	14-Dec-18	APPLIED FOR SURRENDER
64	288	Javed Iqbal Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	14-Dec-18	ACTIVE
65	420	Progressive Inves. Management (Private) Limited.	The Brokerage House was Warned	-	14-Dec-18	ACTIVE
66	497	JSK Securities Limited	The Brokerage House was Warned	-	14-Dec-18	Voluntary Trading Terminal Suspended
67	256	Progressive Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Bs 30.000/-	13-Dec-18	ACTIVE
68	250	MSD Capital Equities (Private) Limited	The Brokerage House was Warned and Financial Penalty was imposed The Brokerage House was Warned and Financial Penalty was imposed	Rs.80,000/-	13-Dec-18	ACTIVE
69	36	Time Securities (Private.) Limited.	The Brokerage House was Warned	-	12-Dec-18	ACTIVE
70	143	Saao Capital (Private) Limited.	The Brokerage House was Warned	-	10-Dec-18	ACTIVE
71	211	Share Center (Private) Limited	The Brokerage House was Warned	-	10-Dec-18	NOTICE FOR SURRENDER
72	294 350	Yasir Mahmood Securities (Private) Limited	The Brokerage House was Warned	-	10-Dec-18	ACTIVE
73 74		Gulrez Securities (Private) Limited Ghani Osman Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	10-Dec-18 7-Dec-18	ACTIVE
74	28 35	Gnani Osman Securities (Private.) Limited. Surmawala Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	1	7-Dec-18 6-Dec-18	ACTIVE
76	62	WE Financial Services Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.140,000/-	22-Nov-18	TRADING TERMINALS SUSPENDED
77	124	EFG Hermes Pakistan Limited	The Brokerage House was Warned	-	22-Nov-18	ACTIVE
78	191	Market 786 (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.40,000/-	22-Nov-18	ACTIVE
79	432	Spinzer Equities(Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.115,845/-	19-Nov-18	ACTIVE
80	208	RUC Securities (Private.) Limited.	Switching-off of trading terminals	-	16-Nov-18	TRADING TERMINALS SUSPENDED
81	296	MGM Securities (Private) Limited			15-Nov-18	
81 82	296 48	MGM Securities (Private) Limited Next Capital Limited	The Brokerage House was Warned		15-Nov-18 14-Nov-18	ACTIVE
83	48	First Equity Modaraba	The Brokerage House was Warned The Brokerage House was Warned	-	9-Nov-18	ACTIVE
84	286	Dosslani's Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.170,000/-	7-Nov-18	ACTIVE
85	232	Arif Latif Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-	5-Nov-18	ACTIVE
86	8	Optimus Capital Management (Private.) Limited.	The Brokerage House was Warned	-	1-Nov-18	ACTIVE
87	145	Adam Securities Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.40,000/-	1-Nov-18	ACTIVE
88	186	Muhammed Tariq Moti Sec. (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 20,000/- Rs 120 000/-	1-Nov-18	ACTIVE
89 90	194 24	MRA Securities Limited. ASDA Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.120,000/- Rs.60.000/-	1-Nov-18 25-Oct-18	ACTIVE
90 91	24	ASDA Securities (Private.) Limited. Best Securities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.60,000/- Rs.40,000/-	25-Oct-18 25-Oct-18	ACTIVE
91 92	121	Salim Sozer Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed Switching-off of trading terminals	ns.40,000/-	25-Oct-18 17-Oct-18	ACTIVE
93	98	Fairtrade Capital Securities (Private.) Limited.	The Brokerage House was Warned		10-Oct-18	ACTIVE
94	166	Topline Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	10-Oct-18	ACTIVE
95	57	First Capital Equities Limited.	Switching-off of trading terminals	-	9-Oct-18	APPLIED FOR SURRENDER
96	46	Fortune Securities Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	25-Sep-18	ACTIVE
97	183	R.T. Securities (Private.) Limited.	The Brokerage House was Warned	-	25-Sep-18	ACTIVE
98	6	Sherman Securities (Private.) Limited.	The Brokerage House was Warned		18-Sep-18	ACTIVE
99 100	188 236	Apex Capital Sec. (Private.) Limited. Techno Fundamental Securities (Private) Limited	Switching-off of trading terminals		18-Sep-18 18-Sep-18	ACTIVE
100	230	recimo i undamentar securities (erivate) Limited	The Brokerage House was Warned	1	10-36b-19	NOTICE FOR SURRENDER

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
101	292 297	INA Securities (Private) Limited MSD Capital Equities (Private) Limited.	Switching-off of trading terminals Switching-off of trading terminals	-	18-Sep-18 18-Sep-18	ACTIVE
103	444	Xpert Securities (Private) Limited.	Switching-off of trading terminals	-	18-Sep-18	ACTIVE
104	475	CAMCO (Private) Limited	Switching-off of trading terminals	-	18-Sep-18	ACTIVE
105 106	94 420	FDM Capital Securities (Private.)Limited Progressive Inves. Management (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed Restriction on opening of New Clients Account and Sale Side	Rs.1,000,000/-	14-Sep-18 14-Sep-18	ACTIVE
107	348	AMCAP Securities (Private) Limited	Suspension of Trading Terminals	-	10-Sep-18	TRADING TERMINALS SUSPENDED
108 109	116 212	Patel Securities (Private.) Limited. Horizon Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned		10-Sep-18 3-Sep-18	ACTIVE
110	309	HMC Stocks (Private) Limited.	The Brokerage House was Warned		3-Sep-18	ACTIVE
111 112	438 231	Fair Edge Securities(Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.100,000/-	3-Sep-18	ACTIVE
112	231 288	Saima Qaiser Securities (Private) Limited Javed Igbal Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned		16-Aug-18 13-Aug-18	ACTIVE
114	346	Strongman Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.150,000/-	13-Aug-18	ACTIVE
115 116	54	Bawa Securities ( Private. ) Limited.	The Brokerage House was Warned	-	8-Aug-18	ACTIVE
110	234 228	Value Stock and Commodities (Private) Limited. Al-Hag Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	31-Jul-18 27-Jul-18	ACTIVE
118	251	MND Investment (Private) Limited	The Brokerage House was Warned	-	27-Jul-18	ACTIVE
119	265	Infinite Securities Limited.	The Brokerage House was Warned	-	27-Jul-18	ACTIVE
120	290	K.H.S. Securities (Private) Limited Sherman Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Advised	-	27-Jul-18 20-Jul-18	ACTIVE
122	94	FDM Capital Securities (Private.)Limited	The Brokerage House was Advised	-	20-Jul-18	ACTIVE
123	120	Alfalah Securities (Private.) Limited.	The Brokerage House was Advised	-	20-Jul-18	ACTIVE
124 125	410	Zahid Latif Khan Securities (Private.) Limited. General Investment & Sec	The Brokerage House was Warned	-	20-Jul-18 20-Jul-18	ACTIVE
126	442	Pasha Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	20-Jul-18 20-Jul-18	ACTIVE
127	485	Hamza Farhad Securities (Private) Limited.	The Brokerage House was Warned	-	20-Jul-18	ACTIVE
128 129	491 44	First Street Capital (Private) Limited. IGI Finex Securities Limited	The Brokerage House was Warned	- Rs.150.000/-	20-Jul-18 13-Jul-18	ACTIVE
129	44	Progressive Inves. Management (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 170,000/-	13-Jul-18 13-Jul-18	ACTIVE
131	98	Fights and the second s	The Brokerage House was Warned and Pinancial Penalty was imposed The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs. 300.000/-	22-Jun-18	
			New Clients' Account was Imposed	NS.300,000/-		ACTIVE
132 133	112	Standard Capital Securities (Private.) Limited. AKD Securities Limited.	The Brokerage House was Advised The Brokerage House was Advised	-	22-Jun-18 20-Jun-18	ACTIVE
134	19 44	IGI Finex Securities Limited	The Brokerage House was Advised The Brokerage House was Advised	-	20-Jun-18 20-Jun-18	ACTIVE
135	50	Arif Habib Limited.	The Brokerage House was Advised		20-Jun-18	ACTIVE
136 137	129	Taurus Securities Limited Askari Securities Limited.	The Brokerage House was Advised	-	20-Jun-18 20-Jun-18	ACTIVE
137	150 284	Askan securices Limited. ASA Stocks (Private) Limited.	The Brokerage House was Advised Switching-off of trading terminals	-	20-Jun-18 20-Jun-18	ACTIVE
139	508	KP Securities (Private) Limited	Switching-off of trading terminals	-	20-Jun-18	TRADING TERMINALS SUSPENDED
140	348	AMCAP Securities (Private) Limited	Switching-off of trading terminals		19-Jun-18	TRADING TERMINALS SUSPENDED
141	63	AL Habib Capital Markets (Private.) Limited.	The Brokerage House was Advised	-	14-Jun-18	ACTIVE
142	116	Patel Securities (Private.) Limited.	The Brokerage House was Warned	-	12-Jun-18	ACTIVE
143 144	132	Eleven Stars Securities (Private.) Limited. AAA Securities (Private) Limited	The Brokerage House was Warned	-	12-Jun-18 7-Jun-18	ACTIVE
144	457 491	First Street Capital (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	7-Jun-18 7-Jun-18	ACTIVE
146	68	Zafar Securities (Private.) Limited.	The Brokerage House was Advised	-	31-May-18	ACTIVE
147	254	ShajarPak Securities (Private) Limited	The Brokerage House was Advised	-	31-May-18	ACTIVE
148 149	275	Rahat Securities Limited BMA Capital Management Limited	The Brokerage House was Advised The Brokerage House was Advised	-	31-May-18 24-May-18	ACTIVE
150	48	Next Capital Limited	The Brokerage House was Advised	-	24-May-18	ACTIVE
151	88	Fawad Yusuf Securities (Private) Limited.	The Brokerage House was Advised	-	24-May-18	ACTIVE
152 153	91 128	Intermarket Securities Limited. BIPL Securities Limited.	The Brokerage House was Advised The Brokerage House was Advised	-	24-May-18 14-May-18	ACTIVE
154	128	EFG Hermes Pakistan Limited	The Brokerage House was Advised	-	11-May-18	ACTIVE
155	266	Shaffi Securities (Private) Limited	Switching-off of trading terminals	-	16-Mar-18	ACTIVE
156	241	F.M. Securities (Private) Limited	The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs.1,000,000/-	9-Mar-18	TRADING TERMINALS SUSPENDED
157	328	128 Securities (Private) Limited	New Clients' Account was Imposed The Brokerage House was Warned and Penalized and Restriction On Opening of New Clients' Account was Imposed	Rs.1,000,000/-	1-Mar-18	ACTIVE
158	423	Millennium Securities & Investments	The Brokerage House was Warned	-	23-Feb-18	TRADING TERMINALS SUSPENDED
159 160	63	AL Habib Capital Markets (Private.) Limited.	The Brokerage House was Warned	-	21-Feb-18	ACTIVE
160	57 188	First Capital Equities Limited. Apex Capital Sec. (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.170,000/-	20-Feb-18 16-Feb-18	APPLIED FOR SURRENDER ACTIVE
162	311	Abbasi & Company (Private) Limited.	The Brokerage House was Warned	-	30-Jan-18	ACTIVE
163	72	TS Securities (Private) Limited.	The Brokerage House was Warned	-	29-Dec-17	ACTIVE
164 165	444 30	Xpert Securities (Private) Limited. Cedar Capital Private Limited.	Restriction on Trading in Clients' Account The Brokerage House was Warned	-	20-Dec-17 18-Dec-17	ACTIVE
166	281	Shaukat Shafi Securities (Private) Limited	Suspension of Trading Terminals	-	12-Dec-17	TRADING TERMINALS SUSPENDED
167	300	AM Chaudhary Securities (Private) Limited	Suspension of Trading Terminals	-	12-Dec-17	ACTIVE
168	155	B & B Securities (Private.) Limited.	The Brokerage House was Warned	-	11-Dec-17	ACTIVE
169	349	A.S. Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	11-Dec-17	TRADING TERMINALS SUSPENDED
170	55	Shajar Capital Pakistan (Private.) Limited	The Brokerage House was Warned	-	7-Dec-17	ACTIVE
171	63	AL Habib Capital Markets (Private.) Limited.	The Brokerage House was Warned	-	7-Dec-17	ACTIVE
172	96	MSMANIAR FINANCIALS (Private.) Limited.	The Brokerage House was Warned	-	7-Dec-17	ACTIVE
173 174	221	Millennium Brokerage ( Private.) Limited ShajarPak Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned		7-Dec-17 7-Dec-17	ACTIVE
175	254	Sethi Securities Private Limited.	The Brokerage House was Warned	-	7-Dec-17	ACTIVE
176	282	Salman Majeed Securities (Private.) Limited.	The Brokerage House was Warned	-	7-Dec-17	ACTIVE
177 178	318 327	Asad Mustafa Securities (Private.) Limited. Gul Dhami Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	ACTIVE
178	327	MoneyLine Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	ACTIVE
180	423	Millennium Securities & Investments	The Brokerage House was Warned	-	7-Dec-17	TRADING TERMINALS SUSPENDED
	-					
181	508	KP Securities (Private) Limited	The Brokerage House was Warned	-	7-Dec-17	TRADING TERMINALS SUSPENDED
182 183	7	Amanah Investments Limited. ACM Global (Private) Limited.	The Brokerage House was Advised	-	5-Dec-17 5-Dec-17	ACTIVE
183	11 25	ACM Global (Private) Limited. Vector Securities (Private.) Limited.	The Brokerage House was Advised The Brokerage House was Advised		5-Dec-17 5-Dec-17	ACTIVE
185	49	Multiline Securities (Private.) Limited.	The Brokerage House was Advised	-	5-Dec-17	ACTIVE
186 187	94	FDM Capital Securities (Private.)Limited	The Brokerage House was Advised	-	5-Dec-17	ACTIVE
187	131 144	GMI Capital Securities (Private.) Limited. Dattoo Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Advised	-	5-Dec-17 5-Dec-17	ACTIVE
189	45	Bhayani Securities (Private) Limited.	The Brokerage House was Warned	-	30-Nov-17	ACTIVE
190	90	Darson Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.625,000/-	30-Nov-17	ACTIVE
191	135	Y.H. Securities (Private) Limited. Seven Star Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	30-Nov-17 29-Nov-17	ACTIVE
		Apex Capital Sec. (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	29-Nov-17 29-Nov-17	ACTIVE
192 193	107				17-Nov-17	APPLIED FOR SURRENDER
192		Din Capital Limited.	Suspension of Trading Terminals		17-NOV-17	
192 193	188		Suspension of Trading Terminals The Brokerage House was Warned	-	17-Nov-17 16-Nov-17	Voluntary Trading Terminal Suspended
192 193 194 195 196	188 177	Din Capital Limited.				
192 193 194 195 196 197	188 177 497 142 37	Din Capital Limited. JSK Securities Limited Foundation Securities (Private.) Limited. H Mibaba Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned	-	16-Nov-17 14-Nov-17 13-Nov-17	Voluntary Trading Terminal Suspended ACTIVE ACTIVE
192 193 194 195 196	188 177 497 142	Din Capital Limited. JSK Securities Limited Foundation Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	16-Nov-17 14-Nov-17	Voluntary Trading Terminal Suspended ACTIVE

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
201	162	Aba Ali Habib Securities(Private.) Limited.	The Brokerage House was Warned	(ii aiiy) -	8-Nov-17	ACTIVE
202 203	183 265	R.T. Securities (Private.) Limited. Infinite Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	8-Nov-17 8-Nov-17	ACTIVE
204	288 432	Javed Iqbal Securities (Private) Limited	The Brokerage House was Warned	-	8-Nov-17 8-Nov-17	ACTIVE
205	432	Spinzer Equities(Private) Limited Din Capital Limited.	The Brokerage House was Warned The Brokerage House was Warned and Penalized and Restriction On Opening of New Clients' Account and Trading in House Account was Imposed	- Rs.1,000,000/-	3-Nov-17	ACTIVE APPLIED FOR SURRENDER
207	281	Shaukat Shafi Securities (Private) Limited	Switching-off of trading terminals	-	1-Nov-17	TRADING TERMINALS SUSPENDED
208 209	290 108	K.H.S. Securities (Private) Limited Azee Securities (Private.) Limited.	Switching-off of trading terminals	- Rs.450,000/-	30-Oct-17 25-Oct-17	ACTIVE
210	158	Royal Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	-	19-Oct-17	ACTIVE
211	348	AMCAP Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.25,000/-	19-Oct-17	TRADING TERMINALS SUSPENDED
212	329	S.D. Mirza Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	5-Oct-17	ACTIVE
213	300	AM Chaudhary Securities (Private) Limited	Switching-off of trading terminals	-	20-Sep-17	ACTIVE
214	513	CMA Securities (Private) Limited.	The Brokerage House was Warned	-	6-Sep-17	TRADING TERMINALS SUSPENDED
215 216	98	Fairtrade Capital Securities (Private.) Limited. Sherman Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Sep-17 30-Aug-17	ACTIVE
217	61	Abbasi Securities (Private) Limited.	The Brokerage House was Warned	-	23-Aug-17	ACTIVE
218 219	140 38	M. M. Securities (Private.) Limited. A.H.M.Securities (Private.) Limited.	The Brokerage House was Advised The Brokerage House was Warned		23-Aug-17 18-Aug-17	ACTIVE
220	51	H. P. Byramji & Co. (Private.) Limited.	The Brokerage House was Warned	-	18-Aug-17	ACTIVE
222	89 199	Investment Managers Sec. (Private.) Limited. Insight Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Advised	-	18-Aug-17 18-Aug-17	ACTIVE
223 224	148 328	Oriental Securities (Private) Limited 128 Securities (Private) Limited	The Brokerage House was Advised	-	11-Aug-17 3-Aug-17	ACTIVE
224	332	Trust Securities & Brokerage Limited.	Switching-off of trading terminals The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	31-Jul-17	ACTIVE
226	348	AMCAP Securities (Private) Limited	The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs.460,000/-	21-Jul-17	TRADING TERMINALS SUSPENDED
227	116	Patel Securities (Private.) Limited.	New Clients' Account was Continued The Brokerage House was Warned	-	10-Jul-17	ACTIVE
228 229	191 256	Market 786 (Private) Limited. Progressive Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.250.000/-	10-Jul-17 10-Jul-17	ACTIVE
230	6	Sherman Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penality was imposed The Brokerage House was Warned	-	5-Jul-17	ACTIVE
231 232	24 42	ASDA Securities (Private.) Limited. Icon Capital Management (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned		5-Jul-17 5-Jul-17	ACTIVE
233	56	Nael Capital (Private.) Limited.	The Brokerage House was Warned		5-Jul-17	ACTIVE
234 235	61 79	Abbasi Securities (Private) Limited. Irfan Mazhar Securities (Private.)Limited	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	ACTIVE
236	92	Memon Securities (Private) Limited.	The Brokerage House was Warned		5-Jul-17	ACTIVE
237 238	120 144	Alfalah Securities (Private.) Limited. Dattoo Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	ACTIVE
239	155	B & B Securities (Private.) Limited.	The Brokerage House was Warned	-	5-Jul-17	ACTIVE
240 241	237 482	IAK Securities (Private.) Limited. New Peak Securities(Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	APPLIED FOR SURRENDER ACTIVE
242	98	Fairtrade Capital Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	22-Jun-17	ACTIVE
243 244	108 112	Azee Securities (Private.) Limited. Standard Capital Securities (Private.) Limited.	Suspension of Trading Terminals The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.10,000/-	22-Jun-17 20-Jun-17	ACTIVE
245	6	Sherman Securities (Private.) Limited.	The Brokerage House was Warned	-	15-Jun-17	ACTIVE
246	490	Baba Equities (Private) Limited.	The Brokerage House was Warned	-	15-Jun-17	ACTIVE
247	513	CMA Securities (Private) Limited. Abbasi Securities (Private) Limited	The Brokerage House was Warned	-	15-Jun-17 12-Jun-17	TRADING TERMINALS SUSPENDED
248 249	61 126	Abbasi Securities (Private) Limited. NINI Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	12-Jun-17 12-Jun-17	ACTIVE
250	163	Friendly Securities ( Private ) Limited.	The Brokerage House was Warned	-	12-Jun-17	ACTIVE
251 252	308 350	Bridge Securities (Private) Limited Gulrez Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned		12-Jun-17 12-Jun-17	ACTIVE
253	481	Riaz Ahmed Securities (Private) Limited.	The Brokerage House was Warned	-	12-Jun-17	ACTIVE
254 255	523 442	Falki Capital Private Limited General Investment & Sec	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.30,000/-	12-Jun-17 9-Jun-17	ACTIVE
256 257	89 147	Investment Managers Sec. (Private.) Limited. A. I. Securities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	8-Jun-17 8-Jun-17	ACTIVE
258	38	A.H.M.Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Jun-17	ACTIVE
259 260	140 199	M. M. Securities (Private.) Limited. Insight Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Jun-17 7-Jun-17	ACTIVE
261	51	H. P. Byramji & Co. (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	5-Jun-17	ACTIVE
262	58 187	Dawood Equities Limited. SAZ Capital Securities (Private.)Limited	Suspension of Trading Terminals The Brokerage House was Warned	-	5-Jun-17 2-Jun-17	ACTIVE
264	339	Khawaja Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-	2-Jun-17	ACTIVE
265 266	420	Progressive Inves. Management (Private) Limited. Growth Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/- Rs.50,000/-	2-Jun-17 31-May-17	ACTIVE
267	490	Baba Equities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.10,000/-	31-May-17	ACTIVE
268	234	Value Stock and Commodities (Private) Limited.	The Brokerage House was Warned and Penalized and Restriction On Opening of New Clients' Account was Imposed	Rs.500,000/-	30-May-17	ACTIVE
269 270	14	Merchant Investments (Private.) Limited.	The Brokerage House was Warned	-	29-May-17	ACTIVE
270	31	Ashfaq Ashraf Securities (Private.) Limited. H. H. K. Securities (Private) Limited.	Switching-off of trading terminals	-	29-May-17	APPLIED FOR SURRENDER
	59		Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
272	60	R. A Securities (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
273 274	86 93	Fort Securities (Private) Limited. Venus Securities (Private) Limited.	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED
275	106	MAC Securities (Private) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
276	111	S M Securities (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
277	123	MAS Capital Sec. (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
278	164	Interactive Securities (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	ACTIVE
279	198	Motiwala Securities (Private.) Limited.	Switching-off of trading terminals	- Br 00 0001	29-May-17	TRADING TERMINALS SUSPENDED
280	203	Brains Securities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.80,000/-	29-May-17	ACTIVE
281	220	Z M Capital (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
282 283	223 236	MAAN Securities (Private.) Limited. Techno Fundamental Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned	-	29-May-17 29-May-17	ACTIVE NOTICE FOR SURRENDER
284	299	Allied Securities (Private) Limited	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
285	319	Sher Capital Equities (Private) Limited.		-	29-May-17	TRADING TERMINALS SUSPENDED
			Switching-off of trading terminals			
286	324	Union Securities (Private) Limited	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
287	333	BMS Capital (Private) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
288	441	S.A Securities (Private) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
289	455	Prime Capital Management (Private) Limited	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
290	500	Chippa Securities (Private) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
291	501	Ahsam Securities (Private) Limited.	Switching-off of trading terminals	-	29-May-17	ACTIVE
292	510	JCR Capital Securities (Private) Limited	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
293 294	517 148	South Asian Securities (Private) Limited. Oriental Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned	-	29-May-17 26-May-17	NOTICE FOR SURRENDER ACTIVE
295	286	Dosslani's Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.40,000/-	26-May-17	ACTIVE
296 297	275 90	Rahat Securities Limited Darson Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.50,000/-	23-May-17 15-May-17	ACTIVE
298	28	Ghani Osman Securities (Pvt.) Ltd.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.75,000/-	15-May-17	ACTIVE
299 300	90 297	Darson Securities (Private.) Limited. MSD Capital Equities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed Suspension of Trading Terminals	Rs.50,000/-	26-Apr-17 26-Apr-17	ACTIVE

No.         No.         No.         No.         No.         No.         No.         No.           No.         No.         No.         No.         No.         No.         No.           No.         No.         No.         No.         No.         No.         No.         No.           No.         No.         No.         No.         No.         No.         No.         No.           No.	S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
III         III         III         III         III         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII		107	Seven Star Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed		20-Apr-17	ACTIVE
im	302			The Brokerage House was Warned			ACTIVE
Bit         Bit <td>303</td> <td></td> <td></td> <td></td> <td></td> <td>13-Apr-17</td> <td></td>	303					13-Apr-17	
a)     b)     b)     b)     b)     b)     b)       b)     a)     a)     a)     b)     <	304		Adeel & Nadeem Securities (Private) Limited		Rs. 60,000/-	13-Apr-17	
9         9	305		General Investment & Sec		- R: 75.000/-	13-Apr-17 12-Apr-17	
Image         Control score (myscape)         Control score (myscape) <thcontro (myscape)<="" score="" th="">     &lt;</thcontro>							
Image         Secure department         F. P.         Manual Statement         F. P.         Manual Statement           IM         Secure department	307	348	AMCAP Securities (Private) Limited	Suspension of Trading Terminals	-	5-Apr-17	TRADING TERMINALS SUSPENDED
101101201200<				The Brokerage House was Warned	-		ACTIVE
No.         Product Sources Protocy Protocy (Note)         Protocy Pr	309	12	Reliance Securities Limited.	Suspension of Trading Terminals	-	8-Mar-17	APPLIED FOR SURRENDER
DDDescriptionDescriptionDescriptionDescription1019Section (Marcia)The Description (Marcia)The Description (Marcia)DescriptionDescription101010Section (Marcia)The Description (Marcia)DescriptionDescription101010Section (Marcia)The Description (Marcia)DescriptionDescription101010Section (Marcia)The Description (Marcia)DescriptionDescription1010Section (Marcia)The Description (Marcia)DescriptionDescriptionDescription1010Section (Marcia)The Description (Marcia)DescriptionDescriptionDescription1110Section (Marcia)The Description (Marcia)DescriptionDescriptionDescription1210Section (Marcia)The Description (Marcia)DescriptionDescriptionDescription1310Section (Marcia)The Description (Marcia)DescriptionDescriptionDescription <t< td=""><td>310</td><td>299</td><td>Allied Securities (Private) Limited</td><td>Suspension of Trading Terminals</td><td>-</td><td>8-Mar-17</td><td>TRADING TERMINALS SUSPENDED</td></t<>	310	299	Allied Securities (Private) Limited	Suspension of Trading Terminals	-	8-Mar-17	TRADING TERMINALS SUSPENDED
ID         ID<				Suspension of Trading Terminals	-		TRADING TERMINALS SUSPENDED
BBB <th< td=""><td></td><td></td><td></td><td></td><td>-</td><td></td><td></td></th<>					-		
image         image         image         image         image         image         image           image         ima						3-Mar-17	
101010101001001001001001001001001010100 <t< td=""><td>314</td><td></td><td></td><td></td><td>Rs.30,000/-</td><td>28-Feb-17</td><td></td></t<>	314				Rs.30,000/-	28-Feb-17	
10100100100100100100100100101100 </td <td></td> <td></td> <td></td> <td>The Brokerste House was Warned and Eleancial Renality was Impored</td> <td>Br 10.000/</td> <td></td> <td></td>				The Brokerste House was Warned and Eleancial Renality was Impored	Br 10.000/		
III         Disk         Main Scotter Prote Linked         Tackstorer traue and Workstore Prote Scotter Proteons Scotter Proteons Scotter Proteons Proteons Scotter Proteons Prote		63				22-Feb-17	
pite         Auto with some of a softward of a softwar	318	120	Alfalah Securities (Private.) Limited.	The Brokerage House was Warned	-	22-Feb-17	
Bits         Data         Data base base base base base         Data base base base base base base base bas	210	150	Askari Securities Limited	The Exchange vide its Enforcement Order upheld The original decisions i.e. penalty	Bc 10.000/	20-Eeb-17	
Image         Image <th< td=""><td></td><td></td><td></td><td>of Rs.10,000/-was imposed</td><td></td><td></td><td></td></th<>				of Rs.10,000/-was imposed			
ID         Interb Encomponent Starter of an Events in Starter of an Event					Rs.10,000/-		
Dist         Dist is intermining intermininterminintermining intermininterminintermining intermining inter					-		
Image         Image         Matrix Matrix Number Num					Rs.10,000/-		
D1D2 <td></td> <td></td> <td>M. Munir Khanani Securities (Private.) Limited.</td> <td></td> <td>- Rs 10 000/-</td> <td></td> <td></td>			M. Munir Khanani Securities (Private.) Limited.		- Rs 10 000/-		
BADate Source Sour	325				-		
744A. Mater. Manual Scoring Priority MarkingThe Data Start Score Strange Priority Marking8.130001919-173A. C. T. A. T. T. A. T. T. A. T. T. T. T. S.	326				-		
1011021				The Brokerage House was Warned and Financial Penalty was Imposed	Rs.10,000/-		
1000         2990         Abst Summing Private Limits         1         24.00.1         7.400.01 TRAMMAN SUMMING           111         4.41         A Schenting Private Limits         -         2.300.7         7.400.7           113         4.41         A Schenting Private Limits         The Interarge Neare and Andre Taring Private Limits         8.500.00         2.300.7         7.400.7           113         4.41         A Schenting Private Limits         The Interarge Neare and Neare Andre Schening         8.500.00         2.800.7         2.800.8         Archive           113         6.40         Advantual America Limits         The Interarge Neare Neare Neare         -         7.800.7         7.800.8         3.800.00         7.800.7         7.800.8         3.800.00         7.800.7         7.800.8         3.800.00         7.800.7         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.70         7.800.8         7.800.8         7.800.8	328	62	WE Financial Services Limited.		-	18-Jan-17	TRADING TERMINALS SUSPENDED
Image         AM Canadary iscurits Private Junited         Image         Automatical Stream         Automatical Stream           10         4.10         A Saccoff private Junited         The Sametry stream	329	27	Habib Metropolitan Financial Services Lt	The Brokerage House was Warned	-	9-Jan-17	ACTIVE
11         120         All Cauchary Securits Private) Linked         Control         Co	330	799	Allied Securities (Private) Limited			2-Jan-17	TRADING TERMINALS SUSPENDED
131         2441         5.4 Security Private Juncif.         2.3 Jan 20         73.000 TEMMANUSCERN           133         134         246 MS Society Envirate Juncif.         The Biotrage income and Society and Encirate Franket Income and Encirate Franket In							
Interpretation         Interpretation and Wanneed And Prescop Process Wanneed and Faccop Process Wanneed And Process P					-		TRADING TERMINALS SUSPENDED
Interpretation         Interpretation and Wanneed And Prescop Process Wanneed and Faccop Process Wanneed And Process P	222	104	MPA Sociultion Limited	The Decision of Henry Warrend and Flow del Decision and the second	Br 35.000/	36 Doc 16	ACTIVE
155         4330         Mulanam Amer Rai Scontier (privac) (united         The Bosterage House and Vineal Amer Rais         4.77 (1)           157         56         SM Sconties (Privabul Limited.         The Bosterage House and Vineal Amer Rais         15.000.15         9.900.16         0.000.10           157         56         SM Sconties (Privabul Limited.         The Bosterage House and Wanted         0.000.15         0.000.17         9.900.16         0.000.17           157         150.000.16         March Yong United.         The Bosterage House and Wanted         0.000.16         0.000.16         0.000.17         0.000.16         0.000.17         0.000.16         0.000.10         0.000.16         0.000.17         0.000.16         0.000.17         0.000.16<	333			The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed		20-Dec-16	
10         Data Data Socialis Privacia Junitad.         The two serge tools as Wared and Facial Paraly assessmed.         15.00001         45.00001         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         90:0013         70:0015         <			Muhammad Amer Riaz Securities (Private) Limited		-	22-Dec-16	
1719990.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000090.00000000090.0000000000090.00000000000090.00000000000000000000000000000000000	336		Darson Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50000/-	15-Dec-16	ACTIVE
199         2.03         Pergense twos. Management (Protein) landed.         International structures in the structure for structures in the structure in the st	337	95	SNM Securities (Private) Limited.		Rs.50,000/-	9-Dec-16	TRADING TERMINALS SUSPENDED
Model         Model         The Bokerge House was Wared and Transit Parkly are ingreed         F. D.         Dec. 10         TRADNAT SUSPEN           111         113         Na Godd United         The Bokarge House was Wared and Transit Parkly was ingreed         F. D. Stoch 10         ACTIVE           114         113         Was Scanter (Private) Limited.         The Bokarge House was Wared and Transit Parkly was ingreed.         F. D. Stoch 10         ACTIVE           114         113         Was Scanter (Private) Limited.         The Indexarge House was Wared and Transit Parkly was ingreed.         F. D. Was Scanter (Private) Limited.         ACTIVE ACTIVE           115         113         Was Doubly Scanter (Private) Limited.         The Indexarge House was Wared         F. D. B. Stach 10         ACTIVE ACTIVE           116         114         ACTIVE Control (Private) Limited.         The Indexarge House was Wared         F. D. B. B. Stach 10         ACTIVE ACTIVE ACTIVE           116         Part Scanter (Private) Limited.         The Indexarge House was Wared         F. D. B. B. B. B. B. B. Stach 10         ACTIVE ACTI		117	Fikree's Private Limited	The Brokerage House was Warned	-	9-Dec-16	ACTIVE
International and a structure in the structure in t	339	420	Progressive Inves. Management (Private) Limited.	The Brokerage House was Warned	-	7-Dec-16	ACTIVE
342         466         Uses Sourciss (Private) Lumide         The Boarder groups was Wared         0.         5 for 30         A CTUE           341         112         Soundard Sourciss (Private) Lumided.         The Boarder groups was Wared and Fancial Pearly was Inposed         R. 6, 000/.         30 How 50         ACTUE           343         Soundard Sourciss (Private) Lumided.         The Boarder groups was Wared and Fancial Pearly was Inposed         R. 6, 000/.         30 How 50         ACTUE           344         Jam 50         March Soundard Soundard How 50         ACTUE         ACTUE         ACTUE           347         High and Sourcites (Private) Lumided.         The Boarder Boors was Wared         C.         23 How 56         ACTUE           348         266         How 56         ACTUE         ACTUE         ACTUE           349         118         Pearl Sourcites Lumide         The Boarder Boors was Wared         C.         23 How 56         ACTUE           341         118         Pearl Sourcites Lumide         The Boarder Boors was Wared         R. 10000//         13 How 56         ACTUE           341         220         Marchal Sourcites Private Jumide.         The Boarder Boors was Wared         R. 10000//         13 How 56         ACTUE           351         353         Marabal Sourcit				The Brokerage House was Warned			TRADING TERMINALS SUSPENDED
311         3112         Standard Capital Societtie (Privite) Limited.         The Indiverse Notes and Wanned and Faccial Presely was introde and Res. (DMC)         31.05%         30.05% <t< td=""><td></td><td></td><td></td><td></td><td>Rs.150,000/-</td><td></td><td></td></t<>					Rs.150,000/-		
141         28         Gen/Logan/Securits (Private) Limited.         The boxyma sex World and Tancial Provids with an end tancing of trading terminals.         8.9.000/-         1.9.900-16         ACTIVE           345         100         And Casulma securities (Private) Limited.         The boxyma sex World and Limited.         -         2.980-16         ACTIVE           346         11         AND Securities (Private) Limited.         The boxyma sex World and Limited.         -         2.980-16         ACTIVE           347         257         High Ladd Securities (Private) Limited.         The boxyma sex World and Limited.         -         2.880-16         ACTIVE           348         266         MOM Securities (Private) Limited.         The boxyma sex World and Fracult Securities (Private) Limited.         -         2.880-16         ACTIVE           350         116         Intermediat Securities (Private) Limited.         The Boxyma Securities (Private) Limited.         -         2.480-16         ACTIVE           351         120         Intermediat Securities (Private) Limited.         The Boxyma Securities (Private) Limited.         -         2.140-16         ACTIVE           352         214         Gett Securities (Private) Limited.         The Boxyma Securities (Private) Limited.         -         2.140-16         ACTIVE           353         118<	342			The Brokerage House was Warned	-		
135         300         AM Charakhary Sacuritie (Private) Lumined         Saturities of Trading terminals         -         39.0%-16         A.T.W.E           147         287         High Lund Sacurities (Private) Lumined         The Biokarge House was Warned         -         28.4%-16         A.T.W.E           147         287         High Lund Sacurities (Private) Lumined         The Biokarge House was Warned         -         28.4%-16         A.T.W.E           148         266         Mode Sacurities (Private) Lumined         The Biokarge House was Warned         -         28.4%-16         A.T.W.E           149         266         Mode Sacurities (Private) Lumined         The Biokarge House was Warned         -         28.4%-16         A.T.W.E           151         151         Made Sacurities (Private) Lumined         The Biokarge House was Warned         8.5.100.000         18.4%-16         A.C.W.E           152         150         Alka Sacurities (Private) Lumined.         The Biokarge House was Warned         -         18.4%-16         A.C.W.E           153         121         Alka Andi Sacurities (Private) Lumined.         The Biokarge House was Warned         -         11.4%-16         A.C.W.E           154         121         Alka Andi Sacurities (Private) Lumined.         The Biokarge House was Warned         -				The Brokerage House was Warned	- Br 60.000/		
149         AD Security Limited.         The Bookage Numed         -         2 Physical Security Control         A Crite           342         227         High Lad Security (Private) Limited         The Bookage Numed         -         2 Physical Security (Private) Limited         A Crite           348         266         MGM Security (Private) Limited         The Bookage Numed         -         2 Physical Security (Private) Limited         A Crite           351         115         Paral Security (Private) Limited         The Bookage Numed and Fanacity Paraly Numed         -         2 Physical Security (Private) Limited         A Crite           351         125         Paral Security (Private) Limited         The Private Numed and Fanacity Paraly Numed         8. No.2000         1 Physical Security (Private) Limited         A Crite           352         124         Paral Security (Private) Limited         The Riverset Plocake was Warned and Fanacity Paraly Numed         -         1 Physical Security (Private) Limited         A Crite           355         124         Mark Aphil Socurity (Private) Limited         The Biokage Noise was Warned and Fanacity Paraly Numed         -         1 Physical Acrite         A Crite           356         124         Mark Aphil Socurity (Private) Limited         The Biokage Noise was Warned         -         1 Physica Acrite         A Crite					K3. 00,000/-		
101         217         High and Securities (Invited) lumited         117 the Biokerage House was Warned         -         28-No-16         ACTURE           184         266         Fortune Securities Limited         The Biokerage House was Warned         -         23-No-16         ACTURE           185         461         Fortune Securities Limited         The Biokerage House was Warned         -         24-No-16         ACTURE           181         116         Fast Securities Limited.         The Biokerage House was Warned         -         24-No-16         ACTURE           181         116         Fast Securities Limited.         The Biokerage House was Warned         -         24-No-16         ACTURE           181         262         OPI Securities (Private) Limited.         The Biokerage House was Warned         No.         24-No-16         ACTURE           181         262         OPI Securities (Private) Limited.         The Biokerage House was Warned         No.         11-No-16         ACTURE           185         113         Trans Securities (Private) Limited.         The Biokerage House was Warned         11-No-16         ACTURE           185         113         Trans Securities (Private) Limited.         The Biokerage House was Warned         11-No-16         ACTURE           181							
348         296         MGM Scurities (Private) lumided         ATTVE           350         46.0         91.0         Intermated Scurities Limited.         ATTVE           350         91.0         Intermated Scurities Limited.         The Brokerge House was Warned         -         24-Nor-16         AtTVE           351         115.0         Patial Scurities Limited.         The Brokerge House was Warned         -         24-Nor-16         AtTVE           352         120.0         Attalin Scurities (Private) Limited.         The Brokerge House was Warned         -         24-Nor-16         AtTVE           353         120.0         Attalin Scurities (Private) Limited.         The Brokerge House was Warned         -         11-Nor-16         AtTVE           354         130         Mark All Scurities (Private) Limited.         The Brokerge House was Warned         -         11-Nor-16         AtTVE           357         130         Mark All Scurities (Private) Limited.         The Brokerge House was Warned         -         11-Nor-16         AtTVE           358         130         Mark All Scurities (Private) Limited.         The Brokerge House was Warned         -         11-Nor-16         AtTVE           351         140         Mark All Scurities (Private) Limited.         The Brokerge House was Warned<		287	High Land Securities (Private) Limited			28-Nov-16	
310         91         Internated Securities (Initiated, Initiated, Initiated)         0.1         0.4         0.44kwortis         0.44kwortis           312         110         Altah Securities (Invitate) (Initiated, Initiated)         The Brokenge House was Warned and Financial Penalty was Insported          2.44kwort.6         Aktroppen Machines           312         120         Altah Securities (Invitate) (Initiated)         The Brokenge House was Warned and Financial Penalty was Insported          2.14kwort.6         Aktroppen Machines           313         Brokenskies (Invitate) (Initiated)         The Brokenge House was Warned and Financial Penalty was Insported          1.14kwort.6         Aktroppen Machines           314         Initiated Securities (Invitate) (Initiated)         The Brokenge House was Warned and Financial Penalty was Insported          1.14kwort.6         Aktroppen Machines           315         Initiated Securities (Invitate) (Initiated)         The Brokenge House was Warned          1.14kwort.6         Aktroppen Machines	348				-		ACTIVE
116         115         115         116 <td></td> <td></td> <td></td> <td>The Brokerage House was Warned</td> <td>-</td> <td>25-Nov-16</td> <td>ACTIVE</td>				The Brokerage House was Warned	-	25-Nov-16	ACTIVE
120         Mail Securits: Pinkel, Junited.         The Brokenge House wa Warned         I.         24 Hov-16         Active I           551         252         GM-Capital Management Lunited         The Brokenge House wa Warned and Financial Pendry was imposed         R.100,000/         15 Hov-16         Active I           554         220         MAC Apital Management Lunited.         The Brokenge House was Warned and Financial Pendry was imposed         R.100,000/         15 Hov-16         Active I           565         210         All And Socurities (Pinkel Lunited.         The Brokenge House was Warned and Financial Pendry was imposed         R.100,000/         15 Hov-16         Active I           565         210         All And Socurities (Pinkel Lunited.         The Brokenge House was Warned         -         11 Hov-16         Active I           576         16 Sta Socurities (Pinkel Lunited.         The Brokenge House was Warned         -         11 Hov-16         Active I           571         1700         Socurities (Pinkel Lunited.         The Brokenge House was Warned and Financial Pendry was Imposed         R.5         -         11 Hov-16         Active I           572         1710         Koous Socurities (Pinkel Lunited.         The Brokenge House was Warned and Financial Pendry was Imposed         R.5         -         Ative I         Active I	350		Intermarket Securities Limited.		-	24-Nov-16	
35         262         Offscurities (Private) Limited         Offscher Beschwart Waren and Finaudi Paraly weinposed         8.100,000         1214-00-10           355         18         Small plad Securities (Private) Limited.         The Brokernge House was Warend and Finaudi Paraly weinposed         8.100,000         134-00-10         Addition of Securities (Private) Limited.         Addition of Securities (P	351		Patel Securities (Private.) Limited.		Rs. 20,000/-	24-Nov-16	
154         122         MA Capital Management Lumined         The Bookrage House was Warned and Fauncial Fearbity was imposed         8.100,000/.         13.4%-0.5         Artror           155         113         Immal (bab Scuttise (Private) Lumited.         The Bookrage House was Warned and Financial Fearbity was imposed         N.10000/.         11.4%-0.15         Artror           156         11         Ma And Scuttise (Private) Lumited.         The Bookrage House was Warned         -         11.1%-0.15         Artror           157         97         Bet Scuttise (Private) Lumited.         The Bookrage House was Warned         -         11.1%-0.15         Artror           158         113         Tamu Scuttise (Private) Lumited.         The Bookrage House was Warned         -         11.1%-0.16         Artror           163         124         House Machine Lumited.         The Bookrage House was Warned         -         11.1%-0.16         Artror           164         125         House Machine Lumited.         The Bookrage House was Warned         -         11.1%-0.16         Artror           165         126         Andro Scuttise (Private) Lumited.         The Bookrage House was Warned and Financial Pearly was Impooded         85.2000/         10.4%-16         Artror           166         245         Saff Saff Saff Saff Saff Saff Saff Saff	352				-		
185         186         Inst lph3 Securities (Private) Limited.         The Brokerge House wa Warned on Financial Penalty was imposed         85. 100,000.         15.4%-0.5         ArtCrite           257         Alls Adh Socurities (Private) Limited.         The Brokerge House wa Warned          11.4%-16         ArtCrite           257         PT         Bet Socurities (Private) Limited.         The Brokerge House wa Warned          11.4%-16         ArtCrite           258         110         Monos Socurities (Private) Limited.         The Brokerge House wa Warned          11.4%-16         ArtCrite           259         160         Monos Socurities (Private) Limited.         The Brokerge House wa Warned          11.4%-16         ArtCrite           251         Horion Socurities (Private) Limited.         The Brokerge House wa Warned          11.4%-16         ArtCrite           261         64         120         Anto Socurities (Private) Limited.         The Brokerge House wa Warned         Rs.100,007         10.4%-16         ArtCrite           273         Monos As Kansen Socurities (Private) Limited.         The Brokerge House wa Warned and Financial Penalty was impood         Rs.20,007         10.4%-16         ArtCrite           274         Broke Socia (Private) Limited.         The Brokerge House wa Warned a			BMA Capital Management Limited	The Brokerage House was Warned and Einancial Penalty was Imposed	Rs 100 000/-		
55         71         MA bit Scurities (Private Lunited.         Interdence Warmed         Interd	355	18			Rs. 100,000/-	15-Nov-16	
37         97.7         815 curities (Private) lumited.         17.18 bescarties (Private) lumited.         17.18 bescarit (Private) lumited.         17.18 bescarit (Private) l							
190         Monace Securitie (Private Limited.         The Biokage House wa Warned         -         114wo-16         Actrue           361         155         Investile Capital (Private Limited.         The Biokage House wa Warned         -         114wo-16         Actrue           361         155         Investile Capital (Private Limited.         The Biokage House wa Warned         -         114wo-16         Actrue           361         252         Hotion Securities (Invited.         The Biokage House wa Warned of Financial Pravity was Imposed         Rs. 2500/:         104wo-16         Actrue           363         94         FDM Capital Securities (Invite Limited.         The Biokarge House wa Warned of Financial Pravity was Imposed         Rs. 10000/:         104wo-16         Actrue           364         253         Jandhuid R Hamas Securities (Invite) Limited         The Biokarge House wa Warned         -         94wo-16         Actrue           365         307         Annet Scurities (Invite) Limited         The Biokarge House wa Warned         -         94wo-16         Actrue           366         303         Juntas Accuties (Invite) Limited         The Biokarge House wa Warned         -         94wo-16         Actrue           367         42         Warde Scurities (Invite) Limited         The Biokarge House wa Warned				The Brokerage House was Warned	-		ACTIVE
360         185         Institu Capital (Private) lumited.         The Biocharge House was Warned         -         114-Wo-16         ACTIVE           381         212         Horton Securities (Private) Lumited.         The Biocharge House was Warned on Financial Penalty was Imposed         85. 25,000.         104-Wo-16         ACTIVE           382         648         Zhris Securities (Private) Lumited.         The Biocharge House was Warned on Financial Penalty was Imposed         85. 25,000.         104-Wo-16         ACTIVE           384         941         PIM Capital Securities (Private) Limited.         The Biocharge House was Warned on Financial Penalty was Imposed         85. 20,000.         104-Wo-16         ACTIVE           384         Amer Securities (Private) Limited.         The Biocharge House was Warned on Financial Penalty was Imposed         85. 20,000.         304-Wo-16         ACTIVE           386         387         Marcé Socurities (Private) Limited.         The Biocharge House was Warned         -         94-Wo-16         ACTIVE           387         382         Next Socurities (Private) Limited.         The Biocharge House was Warned         -         44-Wo-16         ACTIVE           388         482         New Peak Socurities (Private) Limited.         The Biocharge House was Warned         -         24-Oct-16         ACTIVE           393	358				-		
S1         S212         Motion Securities Limited.         Image Motions Securities Private Limited.         Image Motion Securitie					-		
322         68         Zaff Securities (Private) Limited.         The Brokenge House was Warned and Financial Penalty was Imposed         8.5.2000/         10.48voi 5         ACTIVE           364         94         ITMA Egital Securities (Private) Limited         The Brokenge House was Warned and Financial Penalty was Imposed         8.5.2000/         10.48voi 5         ACTIVE           364         235         Amnthald & Kasan Securities (Private) Limited         The Brokenge House was Warned and Financial Penalty was Imposed         8.5.2000/         10.48voi 5         ACTIVE           365         374         Amer Securities (Private) Limited.         The Brokenge House was Warned         -         9.48voi 5         ACTIVE           366         309         HMC Stocks (Private) Limited.         The Brokenge House was Warned         -         9.48voi 5         ACTIVE           367         232 Stock variates (Private) Limited.         The Brokenge House was Warned         -         4.4voi 50         ACTIVE           368         482         New Poek Securities (Private) Limited.         The Brokenge House was Warned         -         4.4voi 50         ACTIVE           370         510         Gascurities (Private) Limited.         The Brokenge House was Warned         -         2.4coci 16         ACTIVE           371         6         Sherma Securities (Pr					-	11-Nov-16	
94         94         70. Capital Securities (Private) Limited         The Brockenge House Warned on Financial Finan	362				Rs. 25,000/-	10-Nov-16	
364         235         Annual & Nationa Securities (Private) Limited         The Biochage House was Warned of Inscinal Frankty was properties (Private) Limited         NOTICE OS SUBRENCINCE           365         377         Amere Securities (Private) Limited         The Biochage House was Warned of Inscinal Frankty was properties (Private) Limited         Art Tree           366         370         HMC Scoke (Private) Limited         The Biochage House was Warned         -         54%-15         Art Tree           367         329         S. D. Miras Securities (Private) Limited         The Biochage House was Warned         -         54%-15         Art Tree           368         482         New Peak Securities (Private) Limited         The Biochage House was Warned         -         4400-15         Art Tree           379         98         Rardface Capital Securities (Private) Limited         The Biochage House was Warned         -         4240-15         Art Tree           370         510         RSCapital Securities (Private) Limited         The Biochage House was Warned         -         240-15         Art Tree           371         6         Sherman Securities (Private) Limited.         The Biochage House was Warned         -         240-15         Art Tree           372         171         AMMC Securities (Private) Limited.         The Biochage House was Warned	363						
66     909     MK Stock (Private) Limited.     Private Constraints (Private) L	364	235	Jamshaid & Hasan Securities (Private) Limited	The Brokerage House was Warned	-	10-Nov-16	
197         193         10. Mirrs Scouties (Private) Limited         The Bookgrage House was Warned         -         9 More 16         Active           198         462         New Peek Scouties (Private) Limited         The Bookgrage House was Warned         -         4 More 16         Active           199         98         Fairtode Capital Securities (Private) Limited         The Bookgrage House was Warned and Financial Penalty was Imposed         85.20,000/-         31.00:16         ACTIVE           190         510         LR Capital Securities (Private) Limited         The Bookgrage House was Warned         -         42.40:16         ACTIVE           171         61         Bereman Securities (Private) Limited.         The Bookgrage House was Warned         -         22.40:16         ACTIVE           172         APIL Securities (Private) Limited.         The Bookgrage House was Warned         -         22.40:16         ACTIVE           173         48         Hore Capital Limited.         The Bookgrage House was Warned         -         22.40:16         ACTIVE           174         490         Daron Securities (Private) Limited.         The Bookgrage House was Warned         -         13.40:16         ACTIVE           175         62         WE Financial Services Limited.         The Bookgrage House was Warned         -				The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-		
482         More Paak Securities (Private) Limited         The Brokerage House was Warned and Financial Pearly was imposed         No.         A More Financial Pearly was More and Pearly was imposed         No.         A Hours Financial Pearly was imposed         No.         No.         A Hours Financial Pearly was imposed         No.         No.         No.         No.         A Hours Financial Pearly was imposed         No.         No.         No.         A Hours Financial Pearly was imposed         No.         No.         No.         A Hours Financial Pearly was imposed         No.				The Brokerage House was Warned	-		
99         98         Partnade Capital Securities (Private) Limited.         The Brokerage House was Warned and Financial Penalty was Imposed         98.20,000/.         31.0d:16         ACTIVE           70         510         LR Capital Securities (Private) Limited.         Switching (of triang terminals)         -         31.0d:16         TADION TERMINALS SUPEN           717         66         Sherman Securities (Private) Limited.         The Brokerage House was Warned         -         24.0d:16         ACTIVE           717         AMPL Securities (Private) Limited.         The Brokerage House was Warned         -         24.0d:16         ACTIVE           717         AMPL Securities (Private) Limited.         The Brokerage House was Warned         -         24.0d:16         ACTIVE           718         48         Hext Capital Limited.         The Brokerage House was Warned         -         24.0d:16         ACTIVE           718         61         H.F. Brygning Limited.         The Brokerage House was Warned         -         19.0d:16         ACTIVE           717         50         Aff Hable Limited.         The Brokerage House was Warned         -         19.0d:16         ACTIVE           717         50         Aff Hable Limited.         Restriction On Buy Stee Financiations         -         11.90d:16         ACTIVE					-		
No.         Optimized Securities (Private ) lumited.         Optimized Securities (Private					Rs.20,000/-		
171         AMPL Securities (Private ) lumited.         The Bioleware House was Warned.         -         24-Out 55         Artrore.           173         48         Hext Capital Limited.         The Bioleware House was Warned.         -         21-Out 16         Artrore.           174         490         bion Securities (Private ) Limited.         The Bioleware House was Warned.         -         21-Out 16         Artrore.           174         90         Duron Securities (Private ) Limited.         The Bioleware House was Warned.         -         19-Out 16         Artrore.           175         61         H.F. Byrangi & G. (Private ) Limited.         Restriction on Buy Stee Transactions.         -         14-Out 16         Artalon Stee Transacti		510		Switching-off of trading terminals	-	31-Oct-16	TRADING TERMINALS SUSPENDED
173         48         NetCapital Limited         The Brokenge House was Warned          21-02-16         AcTIVE           174         400         Daron Securities Privatel Jumited.         The Brokenge House was Warned          19-02-16         ACTIVE           175         60.2         WE Financial Services Limited.         The Brokenge House was Warned          19-02-16         ACTIVE           176         50.4         MF Haulia Limited.         The Brokenge House was Warned          19-02-16         ACTIVE           177         50.4         Arf Haulia Limited.         Restriction Buy Side Transactions          11-02-15         ACTIVE           178         0.4         Arf Haulia Limited.         The Brokenge House was Warned          11-02-15         ACTIVE           179         6.3         Arf Haulia Limited.         The Brokenge House was Warned          11-02-15         ACTIVE           170         16.4         MSANAIAR FINANCAS (Private) Limited.         The Brokenge House was Warned          11-02-15         ACTIVE           170         16.5         MSANAIAR FINANCAS (Private) Limited.         The Brokenge House was Warned          15-02-16         ACTIVE           170         C			Sherman Securities (Private.) Limited.		-		
174         90         Decon Securities (Private) lumited.         The Brokenge House was Warned          19 Oct.16         ACTIVE           175         612         W Efnancial Services Limited.         The Brokenge House was Warned          18 Oct.16         TADAING TERMINALS SUPEL           176         61.         H. P. Syrangi Los (Onivate) Limited.         Personal Services Limited.          14 Oct.16         AttADING TERMINALS SUPEL           177         50         Af Hable Limited.         Personal Services Limited.          14 Oct.16         AttADING TERMINALS SUPEL           178         63.         Af Hable Limited.         The Brokenge House was Warned           AttADING TERMINALS SUPEL           179         18.         Mallepaid Securities (Private) Limited.         The Brokenge House was Warned           AttADING TERMINALS SUPEL           18.         MSMARK TRANKALS (Private) Limited.         The Brokenge House was Warned           AttADING TERMINALS SUPEL           18.         JS10.         ACRUATE (Private) Limited.         The Brokenge House was Warned           AttADING TERMINALS SUPEL           18.         JS10.         ACRUATE (Private) Limited.         The Brokenge House was Warned <td></td> <td></td> <td>AMPLE Securities (Private.) Limited.</td> <td></td> <td>-</td> <td></td> <td></td>			AMPLE Securities (Private.) Limited.		-		
375         62         WE Financial Services Limited.         The Brokerage House was Warned         -         18-Oct-16         TRADING TERMINALS SUSPEN           376         51         R-P praying & Co. (Private L) Limited.         Restriction On Buy Side Transactions         -         14-Oct-16         ACTIVE           377         50         Aff Habits Co. (Private L) Limited.         The Brokerage House was Warned         -         13-Oct-16         ACTIVE           378         63         AL Habits Capital Markets (Private L) Limited.         The Brokerage House was Warned         -         13-Oct-16         ACTIVE           379         18         Isounited.         The Brokerage House was Warned         -         13-Oct-16         ACTIVE           379         18         Isounited.         The Brokerage House was Warned         -         13-Oct-16         ACTIVE           379         18         Isounited.         The Brokerage House was Warned         -         13-Oct-16         ACTIVE           370         MSAMAMAR TINKOKALS (Private L) Limited.         The Brokerage House was Warned         -         13-Oct-16         ACTIVE           381         510         JRC Capital Securities (Private) Limited.         The Brokerage House was Warned         -         13-Sep-16         ACTIVE	3/3				-		
376         51         H.P. Byramji & Co. (Private.) Limited.         Restriction On Buy Side Transactions         -         14 Oct.16         Active           377         50         Art Habic Dutiled.         The Brokenge House was Warned         -         13 Oct.16         ACTIVE           378         63         Art Habic Dutiled.         The Brokenge House was Warned         -         13 Oct.16         ACTIVE           379         18         Ismail Igbal Securities (Private.) Limited.         The Brokenge House was Warned         -         13 Oct.16         ACTIVE           380         96         MSMMARI RINKOLAS (Private.) Limited.         The Brokenge House was Warned         -         15 Sep.16         ACTIVE           381         510         ZR Capital Securities (Private) Limited         The Brokenge House was Warned         -         15 Sep.16         ACTIVE           382         432         Spirer Equities(Private) Limited         The Brokenge House was Warned         -         15 Sep.16         ACTIVE           383         452         Six Escurities (Private) Limited         The Brokenge House was Warned         -         26 Aug 16         AcTIVE           384         85         Goncorids Securities (Private) Limited.         The Brokenge House was Warned         -         5 Active         ACTIVE<					-		TRADING TERMINALS SUSPENDED
977         50         Art Hubb Limited.	376	51	H. P. Byramii & Co. (Private.) Limited				
178         63         At Labb Capital Markets (Private) Limited.         The Biokerage House was Warned         -         13 Oct 16         ACTIVE           179         18         Ismall (habb Capital Securities (Private) Limited.         The Biokerage House was Warned         -         15 dep 16         ACTIVE           180         Ismall (habb Capital Securities (Private) Limited.         The Biokerage House was Warned         -         15 dep 16         ACTIVE           181         Ismall (habb Capital Securities (Private) Limited.         The Biokerage House was Warned         -         15 dep 16         ACTIVE           182         SLO (R Capital Securities (Private) Limited.         The Biokerage House was Warned         -         15 dep 16         ACTIVE           182         SLO (R Capital Securities (Private) Limited.         The Biokerage House was Warned         -         15 dep 16         ACTIVE           182         SLO (R Capital Securities (Private) Limited.         The Biokerage House was Warned         -         26 Aug 16         ACTIVE           183         437         SK Securities Limited.         The Biokerage House was Warned         -         25 Aug 16         Voluntary Trading Terminal Susy           184         B5         Concordis Securities (Private) Limited.         The Biokerage House was Warned         -         5 Aug 16							
197         18         Immit (pda) Securities (Private) Limited.         The Biokerage House was Warned          1-Sep-16         ACTIVE           380         960         MSMAMAR HINKAIS (Private) Limited.         The Biokerage House was Warned          1-Sep-16         ACTIVE           381         510         JCR Capital Securities (Private) Limited         The Biokerage House was Warned          1-Sep-16         ACTIVE           382         432         Spinore Fquite(Private) Limited         The Biokerage House was Marned          2-S-Aug-16         ACTIVE           383         437         Spinore Fquite(Private) Limited.         The Biokerage House was Warned          2-S-Aug-16         ACTIVE           384         845         Goncord Securities (Private) Limited.         The Biokerage House was Warned          5-Aug-16         ACTIVE					1		
380         96         MSMANAR FINANCIAS (Private ) Limited.         The Brokerage House was Warned          1-Sep-16         ACTIVE           381         510         JC Capital Securities (Private) Limited         The Brokerage House was Warned          1-Sep-16         TADDING TERMINALS SUSPEN           382         432         Spinzer Equities (Private) Limited         The Brokerage House was Warned          26-Aug 16         ACTIVE           383         437         SK Securities Limited.         The Brokerage House was Warned         -         26-Aug 16         Voluntary Trading Terminal Susy           384         85         Concords Securities (Private) Limited.         The Brokerage House was Warned         -         5-Aug 16         ACTIVE					-		
381     510     JCR Capital Securities (Private) Limited     The Brokerage House was Warned     1.     1.5ep. 51     TADDING TERMINALS SUSPEN       382     432     Spinore Fquitte(Private) Limited     The Brokerage House was Marned     -     2.6-Aug. 50     ACTIVE       383     497     JS Securities (Initiate)     The Brokerage House was Warned     -     2.6-Aug. 50     voluntary Trading Terminal Sug.       384     486     Goncords Securities (Private) Limited.     The Brokerage House was Warned     -     -     ACTIVE					-		
432         Spiner Equities[Private] limited         The Biokerage House was Advised         -         26-Jug 16         Active           38         497         JKS Scurities Limited         The Biokerage House was Warned         -         26-Jug 16         Active           384         85         Concordia Securities [Private] Limited.         The Biokerage House was Warned         -         5-Jug 16         Active	381	510	JCR Capital Securities (Private) Limited			1-Sep-16	TRADING TERMINALS SUSPENDED
38         497         JSX Securities Limited         The Biokerage House was Warned         -         26-Jugs 1c         Voluntary Trading Terminal Susy           384         85         Concordia Securities (Private) Limited.         The Biokerage House was Warned         -         5-Aug 1c         ACTIVE							
All         All         Concording Securities (Private) Limited.         The Biolegraph Museuk         -         5-Value 10         Concording Securities (Private) Limited.					-		
		-		_	-		Voluntary Trading Terminal Suspended
	384 385	85 177	Concordia Securities (Private) Limited. Din Capital Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs 450 000/-	5-Aug-16 3-Aug-16	ACTIVE APPLIED FOR SURRENDER

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
1	012	Reliance Securities Limited	Actions taken by Market Surveillance Department (MSD) : Advised	· ·	10-Jul-19	APPLIED FOR SURRENDER
2	049	Multiline Securities (Pvt.) Ltd.	Advised	-	10-Jul-19	ACTIVE
3	042	Icon Capital Management (Private) Limited	Advised	-	10-Jul-19 10-Jul-19	ACTIVE
5	142 024	Foundation Securities (Pvt.) Limited ASDA Securities (Private) Limited	Advised Advised	-	10-Jul-19 10-Jul-19	ACTIVE
6	149	JS Global Capital Limited	Advised	-	11-Jul-19	ACTIVE
7	149	JS Global Capital Limited	Advice		11-Jul-19	ACTIVE
8	191	Market 786 (Private) Limited	Advice	-	11-Jul-19	ACTIVE
9	018	Ismail Iqbal Securities (Pvt.) Limited	Advised	-	11-Jul-19	ACTIVE
10 11	046	Fortune Securities Limited	Warned	-	25-Jul-19	ACTIVE
11	046 228	Fortune Securities Limited Al- Hag Sec	Warned Stern Warning		25-Jul-19 25-Jul-19	ACTIVE
12	314	Ai-had Sec Equity Master Sec	Stern Warning Stern Warning		25-Jul-19 25-Jul-19	ACTIVE
14	228	Al: Hag Sec	Confiscation of Profits	2.500	25-Jul-19	ACTIVE
15	120	Alfalah Securities (Private) Limited	Stern Warning	-	29-Jul-19	ACTIVE
16	145	Adam Securities (Pvt.) Ltd.	Warned	-	29-Jul-19	ACTIVE
17	145	Adam Securities (Pvt.) Ltd.	Warned	-	29-Jul-19	ACTIVE
18	145	Adam Securities (Pvt.) Ltd.	Warned	-	29-Jul-19	ACTIVE
19 20	142 248	Foundation Securities (Pvt.) Limited Khadim Ali Shah Bukhari Securities (Private) Limited	Advised		16-Apr-19 27-Mar-19	ACTIVE
20	248	RAH Securities (Private) Limited RAH Securities (Private) Limited	Stern Warning Stern Warning	-	27-Mar-19 27-Mar-19	ACTIVE
22	212	Horizon Securities Limited	Stern Warning	-	26-Mar-19	ACTIVE
23	149	JS Global Capital Limited	Stern Warning	-	26-Mar-19	ACTIVE
24	16	DJM Securities (Pvt.) Limited	Stern Warning	-	7-Mar-19	ACTIVE
25	94	FDM Capital Securities (Private) Limited	Stern Warning	-	7-Mar-19	ACTIVE
26	144	Dattoo Securities (Private) Limited	Stern Warning		7-Mar-19	ACTIVE
27	163	Friendly Securities ( Pvt.) Limited	Confiscation of Profits	214,966	7-Mar-19	ACTIVE
28	28	Ghani Osman Securities (Private) Limited	Case Referred to SECP For Review and Necessary Action		26-Feb-19	ACTIVE
29	50	Arif Habib Limited	Case Referred to SECP For Review and Necessary Action	+	26-Feb-19	ACTIVE
30 31	58 166	Dawood Equities Limited	Case Referred to SECP For Review and Necessary Action	+ -	26-Feb-19 26-Feb-19	ACTIVE
31	166	Topline Securities (Private) Limited Altaf Adam Securities (Private) Limited	Case Referred to SECP For Review and Necessary Action Advised	-	26-Feb-19 19-Feb-19	ACTIVE
32	112	Altar Adam Securities (Private) Limited Standard Capital Securities (Private) Limited	Advised	+	13-Feb-19	ACTIVE
34	142	Foundation Securities (Private) Limited	Advised		13-Feb-19	ACTIVE
35	22	BMA Capital Management Limited	Advised	-	13-Feb-19	ACTIVE
36	57	First Capital Equities Limited	Advised	-	13-Feb-19	APPLIED FOR SURRENDER
37	204	Backers & Partners (Private) Limited	Stern Warning	-	1-Feb-19	ACTIVE
38	24	ASDA Securities (Private) Limited	Fine and confisction of Profit along with Stern Warning	30,000	1-Feb-19	ACTIVE
39	49	Multiline Securities (Pvt.) Ltd.	Stern Warning		1-Feb-19	ACTIVE
40	18	Ismail Iqbal Securities (Pvt.) Limited	Stern Warning		1-Feb-19	ACTIVE
41	36	Time Securities (Private) Limited	Cofiscation of Profit along with Stern Warning	13,435	1-Feb-19	ACTIVE
42 43	347	Amer Securities (Pvt.) Ltd.	Stern Warning	-	1-Feb-19	ACTIVE
43	52 45	N.U.A. Securities (Private) Limited	Penalty Imposed along with confiscation of profits	10,054	24-Jan-19 24-Jan-19	ACTIVE
44	193	Bhayani Securities (Private) Limited Akhai Securities (Pvt.) Limited	Penalty Imposed along with confiscation of profits Stern Warning	98,350	24-Jan-19 24-Jan-19	ACTIVE
46	23	Khanani Securities (Private) Limited	Penalty Imposed	36,320	24-Jan-19	ACTIVE
47	1	Altaf Adam Securities (Private) Limited	Penalty Imposed along with confiscation of profits	52,495	24-Jan-19	ACTIVE
48	44	IGI Finex Securities Limited	Advised	-	23-Jan-19	ACTIVE
49	124	EFG Hermes Pak Ltd	Advised	-	23-Jan-19	ACTIVE
50	6	Sherman Securities (Pvt.) Ltd.	Advised		23-Jan-19	ACTIVE
51	22	BMA Capital Management Limited	Advised	-	23-Jan-19	ACTIVE
52	90	Darson Securities (Private) Limited	Advised	-	10-Jan-19	ACTIVE
53 54	149 52	JS Global Capital Limited	Advised	-	10-Jan-19 4-Jan-19	ACTIVE
54	91	N.U.A. Securities (Private) Limited	Strictly Advised	-	4-Jan-19 4-Jan-19	ACTIVE
56	102	Intermarket Securities Limited Growth Securities (Pvt.) Limited	Strictly Advised Advised	-	4-Jan-19 3-Jan-19	ACTIVE
57	162	Topline Securities (Private) Limited	Advised		1-Jan-19	ACTIVE
58	116	Patel Securities Pvt) Ltd.	Confiscation of Profits	4,777	21-Dec-18	ACTIVE
59	159	Rafi Securities (Private) Limited	Confiscation of Profits	56,853	21-Dec-18	ACTIVE
60	256	Progressive Securities (Private) Limited	Confiscation of Profits	11,539	21-Dec-18	ACTIVE
61	50	Arif Habib Limited	Strictly Advised		13-Dec-18	ACTIVE
62	84	Muhammad Munir Muhammad Ahmed Khanani Securities (Pvt.) Ltd	Strictly Advised		13-Dec-18	ACTIVE
63	102	Growth Securities (Pvt.) Limited	Advised	-	27-Nov-18	ACTIVE
64	145 120	Adam Securities (Pvt.) Ltd.	Stern Warning		2-Nov-18 2-Nov-18	ACTIVE
65 66	224	Alfalah Securities (Private) Limited K & I Global Capital (Private) Limited	Stern Warning Stern Warning	-	2-Nov-18 2-Nov-18	ACTIVE
67	187	SAZ Capital Securities (Pvt.) Ltd.	Stern Warning		2-Nov-18	ACTIVE
68				1		
	62	WE Financial Services Limited	Stern Warning	-	2-Nov-18	TRADING TERMINALS SUSPENDED
69	223	Maan Securities (Private) Limited	Penalty Imposed	5,500	1-Nov-18	ACTIVE
70	91	Intermarket Securities Limited	Penalty Imposed	12,500	1-Nov-18	ACTIVE
71	128	BIPL Securities Limited	Penalty Imposed	1,050	1-Nov-18	ACTIVE
72	84	Muhammad Munir Muhammad Ahmed Khanani Securities (Pvt.) Ltd	Advised	+	24-Oct-18	ACTIVE
73	169 179	Pearl Securities Limited	Stern Warning	-	9-Oct-18 9-Oct-18	ACTIVE
74 75	212	Continental Capital Management (Pvt) Ltd. Horizon Securities Limited	Stern Warning Stern Warning	-	9-Oct-18 9-Oct-18	ACTIVE
76	6	Horizon Securities Limited Sherman Securities (Pvt.) Ltd.	Stern Warning Stern Warning		5-Oct-18	ACTIVE
77	17	Summit Capital (Private) Limited	Penalty Imposed	10.000	5-Oct-18	ACTIVE
78	18	Ismail Igbal Securities (Pvt.) Limited	Penalty Imposed Penalty Imposed	20,000	5-Oct-18	ACTIVE
79	77	Best Securities (Private) Limited	Stern Warning	-	5-Oct-18	ACTIVE
			Stern Warning		5-Oct-18	ACTIVE
80	108	Azee Securities (Pvt.) Ltd.				
80 81	108 112	Azee Securities (Pvt.) Ltd. Standard Capital Securities (Private) Limited	Strictly Advised	-	25-Sep-18	ACTIVE
80 81 82	108 112 145	Standard Capital Securities (Private) Limited Adam Securities (Pvt.) Ltd.	Strictly Advised Strictly Advised		25-Sep-18 25-Sep-18	ACTIVE
80 81 82 83	108 112 145 194	Standard Capital Securities (Private) Limited Adam Securities (Pvt.) Ltd. MRA Securities (Private) Limited	Strictly Advised Strictly Advised Strictly Advised		25-Sep-18 25-Sep-18 25-Sep-18	ACTIVE
80 81 82 83 84	108 112 145 194 228	Standard Capital Securities (Private) Limited Adam Securities (PvL) Ltd. MRA Securities (Private) Limited Al-Haq Securities (Private) Limited	Strictly Advised Strictly Advised Strictly Advised Advised	-	25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18	ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85	108 112 145 194 228 91	Standard Capital Securities (Private) Limited Adam Securities (Priv.) Ltd. MRA Securities (Private) Limited Al-Hag Securities (Private) Limited Intermarket Securities Limited	Strictly Advised Strictly Advised Strictly Advised Advised Advised	-	25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 85 86	108 112 145 194 228 91 297	Standard Capital Securities (Private) Limited Adam Securities (Private) Limited Alfvaa Securities (Private) Limited Alfvaa Securities (Private) Limited Intermarket Securities Limited	Strich Advised Strich Advised Strich Advised Advised Advised Advised Stern Warning		25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 86 87	108 112 145 194 228 91 297 267	Standard Capital Securities (Private) Limited Adam Securities (Private) Limited Al-Haa Securities (Private) Limited Intermarket Securities Limited MSG Capital Equities (Private) Limited Bismiliah Securities (Private) Limited	Stricky Advised Stricky Advised Strictly Advised Advised Advised Stern Warning Stern Warning		25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18 7-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 85 86 87 88	108 112 145 228 91 297 267 22	Standard Capital Securities (Privatel Limited Adam Securities (Private) Limited AHaa Securities (Private) Limited AHaa Securities (Private) Limited Siscanital Equities (Private) Limited Bismillia Securities (Private) Limited Bismillia Securities (Private) Limited	Stricky Advised Stricky Advised Advised Advised Advised Stern Warning Stern Warning	- - - - - - - - - - - - - - - - - - -	25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 86 87	108 112 145 194 228 91 297 267	Standard Capital Securities (Private) Limited Adm Securities (Private) Limited Al-Hao Securities (Private) Limited Intermarket Securities Limited Intermarket Securities Limited Bismilian Securities (Private) Limited Bismilian Securities (Private) Limited BisMac Securities (Private) Limited BisMac Securities (Private) Limited	Stricky Advised Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Stern Warning Stern Warning	- - - - - - - - - - - - - - - - - - -	25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 86 87 88 88 89	108 112 145 228 91 297 267 267 22 45 8	Standard Capital Securities (Privatel Limited Adam Securities (Private) Limited AHAa Securities (Private) Limited AHAa Securities (Private) Limited Biomilian Securities (Private) Limited Biomilian Securities (Private) Limited Biomilian Securities (Private) Limited Biomilian Securities (Private) Limited Biopania Securities (Private) Limited Biopania Securities (Private) Limited	Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Penalty imposed along with conflication of profits Stern Warning		25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 86 87 88 87 88 89 90 91	108 112 145 194 228 91 297 267 22 45	Standard Capital Securities (Private) Limited Adam Securities (Private) Limited MRA Securities (Private) Limited Harban Securities (Private) Limited MSD Capital Equilies (Private) Limited BMA Capital Management Limited BMA Capital Management (Private) Limited Optimus Capital Management (Private) Limited Capital Schware (Private) Limited Data Securities (Private) (Pri	Stricky Advised Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Stern Warning Stern Warning		25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 86 87 88 89 90 91 91 92 93	108 112 145 194 228 91 297 267 22 45 8 290	Standard Capital Securities (Privatel Limited Adam Securities (Private) Limited AHAa Securities (Private) Limited AHAa Securities (Private) Limited Biomilian Securities (Private) Limited Biomilian Securities (Private) Limited Biomilian Securities (Private) Limited Biomilian Securities (Private) Limited Biopania Securities (Private) Limited Biopania Securities (Private) Limited	Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Penaity Impesed Jong with confiscation of profits Stern Warning Stern Warning Stern Warning Stern Warning	- - - - - - - - - - - - - - - - - - -	25-Sep-18 25-Sep-18 25-Sep-18 25-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18 7-Sep-18	ACTIVE
80 81 82 83 84 85 86 87 88 89 90 91 91 92 93	108 112 145 194 228 91 297 267 22 45 8 22 45 8 290 8	Standard Capital Securities (Privatel Limited Adam Securities (Private) Limited Adam Securities (Private) Limited Al-Hag Securities (Private) Limited Sionalia Securities (Private) Limited Biomilia Securities (Private) Limited Biomilia Securities (Private) Limited Bioparai Securities (Private) Limited Limited Bioparai Securities (Private) Limited Limited Limited Limited Distrinus Capital Management (Vrv) Limited Limited Limited Limited Limited Limited Limited Limited Distrinus Capital Management (Priv) Limited Limited Limited Limited Limited Limited Limited Limited Distrinus Capital Management (Priv) Limited Limite	Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Penalty imposed along with conflication of profits Stern Warning Stern Warning Stern Warning Stern Warning Stern Warning		25.5ep.18 25.5ep.18 25.5ep.18 25.5ep.18 7.5ep.18 7.5ep.18 7.5ep.18 7.5ep.18 7.5ep.18 7.5ep.18 7.5ep.18 3.6Aug.18 30.Aug.18	ACTIVE
80 81 82 83 84 85 86 87 88 89 90 91 91 92 93 94 95	108 112 145 194 228 91 297 267 22 45 8 290 8 290 8 46 36 1	Standard Capital Securities (Protect Limited Adam Securities (Protect) Limited MMA Securities (Protect) Limited AH-ag Securities (Protect) Limited Intermarker Securities Limited Securities Limited MMA Capital Management Limited MMA Capital Management (Protect) Limited Optimus Capital Management (Protect) ALS. Securities Capital Management (Prot.) Lid. Porture Securities Limited Optimus Capital Management (Prot.)	Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Penalty Impesed along with conflication of profits Stern Warning Stern Warning Stern Warning Stern Warning Stern Warning Penalty Imposed		25-5ep-18 25-5ep-18 25-5ep-18 25-5ep-18 25-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 30-Aug-18 30-Aug-18 30-Aug-18	ACTIVE
80 81 82 83 84 85 86 87 88 89 90 91 91 92 93 94 95 96	108 112 145 194 228 91 297 267 22 45 8 290 8 290 8 46 36 1 1 102	Standard Capital Securities (Privatel Limited MRA Securities (Private) Limited MRA Securities (Private) Limited Al-Hag Securities (Private) Limited Sitematics (Private) Limited Sitematics (Private) Limited Bisonilla Securities (Private) Limited Disparal Securities (Private) Limited Coltraws, Capital Management (VrVL 114, K.H.S. Securities (Private) Limited Tortuma Securities Limited Alfard Adam Securities Limited Alfard Adam Securities (Private) Limited	Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Penalty imposed along with conflication of profits Stern Warning Stern Warning Stern Warning Penalty imposed Stern Warning Stern Warning Penalty imposed Stern Warning Penalty imposed Stern Warning Penalty imposed	- - - 1,302 - - - 10,000	25-5ep-18 25-5ep-18 25-5ep-18 25-5ep-18 25-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 30-Aug-18 30-Aug-18 30-Aug-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
80 81 82 83 84 85 86 87 88 88 89 90 91 91 92 93 94 95 96 97	108 112 145 194 228 91 297 267 22 45 8 290 8 8 46 36 1 1 102 46	Standard Capital Securities (Private) Limited Adam Securities (Private) Limited MRA Securities (Private) Limited AHAg Securities (Private) Limited Biomitalia Securities (Private) Limited Biomitalia Securities (Private) Limited Biomitalia Securities (Private) Limited Capital Management Limited Control Capital Management (Pri-1) Lid. Control Capital Management (Pri-1) Lid. Control Capital Management (Pri-1) Lid. Control Securities (Private) Limited Alfa Adam Securities (Private) Limited Cardina Securities (Private) Limited Cardina Securities (Private) Limited Graveth Securities (Private) Limited Graveth Securities (Private) Limited	Strictly Arbited Strictly Arbited Strictly Arbited Arbited Arbited Arbited Arbited Stern Warning Stern Warning Penalty Imposed Stern Warning Stern Warning Stern Warning Stern Warning Penalty Imposed Stern Warning Penalty Imposed Penalty	- - - 1,302 - -	25 Sep 18 25 Sep 18 25 Sep 18 25 Sep 18 25 Sep 18 25 Sep 18 7 Sep 18 7 Sep 18 7 Sep 18 7 Sep 18 7 Sep 18 3 O Aug 18 3 O Aug 18 3 O Aug 18 3 O Aug 18	ACTIVE           ACTIVE
80 81 82 83 84 85 86 87 88 89 90 91 91 92 93 94 95 96	108 112 145 194 228 91 297 267 22 45 8 290 8 290 8 46 36 1 1 102	Standard Capital Securities (Privatel Limited MRA Securities (Private) Limited MRA Securities (Private) Limited Al-Haaj Securities (Private) Limited Sitematics (Private) Limited Biomilia Securities (Private) Limited Biomilia Securities (Private) Limited Bioparai Securities (Private) Limited Coltraws. Capital Management (VrVL 114, K.H.S. Securities (Private) Limited Fortune Securities Limited Alfard Adam Securities Limited Alfard Adam Securities (Private) Limited	Stricky Advised Stricky Advised Advised Advised Stern Warning Stern Warning Penalty imposed along with conflication of profits Stern Warning Stern Warning Stern Warning Penalty imposed Stern Warning Stern Warning Penalty imposed Stern Warning Penalty imposed Stern Warning Penalty imposed	- - - 1,302 - - - 10,000	25-5ep-18 25-5ep-18 25-5ep-18 25-5ep-18 25-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 7-5ep-18 30-Aug-18 30-Aug-18 30-Aug-18	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
101 102	149	JS Global Capital Limited	Stern Warning		6-Jun-18	ACTIVE
102	346 149	Strongman Securities (Pvt.) Ltd. JS Global Capital Limited	Stern Warning Confiscation of Profits	450.000	6-Jun-18 6-Jun-18	ACTIVE
104	48	Next Capital Limited	Penalty Imposed	10,000	30-May-18	ACTIVE
105 106	19 48	AKD Securities Limited	stern Warning	-	30-May-18	ACTIVE
100	48	Next Capital Limited Next Capital Limited	Penalty Imposed along with confiscation of profits Penalty Imposed	24,786 10,000	30-May-18 30-May-18	ACTIVE
108	85	Concordia Securities (Pvt.) Ltd.	Penalty Imposed	100,000	18-May-18	ACTIVE
109 110	85 145	Concordia Securities (Pvt.) Ltd. Adam Securities (Pvt.) Ltd.	Confiscation of Profits Advised	4,000	18-May-18 18-May-18	ACTIVE
110	82	Magari Securities (Private) Limited	Advised	-	18-May-18	ACTIVE
112	177	Din Capital (Private) Limited	Stern Warning	-	7-May-18	APPLIED FOR SURRENDER
113 114	21	Alfa Adhi Securities (Pvt.) Ltd. Ismail Iqbal Securities (Pvt.) Limited	Penalty Imposed along with confiscation of profits Advised	32,160	7-May-18 7-May-18	ACTIVE
114	98	Fairtrade Capital Securities (Private) Limited	Advised	-	4-May-18	ACTIVE
116	98	Fairtrade Capital Securities (Private) Limited	Advised	-	4-May-18	ACTIVE
117 118	98 98	Fairtrade Capital Securities (Private) Limited Fairtrade Capital Securities (Private) Limited	Advised	-	4-May-18 4-May-18	ACTIVE
110	98	Fairtrade Capital Securities (Private) Limited	Advised	-	4-May-18	ACTIVE
120	112	Standard Capital Securities (Private) Limited	Advised	-	4-May-18	ACTIVE
121 122	346 85	Strongman Securities (Pvt.) Ltd.	Advised	-	4-May-18 13-Apr-18	ACTIVE
122	142	Concordia Securities (Pvt.) Ltd. Foundation Securities (Pvt.) Limited	Advised Advised	-	9-Apr-18	ACTIVE
124	6	Sherman Securities (Pvt.) Ltd.	Advised	-	9-Apr-18	ACTIVE
125	228	Al-Hag Securities (Private)Limited	Advised	-	6-Apr-18	ACTIVE
126 127	169 442	Pearl Securities Limited General Investment & Securities (Private) Limited.	Advised Advised	-	6-Apr-18 6-Apr-18	ACTIVE
128	442	General Investment & Securities (Private) Limited. General Investment & Securities (Private) Limited.	Advised		6-Apr-18	ACTIVE
129	485	Hamza Farhad Securities (Pvt) Limited	Confiscation of Profits	870	4-Apr-18	ACTIVE
130 131	89 162	Investment Managers Securities (Private) Limited	Stern Warning	· ·	21-Mar-18 21-Mar-18	ACTIVE
131	162	Aba Ali Habib Securities (Private) Limited Topline Securities (Private) Limited	Stern Warning Confiscation of Profits	8,565	21-Mar-18 21-Mar-18	ACTIVE
133	162	Aba Ali Habib Securities (Private) Limited	Advised	-	15-Mar-18	ACTIVE
134 135	256 232	Progressive Securities (Private) Limited	Stern Warning		20-Feb-18 20-Feb-18	ACTIVE
135 136	232	Arif Latif Securities (Private) Limited General Investment & Securities (Private) Limited	Stern Warning Stern Warning	1	20-Feb-18 20-Feb-18	ACTIVE
137	91	Intermarket Securities Limited	Stern Warning	-	20-Feb-18	ACTIVE
138	91	Intermarket Securities Limited	Stern Warning	-	20-Feb-18	ACTIVE
139 140	91 24	Intermarket Securities Limited ASDA Securities (Private) Limited	Stern Warning Stern Warning	-	20-Feb-18 7-Feb-18	ACTIVE
141	17	Summit Capital (Private) Limited	Stern Warning	-	1-Feb-18	ACTIVE
142	66	Iqbal Usman Kodvavi Securities (Pvt.) Ltd.	Penalty Imposed	7,125	1-Feb-18	ACTIVE
143 144	131 45	GMI Capital Securities (Private) Limited Bhayani Securities (Private) Limited	Stern Warning Penalty Imposed	2,750	1-Feb-18 1-Feb-18	ACTIVE
145	131	GMI Capital Securities (Private) Limited	Stern Warning	-	1-Feb-18	ACTIVE
146	44	IGI Finex Securities Limited	Stern Warning	-	1-Feb-18	ACTIVE
147 148	490 490	Baba Equities (Private) Limited Baba Equities (Private) Limited	Penalty Imposed Warned	226,660	13-Dec-17 13-Dec-17	ACTIVE
148	224	K & I Global Capital (Private) Limited	Advised	-	12-Dec-17	ACTIVE
150	410	Zahid Latif Khan Securities (Private) Limited	Penalty Imposed	15,597	30-Nov-17	ACTIVE
151 152	482 482	New Peak Securities (Private) Limited	Penalty Imposed	22,950 70,650	30-Nov-17 30-Nov-17	ACTIVE
153	155	New Peak Securities (Private) Limited B & B Securities (Private) Limited	Penalty Imposed Penalty Imposed	6,000	30-Nov-17	ACTIVE
154	169	Pearl Securities Limited	Penalty Imposed	240,940	17-Nov-17	ACTIVE
155 156	52 52	N.U.A. Securities (Private) Limited	Stern Warning	-	17-Nov-17 17-Nov-17	ACTIVE
156	52	N.U.A. Securities (Private) Limited N.U.A. Securities (Private) Limited	Stern Warning Stern Warning	-	17-Nov-17 17-Nov-17	ACTIVE
158	52	N.U.A. Securities (Private) Limited	Stern Warning	-	17-Nov-17	ACTIVE
159	84	Muhammad Munir Muhammad Ahmed Khanani Securities (Private) Limited	Penalty Imposed	30,496	9-Nov-17	ACTIVE
160	169	Pearl Securities Limited	Advised		1-Nov-17	ACTIVE
161	32	Zafar Moti Capital Securities (Private) Limited	Penalty Imposed	26,740	18-Oct-17	ACTIVE
162 163	18 30	Ismail Iqbal Securities (Pvt.) Limited	Penalty Imposed	10,000	18-Oct-17 18-Oct-17	ACTIVE
163	30	Cedar Capital (Private) Limited K.H.S. Securities (Private) Limited	Penalty Imposed Advised	14,500	18-Oct-17 28-Aug-17	ACTIVE
165	84	Muhammad Munir Muhammad Ahmed Khanani Securities (Private) Limited	Warned		28-Aug-17	ACTIVE
				<u> </u>		
166 167	36 6	Time Securities (Private) Limited Sherman Securities (Pvt.) Ltd.	Warned Advised	-	28-Aug-17 28-Aug-17	ACTIVE
168	6	Sherman Securities (Pvt.) Ltd.	Advised	1 -	28-Aug-17	ACTIVE
169	46	Fortune Securities Limited	Advised	1 -	28-Aug-17	ACTIVE
170 171	102 68	Growth Securities (Private) Limited Zafar Securities (Private) Limited	Warned Warned	· ·	24-Aug-17 24-Aug-17	ACTIVE
172	102	Zafar Securities (Private) Limited Growth Securities (Pvt.) Limited	Warned	1	24-Aug-17 24-Aug-17	ACTIVE
173	102	Growth Securities (Pvt.) Limited	Warned		24-Aug-17	ACTIVE
174 175	68 68	Zafar Securities (Private) Limited	Warned	· ·	24-Aug-17 24-Aug-17	ACTIVE
175	30	Zafar Securities (Private) Limited Cedar Capital (Private) Limited	Warned Advised	1	24-Aug-17 21-Jul-17	ACTIVE
177	19	AKD Securities Limited	Warned		21-Jul-17	ACTIVE
178	234	Value Stock and Commodities (Private) Limited	Penalty Imposed	643,699	17-Jul-17	ACTIVE
179 180	16 169	D.J.M. Securities (Private) Limited Pearl Securities Limited	Warned	-	14-Jul-17 14-Jul-17	ACTIVE
181	1	Altaf Adam Securities (Private) Limited	Advised		4-Jul-17	ACTIVE
182	28	Ghani Osman Securities (Private) Limited	Advised	-	4-Jul-17	ACTIVE
183 184	54 91	Bawa Securities (Private) Limited Intermarket Securities Limited	Penalty Imposed Advised	55,683	21-Jun-17 21-Jun-17	ACTIVE
185	188	Apex Capital Securities (Private) Limited	Advised Advised		21-Jun-17	ACTIVE
186	91	Intermarket Securities Limited	Advised		21-Jun-17	ACTIVE
187 188	158 228	Royal Securities (Private) Limited Al-Hag Securities (Private)Limited	Advised Warned	· ·	19-Jun-17 16-Jun-17	ACTIVE
188	48	Al-Haq Securities (Private)Limited Next Capital Limited	Warned	1	16-Jun-17 9-Jun-17	ACTIVE
190	48	Next Capital Limited	Penalty Imposed	70,175	9-Jun-17	ACTIVE
191	48	Next Capital Limited	Warned	1 .	9-Jun-17	ACTIVE
192 193	88 88	Fawad Yusuf Securities (Private) Limited Fawad Yusuf Securities (Private) Limited	Warned Penalty Imposed	92.527	9-Jun-17 9-Jun-17	ACTIVE
194	88	Fawad Yusuf Securities (Private) Limited Fawad Yusuf Securities (Private) Limited	Penalty Imposed Penalty Imposed	92,527 64,041	9-Jun-17	ACTIVE
195	88	Fawad Yusuf Securities (Private) Limited	Warned		9-Jun-17	ACTIVE
196 197	57 57	First Capital Equities Limited	Advised		10-May-17 10-May-17	APPLIED FOR SURRENDER
197	57	First Capital Equities Limited First Capital Equities Limited	Advised Advised		10-May-17 10-May-17	APPLIED FOR SURRENDER APPLIED FOR SURRENDER
199	149	JS Global Capital Limited	Advised		10-May-17	ACTIVE
200	17	Summit Capital (Private) Limited	Stern Warning	1	4-May-17	ACTIVE

21         17         Sumit Capital (Private) Limited         Advised         .         3 Jan 17           213         17         Summit Capital (Private) Limited         Advised         .         3 Jan 17           213         12         Refaure Securities (Private) Limited         Advised         .         3 Jan 17           213         12         Refaure Securities (Private) Limited         Advised         .         3 Jan 26           214         54         Bina Securities (Private) Limited         Advised         .         3 Jan 26           215         54         Bina Securities (Private) Limited         Advised         .         3 Jan 26           216         66         Mass Securities (Private) Limited         Advised         .         3 Jan 27           217         Jan 27         Refaure Securities (Private) Limited         Advised         .         2 Jan 26           218         Long Growth Securities (Private) Limited         Advised         .         2 Jan 27           218         Issue Securities (Private) Limited         Advised         .         2 Jan 27           219         Issue Securities (Private) Limited         Advised         .         2 Jan 27           220         Issue Securities (Private) Limited         A	ACTIVE AC
900     97     8100 catal iscurits: Invest lunded     90.77     97.97       910     914 Securits: (Invest) lunded     Perably inpoad     90.00     724.77       911     914 Securits: (Invest) lunded     Perably inpoad     90.00     724.77       913     914 Securits: (Invest) lunded     Perably inpoad     90.00     724.77       914     914 Securits: (Invest)     Minist of Carse Securits: Securit: Securits: Securit: Securits: Securit: Securits: Securits: Securits: Securits: Securits: Securits: Securits: Securit: Securits: Securits: Securits: Securits: Securit: Secu	ACTIVE AC
31         513         Rafescurits (Inval) Linkid         Preaky (inposed         51,270         7.4p-17           32         130         Milescurits (Inval) Linkid         Milescurits         No.000         7.4p-17           328         130         Milescurits         No.000         7.4p-17         1           328         130         Milescurits         No.000         7.4p-17         1           329         130         Milescurits         Adviewed	ACTIVE AC
No.         Bits         Display         Bits         Status	ACTIVE AC
bit         17.1         Section Society Provide United         Name         Advised         Advised         Advised           287         123         Transis Society United         Advised         . <td< td=""><td>ACTIVE AC</td></td<>	ACTIVE AC
bit         124         mest and funce Securities lumited         Advised         -         194 Wey 1           27         123         Taunus Securities Lumited         Advised         -         274 bb 17           28         123         Taunus Securities Lumited         Advised         -         274 bb 17           28         124         Muchanned Muchan Galanda Securities (Private) Limited         Penalty imposed         124,055         234 bb 17           210         22         Abb Advised Evisate (Drivate) Limited         Penalty imposed         1.20,025         234 bb 17           211         400         Faire Securities (Private) Limited         Penalty imposed         -         34 bb 17           212         46         Four Securities (Private) Limited         Advised         -         34 bb 17           213         458         Natel Gage Securities (Private) Limited         Advised         -         34 bb 17           214         217         MAX Securities (Private) Limited         Advised         -         31 bb 17           214         217         MAX Securities (Private) Limited         Advised         -         31 bb 17           214         217         MAX Securities (Private) Limited         Advised         -         31 bb 17	ACTIVE AC
971         129         Trans. Securities Limited         Advised         1.         274-bit 7           981         129         Trans. Securities Limited         Advised         .         274-bit 7         .           979         84         Mahasonad Murir Mahannad Ahmed Khanasi Securities (Private) United         Penalty Imposed         114,755         234-bit 7         .           971         Alth. Add Securities (Private) United         Penalty Imposed         1.34,755         234-bit 7         .           971         Alth. Add Securities (Private) United         Advised         .         .         374-bit 7           973         Fast Securities (Private) United         Advised         .         .         374-bit 7           974         Fast Securities (Private) United         Advised         .         .         374-bit 7           975         Fast Securities (Private) United         Advised         .         .         314-bit 7           974         Fast Securities (Private) United         Advised         .         .         314-bit 7           974         Fast Securities (Private) United         Advised         .         .         314-bit 7           974         Fast Securities (Private) United         Advised         .         . <td>ACTIVE ACTIVE</td>	ACTIVE ACTIVE
19         19         Tanks Sourings Souring Sour	ACTIVE AC
9894Muhamad Munit Muhamad Abmed Ebanali Scurities (Private LimitedPenalty imposed144,78592,746.7171071140.40 Advised private Limited60.40 Advised7.0237,467.7712747474.40 Advised7.03746.7171374074.40 Advised7.03746.717.071474.40 Fortus Scurities Limited7.03746.717.071574.40 Fortus Scurities Limited7.03746.717.071574.40 Fortus Scurities (Private Limited7.03746.717.071574.40 Fortus Scurities (Private Limited7.03746.717.071674.50 Cargit Gravities (Private Limited7.03746.717.071774.00 Cargit Gravities (Private Limited7.03746.717.071874.00 Cargit Gravities (Private Limited7.03746.717.071974.00 Cargit Gravities (Private Limited7.03746.717.071174.00 Cargit Gravities (Private Limited7.03746.717.071174.00 Cargit Gravities (Private Limited7.03746.717.071274.00 Cargit Gravities (Private Limited7.03746.717.071374.00 Cargit Gravities (Private Limited7.03746.717.071474.00 Cargit Gravities (Private Limited7.03746.717.071574.00 Cargit Gravities (Private Limited7.03746.717.071674.00 Cargit Gravities (Private Limited	ACTIVE AC
1         Afa Ani Securities Private Lumited         1.00.0000         1.00.0000         1.00.0000           11         100         Box Securities Private Lumited         Advised	ACTIVE AC
111         100         Instructions Paistan (Private) Lunited         Advised         .         122 refe 17           121         448         Fart fage Scoutties (Private) Lunited         Advised         .         .37 feb 17           121         448         Fart fage Scoutties (Private) Lunited         Advised         .	ACTIVE ACTIVE
111         100         Existic Securities Paixstan (Private) Lumited         Advised         -         122 Feb 17           121         448         Fart fage Securities (Private) Lumited         Advised         -         34 Feb 17           121         448         Fart fage Securities (Private) Lumited         Advised         -         34 Feb 17           125         446         UNX Securities (Private) Lumited         Advised         -         31 Feb 17           126         133         47. Securities (Private) Lumited         Advised         -         31 Feb 17           127         10         Stafwards Capital Securities (Private) Lumited         Advised         -         11 Jane 17           128         207         MoS Capital Securities (Private) Lumited         Advised         -         11 Jane 17           129         100         Sakarwak Capita Securities (Private) Lumited         Advised         -         13 Jane 17           120         101         Sakarwak Capita Securities (Private) Lumited         Advised         -         25 Jane 17           121         103         Sakarwak Capita Securities (Private) Lumited         Advised         -         25 Jane 17           122         116         Togite Securities (Private) Lumited         Advised	ACTIVE ACTIVE
121         46         Print Securities Limited         Advised         -         3 + 7 + 7 + 7 + 7 + 7 + 7 + 7 + 7 + 7 +	ACTIVE AC
111         438         Farl fage Securits (Private Limited         Advised          3+bb 77           125         466         NRX Securits (Private Limited         Advised          3+bb 77           125         466         NRX Securits (Private Limited         Advised          3+bb 77           125         466         NRX Securits (Private Limited         Advised          3+bb 77           127         130         Sakavala Capital Securits (Private Limited         Advised          3+bb 77           128         277         MSC Capital Securits (Private Limited         Advised          3+bb 77           129         130         Faira Securits (Private Limited         Advised          3+bb 77           121         10         Sakavala Capital Securits (Private Limited         Advised          3+bb 77           122         10         Advised (Private Limited         Advised          3+bb 77           123         124         MAB Capital Securits (Private Limited         Advised          25+ba 77           124         484         Nare Capital Securits (Private Limited         Advised          25+ba 77	ACTIVE AC
111         56         Natiogappi (Provate) lumited         Advised          3-96-917           125         466         UNX Securities (Provate) lumited         Advised          33-lan-17           126         183         R.T.Securities (Provate) lumited         Advised          33-lan-17           128         183         R.T.Securities (Provate) lumited         Advised          33-lan-17           128         181         Inst. Equity Mostaba         Advised          33-lan-17           129         181         Inst. Equity Mostaba         Advised          33-lan-17           120         Inst. Equity Mostaba         Advised          33-lan-17           120         Inst. Equity Mostaba         Advised          33-lan-17           121         101         Stavaba Capital Eventies (Private) lumited         Advised             122         13         Advised               123         243         Baba Equitas (Private) lumited         Advised              124         453         Baba Equitas (Private) lumited         Adv	ACTIVE AC
135         466         URJ Securits (Private) Lunited         Advied          3-feb-17           135         R.T. Securits (Private) Lunited         Advied          33-lan-17            137         10         Sakavala Capital Securits (Private) Lunited         Advied          33-lan-17            138         175         MSO Capital Equities (Private) Lunited         Advied          33-lan-17            138         Print Capity Modarab         Advied          33-lan-17            138         Brain Securities (Private) Lunited         Advied          33-lan-17            131         Advied         Advied          33-lan-17             131         Advied          Advied          33-lan-17            132         JAS Securities (Private) Lunited         Advied                132         Advied         Advied                133         Advied           <	ACTIVE ACTIVE
181         R.T. Scurtise (Private) Limited         Advied          33.4n-17           217         100         Stativate (Scurtise (Private) Limited         Advied          33.4n-17           218         181         First Gay Modazha         Advied          33.4n-17           218         181         First Gay Modazha         Advied          33.4n-17           219         181         First Gay Modazha         Advied          33.4n-17           220         Statise Scuritise (Private) Limited         Advied          33.4n-17           221         10         Statise Scuritise (Private) Limited         Advied          31.4n-17           222         19         AdSecuritise Invitad Limited         Advied          31.4n-17           223         224         Milenium Brokzeg (SK-QL)Limited         Advied          25.4n-17           224         482         New Pak Scuritise (Private) Limited         Advied          .25.4n-17           235         495         Statise (Private) Limited         Advied          .25.4n-17           236         156         Forder Scuritise (Private) Limited         Advied	ACTIVE ACTIVE
171         10         Subwale Capital Securits (Private) Limited         Advied         -         31-Jan-17           128         277         MS O gail equits (Private) Limited         Advied         -         31-Jan-17           129         120         Data Securits (Private) Limited         Advied         -         31-Jan-17           121         131         Brains Securits (Private) Limited         Advied         -         31-Jan-17           122         10         Salavala Capital Securits (Private) Limited         Advied         -         31-Jan-17           122         10         Salavala Capital Securits (Private) Limited         Advied         -         31-Jan-17           123         MA D Securits (Private) Limited         Advied         -         25-Jan-17           124         MAR New Peak Securits (Private) Limited         Advied         -         25-Jan-17           125         MA D Sacurits (Private) Limited         Advied         -         25-Jan-17           125         Mara Fanda Securits (Private) Limited         Advied         -         25-Jan-17           126         Tots Instructs (Private) Limited         Advied         -         25-Jan-17           127         Mara Fanda Securits (Private) Limited         Advied	ACTIVE ACTIVE
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232         233         Basis Securities (Private lumited         Advised         -         33:an:7           234         10         Salvaned Locatities (Private lumited         Advised         -         33:an:7           232         231         Milemum Envirate lawited         Advised         -         33:an:7           232         232         Milemum Envirate lawited         Advised         -         25:an:7           234         482         Mere Pack Securities (Private) lumited         Advised         -         25:an:7           235         490         Bala fauties (Private) lumited         Advised         -         25:an:7           236         Main farial Securities (Private) lumited         Advised         -         25:an:7           237         165         Topine Securities (Private) lumited         Advised         -         25:an:7           238         162         Paritis Caruities (Private) lumited         Advised         -         25:an:7           239         118         Saruities (Private) lumited         Advised         -         3:an:7           230         118         Saruities (Private) lumited         Advised         -         3:an:7           231         112         Saruities (Private) lumited<	ACTIVE ACTIVE
121         130         Sakawaka Capital Securities (Private) Limited         Advised	ACTIVE ACTIVE
122     19     A0 Securities Luited     A1 Vield	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE APPLID ON SUMENDER APPLID ON SUMENDER APPLID ON SUMENDER APPLID ON SUMENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
221         Milenkum Inokerage (MX-P4) Lumied         Advised         -         25-In-17         25-In-17           224         482         New Pesk Scruttise (Private) Lumied         Advised         -         25-In-17         -           225         480         New Pesk Scruttise (Private) Lumied         Advised         -         25-In-17         -           226         480         State Laulies (Private) Lumied         Advised         -         25-In-17         -           227         485         Hana Sarhaf Secuttise (Private) Lumied         Advised         -         25-In-17         -           228         68         Concord Scruttise (Private) Lumied         Advised         -         25-In-17         -           229         116         Pati-Scruttise (Private) Lumied         Advised         -         25-In-17         -           230         117         Summ Capital (Private) Lumied         Advised         -         3-In-17         -           231         17         Summ Capital (Private) Lumied         Advised         -         3-In-17         -           231         17         Summ Capital (Private) Lumied         Advised         -         3-In-17         -           231         170 <t< td=""><td>ACTIVE ACTIVE</td></t<>	ACTIVE ACTIVE
142         142         No Pack Scartige (Private) lumited         Advied          25-Jun-17         25-Jun-17           258         490         Baba Equites (Private) lumited         Advied          25-Jun-17            258         166         Topline Securities (Private) lumited         Advied          25-Jun-17            258         165         Concolia Securities (Private) lumited         Advied          25-Jun-17            258         165         Concolia Securities (Private) lumited         Advied          25-Jun-17            258         165         Sconcolia Securities (Private) lumited         Penalty Imposed          24-Jun-17            258         17.5         SumsCapital (Private) lumited         Penalty Imposed          31-Jun-17         TADING T           251         17.5         Summa Capital (Private) lumited         Advied          31-Jun-17         TADING T           253         17.2         Balane Securities (Imited         Advied          31-Jun-17         TADING T           254         Falane Securities (Imited         Advied          31-Jun-17	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE APPLED FOR SURRENDER ACTIVE APPLED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
1925     490     Bala Equides (Private) lumited     Advied      25-Jan-17       226     166     Toghts Securities (Private) lumited     Advied      25-Jan-17       227     485     Hanz Farhad Securities (Private) lumited     Advied      25-Jan-17       227     485     Hanz Farhad Securities (Private) lumited     Advied      25-Jan-17       228     85     Concordis Securities (Private) lumited     Advied      25-Jan-17       228     116     Staff Securities (Private) lumited     Advied      25-Jan-17       229     118     Sumitic Capital (Private) lumited     Advied      3-Jan-17       220     118     Sumitic Capital (Private) lumited     Advied      3-Jan-17       210     117     Summit Capital (Private) lumited     Advied      3-Jan-17       211     112     Staff Base Securities (Private) lumited     Advied      3-Jan-17       212     17     Summit Capital (Private) lumited     Advied      3-Jan-17       213     121     Relaine Securities Invited     Advied      3-Jan-17       214     134     Base Securities (Private) lumited     Advied      3-Jan-17	ACTIVE ACTIVE
196         Totlin's Scuritis (Private) Limited         Advised          225-10-17         25-10-17           228         146.8         Hanza Fandes Scuritis (Private) Limited         Advised          25-10-17            228         185         Concolial Securitis (Private) Limited         Advised          24-10-17            228         185         Sourcilis (Private) Limited         Penalty Imposed         50000         13-19-17            230         118         Sunnit Capital (Private) Limited         Penalty Imposed         200,000         17-Jan-17         TADING T           231         17         Sunnit Capital (Private) Limited         Advised          3-Jan-17            233         120         Bans Socurities (Private) Limited         Advised          3-Jan-17            234         54         Baws Socurities (Private) Limited         Advised          3-Jan-17            235         IL         Bans Socurities (Private) Limited         Advised          3-Jan-17            236         IL         Bans Socurities (Private) Limited         Advised          3-Jan-17<	ACTIVE ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE APPLED FOR SURRENDER ACTIVE APPLED FOR SURRENDER CONSUMERINGER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
196         Totlin's Scuritis (Private) Limited         Advised          225-10-17         25-10-17           228         146.8         Hanza Fandes Scuritis (Private) Limited         Advised          25-10-17            228         185         Concolial Securitis (Private) Limited         Advised          24-10-17            228         185         Sourcilis (Private) Limited         Penalty Imposed         50000         13-19-17            230         118         Sunnit Capital (Private) Limited         Penalty Imposed         200,000         17-Jan-17         TADING T           231         17         Sunnit Capital (Private) Limited         Advised          3-Jan-17            233         120         Bans Socurities (Private) Limited         Advised          3-Jan-17            234         54         Baws Socurities (Private) Limited         Advised          3-Jan-17            235         IL         Bans Socurities (Private) Limited         Advised          3-Jan-17            236         IL         Bans Socurities (Private) Limited         Advised          3-Jan-17<	ACTIVE ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE APPLED FOR SURRENDER ACTIVE APPLED FOR SURRENDER CONSUMERINGER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
227     483     Hours Andread Securities (Private) Limited     Advied      224-in-17       228     485     Concord Securities (Private) Limited     Advied      224-in-17       229     116     Patel Securities (Private) Limited     Penalty Imposed     5000     19-in-17       229     118     Sumite Capital (Private) Limited     Penalty Imposed     200,000     17-in-17     TADING T       230     118     Summe Capital (Private) Limited     Advied      3-in-17       231     117     Summe Capital (Private) Limited     Advied      3-in-17       232     12     Summe Capital (Private) Limited     Advied      3-in-17       232     13     Summe Capital (Private) Limited     Advied      3-in-17       231     13     Raise Securities (Private) Limited     Advied      3-in-17       234     14     Raise Securities (Private) Limited     Advied      3-in-26-it       235     12     Balane Securities (Private) Limited     Advied      2-in-26-it       236     Capital Securities (Private) Limited     Advied      2-in-26-it       236     136     Capital Securities (Private) Limited     Advied      2-in-26-it <td>ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE</td>	ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
228         15         Concrolts Securities (Private) Limited         Advied         -         24-10-17           220         118         Fault Scorities (Private) Limited         Penalty Imposed         50000         19-Jan-17            210         118         Sunfic Capital (Private) Limited         Penalty Imposed         200,000         17-Jan-17         TADING T           211         17         Summit Capital (Private) Limited         Advied         -         3-Jan-17         -           212         17.0         Summit Capital (Private) Limited         Advied         -         3-Jan-17         -           213         17.1         Summit Capital (Private) Limited         Advied         -         3-Jan-17         -           213         17.2         Banace Securities Limited         Advied         -         3-Jan-17         -           214         54.8         Bana Securities (Private) Limited         Advieed         -         3-Jan-17         -           215         66.1         Banary Securities (Private) Limited         Advieed         -         3-Jan-17         -           216         Banary Securities (Private) Limited         Advieed         -         3-Jan-17         -           216         Bal	ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE APPLED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
1316         Patial Scattles (Private) Lunited         Penalty Imposed         5000         19-Jan J7         Patial Scattles (Private) Lunited           210         118         Sunna Capital (Private) Lunited         Anotat         200,000         17-Jan J7         VADNO T           211         118         Sunna Capital (Private) Lunited         Anotat         1         3-Jan J7         VADNO T           212         117         Sunna Capital (Private) Lunited         Advised         .         3-Jan J7         VADNO T           212         117         Sunna Capital (Private) Lunited         Advised         .         3-Jan J7         VADNO T           212         117         Sunna Capital (Private) Lunited         Advised         .         3-Jan J7         VADNO T           213         112         Relance Scatures Lunited         Advised         .         3-Jan J7         VADNO T         2-Jan J7         Jan J00-Ec16         Applie           214         54         Basa Scattrise (Private) Lunited         Advised         .         2-Jan J7         Jan J0Ec16         Applie           216         Const Scattrise (Private) Lunited         Advised         .         2-Jan J7         Jan J0Ec16         Jan J0Ec16         Jan J0Ec16         Jan J0Ec16         Jan	ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
118         Sunite Capital (invited lumited         Penalty Imposed         200,000         17.3m.7         TADING T           121         171         Summi Capital (invited lumited         Advised         -         3.18-77         -           123         170         Summi Capital (invited lumited         Advised         -         3.18-77         -           123         120         Summi Capital (invited lumited         Advised         -         3.18-77         -           123         120         Banko Securities (invited lumited         Advised         -         3.18-77         -           123         120         Banko Securities (invited lumited         Advised         -         3.18-77         -           124         540         Banko Securities (invited lumited         Advised         -         3.18-76         -           125         640         Inplut Manchowi Securities (invited lumited         Advised         -         3.28-06-16         -           126         128         Individu Securities (invited lumited         Advised         -         3.28-06-16         -           127         128         Standord Capital Securities (invited lumited         Advised         -         3.28-06-16         -           1	TRADING TERMINALS SUSPENDED ACTIVE ACTIVE APPUELD FOR SURRENDER ACTIVE APPUELD FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
120         111         Sumits Capital (Private) Limited         Penally Imposed         200,000         17.3m.7         TRAING T           211         177         Summic Capital (Private) Limited         Advised	ACTIVE APPLED FOR SURRENDER ACTIVE APPLED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
173         Jumit Capital (Private) lumited         Advised         -         3-Jan.7         -           233         124         Relance Securities lumited         Advised         -         -         APPLE           234         54         Beaks Securities (Private) lumited         Advised         -         -         APPLE           234         54         Beaks Securities (Private) lumited         Advised         -         -         APPLE           234         54         Beaks Securities (Private) lumited         Advised         -         -         APPLE           236         126         Relance Securities (Private) lumited         Advised         -         -         28bcc:16         -           237         236         130         Romal Boaks Securities (Private) lumited         Advised         -         28bcc:16         -           238         130         Compt Securities (Private) lumited         Advised         -         28bcc:16         -           239         143         Isonal data Securities (Private) lumited         Advised         -         28bcc:16         -           230         143         Isonal data Capital Securities (Private) lumited         Advised         -         22bcc:16         -	ACTIVE APPLIED FOR SURRENDER ACTIVE APPLIED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
173         Jumit Capital (Private) lumited         Advised         -         3-Jan.7         -           233         124         Relance Securities lumited         Advised         -         -         APPLE           234         54         Beaks Securities (Private) lumited         Advised         -         -         APPLE           234         54         Beaks Securities (Private) lumited         Advised         -         -         APPLE           234         54         Beaks Securities (Private) lumited         Advised         -         -         APPLE           236         126         Relance Securities (Private) lumited         Advised         -         -         28bcc:16         -           237         236         130         Romal Boaks Securities (Private) lumited         Advised         -         28bcc:16         -           238         130         Compt Securities (Private) lumited         Advised         -         28bcc:16         -           239         143         Isonal data Securities (Private) lumited         Advised         -         28bcc:16         -           230         143         Isonal data Capital Securities (Private) lumited         Advised         -         22bcc:16         -	ACTIVE APPLIED FOR SURRENDER ACTIVE APPLIED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
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32454Bwa Scorting: Private lumitedAdvied $30 0 0 - 15$ $30 0 0 - 15$ $30 0 0 - 15$ $30 0 0 - 15$ $30 0 0 - 15$ $30 0 0 - 15$ $30 0 0 - 15$ $30 0 - 15$ $30 0 - 15$ $30 0 - 15$ $30 - 10 - 15$	ACTIVE APPLIED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
132         132         Balance Securities Limited         Advised          30-Dec-16         APPLIE           258         66         lipbal Liman Kodwa Securities (Private) Limited         Advised          23-Dec-16          23-Dec-16          23-Dec-16            258         103         Growth Securities (Private) Limited         Advised          23-Dec-16	APPLIED FOR SURRENDER ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
226         66         upla trans fodowi Securitie (Private) lumited         Advised	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
237         228         Takway Securitie (Private) Limited         Advied          28 Poor-16         28 Poor-16           238         102         Growth Securitie (Private) Limited         Advied          26 Poor-16            239         102         Growth Securitie (Private) Limited         Advied          26 Poor-16            239         138         Isandard Capital Securities (Private) Limited         Advied          26 Poor-16            230         112         Standard Capital Securities (Private) Limited         Advied          27 Poor-16            241         45         Bhyard Socurities (Private) Limited         Advied          27 Poor-16            243         145         Foundation Securities (Private) Limited         Advied          27 Poor-16            244         64         Foundation Securities (Private) Limited         Advied          27 Poor-16            245         440         Bata Equities (Private) Limited         Advied          27 Poor-16            245         450         Bata Equities (Private) Limited         Advied	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
212         223         Taxway Securities (Private) lumited         Advised         -         23 Posci           213         102         Growth Securities (Private) lumited         Advised         -         25 Posci         -           214         113         Istandi topal Securities (Private) lumited         Advised         -         25 Posci         -           215         114         Istandi topal Securities (Private) lumited         Advised         -         25 Posci         -           214         45         Bhayan Securities (Private) lumited         Advised         -         22 Posci         -           214         45         Foundation Securities (Private) lumited         Advised         -         22 Posci         -           214         46         Foundation Securities (Private) lumited         Advised         -         21 Posci         -           214         46         Fortum Securities (Private) lumited         Advised         -         21 Posci         -           214         46         Fortum Securities (Private) lumited         Advised         -         21 Posci         -           214         46         16 Obsole (Private) lumited         Advised         -         21 Posci         -           214<	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
318         102         orwink Scurittes (Private) Limited         Advised         -         25-Dec.16         -           28         18.8         Ismail gaba Scurittes (Private) Limited         Advised         - <td>ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE</td>	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
318         Imalignation Sourchise (Invitabe Limited         Advised	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
240         112         Standard Capital Securities (Private) Lumited         Advised         -         22-Dec:16         -           241         45.0         Bhayan's Socurities (Private) Lumited         Advised         -         -         22-Dec:16         -           242         142         Foundation Securities (Private) Lumited         Advised         -         22-Dec:16         -           242         142         Foundation Securities (Private) Lumited         Advised         -         22-Dec:16         -           243         160         D.JM. Securities (Private) Lumited         Advised         -         22-Dec:16         -           244         46         Found Securities (Private) Lumited         Advised         -         22-Dec:16         -           245         49.0         Ebbs Equities (Private) Lumited         Advised         -         20-Dec:16         -           246         224         16 Elobal (Private) Lumited         Advised         -         20-Dec:16         -           247         22         16 Elobal (Private) Lumited         Advised         -         20-Dec:16         -           248         340         MCAP Securities (Private) Lumited         Advised         -         20-Dec:16         -	ACTIVE ACTIVE ACTIVE ACTIVE
414         45         Bhyan Securities (Private) Limited         Advised	ACTIVE ACTIVE ACTIVE
342         142         roundation Securities (Private) limited         Advised         -         22-Dec:16         -           343         160         D.J.M. Socurities (Private) limited         Advised         - <td>ACTIVE</td>	ACTIVE
343         36         D.M. Securities (Private) lumited         Advised	ACTIVE
244         66         Fortum-Securities Limited         Advised         -         20-Dec:16         -           245         490         Baba Equities (Private) Limited         Advised         -         -         20-Dec:16         -           246         224         It aliabal (Private) Limited         Advised         -         20-Dec:16         -           246         224         It aliabal (Private) Limited         Advised         -         20-Dec:16         -           246         224         It aliabal (Private) Limited         Advised         -         20-Dec:16         -           248         348         MACA Securities (Private) Limited         Advised         -	
255         490         Babs Equities (Private) Limited         Advised         -         20 Dec:16           246         248         K & Bi Colde (Private) Limited         Advised         -         20 Dec:36           277         224         K & Bi Colde (Private) Limited         Advised         -         20 Dec:66           248         348         AAACA Securities (Private) Limited         Advised         -         20 Dec:66           249         340         Datas Securities (Private) Limited         Advised         -         10 Dec:16         -           250         137         Of Logital (Private) Limited         Penalty Imposed         50,00         1 - 0 - 0:16         -           251         37         HY Mobias Vectorities (Private) Limited         Advised         -         2 - 2 Hore 16         -	ACTIVE
255         490         Babs Equities (Private) Limited         Advised         -         20 Dec:16           246         248         K & Bi Colde (Private) Limited         Advised         -         20 Dec:36           277         224         K & Bi Colde (Private) Limited         Advised         -         20 Dec:66           248         348         AAACA Securities (Private) Limited         Advised         -         20 Dec:66           249         340         Datas Securities (Private) Limited         Advised         -         10 Dec:16         -           250         137         Of Logital (Private) Limited         Penalty Imposed         50,00         1 - 0 - 0:16         -           251         37         HY Mobias Vectorities (Private) Limited         Advised         -         2 - 2 Hore 16         -	
246         224         8.4 foldbal (Private) lumited         Advised          20-Dec:16           247         228         8.4 foldbal (Private) lumited         Advised          20-Dec:16         TADNOS T           248         3.48         MACAP Securitise (Private) lumited         Advised          1-Dec:16         TADNOS T           248         3.48         MACAP Securitise (Private) lumited         Advised          1-Dec:16         TADNOS T           248         3.49         Discoptier (Private) lumited         Penahy Imposed <t< td=""><td>ACTIVE</td></t<>	ACTIVE
247         224         K & Global (Private) Limited         Advised         -         20-Dec-16           248         348         AMCAP Securities (Private) Limited         Advised         -         1-Dec.16         TRADING T           249         90         Darson Securities (Private) Limited         OPenalty Imposed         50,000         1-Dec.16         -           248         90         Darson Securities (Private) Limited         OPenalty Imposed         50,000         1-Dec.16         -           250         177         Din Capital (Private) Limited         OPenalty Imposed         52,479         1-Dec.16         APVIL           251         377         Ht Misbab Securities (Private) Limited         Advised         -         2940v-16	ACTIVE
248         348         MACAP Securities (Private) Limited         Advised         1-Dec.16         TRADING T           249         90         Duroo Securities (Private) Limited         Penalty Imposed         50.00         1-Dec.16         TRADING T           249         90         Duroo Securities (Private) Limited         Penalty Imposed         50.00         1-Dec.16         APPUE           251         37         Hf Modab Securities (Private) Limited         Advised         -         29-Nov-16	ACTIVE
449         90         Durson Securities (Private) Limited         Penalty imposed         50,000         1-9e-16           260         177         Dira Capital (Private) Limited         Penalty imposed         52,47         1-5ee-16         APPLIE           211         37         Mr Midball Securities (Private) Limited         Advised         -         -2.94 Mort         -	TRADING TERMINALS SUSPENDED
250         177         Din Capital (Private) Limited         Penalty Imposed         52,479         1-Dec 16         APPLIE           251         37         HH Misbah Securities (Private) Limited         Advised         -         29-Nov-16	ACTIVE
251         37         HH Msbah Securities (Private) Limited         Advised         -         29-Nov-16	APPLIED FOR SURRENDER
	ACTIVE
	TRADING TERMINALS SUSPENDED
23 23 Ghailorana Scurites (Private) Limited Advised - 222-Nov-16 -	ACTIVE
254 72 TS Securities (Private) Limited Penalty imposed 89,701 18-Nov-16	ACTIVE
	ACTIVE
	ACTIVE
	ACTIVE
258         410         Zahid Latif Khan Securities (Private) Limited         Advised         -         4-Nov-16	ACTIVE
259 30 Cedar Capital (Private) Limited Advised - 4-Nov-16	ACTIVE
260         88         Fawad Yusuf Securities (Private) Limited         Advised         -         4-Nov-16	ACTIVE
261 68 Zafar Securities (Private) Limited Advised - 4-Nov-16	ACTIVE
262 96 MSMANIAR Financials (Private) Limited Advised - 4-Nov-16	ACTIVE
263 10 Sakarwala Capital Securities (Private) Limited Advised - 27-Oct-16	ACTIVE ACTIVE ACTIVE
264 194 M.A. Securities (Private) Limited Penalty Imposed 50,486 25-0t-16	ACTIVE
Display         Micro Legislation         Print         Display         Display           255         194         M.R.A. Securities (Private) Limited         Penalty Imposed         52,250         26-Oct-16	ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
268         32         Zafar Moti Capital Securities (Private) Limited         Penalty Imposed         303,839         10-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
269 166 Topline Securities (Private) Limited Advised - 10-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
270 22 BMA Capital Management Limited Advised - 4-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
271         442         General Investment & Securities (Private) Limited         Advised         -         4-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
272 128 KASB Securities Limited Advised - 29-Sep-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
273 128 KASB securities limited Advised - 29-Sep-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
126         Nos Securites Limited         Advised         -         23-56/10           274         149         IS Globa Capital limited         Advised         -         29-56/16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
276         61         Abbasi Securities (Private) Limited         Advised         .         29-Sep-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
277         102         Growth Securities (Private) Limited         Advised         -         28-Sep-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
278         145         Adam Securities (Private) Limited         Advised         .         28-Sep-16	ACTIVE ACTIVE
278         145         Adam Securities (Private) Limited         Advised         -         28-5ep-16           279         149         JS Global Capital Limited         Advised         -         28-5ep-16	ACTIVE ACTIVE
145         Adam Securities (Private) Limited         Advised         -         28-Sep-16           279         149         JS (about Capital Limited         Advised         -         28-Sep-16           280         194         M.R.A. Securities (Private) United         Advised         -         28-Sep-16	ACTIVE ACTIVE
278         145         Admsscuritss (Privatel lumited         Advised         .         28-59-16           279         149         5 (bible Capital lumited         Advised         .         28-59-16           280         150 bible Capital lumited         Advised         .         28-59-16           280         194         MA. Securities (Privatel Jumited         Advised         .         28-59-16           281         88         Fawad Yung's counties (Privatel Jumited         Advised         .         23-59-16	ACTIVE ACTIVE
178         Adm Securities (Privatel lumited         Advised         -         28-Sep 16           279         149         15 (Stable Carolita lumited         Advised         -         28-Sep 16           280         15 (Stable Carolita lumited         Advised         -         28-Sep 16           280         15 (Stable Carolita lumited         Advised         -         28-Sep 16           280         184         MAA Securities (Private) lumited         Advised         -         28-Sep 16           281         88         Favoral Yound Securities (Private) lumited         Advised         -         27-Sep 16           281         188         Kod8 Securities Limited         Penabri Inposed         64,000         2-Sep 16	ACTIVE ACTIVE
278         145         Adm Securities (Private) Limited         Advised         .         228-59-16           279         149         16 Global Capital Limited         Advised         .         28-59-16           279         149         16 Global Capital Limited         Advised         .         28-59-16           280         194         M.A.S. Securities (Private) Limited         Advised         .         28-59-16           281         88         Fawad Ysurg Securities (Private) Limited         Advised         .         23-59-16           282         128         KSS Securities Limited         Penalty Imposed         64,000         2-549-16           283         118         Surise Capital (Private) Limited         Penalty Imposed         164,285         26-4ug-16         TRADING T	ACTIVE AC
178         Adm Securities (Private) lumited         Advised         -         28-59-16         -           779         149         6 Colds Capital lumited         Advised         -         28-59-16         -           280         16 Colds Capital lumited         Advised         -         28-59-16         -           281         88         Favad Yund's curities (Private) lumited         Advised         -         28-59-16         -           282         128         Kad Securities (Private) lumited         Advised         -         23-59-16         -           282         128         Kad Securities (Private) lumited         Advised         -         23-59-16         -           282         128         Kad Securities (Private) lumited         Advised         -         23-59-16         -           283         118         Surfice Capital (Private) lumited         Penalty imposed         66.00         2-59-16         -           284         36         Time Securities (Private) lumited         Wared         -         7-Aug-16	ACTIVE ACTIVE
145         Adam Securities (Private) Limited         Advised         -         28-Sep-16           279         149         35 Gobal Capital Limited         Advised         -         28-Sep-16           270         149         149         35 Gobal Capital Limited         Advised         -         28-Sep-16           280         194         M.R.A. Securities (Private) Limited         Advised         -         28-Sep-16           281         88         Fawad Ysurf Securities (Private) Limited         Advised         -         23-Sep-16           282         128         KASS Securities United         Penalty Imposed         66.000         2-Sep-16           283         118         Sunrise Capital (Private) Limited         Penalty Imposed         164,285         26-Aug-15         TRADING T	ACTIVE AC