S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
			Actions taken by Audit, Inspection, Default and Arbitrage (AIDA) :	(ir any)		
2	108 57	Azee Securities Private Limited First Capital Equities Limited	Switching-off of trading terminals Switching-off of trading terminals	-	9-May-19 16-May-19	ACTIVE
3	61	Abbasi Securities (Pvt) Ltd.	The Brokerage House was Warned	-	30-Apr-19	ACTIVE
4	88	Fawad Yusuf Securities (Private) Limited DIM Securities (Pvt.) Ltd	The Brokerage House was PENALIZED and WARNED The Brokerage House was Warned	Rs.50,000/-	30-Apr-19 24-Apr-19	ACTIVE
6	107	Seven Star Securities (Private) Limited	The Brokerage House was Warned	-	18-Apr-19	ACTIVE
7	481 204	Riaz Ahmed Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was PENALIZED and WARNED	- Rs.50.000/-	18-Apr-19 16-Apr-19	ACTIVE
9	490	A.N Equities (Pvt) Ltd Baba Equities (Pvt) Limited.	The Brokerage House was Verned	-	15-Apr-19	ACTIVE
10 11	339 158	Khawaja Securities (Pvt.) Ltd.	The Brokerage House was Warned The Brokerage House was Warned	-	8-Apr-19 8-Apr-19	ACTIVE
12	523	Royal Securities (Private) Limited Falki Capital Private Limited	The Brokerage House was Warned	-	5-Apr-19	ACTIVE
13 14	55	Shajar Capital Pakistan Private Limited	The Brokerage House was Warned	-	3-Apr-19	ACTIVE
14	221 266	Millennium Brokerage (Private)Limited Shaffi Securities (Pvt) Ltd	The Brokerage House was PENALIZED and WARNED The Brokerage House was Warned	Rs.691,500/-	3-Apr-19 2-Apr-19	ACTIVE
16	120	Alfalah Securities (Private) Limited	The Brokerage House was Warned	-	2-Apr-19	ACTIVE
17 18	140 150	M.M. Securities (Private) Limited Askari Securities Limited	The Brokerage House was Warned The Brokerage House was Warned	-	2-Apr-19 2-Apr-19	ACTIVE
19	466	Unex Securities (Private) Limited	The Brokerage House was Warned	-	2-Apr-19	ACTIVE
20 21	223 42	Maan Securities (Pvt.) Limited ICON Capital Management (Pvt) Ltd.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was WARNED	Rs.40,000/-	27-Mar-19 27-Mar-19	ACTIVE
22	10	Sakarwala Capital Sec.(Pvt.) Ltd	The Brokerage House was WARNED	-	27-Mar-19	ACTIVE
23 24	100 176	Elixir Securities Pak. (Pvt.) Ltd. Muhammad Salim Kasmani Securities (Private)Limited	The Brokerage House was Advised The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.50,000/-	20-Mar-19 18-Mar-19	ACTIVE
25	102	Growth Securities (Pvt.) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	18-Mar-19	ACTIVE
26 27	91 284	Intermarket Securities Limited ASA Stocks Private Limited	The Brokerage House was WARNED The Brokerage House was Warned and Financial Penalty was Imposed	- Rs. 533,500/-	18-Mar-19 1-Mar-19	ACTIVE
28	229	Fairway Securities Private Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 995,000/-	1-Mar-19	ACTIVE
29 30	267 179	Bismillah Securities (Pvt.) Limited	The Brokerage House was WARNED	- Rs. 20.000/-	1-Mar-19 1-Mar-19	ACTIVE
31	46	Continental Capital Management (Pvt.) Limited Fortune Securities Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Advised		26-Feb-19	ACTIVE
32 33	297 28	MSD Capital Equities (Pvt) Ltd. Ghani Osman Securities (Pvt.) Ltd.	The Brokerage House was WARNED		26-Feb-19 20-Feb-19	ACTIVE
34	143	Saao Capital (Private) Ltd.	The Brokerage House was WARNED The Brokerage House was WARNED	-	20-Feb-19	ACTIVE
35	517	South Asian Securities (Pvt.) Ltd.	Switching-off of trading terminals	-	18-Feb-19	NOTICE FOR SURRENDER
36 37	224	K & I Global Capital (Private.) Limited. Rahat Securities Limited	The Brokerage House was Warned The Brokerage House was Warned	-	22-Jan-19 22-Jan-19	ACTIVE
38	332	Trust Securities & Brokerage Limited.	The Brokerage House was Warned	-	22-Jan-19	ACTIVE
39 40	337 290	A B M Securities (Private) Limited K.H.S. Securities (Private) Limited	The Brokerage House was Warned Suspension of Trading Terminals	-	22-Jan-19 16-Jan-19	ACTIVE
41	309	HMC Stocks (Private) Limited.	Suspension of Trading Terminals	-	16-Jan-19	ACTIVE
42 43	12 239	Reliance Securities Limited. Ayub Chaudhry Investments (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.430,000/-	14-Jan-19 14-Dec-18	ACTIVE
43	259	M.F. Stocks (Private) Limited.	The Brokerage House was Warned	-	14-Dec-18	TRADING TERMINALS SUSPENDED
45	288	Javed Iqbal Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	14-Dec-18	ACTIVE
46 47	420 497	Progressive Inves. Management (Private) Limited. JSK Securities Limited	The Brokerage House was Warned The Brokerage House was Warned	-	14-Dec-18 14-Dec-18	ACTIVE
48	256	Progressive Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	13-Dec-18	ACTIVE
49 50	297 36	MSD Capital Equities (Private) Limited. Time Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.80,000/-	13-Dec-18 12-Dec-18	ACTIVE
51	143	Saao Capital (Private) Limited.	The Brokerage House was Warned	-	10-Dec-18	ACTIVE
52 53	211 294	Share Center (Private) Limited Yasir Mahmood Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	10-Dec-18 10-Dec-18	ACTIVE
54	350	Gulrez Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.20,000/-	10-Dec-18	ACTIVE
55 56	28	Ghani Osman Securities (Private.) Limited. Surmawala Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-18 6-Dec-18	ACTIVE
57	62	WE Financial Services Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.140,000/-	22-Nov-18	ACTIVE
58 59	124 191	EFG Hermes Pakistan Limited Market 786 (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.40,000/-	22-Nov-18 22-Nov-18	ACTIVE
60	432	Spinzer Equities(Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.115,845/-	19-Nov-18	ACTIVE
61 62	208 296	RUC Securities (Private.) Limited. MGM Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned	-	16-Nov-18 15-Nov-18	TRADING TERMINALS SUSPENDED ACTIVE
63	48	Next Capital Limited	The Brokerage House was Warned The Brokerage House was Warned	-	14-Nov-18	ACTIVE
64	181 286	First Equity Modaraba Dosslani's Securities (Private) Limited	The Brokerage House was Warned	- Rs.170,000/-	9-Nov-18 7-Nov-18	ACTIVE
65 66	286	Arif Latif Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-	5-Nov-18	ACTIVE
67	8	Optimus Capital Management (Private.) Limited.	The Brokerage House was Warned	-	1-Nov-18	ACTIVE
68 69	145 186	Adam Securities Limited. Muhammed Tariq Moti Sec. (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.40,000/- Rs. 20,000/-	1-Nov-18 1-Nov-18	ACTIVE
70	194	MRA Securities Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.120,000/-	1-Nov-18	ACTIVE
71 72	24	ASDA Securities (Private.) Limited. Best Securities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.60,000/- Rs.40,000/-	25-Oct-18 25-Oct-18	ACTIVE
73	121	Salim Sozer Securities (Private.) Limited.	Switching-off of trading terminals	-	17-Oct-18	ACTIVE
74 75	98 166	Fairtrade Capital Securities (Private.) Limited. Topline Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	10-Oct-18 10-Oct-18	ACTIVE
76	57	First Capital Equities Limited.	Switching-off of trading terminals		9-Oct-18	ACTIVE
77 78	46 183	Fortune Securities Limited R.T. Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.20,000/-	25-Sep-18 25-Sep-18	ACTIVE
79	6	Sherman Securities (Private.) Limited.	The Brokerage House was Warned	-	18-Sep-18	ACTIVE
80 81	188 236	Apex Capital Sec. (Private.) Limited. Techno Fundamental Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned	-	18-Sep-18 18-Sep-18	ACTIVE
82	292	INA Securities (Private) Limited	Switching-off of trading terminals	-	18-Sep-18	ACTIVE
83 84	297 444	MSD Capital Equities (Private) Limited. Xpert Securities (Private) Limited	Switching-off of trading terminals Switching-off of trading terminals	-	18-Sep-18 18-Sep-18	ACTIVE
85	444 475	CAMCO (Private) Limited	Switching-off of trading terminals Switching-off of trading terminals	-	18-Sep-18	ACTIVE
86 87	94 420	FDM Capital Securities (Private.)Limited	The Brokerage House was Warned and Financial Penalty was Imposed Restriction on opening of New Clients Account and Sale Side	Rs.1,000,000/-	14-Sep-18 14-Sep-18	ACTIVE
87	420 348	Progressive Inves. Management (Private) Limited. AMCAP Securities (Private) Limited	Restriction on opening of New Clients Account and Sale Side Suspension of Trading Terminals	-	14-Sep-18 10-Sep-18	TRADING TERMINALS SUSPENDED
89	116	Patel Securities (Private.) Limited.	The Brokerage House was Warned	-	10-Sep-18	ACTIVE
90 91	212 309	Horizon Securities Limited. HMC Stocks (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	3-Sep-18 3-Sep-18	ACTIVE
92	438	Fair Edge Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.100,000/-	3-Sep-18	ACTIVE
93 94	231 288	Saima Qaiser Securities (Private) Limited Javed Igbal Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned	-	16-Aug-18 13-Aug-18	ACTIVE
95	346	Strongman Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.150,000/-	13-Aug-18	ACTIVE
96 97	54 234	Bawa Securities (Private.) Limited. Value Stock and Commodities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	8-Aug-18 31-Jul-18	ACTIVE
98	228	Al-Haq Securities (Private.) Limited.	The Brokerage House was Warned	-	27-Jul-18	ACTIVE
99 100	251 265	MND Investment (Private) Limited Infinite Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned		27-Jul-18 27-Jul-18	ACTIVE
101	290	K.H.S. Securities (Private) Limited	The Brokerage House was Warned		27-Jul-18	ACTIVE
102 103	6	Sherman Securities (Private.) Limited.	The Brokerage House was Advised	-	20-Jul-18 20-Jul-18	ACTIVE
104	94 120	FDM Capital Securities (Private.)Limited Alfalah Securities (Private.) Limited.	The Brokerage House was Advised The Brokerage House was Advised	-	20-Jul-18	ACTIVE
105	410	Zahid Latif Khan Securities (Private.) Limited.	The Brokerage House was Warned	-	20-Jul-18	ACTIVE
106 107	442 478	General Investment & Sec Pasha Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	20-Jul-18 20-Jul-18	ACTIVE
108	485	Hamza Farhad Securities (Private) Limited.	The Brokerage House was Warned	-	20-Jul-18	ACTIVE
109	491	First Street Capital (Private) Limited.	The Brokerage House was Warned	1 -	20-Jul-18	ACTIVE

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty	Date of Enforcement Actions	Current Status
110	44	IGI Finex Securities Limited	The Brokerage House was Warned and Financial Penalty was Imposed	(If any) Rs.150,000/-	13-Jul-18	ACTIVE
111 112	420 98	Progressive Inves. Management (Private) Limited. Fairtrade Capital Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs. 170,000/- Rs.300,000/-	13-Jul-18 22-Jun-18	ACTIVE
113	112	Standard Capital Securities (Private.) Limited.	New Clients' Account was Imposed The Brokerage House was Advised	-	22-Jun-18	ACTIVE
114 115	19 44	AKD Securities Limited. IGI Finex Securities Limited	The Brokerage House was Advised The Brokerage House was Advised	-	20-Jun-18 20-Jun-18	ACTIVE
116 117	50 129	Arif Habib Limited. Taurus Securities Limited	The Brokerage House was Advised The Brokerage House was Advised	-	20-Jun-18 20-Jun-18	ACTIVE
118 119	150 284	Askari Securities Limited. ASA Stocks (Private) Limited.	The Brokerage House was Advised Switching-off of trading terminals	-	20-Jun-18 20-Jun-18	ACTIVE
120	508 348	KP Securities (Private) Limited AMCAP Securities (Private) Limited	Switching-off of trading terminals Switching-off of trading terminals	-	20-Jun-18 19-Jun-18	ACTIVE TRADING TERMINALS SUSPENDED
122	63	AL Habib Capital Markets (Private.) Limited.	The Brokerage House was Advised	-	14-Jun-18	ACTIVE
123 124	116 132	Patel Securities (Private.) Limited. Eleven Stars Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	12-Jun-18 12-Jun-18	ACTIVE
125 126	457 491	AAA Securities (Private) Limited First Street Capital (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Jun-18 7-Jun-18	ACTIVE
127 128	68 254	Zafar Securities (Private.) Limited. ShajarPak Securities (Private) Limited	The Brokerage House was Advised The Brokerage House was Advised	-	31-May-18 31-May-18	ACTIVE
129 130	275	Rahat Securities Limited BMA Capital Management Limited	The Brokerage House was Advised The Brokerage House was Advised	-	31-May-18 24-May-18	ACTIVE
131 132	48 88	Next Capital Limited Fawad Yusuf Securities (Private) Limited.	The Brokerage House was Advised The Brokerage House was Advised	-	24-May-18 24-May-18	ACTIVE
133 134	91 128	Intermarket Securities Limited. BIPL Securities Limited.	The Brokerage House was Advised	-	24-May-18 14-May-18	ACTIVE
135	124	EFG Hermes Pakistan Limited	The Brokerage House was Advised The Brokerage House was Advised	-	11-May-18	ACTIVE
136 137	266	Shaffi Securities (Private) Limited F.M. Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned and Penalized and Restriction On Opening of	- Rs.1,000,000/-	16-Mar-18 9-Mar-18	ACTIVE TRADING TERMINALS SUSPENDED
138	328	128 Securities (Private) Limited	New Clients' Account was Imposed The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs.1,000,000/-	1-Mar-18	ACTIVE
130	423	Millennium Securities & Investments	New Clients' Account was Imposed The Brokerage House was Warned	-	23-Feb-18	TRADING TERMINALS SUSPENDED
140 141	63 57	AL Habib Capital Markets (Private.) Limited. First Capital Equities Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.170,000/-	21-Feb-18 20-Feb-18	ACTIVE
141 142 143	188 311	Apex Capital Sec. (Private.) Limited.	The Brokerage House was Warned and Pinancial Penalty was imposed The Brokerage House was Warned	-	16-Feb-18 30-Jan-18	ACTIVE
144	72	Abbasi & Company (Private) Limited. TS Securities (Private) Limited.	The Brokerage House was Warned	-	29-Dec-17	ACTIVE
145 146	444 30	Xpert Securities (Private) Limited. Cedar Capital Private Limited.	Restriction on Trading in Clients' Account The Brokerage House was Warned	-	20-Dec-17 18-Dec-17	ACTIVE ACTIVE
147 148	281 300	Shaukat Shafi Securities (Private) Limited AM Chaudhary Securities (Private) Limited	Suspension of Trading Terminals Suspension of Trading Terminals	-	12-Dec-17 12-Dec-17	TRADING TERMINALS SUSPENDED ACTIVE
149	155 349	B & B Securities (Private.) Limited. A.S. Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.30.000/-	11-Dec-17 11-Dec-17	ACTIVE TRADING TERMINALS SUSPENDED
151	55	Shajar Capital Pakistan (Private.) Limited	The Brokerage House was Warned	-	7-Dec-17	ACTIVE
152 153	63 96	AL Habib Capital Markets (Private.) Limited. MSMANIAR FINANCIALS (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	ACTIVE
154 155	221 254	Millennium Brokerage (Private.) Limited ShajarPak Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	ACTIVE
156 157	268 282	Sethi Securities Private Limited. Salman Majeed Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	ACTIVE
158 159	318 327	Asad Mustafa Securities (Private.) Limited. Gul Dhami Securities (Private) Limited	The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	ACTIVE
160	331	MoneyLine Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned		7-Dec-17	ACTIVE
161 162	423 508	Millennium Securities & Investments KP Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-17 7-Dec-17	TRADING TERMINALS SUSPENDED ACTIVE
163 164	7	Amanah Investments Limited. ACM Global (Private) Limited.	The Brokerage House was Advised The Brokerage House was Advised	-	5-Dec-17 5-Dec-17	ACTIVE
165 166	25 49	Vector Securities (Private.) Limited. Multiline Securities (Private.) Limited.	The Brokerage House was Advised The Brokerage House was Advised	-	5-Dec-17 5-Dec-17	ACTIVE
167 168	94 131	FDM Capital Securities (Private.)Limited GMI Capital Securities (Private.) Limited.	The Brokerage House was Advised The Brokerage House was Warned	-	5-Dec-17 5-Dec-17	ACTIVE ACTIVE
169	144	Dattoo Securities (Private) Limited.	The Brokerage House was Advised		5-Dec-17	ACTIVE
170 171	45 90	Bhayani Securities (Private) Limited. Darson Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.625,000/-	30-Nov-17 30-Nov-17	ACTIVE
172 173	135	Y.H. Securities (Private) Limited. Seven Star Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	30-Nov-17 29-Nov-17	ACTIVE
174 175	188 177	Apex Capital Sec. (Private.) Limited. Din Capital Limited.	The Brokerage House was Warned Suspension of Trading Terminals	-	29-Nov-17 17-Nov-17	ACTIVE ACTIVE
176 177	497 142	JSK Securities Limited Foundation Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	16-Nov-17 14-Nov-17	ACTIVE
178 179	37	HH Misbah Securities (Private.) Limited.	The Brokerage House was Warned	-	13-Nov-17 10-Nov-17	ACTIVE
180	129	BMA Capital Management Limited Taurus Securities Limited	The Brokerage House was Warned The Brokerage House was Warned	-	10-Nov-17	ACTIVE
181 182	52 162	N.U.A. Securities (Private) Limited. Aba Ali Habib Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	8-Nov-17 8-Nov-17	ACTIVE
183 184	183 265	R.T. Securities (Private.) Limited. Infinite Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	8-Nov-17 8-Nov-17	ACTIVE
185 186	288 432	Javed Iqbal Securities (Private) Limited Spinzer Equities(Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	8-Nov-17 8-Nov-17	ACTIVE
187	177	Din Capital Limited.	The Brokerage House was Warned and Penalized and Restriction On Opening of	D= 1 000 000/	3-Nov-17	ACTIVE
			New Clients' Account and Trading in House Account was Imposed	Rs.1,000,000/-		ACTIVE TRADING TERMINALS SUSPENDED
188 189	281 290	Shaukat Shafi Securities (Private) Limited K.H.S. Securities (Private) Limited	Switching-off of trading terminals Switching-off of trading terminals	-	1-Nov-17 30-Oct-17	ACTIVE
190 191	108 158	Azee Securities (Private.) Limited. Royal Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.450,000/- -	25-Oct-17 19-Oct-17	ACTIVE ACTIVE
192 193	348 329	AMCAP Securities (Private) Limited S.D. Mirza Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.25,000/- Rs.20,000/-	19-Oct-17 5-Oct-17	TRADING TERMINALS SUSPENDED ACTIVE
194 195	300 513	AM Chaudhary Securities (Private) Limited CMA Securities (Private) Limited.	Switching-off of trading terminals The Brokerage House was Warned	-	20-Sep-17 6-Sep-17	ACTIVE TRADING TERMINALS SUSPENDED
195 196 197	98	Fairtrade Capital Securities (Private.) Limited. Sherman Securities (Private.) Limited.	The Brokerage House was Warned	-	5-Sep-17 30-Aug-17	ACTIVE
198	6 61	Abbasi Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	23-Aug-17	ACTIVE
199 200	140 38	M. M. Securities (Private.) Limited. A.H.M.Securities (Private.) Limited.	The Brokerage House was Advised The Brokerage House was Warned	-	23-Aug-17 18-Aug-17	ACTIVE ACTIVE
201 202	51 89	H. P. Byramji & Co. (Private.) Limited. Investment Managers Sec. (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	18-Aug-17 18-Aug-17	ACTIVE
203 204	199 148	Insight Securities (Private.) Limited. Oriental Securities (Private) Limited	The Brokerage House was Advised The Brokerage House was Advised	-	18-Aug-17 11-Aug-17	ACTIVE ACTIVE
205	328	128 Securities (Private) Limited Trust Securities (Private) Limited Trust Securities & Brokerage Limited.	Switching-off of trading terminals	- Rs.20.000/-	3-Aug-17 31-Jul-17	ACTIVE
205	332	AMCAP Securities & Brokerage Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs.460,000/-	31-Jul-17 21-Jul-17	TRADING TERMINALS SUSPENDED
208	116	Patel Securities (Private.) Limited.	New Clients' Account was Continued The Brokerage House was Warned	-	10-Jul-17	ACTIVE
209 210	191 256	Market 786 (Private) Limited. Progressive Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.250,000/-	10-Jul-17 10-Jul-17	ACTIVE ACTIVE
211 212	6 24	Sherman Securities (Private.) Limited. ASDA Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	ACTIVE
213 214	42	Icon Capital Management (Private) Limited.	The Brokerage House was Warned	-	5-Jul-17 5-Jul-17 5-Jul-17	ACTIVE
215	61	Nael Capital (Private.) Limited. Abbasi Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17	ACTIVE
216 217	79 92	Irfan Mazhar Securities (Private.)Limited Memon Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	ACTIVE ACTIVE
218 219	120 144	Alfalah Securities (Private.) Limited. Dattoo Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	ACTIVE ACTIVE
220 221	155 237	B & B Securities (Private.) Limited. IAK Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	5-Jul-17 5-Jul-17	ACTIVE
222	482	New Peak Securities(Private) Limited	The Brokerage House was Warned	- Pr 20 000/	5-Jul-17	ACTIVE
223 224	98 108	Fairtrade Capital Securities (Private.) Limited. Azee Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed Suspension of Trading Terminals	Rs.30,000/-	22-Jun-17 22-Jun-17	ACTIVE
225	112	Standard Capital Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.10,000/-	20-Jun-17	ACTIVE

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
226 227	6 490	Sherman Securities (Private.) Limited. Baba Equities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	15-Jun-17 15-Jun-17	ACTIVE
228 229	513 61	CMA Securities (Private) Limited. Abbasi Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	15-Jun-17 12-Jun-17	TRADING TERMINALS SUSPENDED ACTIVE
230	126	NINI Securities (Private.) Limited.	The Brokerage House was Warned	-	12-Jun-17	ACTIVE
231 232	163 308	Friendly Securities (Private) Limited. Bridge Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	12-Jun-17 12-Jun-17	ACTIVE
233 234	350 481	Gulrez Securities (Private) Limited Riaz Ahmed Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	12-Jun-17 12-Jun-17	ACTIVE
235 236	523 442	Falki Capital Private Limited General Investment & Sec	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.30.000/-	12-Jun-17 9-Jun-17	ACTIVE
237	89	Investment Managers Sec. (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	8-Jun-17	ACTIVE
238 239	147 38	A. I. Securities (Private) Limited. A.H.M.Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	8-Jun-17 7-Jun-17	ACTIVE
240 241	140 199	M. M. Securities (Private.) Limited. Insight Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	7-Jun-17 7-Jun-17	ACTIVE
242	51	H. P. Byramji & Co. (Private.) Limited. Dawood Equities Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	5-Jun-17	ACTIVE
243 244	58 187	SAZ Capital Securities (Private.)Limited	Suspension of Trading Terminals The Brokerage House was Warned	-	5-Jun-17 2-Jun-17	ACTIVE
245 246	339 420	Khawaja Securities (Private) Limited Progressive Inves. Management (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/- Rs.50,000/-	2-Jun-17 2-Jun-17	ACTIVE
247	102	Growth Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-	31-May-17	ACTIVE
248 249	490 234	Baba Equities (Private) Limited. Value Stock and Commodities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Penalized and Restriction On Opening of	Rs.10,000/- Rs.500,000/-	31-May-17 30-May-17	ACTIVE
249	14	Merchant Investments (Private) Limited.	New Clients' Account was Imposed The Brokerage House was Warned	-	29-May-17	ACTIVE
251	31 59	Ashfaq Ashraf Securities (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
252 253	60	H. H. K. Securities (Private) Limited. R. A Securities (Private.) Limited.	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED TRADING TERMINALS SUSPENDED
254 255	86 93	Fort Securities (Private) Limited. Venus Securities (Private) Limited.	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED ACTIVE
256 257	106 111	MAC Securities (Private) Limited. S M Securities (Private.) Limited.	Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED TRADING TERMINALS SUSPENDED
258	123	MAS Capital Sec. (Private.) Limited.	Switching-off of trading terminals Switching-off of trading terminals		29-May-17	TRADING TERMINALS SUSPENDED
259 260	164 198	Interactive Securities (Private.) Limited. Motiwala Securities (Private.) Limited.	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	ACTIVE TRADING TERMINALS SUSPENDED
261 262	203 220	Brains Securities (Private) Limited. Z M Capital (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed Switching-off of trading terminals	Rs.80,000/-	29-May-17 29-May-17	ACTIVE TRADING TERMINALS SUSPENDED
263	223	MAAN Securities (Private.) Limited.	Switching-off of trading terminals	-	29-May-17	ACTIVE
264 265	236 299	Techno Fundamental Securities (Private) Limited Allied Securities (Private) Limited	The Brokerage House was Warned Switching-off of trading terminals	-	29-May-17 29-May-17	ACTIVE TRADING TERMINALS SUSPENDED
266 267	319 324	Sher Capital Equities (Private) Limited. Union Securities (Private) Limited	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED TRADING TERMINALS SUSPENDED
268	333	BMS Capital (Private) Limited.	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
269 270	441 455	S.A Securities (Private) Limited. Prime Capital Management (Private) Limited	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED TRADING TERMINALS SUSPENDED
271 272	500 501	Chippa Securities (Private) Limited. Ahsam Securities (Private) Limited.	Switching-off of trading terminals Switching-off of trading terminals	-	29-May-17 29-May-17	TRADING TERMINALS SUSPENDED ACTIVE
273	510	JCR Capital Securities (Private) Limited	Switching-off of trading terminals	-	29-May-17	TRADING TERMINALS SUSPENDED
274 275	517 148	South Asian Securities (Private) Limited. Oriental Securities (Private) Limited	Switching-off of trading terminals The Brokerage House was Warned	-	29-May-17 26-May-17	NOTICE FOR SURRENDER ACTIVE
276 277	286 275	Dosslani's Securities (Private) Limited Rahat Securities Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.40,000/-	26-May-17 23-May-17	ACTIVE
278	90	Darson Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50,000/-	15-May-17	ACTIVE
279 280	28	Ghani Osman Securities (Pvt.) Ltd. Darson Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.75,000/- Rs.50,000/-	15-May-17 26-Apr-17	ACTIVE
281 282	297 107	MSD Capital Equities (Private) Limited. Seven Star Securities (Private.) Limited.	Suspension of Trading Terminals The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.10,000/-	26-Apr-17 20-Apr-17	ACTIVE
283 284	134 175	Q. Ain Khanani Securities (Private.) Limited. First National Equities Limited.	The Brokerage House was Warned	- Rs.370,000/-	20-Apr-17 13-Apr-17	ACTIVE
285	323	Adeel & Nadeem Securities (Private) Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 60,000/-	13-Apr-17	ACTIVE
286 287	491 442	First Street Capital (Private) Limited. General Investment & Sec	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.75,000/-	13-Apr-17 12-Apr-17	ACTIVE
288 289	348 85	AMCAP Securities (Private) Limited Concordia Securities (Private) Limited.	Suspension of Trading Terminals	-	5-Apr-17 13-Mar-17	TRADING TERMINALS SUSPENDED ACTIVE
290	12	Reliance Securities Limited.	The Brokerage House was Warned Suspension of Trading Terminals		8-Mar-17	ACTIVE
291 292	299 510	Allied Securities (Private) Limited JCR Capital Securities (Private) Limited	Suspension of Trading Terminals Suspension of Trading Terminals	-	8-Mar-17 8-Mar-17	TRADING TERMINALS SUSPENDED TRADING TERMINALS SUSPENDED
293 294	145 199	Adam Securities Limited. Insight Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.10,000/-	3-Mar-17 3-Mar-17	ACTIVE
295	91	Intermarket Securities Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.30,000/-	28-Feb-17	ACTIVE
296 297	117 36	Fikree's Private Limited Time Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.10,000/-	28-Feb-17 22-Feb-17	ACTIVE
298 299	63 120	AL Habib Capital Markets (Private.) Limited. Alfalah Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.10,000/-	22-Feb-17 22-Feb-17	ACTIVE
300	150	Askari Securities Limited.	The Exchange vide its Enforcement Order upheld The original decisions i.e. penalty	Rs.10,000/-	20-Feb-17	ACTIVE
301	212	Horizon Securities Limited.	of Rs.10,000/-was imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.10,000/-	20-Feb-17	ACTIVE
302 303	10	Sakarwala Capital Sec.(Private.) Limited Reliance Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.10,000/-	17-Feb-17 17-Feb-17	ACTIVE
304	128	BIPL Securities Limited.	The Brokerage House was Warned	-	6-Feb-17	ACTIVE
305 306	84 102	M. Munir Khanani Securities (Private.) Limited. Growth Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	Rs.10,000/- -	31-Jan-17 31-Jan-17	ACTIVE
307 308	68 84	Zafar Securities (Private.) Limited. M. Munir Khanani Securities (Private.) Limited.	Restriction On Buy Side Transactions The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.10,000/-	30-Jan-17 19-Jan-17	ACTIVE
309 310	62 27	WE Financial Services Limited. Habib Metropolitan Financial Services Lt	The Brokerage House was Advised	-	18-Jan-17 9-Jan-17	ACTIVE
311	299	Allied Securities (Private) Limited	The Brokerage House was Warned Suspension of Trading Terminals	-	2-Jan-17	TRADING TERMINALS SUSPENDED
312 313	300 441	AM Chaudhary Securities (Private) Limited S.A Securities (Private) Limited.	Suspension of Trading Terminals Suspension of Trading Terminals	-	2-Jan-17 2-Jan-17	ACTIVE TRADING TERMINALS SUSPENDED
314 315	194 32	MRA Securities Limited. Zafar Moti Capital Sec. (Private.)Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.25,000/- Rs.40,000/-	26-Dec-16 22-Dec-16	ACTIVE
316	330	Muhammad Amer Riaz Securities (Private) Limited	The Brokerage House was Warned	-	22-Dec-16	ACTIVE
317 318	90 95	Darson Securities (Private.) Limited. SNM Securities (Private) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs.50000/- Rs.50,000/-	15-Dec-16 9-Dec-16	ACTIVE TRADING TERMINALS SUSPENDED
319			The Brokerage House was Warned		9-Dec-16	ACTIVE
	117	Fikree's Private Limited		-		
320 321	117 420 241	Progressive Inves. Management (Private) Limited. F.M. Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-16 6-Dec-16	ACTIVE TRADING TERMINALS SUSPENDED
320 321 322 323	117 420	Progressive Inves. Management (Private) Limited. F.M. Securities (Private) Limited Axis Global Limited Unex Securities (Private) Limited	The Brokerage House was Warned	- - Rs.150,000/-	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16	TRADING TERMINALS SUSPENDED ACTIVE ACTIVE
320 321 322 323 324	117 420 241 119 466 112	Progressive Inves. Management (Private) Limited. F.M. Securities (Private) Limited Axis Global Limited Unex Securities (Private) Limited Standard Capital Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16 1-Dec-16	TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326	117 420 241 119 466 112 28 300	Progressive Innes. Management (Private) Limited. F.M. Securities (Wrivate) Limited Axis Global Limited Unnes. Securities (Private) Limited. Standard Captiel Securities (Private). Limited. Ghani Ozama Securities (Private). Limited. AM Chaudhary Securities (Private). Limited	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed Switching off of trading terminals	- Rs.150,000/- - Rs. 60,000/-	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 30-Nov-16	TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326 327	117 420 241 119 466 112 28	Progressive Innes, Management (Private) Limited. F.M. Securities (Wrivate) Limited Aris Global Limited Unes, Securities (Private) Limited Standard Capital Securities (Private). Limited. Ghani Osama Securities (Private). Limited. AM Chaudhary Securities. Limited. AM Securities. Limited.	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed Switching off of trading terminals The Brokerage House was Warned Marned	-	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 30-Nov-16 29-Nov-16	TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326 327 328 329	117 420 241 119 466 112 28 300 19 287 296	Progressive Innes, Management (Private) Limited. F.M. Securities (Wrivate) Limited Aris Global Limited Unos. Securities (Private) Limited. Standard Capital Securities (Private) Limited. Ghani Osana Securities (Private) Limited. AM Chaadhary Securities (Private) Limited. AKO Securities Limited. High Land Securities (Private) Limited MGM Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed Switching off of trading terminals The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned	-	7-Dec-16 6-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 30-Nov-16 29-Nov-16 28-Nov-16 28-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326 327 328 329 330 331	117 420 241 119 466 112 28 300 19 287 296 46 91	Progressive Innes, Management (Private) Limited. F.M. Securities (Wrivate) Limited Aris Global Limited Unos. Securities (Private) Limited. Standard Capital Securities (Private) Limited. Am Chanabray Securities (Private) Limited. AM Chanabray Securities (Private) Limited. High Land Securities (Private) Limited MGM Securities (Private) Limited Fortune Securities Limited. Fortune Securities Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and The Brokerage House was Warned and The Brokerage House was Warned and Financial Penalty was Imposed Switching off of trading terminals The Brokerage House was Warned and The Brokerage House was Warned The Brokerage House was Warned	- Rs. 60,000/- - - - - -	7-Dec-16 6-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 29-Nov-16 28-Nov-16 28-Nov-16 28-Nov-16 25-Nov-16 24-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326 327 328 329 330	117 420 241 119 466 112 28 300 19 287 296 46 91 116	Progressive Innes, Management (Private) Limited. F.M. Securities (Private) Limited Aris Global Limited Unes, Siscurities (Private) Limited. Standard Capital Securities (Private). Limited. Ghan Osama Securities (Private). Limited. AK Osacurities (Deruities (Private) Limited AKO Securities (Drute) Limited MGM Securities (Private) Limited MGM Securities (Private) Limited Fortune Securities Limited	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned down The Brokerage House was Warned and Financial Penalty was Imposed Switching off of trading terminals The Brokerage House was Warned down and The Brokerage House was Warned The Brokerage House was Warned Honacital Penalty was Imposed The Brokerage House was Warned Marned Imancial Penalty was Imposed	-	7-Dec-16 6-Dec-16 5-Dec-16 1-Dec-16 10-Nov-16 30-Nov-16 29-Nov-16 28-Nov-16 28-Nov-16 28-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326 327 328 329 330 331 332 333 334	117 420 241 119 466 112 28 300 19 287 296 46 91 116 120 262	Progressive Innes, Management (Private) Limited. FM. Securities (Private) Limited Aris Global Limited Unes. Securities (Private) Limited. Standsrd Gapital Securities (Private). Limited. Ghani Osman Securities (Private). Limited. AKD Securities (Private) Limited AKD Securities (Private) Limited MGM Securities (Private) Limited Intermarker Securities Limited. Partise Securities Limited. Intermarker Securities Limited. Partise Securities (Private). Limited. Atfalia Securities (Private). Mited. Atfalia Securities (Private). Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned And The Brokerage House was Warned And Switching off of trading terminals The Brokerage House was Warned And The Brokerage House was Warned The Brokerage House was Warned Horned Immedia Penalty was Imposed The Brokerage House was Warned Horned Immedia Penalty was Imposed The Brokerage House was Warned Horned Immedia Penalty was Imposed The Brokerage House was Warned Horned Immedia Penalty was Imposed The Brokerage House was Warned Horned Immedia Penalty Warned The Brokerage House was Warned Horned Immedia Penalty Warned The Brokerage House was Warned Horned Immedia Penalty Warned The Brokerage House was Warned Horned Immedia Penalty Warned Horned Horned Penalty Warned Horned Horned Penalty Warned Horned Horned Horned Horned Horned Penalty Warned Horned	Rs. 60,000/-	7-Dec-16 6-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 29-Nov-16 28-Nov-16 28-Nov-16 28-Nov-16 24-Nov-16 24-Nov-16 24-Nov-16 24-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
320 321 322 323 324 325 326 327 328 329 330 331 332 333 333 334 335 336	117 420 241 119 466 112 28 300 19 287 296 46 91 116 120 262 22 22 18	Progressive Innes, Management (Private) Limited. F.M. Securities (Private) Limited Aris Global Limited Unes. Securities (Private) Limited. Standard Capital Securities (Private). Limited. And Chaudhary Securities (Private). Limited. And Chaudhary Securities (Private). Limited And Securities (Private). Limited MGM Securities (Private). Limited MGM Securities (Private). Limited Intermarker Securities Limited. Parties Securities (Private). Limited. Atfaliah Securities (Private). Limited. Atfaliah Securities (Private). Limited. BMA Capital Management Limited BMA Capital Management Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned And The Brokerage House was Warned And The Brokerage House was Warned And The Brokerage House was Warned The Brokerage House was Warned And Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	- Rs. 60,000/- - - - - -	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 29-Nov-16 29-Nov-16 28-Nov-16 28-Nov-16 24-Nov-16 24-Nov-16 18-Nov-16 18-Nov-16 15-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE
320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337	117 420 241 119 466 112 28 300 19 287 296 46 91 116 120 262 22	Progressive Innes, Management (Private) Limited. F.M. Securities (Private) Limited d Javis Global Limited Unce. Securities (Private) Limited. Standard Capital Securities (Private) Limited. AM Chaudhary Securities (Private) Limited. AM Chaudhary Securities (Private) Limited. MGM Securities Limited. High Land Securities (Private) Limited. MGM Securities Limited. Patel Securities Limited. Patel Securities Limited. Patel Securities (Private) Limited. GPH Securities (Private) Limited. GPH Securities Limited. GPH Securities (Private) Limited. GPH Securities (Private) Limited. GPH Securities (Private) Limited. MAC capital Management Limited Ismail Eqba Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and The Brokerage House was Warned and The Brokerage House was Warned and The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned And Financial Penalty was Imposed The Brokerage House was Warned And Financial Penalty was Imposed The Brokerage House was Warned And Financial Penalty was Imposed The Brokerage House was Warned And Penalty Warned And Financial	Rs. 60,000/-	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16 1-Dec-16 1-Dec-16 30-Nov-16 29-Nov-16 28-Nov-16 24-Nov-16 24-Nov-16 24-Nov-16 18-Nov-16 11-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE
320 321 322 323 324 325 326 327 328 329 330 331 332 333 333 334 335 336	117 420 241 119 466 112 28 300 19 287 296 46 91 116 120 262 22 22 18 21	Progressive Innes, Management (Private) Limited. F.M. Securities (Private) Limited Aris Global Limited Unes. Securities (Private) Limited. Standard Capital Securities (Private). Limited. And Chaudhary Securities (Private). Limited. And Chaudhary Securities (Private). Limited And Securities (Private). Limited MGM Securities (Private). Limited MGM Securities (Private). Limited Intermarker Securities Limited. Parties Securities (Private). Limited. Atfaliah Securities (Private). Limited. Atfaliah Securities (Private). Limited. BMA Capital Management Limited BMA Capital Management Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned And The Brokerage House was Warned And The Brokerage House was Warned And The Brokerage House was Warned The Brokerage House was Warned And Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 60,000/-	7-Dec-16 6-Dec-16 5-Dec-16 5-Dec-16 1-Dec-16 30-Nov-16 29-Nov-16 29-Nov-16 28-Nov-16 28-Nov-16 24-Nov-16 24-Nov-16 18-Nov-16 18-Nov-16 15-Nov-16	TRADING TEMINIALS SUSPENDED ACTIVE

342 343 343 344 344 345 346 347 347 348 348 349 350 351 352 353 354 353 355 356 357 358 361 362 363 361 364 365 366 367 368 369 370 371	212 68 94 235 347 309 329 482 98 482 98 510 6 171 48 90 62 51 50 63 18 96 510 432 96 510 437 437 437 510 63 437 510 63 510 63 510 63 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 63 510 510 510 63 510 510 510 510 510 510 510 510	Horizon Securities Limited. #Darsecurities (Private), Limited. #DM Capital Securities (Private), Limited James 2 Securities (Private), Limited MCS Cosck (Private) Limited MCS Cosck (Private) Limited MCS Cosck (Private) Limited Mew Pask Securities (Private), Limited. Bernard Securities (Private), Limited. AMPLE Securities (Private), Limited. AMPLE Securities (Private), Limited. AMPLE Securities (Private), Limited. MAPLE Securities (Private), Limited. MAPLE Securities (Private), Limited. AMPLE Securities (Private), Limited. AMPLE Securities (Private), Limited. AMPLE Securities (Private), Limited. MARA (Pathol), Limited. MARA (Pathol), Limited. MC (Pathol), Limited. AL Habit Capital Markets (Private), Limited. MSMANARA FINANCIAS (Private), Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was imposed The Brokerage House was Warned and Financial Penalty was imposed The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Switching of 10 trading terminals The Brokerage House was Warned The Brokerage House was	Rs. 25,000/- Rs.10,000/- - - - Rs.20,000/- - - - - - - - - - - - - - -	11-Nov-16 10-Nov-16 10-Nov-16 10-Nov-16 10-Nov-16 9-Nov-16 9-Nov-16 31-Oct-16 31-Oct-16 24-Oct-16 24-Oct-16 24-Oct-16 19-Oct-16 18-Oct-16 19	ACTIVE ACTIVE
344 345 346 347 348 347 348 349 350 351 352 353 354 355 356 357 358 360 361 362 363 364 365 366 367 368 369 370 371	94 235 347 309 329 482 98 510 6 171 6 171 48 90 62 51 50 63 18 96 63 18 96 63 18 96 63 18 96 63 18 96 85	FPM Capital Securities (Private Junited Jamshal & Havan Securities (Private Junited Amer Securities (Private Junited MKC Stock (Private) Junited Sto. Micro Securities (Private) Junited Sto. Micro Securities (Private) Junited Faircrade Capital Securities (Private) Junited (TC capital Securities (Private) Junited DKC Capital Securities (Private) Junited DKC Stock (Private) Junited MKPL Securities (Private) Junited. MKMNARK RHRAUKLUS (Private) Junited.	The Brokerage House was Warned and Financial Penalty was imposed The Brokerage House was Warned of The Brokerage House was Warned The Brokerage House was Warned Brokerage House was Warned The Brokerage House was Warned	Rs.10,000/- - - -	10-Nov-16 10-Nov-16 10-Nov-16 9-Nov-16 3-Nov-16 31-Oct-16 31-Oct-16 24-Oct-16 24-Oct-16 21-Oct-16 19-Oct-16 19-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE TRAING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
345 346 344 347 348 349 350 351 352 353 354 355 355 356 357 358 359 360 361 362 363 364 365 365 364 365 367 368 367 369 370 371	235 347 309 329 482 98 510 6 171 48 90 62 51 62 51 50 63 18 96 63 18 96 63 18 96 85 510 432 432 432 432 432 432 432 432	Jamshald & Hasan Securities (Private) Limited Amer Securities (Private) Limited So. Mirca Securities (Private) Limited So. Mirca Securities (Private) Limited Fairrade Capital Securities (Private) Limited. Elirada Capital Securities (Private) Limited. Marca Securities (Private) Limited. Art Habit Capital Markets (Private) Limited. Limited Limited. Art Habit Limited. Art Habit Limited. Marca Securities (Private) Limited. Simal Lipital Securities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financia Denalty was imposed The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Switching-off of trading terminals The Brokerage House was Warned The Brokerage House was Warned	- Rs.50,000/- - -	10-Nov-16 10-Nov-16 9-Nov-16 9-Nov-16 31-Oct-16 31-Oct-16 24-Oct-16 24-Oct-16 24-Oct-16 19-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 364 365 364 365 366 367 368 369 370 371	347 309 329 482 510 6 771 48 90 62 51 50 63 51 50 63 18 96 63 18 96 63 18 98 510 432 497 85	Amer Securities (Private) Limited MMC Stock (Private) Limited S.D. Mirzs Securities (Private) Limited Faircrade Capital Securities (Private) Limited Faircrade Capital Securities (Private) Limited Sterman Securities (Private) Limited. MPLE Securities (Private) Limited. Next Capital Limited Darson Securities (Private) Limited. WE Financial Services Limited. H. P. Syranji & G. (Private) Limited. Arf Habib Limited. Arf Habib Limited. Habib Capital Markets (Private) Limited. Simal Securities (Private) Limited. Mext Capital Securities (Private) Limited. Mext Capital Securities (Private) Limited. Mext Financial Services Limited. Mex	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed Switching of 10 trading terminals The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned	-	10-Nov-16 9-Nov-16 4-Nov-16 31-Oct-16 31-Oct-16 24-Oct-16 24-Oct-16 19-Oct-16 19-Oct-16 18-Oct-16	A CTIVE A CTIVE A CTIVE A CTIVE A CTIVE TRAING TRAINIALS SUSPENDED A CTIVE A CTIVE A CTIVE A CTIVE
347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 367 368 367 369 370	309 329 482 98 510 6 171 48 90 62 51 50 63 18 96 63 18 96 510 432 497 85	HMC Stocks (Private) Limited. S.D. Mirca Securities (Private) Limited New Peak Securities (Private) Limited Faircade Capital Securities (Private) Limited. Efficial Securities (Private) Limited. Shernan Securities (Private) Limited. MME Securities (Private) Limited. Mext Capital Limited Darion Securities (Private) Limited. WE Financial Services Limited. H Financial Services Limited. Arf Habib Limited. Arf Habib Limited. Limited Limited Securities (Private) Limited. Limited Limited. MANNAR Financial Markets (Private.) Limited. Limited Limited. MANNAR Financial Services Limited. Limited Limited. Limited. Limited Limited. Limited. Limited Limited. Lim	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Finacine Brokerage House was Warned and Finacine Brokerage The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned	-	9-Nov-16 9-Nov-16 31-Oct-16 31-Oct-16 24-Oct-16 24-Oct-16 21-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
348 349 350 351 351 352 353 353 354 355 356 357 358 358 359 361 362 363 364 365 365 366 367 366 367 368 369 370 371 371	329 482 98 510 6 171 48 90 62 51 50 63 18 96 510 432 497 85	So. Mircs Securities (Private) Limited Faircrade Capital Securities (Private) Limited Faircrade Capital Securities (Private) Limited Sterman Securities (Private) Limited Sterman Securities (Private) Limited. Mext Capital Limited Daron Securities (Private) Limited. Wer Financial Services Limited. Wer Financial Services Limited. Arf Habb Limited. Arf Habb Limited. Arf Habb Limited. Stard Markets (Private) Limited. Stard Markets Stard Market	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was imposed Switching of 10 trading terminals The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned		9-Nov-16 4-Nov-16 31-Oct-16 31-Oct-16 24-Oct-16 24-Oct-16 21-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE ACTIVE TRADIO TRAMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
349 350 351 351 352 353 353 354 355 356 357 358 360 361 362 363 363 363 364 365 366 367 368 369 370 371	98 510 6 171 48 90 62 51 50 63 18 96 510 432 497 85	Fairtrade Capital Securities (Private.) Limited. JEC capital Securities (Private.) Limited. AMPLE Securities (Private.) Limited. Mext Capital Limited. Next Capital Limited. Darson Securities (Private.) Limited. WE Financial Services. Limited. H. P. Byranij & G. C. (Private.) Limited. Arf Habb Limited. At Habb Limited. At Habb Limited. MANNARI Finkerks (Private.) Limited. Simail Lipida Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned and Financia Plenalty was imposed Switching-off of trading terminals The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned	- Rs.20,000/- 	31-Oct-16 31-Oct-16 24-Oct-16 21-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE
351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 371	510 6 171 48 90 62 51 50 63 18 96 510 432 497 85	Fairtrade Capital Securities (Private.) Limited. JEC capital Securities (Private.) Limited. AMPLE Securities (Private.) Limited. Mext Capital Limited. Next Capital Limited. Darson Securities (Private.) Limited. WE Financial Services. Limited. H. P. Byranij & G. C. (Private.) Limited. Arf Habb Limited. Al Habb Capital Markets (Private.) Limited. Simal Elgad Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was imposed switching of 10 trading terminals The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned	Rs.20,000/	31-Oct-16 24-Oct-16 24-Oct-16 21-Oct-16 19-Oct-16 18-Oct-16	TRADING TERMINALS SUSPENDED ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 371	6 171 48 90 62 51 50 63 18 96 510 432 497 85	Sherman Securities (Private) Limited. AMPLE Securities (Private.) Limited. Next Capital Limited Darson Securities (Private.) Limited. WE Financial Services Limited. H. P. Byranji & C. (Private.) Limited. Arf Habb Limited. Al Habb Capital Markets (Private.) Limited. Ismail Itaja Securities (Private.) Limited. MSMANAR FINANCLAS (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned	- - - - - - - - -	24-Oct-16 24-Oct-16 21-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE ACTIVE ACTIVE ACTIVE
353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370	171 48 90 62 51 50 63 18 96 510 432 497 85	AMPEI Securities (Private.) Limited. Next Capital Limited Darson Securities (Private.) Limited. WE Financial Services Limited. 1. P. Byramij & Co. (Private.) Limited. Arf Habib Limited. Lihabib Capital Markets (Private.) Limited. Ismail lapla Securities (Private.) Limited. MANANAR FINANCUS (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Restriction To Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned	- - - - - -	24-Oct-16 21-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE ACTIVE
354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370	48 90 62 51 50 63 18 96 510 432 497 85	Next Capital Limited Darson Securities (Prvate). Limited. WE Financial Services: Limited. H. P. Byranji & G. C. (Private). Jimited. Arf Habb Limited. Ard Habb Limited. At Habb Capital Markets (Private.). Limited. Ismail Itaja Securities (Private.). Limited. MoMANAR FINARCALS (Private.). Limited.	The Brokerage House was Warned The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned		21-Oct-16 19-Oct-16 18-Oct-16	ACTIVE ACTIVE
355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371	90 62 51 50 63 18 96 510 432 497 85	Darson Securities (Private.) Limited. WE Financial Services Limited. 4. P. Byramiji & Co. (Private.) Limited. Arrif Habio Limited. 1. Habio Capital Markets (Private.) Limited. Ismail lapid Securities (Private.) Limited. MMANNAR FINANCUAS (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned	-	19-Oct-16 18-Oct-16	ACTIVE
356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371	62 51 50 63 18 96 510 432 497 85	WF Financial Services Limited. H. P. Byramij & G. (Private), Jimited. Arf Habb Limited. A. Habbi Capital Markets (Private.) Limited. Ismail Itajal Securities (Private.) Limited. MSMANAR FINALUS (Private.) Limited.	The Brokerage House was Warned Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned		18-Oct-16	
357 358 359 360 361 362 363 364 365 366 367 368 369 370 371	51 50 63 18 96 510 432 497 85	H. P. Byramji & Go. (Private.) Limited. Arif Habib Limited. Al Habib Capital Markets (Private.) Limited. Limail Igdal Securities (Private.) Limited. MiSMANIAR FINARCIAS (Private.) Limited.	Restriction On Buy Side Transactions The Brokerage House was Warned The Brokerage House was Warned	-		ACTIVE
358 359 360 361 362 363 364 365 366 367 368 369 370 371	50 63 18 96 510 432 497 85	Arif Habib Limited. AL Habib Capital Markets (Private.) Limited. Ismail Itpal Securities (Private.) Limited. MSMANIAR FINANCIALS (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-		ACTIVE
359 360 361 362 363 364 365 366 367 368 369 370 371	63 18 96 510 432 497 85	AL Habib Capital Markets (Private.) Limited. Ismail Iqbal Securities (Private.) Limited. MSMANIAR FINANCIALS (Private.) Limited.	The Brokerage House was Warned		13-Oct-16	ACTIVE
361 362 363 364 365 366 367 368 369 370 371	96 510 432 497 85	Ismail Iqbal Securities (Private.) Limited. MSMANIAR FINANCIALS (Private.) Limited.		-	13-Oct-16	ACTIVE
362 363 364 365 366 367 368 369 370 371	510 432 497 85		The Brokerage House was Warned	-	1-Sep-16	ACTIVE
363 364 365 366 367 368 369 370 371	432 497 85	ICR Capital Securities (Private) Limited	The Brokerage House was Warned	-	1-Sep-16	ACTIVE
364 365 366 367 368 369 370 371	497 85		The Brokerage House was Warned	-	1-Sep-16	TRADING TERMINALS SUSPENDED
365 366 367 368 369 370 371	85	Spinzer Equities(Private) Limited	The Brokerage House was Advised	-	26-Aug-16	ACTIVE
366 367 368 369 370 371		JSK Securities Limited	The Brokerage House was Warned		26-Aug-16	ACTIVE
367 368 369 370 371		Concordia Securities (Private) Limited.	The Brokerage House was Warned	-	5-Aug-16	ACTIVE
368 369 370 371		Din Capital Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 450,000/-	3-Aug-16	ACTIVE
369 370 371	410 251	Zahid Latif Khan Securities (Private.) Limited. MND Investment (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	27-Jul-16 19-Jul-16	ACTIVE
370 371	251	Fairway Securities (Private) Limited		-	19-Jul-16 4-Jul-16	ACTIVE
371	229	K.H.S. Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned	-	4-Jul-18 22-Jun-16	ACTIVE
	314	Equity Master Securities (Private) Limited	The Brokerage House was Advised	-	22-Jun-16	ACTIVE
372	332	Trust Securities & Brokerage Limited.	The Brokerage House was Navised	-	15-Jun-16	ACTIVE
373	229	Fairway Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs.100,000/-	13-Jun-16	ACTIVE
374	234	Value Stock and Commodities (Private) Limited.	The Brokerage House was Warned	-	13-Jun-16	ACTIVE
375	256	Progressive Securities (Private) Limited	The Brokerage House was Warned	-	13-Jun-16	ACTIVE
376	432	Spinzer Equities(Private) Limited	The Brokerage House was Warned	-	13-Jun-16	ACTIVE
377	497	JSK Securities Limited	The Brokerage House was Warned	-	13-Jun-16	ACTIVE
378	512	Chenab Stock Services (Private) Limited.	The Brokerage House was Advised	-	13-Jun-16	APPLIED FOR SURRENDER
379	224	K & I Global Capital (Private.) Limited.	The Brokerage House was Warned	-	10-Jun-16	ACTIVE
380 381	261 284	M.F. Stocks (Private) Limited. ASA Stocks (Private) Limited.	The Brokerage House was Advised	-	10-Jun-16 10-Jun-16	TRADING TERMINALS SUSPENDED ACTIVE
382	284	MSD Capital Equities (Private) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	10-Jun-16	ACTIVE
383	346	Strongman Securities (Private) Limited	The Brokerage House was Warned		10-Jun-16	ACTIVE
384	239	Ayub Chaudhry Investments (Private) Limited.	The Brokerage House was Warned	-	9-Jun-16	ACTIVE
385	15	Saya Securities (Private.) Limited.	The Brokerage House was Warned	-	8-Jun-16	ACTIVE
386	138	Escorts Capital Limited.	The Brokerage House was Warned	-	8-Jun-16	TRADING TERMINALS SUSPENDED
387	223	MAAN Securities (Private.) Limited.	The Brokerage House was Warned	-	8-Jun-16	ACTIVE
388	288	Javed Iqbal Securities (Private) Limited	The Brokerage House was Warned	-	8-Jun-16	ACTIVE
389	42	Icon Capital Management (Private) Limited.	The Brokerage House was Warned	-	6-Jun-16	ACTIVE
390	184	Prime Securities (Private.) Limited.	The Brokerage House was Warned	-	6-Jun-16	ACTIVE
391	339	Khawaja Securities (Private) Limited	The Brokerage House was Warned		6-Jun-16	ACTIVE
392 393	478	Pasha Securities (Private) Limited Switch Securities (Private) Limited	The Brokerage House was Warned The Brokerage House was Warned and Financial Penalty was Imposed	- Rs.100,000/-	6-Jun-16 3-Jun-16	ACTIVE TRADING TERMINALS SUSPENDED
393	338 132	Eleven Stars Securities (Private.) Limited	The Brokerage House was Warned and Financial Penalty was Imposed The Brokerage House was Warned	N3.100,000/-	3-Jun-16 31-May-16	ACTIVE
395	132	First Equity Modaraba	The Brokerage House was Warned	-	31-May-16	ACTIVE
396	457	AAA Securities (Private) Limited	The Brokerage House was Warned	-	31-May-16	ACTIVE
397	118	Sunrise Capital (Private) Limited.	The Brokerage House was Warned	-	26-May-16	TRADING TERMINALS SUSPENDED
398	108	Azee Securities (Private.) Limited.	The Brokerage House was Warned and Financial Penalty was Imposed	Rs. 50,000/-	25-May-16	ACTIVE
399	149	JS Global Capital Limited.	The Brokerage House was Advised	-	24-May-16	ACTIVE
400	171	AMPLE Securities (Private.) Limited.	The Brokerage House was Warned	-	24-May-16	ACTIVE
401	109	Dalal Securities (Private.) Limited.	The Brokerage House was Warned	-	19-May-16	ACTIVE
402	169	Pearl Securities Limited.	The Brokerage House was Warned	-	19-May-16	ACTIVE
403 404	36 173	Time Securities (Private.) Limited. Spectrum Securities Limited.	The Brokerage House was Warned	-	18-May-16 18-May-16	ACTIVE
404	1/3	Growth Securities (Private.) Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	17-May-16	ACTIVE
405	102	Gazipura Securities & Services (Private.) Lt	The Brokerage House was Warned	-	17-May-16	ACTIVE
407	125	MRA Securities Limited.	The Brokerage House was Warned	-	17-May-16	ACTIVE
408	10	Sakarwala Capital Sec.(Private.) Limited	The Brokerage House was Warned	-	16-May-16	ACTIVE
409	16	DJM Securities (Private.) Limited.	The Brokerage House was Warned	-	16-May-16	ACTIVE
410	66	Iqbal Usman Kodv. Sec. (Private.) Limited	The Brokerage House was Warned	-	16-May-16	ACTIVE
411	35	Surmawala Securities (Private) Limited.	The Brokerage House was Warned	-	11-May-16	ACTIVE
412	143	Saao Capital (Private) Limited.	The Brokerage House was Warned	-	11-May-16	ACTIVE
413	12	Reliance Securities Limited.	The Brokerage House was Warned	-	9-May-16	ACTIVE
414	121	Salim Sozer Securities (Private.) Limited.	The Brokerage House was Warned	-	9-May-16	ACTIVE
415	91 138	Intermarket Securities Limited. Escorts Capital Limited.	The Brokerage House was Warned	-	4-May-16 4-May-16	ACTIVE TRADING TERMINALS SUSPENDED
	138	Escorts Capital Limited. Axis Global Limited	The Brokerage House was Warned	-	4-May-16 3-May-16	TRADING TERMINALS SUSPENDED ACTIVE
416 417	113	First National Equities Limited.	The Brokerage House was Warned The Brokerage House was Warned	-	3-May-16	ACTIVE

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions Actions taken by Market Surveillance Department (MSD) :	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
1	142	Foundation Securities (Pvt.) Limited	Advised	-	16-Apr-19	ACTIVE
2	248 196	Khadim Ali Shah Bukhari Securities (Private) Limited RAH Securities (Private) Limited	Stern Warning Stern Warning	-	27-Mar-19 27-Mar-19	ACTIVE ACTIVE
4	212 149	Horizon Securities Limited JS Global Capital Limited	Stern Warning		26-Mar-19 26-Mar-19	ACTIVE
6	16	DJM Securities (Pvt.) Limited	Stern Warning Stern Warning	-	7-Mar-19	ACTIVE
7	94 144	FDM Capital Securities (Private) Limited Dattoo Securities (Private) Limited	Stern Warning Stern Warning	-	7-Mar-19 7-Mar-19	ACTIVE
9	163	Friendly Securities (Pvt.) Limited	Confiscation of Profits	214,966	7-Mar-19	ACTIVE
10	28	Ghani Osman Securities (Private) Limited Arif Habib Limited	Case Referred to SECP For Review and Necessary Action Case Referred to SECP For Review and Necessary Action	-	26-Feb-19 26-Feb-19	ACTIVE
12	58	Dawood Equities Limited	Case Referred to SECP For Review and Necessary Action	-	26-Feb-19	ACTIVE
13 14	166	Topline Securities (Private) Limited Altaf Adam Securities (Private) Limited	Case Referred to SECP For Review and Necessary Action Advised	-	26-Feb-19 19-Feb-19	ACTIVE
15 16	112	Standard Capital Securities (Private) Limited	Advised	-	13-Feb-19 13-Feb-19	ACTIVE
17	142 22	Foundation Securities (Pvt.) Limited BMA Capital Management Limited	Advised Advised	-	13-Feb-19	ACTIVE
18 19	57 204	First Capital Equities Limited Backers & Partners (Private) Limited	Advised Stern Warning	-	13-Feb-19 1-Feb-19	ACTIVE
20	24	ASDA Securities (Private) Limited	Stern Warning Fine and confisction of Profit along with Stern Warning	30,000	1-Feb-19	ACTIVE
21 22	49	Multiline Securities (Pvt.) Ltd. Ismail Igbal Securities (Pvt.) Limited	Stern Warning Stern Warning	-	1-Feb-19 1-Feb-19	ACTIVE
23	36	Time Securities (Private) Limited	Cofiscation of Profit along with Stern Warning	13,435	1-Feb-19	ACTIVE
24 25	347 52	Amer Securities (Pvt.) Ltd. N.U.A. Securities (Private) Limited	Stern Warning Penalty Imposed along with confiscation of profits	- 10,054	1-Feb-19 24-Jan-19	ACTIVE
26	45	Bhayani Securities (Private) Limited	Penalty Imposed along with confiscation of profits	98,350	24-Jan-19	ACTIVE
27 28	193 23	Akhai Securities (Pvt.) Limited Khanani Securities (Private) Limited	Stern Warning Penalty Imposed	- 36,320	24-Jan-19 24-Jan-19	ACTIVE
29	1	Altaf Adam Securities (Private) Limited	Penalty Imposed along with confiscation of profits	52,495	24-Jan-19	ACTIVE
30 31	44 124	IGI Finex Securities Limited EFG Hermes Pak Ltd	Advised Advised	-	23-Jan-19 23-Jan-19	ACTIVE
32 33	6 22	Sherman Securities (Pvt.) Ltd.	Advised	-	23-Jan-19 23-Jan-19	ACTIVE
34	90	BMA Capital Management Limited Darson Securities (Private) Limited	Advised Advised	-	10-Jan-19	ACTIVE
35 36	149 52	JS Global Capital Limited	Advised	-	10-Jan-19 4-Jan-19	ACTIVE
37	91	N.U.A. Securities (Private) Limited Intermarket Securities Limited	Strictly Advised Strictly Advised	-	4-Jan-19	ACTIVE
38 39	102 166	Growth Securities (Pvt.) Limited Topline Securities (Private) Limited	Advised Advised	-	3-Jan-19 1-Jan-19	ACTIVE
40	116	Patel Securities Pvt) Ltd.	Confiscation of Profits	4,777	21-Dec-18	ACTIVE
41 42	159 256	Rafi Securities (Private) Limited Progressive Securities (Private) Limited	Confiscation of Profits Confiscation of Profits	56,853 11,539	21-Dec-18 21-Dec-18	ACTIVE
43	50	Arif Habib Limited	Strictly Advised	-	13-Dec-18	ACTIVE
44 45	84 102	Muhammad Munir Muhammad Ahmed Khanani Securities (Pvt.) Ltd Growth Securities (Pvt.) Limited	Strictly Advised Advised	-	13-Dec-18 27-Nov-18	ACTIVE
46	145	Adam Securities (Pvt.) Ltd.	Stern Warning	-	2-Nov-18	ACTIVE
47 48	120 224	Alfalah Securities (Private) Limited K & I Global Capital (Private) Limited	Stern Warning Stern Warning		2-Nov-18 2-Nov-18	ACTIVE
49	187	SAZ Capital Securities (Pvt.) Ltd.	Stern Warning	-	2-Nov-18	ACTIVE
50 51	62 223	WE Financial Services Limited Maan Securities (Private) Limited	Stern Warning Penalty Imposed	- 5,500	2-Nov-18 1-Nov-18	ACTIVE
52	91	Intermarket Securities Limited	Penalty Imposed	12,500	1-Nov-18	ACTIVE
53 54	128	BIPL Securities Limited Muhammad Munir Muhammad Ahmed Khanani Securities (Pvt.) Ltd	Penalty Imposed Advised	1,050	1-Nov-18 24-Oct-18	ACTIVE
55	169	Pearl Securities Limited	Stern Warning	-	9-Oct-18	ACTIVE
56 57	179 212	Continental Capital Management (Pvt) Ltd. Horizon Securities Limited	Stern Warning Stern Warning	-	9-Oct-18 9-Oct-18	ACTIVE
58	6	Sherman Securities (Pvt.) Ltd.	Stern Warning	-	5-Oct-18	ACTIVE
59 60	17	Summit Capital (Private) Limited Ismail Iqbal Securities (Pvt.) Limited	Penalty Imposed Penalty Imposed	10,000 20,000	5-Oct-18 5-Oct-18	ACTIVE
61	77 108	Best Securities (Private) Limited	Stern Warning	-	5-Oct-18	ACTIVE
62 63	108	Azee Securities (Pvt.) Ltd. Standard Capital Securities (Private) Limited	Stern Warning Strictly Advised	-	5-Oct-18 25-Sep-18	ACTIVE
64 65	145 194	Adam Securities (Pvt.) Ltd. MRA Securities (Private) Limited	Strictly Advised Strictly Advised	-	25-Sep-18 25-Sep-18	ACTIVE
66	228	Al-Haq Securities (Private)Limited	Advised	-	25-Sep-18 25-Sep-18	ACTIVE
67 68	91 297	Intermarket Securities Limited MSD Capital Equities (Private) Limited	Advised Stern Warning	-	25-Sep-18 7-Sep-18	ACTIVE
69	267	Bismillah Securities (Private) Limited	Stern Warning	-	7-Sep-18	ACTIVE
70 71	22 45	BMA Capital Management Limited Bhayani Securities (Private) Limited	Stern Warning Penalty Imposed along with confiscation of profits	- 12,923	7-Sep-18 7-Sep-18	ACTIVE
72	8	Optimus Capital Management (Pvt.) Ltd.	Stern Warning	-	7-Sep-18	ACTIVE
73 74	290 8	K.H.S. Securities (Private) Limited Optimus Capital Management (Pvt.) Ltd.	Stern Warning Stern Warning	-	7-Sep-18 7-Sep-18	ACTIVE
75	46	Fortune Securities Limited	Penalty Imposed Stern Warning	1,302	30-Aug-18	ACTIVE
76 77	36	Time Securities (Private) Limited Altaf Adam Securities (Private) Limited	Stern Warning	-	30-Aug-18 30-Aug-18	ACTIVE ACTIVE
78 79	102 46	Growth Securities (Pvt.) Limited Fortune Securities Limited	Penalty Imposed Penalty Imposed	10,000 90,000	30-Aug-18 30-Aug-18	ACTIVE
80	265	Infinite Securities Limited	Stern Warning	-	6-Jun-18	ACTIVE
81 82	346 438	Strongman Securities (Pvt.) Ltd. Fair Edge Securities (Private) Limited	Penalty Imposed Confiscation of Profits	10,000 3,250	6-Jun-18 6-Jun-18	ACTIVE
83	149	JS Global Capital Limited	Stern Warning	-	6-Jun-18	ACTIVE
84 85	346 149	Strongman Securities (Pvt.) Ltd. JS Global Capital Limited	Stern Warning Confiscation of Profits	450,000	6-Jun-18 6-Jun-18	ACTIVE
86	48	Next Capital Limited	Penalty Imposed	10,000	30-May-18	ACTIVE
87 88	19 48	AKD Securities Limited Next Capital Limited	stern Warning Penalty Imposed along with confiscation of profits	- 24,786	30-May-18 30-May-18	ACTIVE
89	48	Next Capital Limited	Penalty Imposed	10,000	30-May-18	ACTIVE
90 91	85 85	Concordia Securities (Pvt.) Ltd. Concordia Securities (Pvt.) Ltd.	Penalty Imposed Confiscation of Profits	100,000 4,000	18-May-18 18-May-18	ACTIVE
92 93	145 82	Adam Securities (Pvt.) Ltd. Mayari Securities (Private) Limited	Advised	-	18-May-18	ACTIVE
94	177	Din Capital (Private) Limited	Advised Stern Warning	-	18-May-18 7-May-18	ACTIVE
95 96	21 18	Alfa Adhi Securities (Pvt.) Ltd. Ismail Igbal Securities (Pvt.) Limited	Penalty Imposed along with confiscation of profits Advised	32,160	7-May-18 7-May-18	ACTIVE
97	98	Fairtrade Capital Securities (Private) Limited	Advised	-	4-May-18	ACTIVE
98 99	98 98	Fairtrade Capital Securities (Private) Limited Fairtrade Capital Securities (Private) Limited	Advised Advised	-	4-May-18 4-May-18	ACTIVE
100	98	Fairtrade Capital Securities (Private) Limited	Advised	-	4-May-18	ACTIVE
101 102	98 112	Fairtrade Capital Securities (Private) Limited Standard Capital Securities (Private) Limited	Advised Advised	-	4-May-18 4-May-18	ACTIVE
103	346	Strongman Securities (Pvt.) Ltd.	Advised	-	4-May-18	ACTIVE
104 105	85 142	Concordia Securities (Pvt.) Ltd. Foundation Securities (Pvt.) Limited	Advised Advised	-	13-Apr-18 9-Apr-18	ACTIVE
106	6	Sherman Securities (Pvt.) Ltd.	Advised	-	9-Apr-18	ACTIVE
107 108	228 169	Al-Haq Securities (Private)Limited Pearl Securities Limited	Advised Advised	-	6-Apr-18 6-Apr-18	ACTIVE
109	442	General Investment & Securities (Private) Limited.	Advised	-	6-Apr-18	ACTIVE
110 111	442 485	General Investment & Securities (Private) Limited. Hamza Farhad Securities (Pvt) Limited	Advised Confiscation of Profits	- 870	6-Apr-18 4-Apr-18	ACTIVE
112	89	Investment Managers Securities (Private) Limited	Stern Warning	-	21-Mar-18	ACTIVE
113 114	162 166	Aba Ali Habib Securities (Private) Limited Topline Securities (Private) Limited	Stern Warning Confiscation of Profits	- 8,565	21-Mar-18 21-Mar-18	ACTIVE
115 116	162 256	Aba Ali Habib Securities (Private) Limited	Advised	-	15-Mar-18 20-Feb-18	ACTIVE
117	232	Progressive Securities (Private) Limited Arif Latif Securities (Private) Limited	Stern Warning Stern Warning	-	20-Feb-18	ACTIVE
118	442	General Investment & Securities (Private) Limited	Stern Warning	-	20-Feb-18	ACTIVE

No. No. <th>S. No.</th> <th>TREC No.</th> <th>Name of TREC Holder</th> <th>Disciplinary Actions</th> <th>Amount of Penalty (If any)</th> <th>Date of Enforcement Actions</th> <th>Current Status</th>	S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
Bat Bat Substantion Substanti					(ir any)		
No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No	121	91	Intermarket Securities Limited	Stern Warning	-	20-Feb-18	ACTIVE
100 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101 101<	123	17			-	1-Feb-18	ACTIVE
Description Description <thdescription< th=""> <thdescription< th=""></thdescription<></thdescription<>					7,125		
31 31 31 31 31.000 1.000 1.000 1.000 1.000 32 32 32 32.000	126	45	Bhayani Securities (Private) Limited	Penalty Imposed	2,750	1-Feb-18	
Bit Bit <td>128</td> <td>44</td> <td>IGI Finex Securities Limited</td> <td>Stern Warning</td> <td>-</td> <td>1-Feb-18</td> <td>ACTIVE</td>	128	44	IGI Finex Securities Limited	Stern Warning	-	1-Feb-18	ACTIVE
No. Number of the stand profession of	130	490	Baba Equities (Private) Limited	Warned		13-Dec-17	ACTIVE
Del Del <thdel< th=""> <thdel< th=""> <thdel< th=""></thdel<></thdel<></thdel<>					- 15,597		
Base Base <th< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th<>							
Desc Desc <thdesc< th=""> Desc Desc <thd< td=""><td>135</td><td>155</td><td>B & B Securities (Private) Limited</td><td>Penalty Imposed</td><td>6,000</td><td>30-Nov-17</td><td>ACTIVE</td></thd<></thdesc<>	135	155	B & B Securities (Private) Limited	Penalty Imposed	6,000	30-Nov-17	ACTIVE
Bio Sol Sol <td>137</td> <td>52</td> <td>N.U.A. Securities (Private) Limited</td> <td>Stern Warning</td> <td>-</td> <td>17-Nov-17</td> <td>ACTIVE</td>	137	52	N.U.A. Securities (Private) Limited	Stern Warning	-	17-Nov-17	ACTIVE
N N Nombursky N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N N	139	52			-	17-Nov-17	ACTIVE
No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No.<					-		
No. No. No. No. No. ADD ADD No. S. No. No. No. No. No. No. No. S. No. No. No. No. No. No. No. No. No. No. No.					30,496		
Image Desc Desc <thdesc< th=""> Desc Desc <th< td=""><td>143</td><td>32</td><td>Zafar Moti Capital Securities (Private) Limited</td><td>Penalty Imposed</td><td></td><td>18-Oct-17</td><td>ACTIVE</td></th<></thdesc<>	143	32	Zafar Moti Capital Securities (Private) Limited	Penalty Imposed		18-Oct-17	ACTIVE
International processing sectors Number of the sectors have been processing sectors Number of the sectors							
Image Bits Declaming property bits Description Description Description Image P. Bits Declaming property bits Addition Declaming property bits Addition Image P. Bits Declaming property bits Addition Addition Addition Image P. Bits Declaming property bits Addition Addition Addition Image P. Bits Declaming property bits Addition Addition Addition Image P. Bits Declaming property bits Addition Addition Addition Addition Image P. Bits Declaming property bits Addition					-		
10 4 Non-Social Points Add BA-021 ADD 10 4 Monto Monto Non-Social Points ADD BA-021 ADD 10 10 Monto Monto Non-Social Points ADD BA-021 ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Monto Non-Social Points ADD ADD ADD ADD 10 10 Monto Monto Monto Non-Social Points ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADD ADD 10 10 Monto Monto Non-Social Points ADD ADDD ADD 10 10					-		
BA Max Description Addition Addition BA BA Description Addition Addition BA Description Addition Addition Addition BA	149	6	Sherman Securities (Pvt.) Ltd.	Advised	-	28-Aug-17	ACTIVE
B Book Book Adda of a starting book Adda of a starting book Adda of a starting book B B B Book Book Book Book Book Book Book Book	151				-	28-Aug-17	ACTIVE
BA BO Book Sachash Asy Lands Asta Asy Lands	152	102	Growth Securities (Private) Limited		-	24-Aug-17	ACTIVE
No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No	154	102	Growth Securities (Pvt.) Limited	Warned	-	24-Aug-17	ACTIVE
13 4.0 1.00 2.0. <	156	68	Zafar Securities (Private) Limited	Warned	-	24-Aug-17	ACTIVE
Biol Discription Stand Jack Joint Jack Joint Jack Joint Biol Jack Joint Biol Allowin Allowin Allowin Biol Jack Joint Allowin Allowin Allowin Allowin Allowin Biol Jack Joint Allowin Allowin Allowin Allowin Allowin Biol Jack Joint Allowin Allowin Allowin Allowin Allowin Biol Jack Joint Allowint Allowint					-		
No. No. Material private indexi Stand No. No. No. No. No. Material private indexi Antifere Antifere No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. No. <td></td> <td></td> <td>AKD Securities Limited</td> <td>Warned</td> <td>-</td> <td></td> <td></td>			AKD Securities Limited	Warned	-		
10 1 Addams surgers (minutane) Addam 4 Addams 10 1 Bala Strands surgers (minutane) Addams Addams Addams 10 3 Barrends function (minutane) Addams Addams Addams 10 3 Bala Strands function (minutane) Addams Addams Addams 10 3 Bala Strands function (minutane) Addams Addams Addams 10 3 Bala Strands function (minutane) Addams Addams Addams 10 4 Balas Strands function (minutane) Addams Addams Addams 10 4 Balas Strands function (minutane) Addams Addams Addams 10 4 Balas Strands function (minutane) Addams Addams Addams Addams 10 4 Balas Strands function (minutane) Addams Addams Addams Addams 10 4 Balas Strands function (minutane) Addams Addams Addams Addams Add	161	16	D.J.M. Securities (Private) Limited	Warned	-	14-Jul-17	ACTIVE
161 54 New Security Density Linet New Security Density Linet ACTIVE 163 4.0 Internative Linguity Security Density Linet Advantation 1.0 <	163	1			-		
148 4.0. Internance Loging Light System Advance					- 55.683		
100 9.10 Immediat Legislangia Legislangia Advant - 3.8.9.73 ACTIVE 101 9.10 Max London (Natural) - Natural - Natural ACTIVE 107 4.40 Max Condu Lindon - Natural ACTIVE ACTIVE 107 4.40 Max Condu Lindon - Natural ACTIVE ACTIVE 107 4.40 Max Condu Lindon - Natural ACTIVE ACTIVE 107 4.40 Max Condu Lindon - Natural ACTIVE ACTIVE 107 4.00 Parad Tox ACTIVE ACTIVE Natural Montal Science - ACTIVE ACTIVE ACTIVE 107 4.00 Parad Tox ACTIVE ACTIVE Natural Montal Science - ACTIVE ACTIVE ACTIVE 107 4.00 Parad Tox ACTIVE ACTIVE Natural Montal Science - ACTIVE	166	91	Intermarket Securities Limited	Advised	-	21-Jun-17	ACTIVE
1710 288 a Page Sources Procespinated Active Active 1711 448 Max Calul indi Page 1000 Page 10000 Page 10000 Page 100	168	91	Intermarket Securities Limited	Advised	-	21-Jun-17	ACTIVE
171 4.8 Nac Castinuines People myord 7.15 9.8.0.7 ACVIP 173 4.8 Nac Castinuines Nacidal Links Nacidal Links Nacidal Links 175 4.8 Fand Van Gener (Instat) Instat People myord 42.3 9.8.0.7 ACVIP 175 4.8 Fand Van Gener (Instat) Instat People myord 42.4 9.8.0.7 ACVIP 176 4.9 Fand Van Gener (Instat) Instat People myord 42.4 9.8.0.7 ACVIP 178 4.7 Frace Gal (Instat) Instat ACVIP ACVIP ACVIP 178 4.7 Frace Gal (Instat) Instat ACVIP ACVIP 178 4.7 Frace Gal (Instat) Instat ACVIP ACVIP 178 4.7 Frace Gal (Instat) Instat ACVIP ACVIP 178 4.7 ACVIP ACVIP ACVIP 178 4.8 ACVIP ACVIP ACVIP 178 4.8 ACVIP ACVIP ACVIP 178 4	170	228			-	16-Jun-17	ACTIVE
171 64 Nuclear bard for formal hands haves 1. 89.01 ACTOR 173 18 Freed for formal hands haves 6.001 39.01 ACTOR 173 18 Freed formal formal hands Market 6.001 39.01 ACTOR 173 18 Freed formal formal hands Market 0.001 30.01 ACTOR 173 197 174 Card formal hands 1. 30.002 ACTOR 173 197 174 Card formal hands 1. 30.002 ACTOR 174 174 Card formal hands 1. 30.002 ACTOR 173 174 Card formal hands 1. 30.002 ACTOR 174 174 Soland formal hands 1. 1.0002 ACTOR 175 174 Card formal hands 1. 1.0002 ACTOR 174 174 Soland formal hands 1. 1.0002 ACTOR 175 174 Soland formal hands 1. 1.0002 ACTOR 174 174 Soland formal hands 1. 1.0002 1.0002 175 Soland formal hands 1. 1.0002 1.0002 176 Soland					- 70.175		
175 18 Fase functionation function interval Pearly und spectra find interval Pearly und spearly und spearly und spectra find interval Pear			Next Capital Limited	Warned	-		
177188Fase Yang Sacurdia Private Juncied	175	88	Fawad Yusuf Securities (Private) Limited	Penalty Imposed		9-Jun-17	ACTIVE
171 97 Par Logist function looked 1. 134 March 7 ACTVE 110 97 Par Cogist function looked		88			- 64,041		
180 27 Prix Equit Equipse unised Active 181 190 Cobal Cigati Linead of Active Acti					-		
12. 3 Semin Securits Privacy landed 5. 4 May 12 ACTIVE 13 14. 7 Prixs Social Securits Privacy landed Periaty imposed 7.8. 12.4.9.7.7 ACTIVE 14 14. 12. Prixs Social Securits Privacy landed Periaty imposed 50.05 7.4.9.7.7 ACTIVE 15. 12.5. Periaty imposed 50.05 7.4.9.7.7 ACTIVE 16. 12.0. Restarts Securits Privacy landed ACTIVE ACTIVE ACTIVE 17. Securits Privacy landed Added - 13.4.4.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1	180	57	First Capital Equities Limited	Advised	-	10-May-17	ACTIVE
184 47 Zille Capital Securities (Private) lunied Panel trippood 59.67 7-Apr.17 ACTIVE 185 190 Gal Securities (Private) lunied Penel trippood 50.00 7-Apr.17 ACTIVE 186 101 Gal Securities (Private) lunied Penel trippood 50.00 7-Apr.17 ACTIVE 187 128 Faus Securities Linities ACTIVE ACTIVE ACTIVE 188 128 Faus Securities Linities ACTIVE ACTIVE ACTIVE 189 128 Faus Securities Linities ACTIVE ACTIVE ACTIVE 189 128 Traus Securities Instance (Private) lunied Penelty Impoord 124.02 234-64.27 ACTIVE 180 BEI Securities Ratias (Private) lunied Penelty Impoord 124.02 234-64.27 ACTIVE 181 181 ATA dad Socurities Ratias (Private) lunied Ablend - 346.37 ACTIVE 181 181 ATA dad Socurities Ratias (Private) lunied Ablend - 347.67.37 ACTIVE <	182	17	Summit Capital (Private) Limited	Stern Warning	-	4-May-17	ACTIVE
136 139 Bit Sourcing (Private) Linetad Pendagi magood 30,000 7.49-17 ACTIVE 138 134 Intert and France Socurities United Active 134.40-17 ACTIVE 138 134 Intert and France Socurities United Active 134.40-17 ACTIVE 138 134 Intert and France Socurities United Active 134.40-17 ACTIVE 139 134 Intert and France Socurities United Active 224.40-17 ACTIVE 131 Add Adviscorrities (Private) Linetad Pendagi propord 132.0623 234.40-17 ACTIVE 132 Add Adviscorrities (Private) Linetad Pendagi propord 132.0623 234.40-17 ACTIVE 135 458 Far Egg Socurities (Private) Linetad Advised - 234.40-17 ACTIVE 135 458 Far Egg Socurities (Private) Linetad Advised - 34.40-17 ACTIVE 136 136 Far Egg Socurities (Private) Linetad Advised - 34.40-17 ACTIVE 137	184	47	Prime Securities (Private) Limited Zillion Capital Securities (Private) Limited		59,675	7-Apr-17	ACTIVE
137 Spectrom Securities (Private) (United) AUTVE 288 128 Tatura Socinities (Private) (United) ACTVE 219 Tatura Socinities (Private) (United) ACTVE ACTVE 219 Tatura Socinities (United) ACTVE ACTVE 219 Tatura Socinities (Private) (United) ACTVE ACTVE 219 Rama Socinities (Private) (United) Peritaly (Imposed) 114,795 23.48-57 ACTVE 219 Rama Socinities (Private) (United) Peritaly (Imposed) 124,795 ACTVE ACTVE 219 Rama Abadia Socinities (Private) (United) Peritaly (Imposed) 124,495 ACTVE ACTVE 219 Rama Abadia Socinities (Private) (United) ACTVE ACTVE ACTVE 219 Rama Abadia Socinities (Private) (United) ACTVE ACTVE ACTVE 210 Sacanak Capital Societies (Private) (United) ACTVE ACTVE ACTVE 210 Sacanak Capital Societies (Private) (United) ACATVE ACTVE ACTVE 211 Mateo Abadia Ima Societies (Priv							
180 123 Tanus Securities Limited Adviced 277-bb 72 ACTIVE 191 192 Paraus Securities Limited Adviced 277-bb 72 ACTIVE 191 84 Maknamed Monie Mahamed Monie Maunis (scritties (Private) Limited Penshty imposed 144,795 327-bb 72 ACTIVE 193 100 Bior Societties Paistate (Private) Limited Penshty imposed 12,0452 327-bb 72 ACTIVE 193 446 Als Advision Carlies Paistate (Private) Limited Advised 37-bb 73 ACTIVE 195 54 Natel Gage (Private) Limited Advised 37-bb 73 ACTIVE 196 0.45 Statistic (Private) Limited Advised 37-bb 73 ACTIVE 197 466 URAS Societtics (Private) Limited Advised 37-bb 73 ACTIVE 208 Statistic Societtics (Private) Limited Advised 33-bb 71 ACTIVE 209 403 Statistic Societtics (Private) Limited Advised 33-bb 71 ACTIVE 201 141 Fast Gay Mokanha 33-bb 71 ACTIVE 201 143 Statistic Griverate United			Spectrum Securities (Private) Limited	Warned	-		
19 44 Malamad Manet Malamad Abmed Manand Scarties (Private) Limited Penalty imposed 114,75 214-87.5 ACTVE 191 21 Alfa Addi Scarties (Private) Limited Penalty imposed 1,20,423 214-8-17 ACTVE 193 100 Bairs Scarties Restant (Private) Limited Addied - 247-86-17 ACTVE 194 44 Forting Scarties Limited Addied - 347-66-17 ACTVE 195 456 Matrix Scarties (Private) Limited Addied - 347-66-17 ACTVE 195 456 Matrix Scarties (Private) Limited Addied - 314-87-17 ACTVE 195 103 Starware Capita Scarties (Private) Limited Addied - 314-87-17 ACTVE 196 103 Starware Capita Scarties (Private) Limited Addied - 314-87-17 ACTVE 197 104 Starware Capita Scarties (Private) Limited Addied - 314-87-17 ACTVE 204 104 Starware Capita Scarties (Private) Limited	189	129	Taurus Securities Limited	Advised	-	27-Feb-17	ACTIVE
131 101 Add Securits (Protect) (united Peaksy transmic 1220,422 2374-0-17 ACTIVE 133 100 fairs Securits Statics (Drived) (united Advised - 3376-317 ACTIVE 134 40 fair Edge Securits (Instell Advised - 3376-317 ACTIVE 135 436 fair Edge Securits (Instell Advised - 3376-317 ACTIVE 136 56 Ref Edge Torbal (Instell Advised - 3376-317 ACTIVE 138 138 47. Sociafies (Private) (Instell Advised - 3128-17 ACTIVE 138 138 47. Sociafies (Private) (Instell Advised - 3128-17 ACTIVE 201 338 fairs Edge Voldsarba Advised - 3128-17 ACTIVE 202 203 Fairs Sociafies (Private) (Instell Advised - 3128-17 ACTIVE 203 138 fairs Edge Voldsarba Advised - 3128-17 ACTIVE <td< td=""><td></td><td></td><td></td><td></td><td>144 705</td><td></td><td></td></td<>					144 705		
193 100 Elies Securities Pakistan (Private) Limited Advised . 224-8b-37 ACTIVE 195 4.68 Fortum Securities Limited Advised . 34-8b-37 ACTIVE 195 4.68 Fair Edge Securities (Private) Limited Advised . 34-8b-37 ACTIVE 196 5.6 Nat Capatific Securities (Private) Limited Advised . 34-8b-37 ACTIVE 197 466 UAX Securities (Private) Limited Advised . 31-38-37 ACTIVE 200 327 MSO Capatif Equities (Private) Limited Advised . 31-38-37 ACTIVE 201 138 Fars Cagity Moderaba Advised . 31-38-37 ACTIVE 202 203 Brain Securities (Private) Limited Advised . 31-38-37 ACTIVE 203 19 AdSecurities (Private) Limited Advised . 31-38-37 ACTIVE 203 400 Savarato Capatif Equities (Private) Limited Advised . 25-38-37							
196 438 Far figge Scarrings (Private) lunited Advised . 3 + 76 + 17 ACTVE 197 466 UMCX Securities (Private) lunited Advised . 3 + 76 + 17 ACTVE 198 183 R.T. Securities (Private) lunited Advised . 3 + 76 + 17 ACTVE 198 103 Salawaka Captal Securities (Private) lunited Advised . 3 + 76 + 17 ACTVE 199 103 Salawaka Captal Securities (Private) lunited Advised . 3 + 76 + 17 ACTVE 200 227 MSO Captal Equities (Private) lunited Advised . 3 + 76 + 17 ACTVE 201 103 Salawaka Captal Securities (Private) lunited Advised . 3 + 76 + 17 ACTVE 203 104 Salawaka Captal Securities (Private) lunited Advised . 3 + 76 + 17 ACTVE 204 10 Salawaka Captal Securities (Private) lunited Advised . 2 + 76 + 17 ACTVE 205 426 New Paka Securities (Private) lunited	193		Elixir Securities Pakistan (Private) Limited	Advised	-		
197 466 URX Securities (Private) Limited Advised . 37-69-17 ACTIVE 198 1.8 R.T. Sociuties (Private) Limited Advised . 31-Jan 17 ACTIVE 199 1.0 Skarvaka Capital Sociuties (Private) Limited Advised . 31-Jan 17 ACTIVE 200 227 MSO Capital Sociuties (Private) Limited Advised . 31-Jan 17 ACTIVE 201 237 MSO Capital Sociuties (Private) Limited Advised . 31-Jan 17 ACTIVE 202 203 Brain Sociuties (Private) Limited Advised . 31-Jan 17 ACTIVE 203 1.0 Skarvala Capital Sociuties (Private) Limited Advised . 31-Jan 17 ACTIVE 204 1.0 Activate (Private) Limited Advised . 25-Jan 17 ACTIVE 205 460 Bala Equites (Private) Limited Advised . 25-Jan 17 ACTIVE 206 460 Bala Equites (Private) Limited Advised . 25-Ja	195	438	Fair Edge Securities (Private) Limited	Advised	-	3-Feb-17	ACTIVE
199 10 Skarvada Capital Sciurites (Private) Limited Advised - 31-Jan-17 ACTIVE 201 227 MSS Capital Equities (Private) Limited Advised - 31-Jan-17 ACTIVE 201 181 Frst Equity (Modataba - Advised - 31-Jan-17 ACTIVE 202 203 Brain Securities (Private) Limited - Advised - 31-Jan-17 ACTIVE 203 100 Skarwala Capital Securities (Private) Limited - Advised - 31-Jan-17 ACTIVE 204 19 AKD Securities (Private) Limited - Advised - 23-Jan-17 ACTIVE 205 422 New Peak Scurities (Private) Limited Advised - 25-Jan-17 ACTIVE 206 458 Hanara Tariad Securities (Private) Limited Advised - 25-Jan-17 ACTIVE 208 166 Topine Securities (Private) Limited Advised - 25-Jan-17 ACTIVE 210 85 Conocroit Securities (Private) L	197	466	UNEX Securities (Private) Limited	Advised	-	3-Feb-17	ACTIVE
200 297 MSD Capital Equites (Private) Limited Advised - 31-lan-17 ACTIVE 201 148 First Equity Modaraba Advised - 31-lan-17 ACTIVE 202 203 Brains Securities (Private) Limited Advised - 31-lan-17 ACTIVE 204 109 Sakawaka Equital Securities (Private) Limited Advised - 31-lan-17 ACTIVE 204 109 ASS Securities (Private) Limited Advised - 25-lan-17 ACTIVE 206 482 New Peak Securities (Private) Limited Advised - 25-lan-17 ACTIVE 208 4825 Heara Taring Securities (Private) Limited Advised - 25-lan-17 ACTIVE 208 4855 Heara Taring Securities (Private) Limited Advised - 25-lan-17 ACTIVE 210 835 Concordis Securities (Private) Limited Advised - 23-lan-17 ACTIVE 211 116 Fagine Securities (Private) Limited Advised -	199	10	Sakarwala Capital Securities (Private) Limited			31-Jan-17	ACTIVE
222 203 Brains Securities (Private) limited Advised - 31-lan-17 ACTIVE 203 10 Sakawala (path Securities (Wrivate) limited Advised - 31-lan-17 ACTIVE 204 19 AXD Securities (Invited) limited Advised - 31-lan-17 ACTIVE 205 221 Milenoim Revieng (SIXC-Pt) Limited Advised - 25-lan-17 ACTIVE 206 482 New Peak Securities (Private) Limited Advised - 25-lan-17 ACTIVE 208 Babb Equities (Private) limited Advised - 25-lan-17 ACTIVE 208 Hama Tarind Securities (Private) limited Advised - 25-lan-17 ACTIVE 210 85 Concordia Securities (Private) limited Advised - 25-lan-17 ACTIVE 211 116 Patel Securities (Private) limited Advised - 24-lan-17 ACTIVE 212 118 Surmit Capital (Private) limited Advised - 31-lan-17 ACTIVE			MSD Capital Equities (Private) Limited	Advised	-	31-Jan-17	
204 19 Ad Securitie United Advised - 31-Jan-17 ACTIVE 205 221 Milenium Rovirage (SMC-Pt) Limited Advised - 25-Jan-17 ACTIVE 206 482 New Peak Securities (Phystel) Limited Advised - 25-Jan-17 ACTIVE 207 490 Babb Equities (Phystel) Limited Advised - 25-Jan-17 ACTIVE 208 166 Togline Securities (Phystel) Limited Advised - 25-Jan-17 ACTIVE 208 166 Togline Securities (Phystel) Limited Advised - 25-Jan-17 ACTIVE 210 85 Concordis Securities (Phystel) Limited Advised - 25-Jan-17 ACTIVE 211 118 Sourice Expland (Phystel) Limited Advised - 24-Jan-17 ACTIVE 212 118 Sourice Expland (Phystel) Limited Advised - 3-Jan-17 ACTIVE 213 17 Sourice Expland (Phystel) Limited Advised - 3-Jan-17 A	202	203	Brains Securities (Private) Limited	Advised	-	31-Jan-17	ACTIVE
206 482 New Pesk Securities (Phystel Limited Addised 25-lan-17 ACTVE 207 490 Babb Equities (Phystel Limited Advised 25-lan-17 ACTVE 208 166 Topline Securities (Phystel Limited Advised 25-lan-17 ACTVE 208 1455 Hanzi Fanda Securities (Phystel Limited Advised 25-lan-17 ACTVE 210 855 Gonzordia Securities (Phystel Limited Advised 24-lan-17 ACTVE 211 116 Parti Securities (Phystel Limited Penalty Imposed 20,000 19-lan-17 ACTVE 212 118 Summic Capital (Phystel Limited Penalty Imposed 20,000 19-lan-17 ACTVE 213 17 Summic Capital (Phystel Limited Advised - 3-lan-17 ACTVE 214 17 Summic Capital (Phystel Limited Advised - 3-lan-17 ACTVE 215 14 Relance Securities (Phystel Limited Advised - <	204	19	AKD Securities Limited	Advised	-	31-Jan-17	ACTIVE
207 400 Iblas Equities (Private) lumited Advised - 25-an-17 ACTIVE 208 166 Topline Scurities (Private) lumited Advised - 25-an-17 ACTIVE 209 485 Itamar Jarnah Securities (Private) lumited Advised - 25-an-17 ACTIVE 200 485 Concordia Securities (Private) lumited Advised - 24-an-17 ACTIVE 211 116 Pratel Securities (Private) lumited Penaty Imposed 50,000 13-an-17 ACTIVE 212 118 Survice Capital (Private) lumited Penaty Imposed 20,000 17-an-17 TROING TREMINALS SUSFINED 213 17 Surume Capital (Private) lumited Advised - 3-an-17 ACTIVE 214 17 Surume Capital (Private) lumited Advised - 3-an-17 ACTIVE 215 54 Bava Securities (Private) lumited Advised - 3-an-17 ACTIVE 216 54 Bava Securities (Private) lumited Advised	206	482			-		
209 485 Imma Farnal Securities (Private) lumited Advised - 25-Jan-17 ACTIVE 210 85 Concordia Securities (Private) lumited Advised - 24-Jan-17 ACTIVE 211 116 Pread Securities (Private) lumited Penaty Imposed 50,000 13-Jan-17 ACTIVE 212 118 Summe Capital (Private) lumited Penaty Imposed 20,000 17-Jan-17 TROING TREMINIALS SUSP ROBED 213 17 Summe Capital (Private) lumited Advised - 3-Jan-17 ACTIVE 214 17 Summe Capital (Private) lumited Advised - 3-Jan-17 ACTIVE 215 512 Reliance Securities (Private) lumited Advised - 32-Dec-16 ACTIVE 216 544 Bava Securities (Private) lumited Advised - 28-Dec-16 ACTIVE 218 66 lpdU Juma Nodowi Securities (Private) lumited Advised - 28-Dec-16 ACTIVE 210 102 Gorowth Securities (Private) lumited A					-		
211 116 Penalty proposed 90,000 19-Jan-17 ACTIVE 212 118 Summer Capital (Private) lumited Penalty imposed 20,000 17-Jan-17 TRONID (Private) lumited 213 117 Summer Capital (Private) lumited Penalty imposed 20,000 17-Jan-17 TRONID (Private) lumited 214 17 Summer Capital (Private) lumited Advised - 3-Jan-17 ACTIVE 215 12 Relance Securities (Private) lumited Advised - 3-Jan-17 ACTIVE 216 54 Bava Securities (Private) lumited Advised - 30-Dec-16 ACTIVE 217 12 Relance Securities (Private) lumited Advised - 30-Dec-16 ACTIVE 218 66 Ipal Uran otdowid Securities (Private) lumited Advised - 28-Dec-16 ACTIVE 210 102 Growth Securities (Private) lumited Advised - 28-Dec-16 ACTIVE 218 108 Ipal and Jaccurities (Private) lumited Advised -	209	485	Hamza Farhad Securities (Private) Limited	Advised	-	25-Jan-17	ACTIVE
213 17 Summt Capital (Private) lumited Advised - 3-Jan-37 ACTVE 214 17 Summt Capital (Private) lumited Advised - 3-Jan-37 ACTVE 215 12 Relance Securities (Private) lumited Advised - 3-Jan-37 ACTVE 216 54 Bava Securities (Private) lumited Advised - 30-Dec-16 ACTVE 217 12 Relance Securities (Private) lumited Advised - 30-Dec-16 ACTVE 218 66 lpdu Luman Kodow Securities (Private) lumited Advised - 28-Dec-16 ACTVE 219 120 forant/Securities (Private) lumited Advised - 28-Dec-16 ACTVE 210 102 Growth Securities (Private) lumited Advised - 25-Dec-16 ACTVE 210 102 Growth Securities (Private) lumited Advised - 25-Dec-16 ACTVE 210 102 Growth Securities (Private) lumited Advised - 25-Dec-16	211	116	Patel Securities (Private) Limited	Penalty Imposed		19-Jan-17	ACTIVE
214 17 Summit Capital (Private) Limited Advised - 3-Jan-17 ACTIVE 215 125 Pelance Sociaties Limited Advised - 30-Dec-16 ACTIVE 216 54 Bava Securities (Private) Limited Advised - 30-Dec-16 ACTIVE 217 121 Reliance Sociaties (Initiated) - Advised - 30-Dec-16 ACTIVE 218 66 Iphal Usman Kodowa Socurities (Private) Limited Advised - 28-Dec-16 ACTIVE 219 229 Iphal Usman Kodowa Socurities (Private) Limited Advised - 28-Dec-16 ACTIVE 220 102 Growth Socurities (Private) Limited Advised - 28-Dec-16 ACTIVE 220 102 Growth Socurities (Private) Limited Advised - 28-Dec-16 ACTIVE 221 18 Isonal Igbal Socurities (Private) Limited Advised - 26-Dec-16 ACTIVE 222 112 Stacford Capital Socurities (Private) Limited Advised - 22-Dec-16 ACTIVE 223 45 Baryani Socurities (Private) Limited Advised - 22-Dec-16 ACTIVE 224 142 Foundes Socurities	213	17	Summit Capital (Private) Limited	Advised	200,000	3-Jan-17	ACTIVE
216 54 Bawa Securities (Private) Limited Advised - 30-Dec-16 ACTIVE 217 112 Relance Sociatries (Private) Limited Advised - 30-Dec-16 ACTIVE 218 66 Igbal Usman Kodwa's Securities (Private) Limited Advised - 22-Dec-16 ACTIVE 219 229 fairway Securities (Private) Limited Advised - 22-Dec-16 ACTIVE 220 102 Growth Securities (Private) Limited Advised - 22-Dec-16 ACTIVE 221 112 Ismani Securities (Private) Limited Advised - 22-Dec-16 ACTIVE 222 112 Standard Capital Securities (Private) Limited Advised - 22-Dec-16 ACTIVE 223 45 Binyani Securities (Private) Limited Advised - 22-Dec-16 ACTIVE 224 142 FoundStoceUnities (Imited) Advised - 22-Dec-16 ACTIVE 225 16 D.J.M. Securities (Private) Limited Advised -			Summit Capital (Private) Limited	Advised			
218 66 Upda Usman Kodwal Securitise (Private Junited Advised - 28-Dec-16 ACTIVE 219 229 Fairway Securitise (Private Junited Advised - 28-Dec-16 ACTIVE 220 102 Growth Securitise (Private Junited Advised - 28-Dec-16 ACTIVE 220 102 Growth Securitise (Private) Junited Advised - 28-Dec-16 ACTIVE 221 112 Ismail (pais Securitise (Private) Junited Advised - 28-Dec-16 ACTIVE 222 112 Standard Capital Securitise (Private) Junited Advised - 22-Dec-16 ACTIVE 223 45 Bhayani Securitise (Private) Junited Advised - 22-Dec-16 ACTIVE 224 142 Foundation Securitise (Private) Junited Advised - 22-Dec-16 ACTIVE 225 16 D.J.M. Securitise (Private) Junited Advised - 21-Dec-16 ACTIVE 226 46 Fotune Securitise Junited Advised -	216	54	Bawa Securities (Private) Limited	Advised	-	30-Dec-16	ACTIVE
120 102 formath Sourchise (Private) lunited Advised 26-Dec:16 ACTIVE 221 118 tomal Igbal Sourchise (Private) Lunited Advised - 26-Dec:16 ACTIVE 222 112 Standard Capital Scurities (Private) Lunited Advised - 26-Dec:16 ACTIVE 223 112 Standard Capital Scurities (Private) Lunited Advised - 26-Dec:16 ACTIVE 224 142 FoundArio Scurities (Private) Limited Advised - 22-Dec:16 ACTIVE 224 142 FoundArio Scurities (Private) Limited Advised - 22-Dec:16 ACTIVE 225 16 D.J.M. Socurities (Private) Limited Advised - 21-Dec:16 ACTIVE 226 6 Fortune Scurities (Private) Limited Advised - 21-Dec:16 ACTIVE 226 6 Fortune Scurities (Private) Limited Advised - 20-Dec:16 ACTIVE 226 48 16 Invited Advised - 20-Dec:16<	218	66	Iqbal Usman Kodvavi Securities (Private) Limited	Advised	-	28-Dec-16	ACTIVE
222 112 Standard Capital Securities (Private) Limited Advised 26-Dec:16 ACTIVE 223 45 Bhayani Securities (Private) Limited Advised - 22-Dec:16 ACTIVE 224 142 Foundation Securities (Private) Limited Advised - 22-Dec:16 ACTIVE 225 142 Foundation Securities (Private) Limited Advised - 22-Dec:16 ACTIVE 226 16 D.M. Securities (Private) Limited Advised - 21-Dec:16 ACTIVE 226 46 Fortune Securities (Private) Limited Advised - 21-Dec:16 ACTIVE 226 46 Fortune Securities (Private) Limited Advised - 22-Dec:16 ACTIVE 227 490 Baba Equities (Private) Limited Advised - 22-Dec:16 ACTIVE 228 224 K & 6 (Gobal (Private) Limited Advised - 20-Dec:16 ACTIVE 220 224 K & 8 (Gobal (Private) Limited Advised - 20-Dec:16	220	102	Growth Securities (Private) Limited	Advised	-	26-Dec-16	ACTIVE
223 45 Bhayani Securities (Private) Limited Advised - 22-0ec-16 ACTIVE 224 142 Foundation Securities (Private) Limited Advised - 22-0ec-16 ACTIVE 225 16 D.J.M. Securities (Private) Limited Advised - 21-0ec-16 ACTIVE 226 46 Fortume Securities (Invited) Advised - 21-0ec-16 ACTIVE 226 46 Fortume Securities (Invited) Advised - 20-0ec-16 ACTIVE 226 42 Fortume Securities (Invited) Advised - 20-0ec-16 ACTIVE 227 430 Baba Equities (Private) Limited Advised - 20-0ec-16 ACTIVE 228 224 K & Global (Private) Limited Advised - 20-0ec-16 ACTIVE 220 224 K & Global (Private) Limited Advised - 20-0ec-16 ACTIVE 230 348 AMCAP Securities (Private) Limited Advised - 1-0ec-16 TRADING TREMINALS					-		
225 16 D.J.M. securities (Private) lumited Advised - 21-Dec-16 ACTIVE 226 46 Fortume Securities (Imited) Advised - 20-Dec-16 ACTIVE 227 490 Babs Equities (Private) lumited Advised - 20-Dec-16 ACTIVE 228 224 k 8 (Gobbal (Private) lumited Advised - 20-Dec-16 ACTIVE 229 224 k 8 (Gobbal (Private) lumited Advised - 20-Dec-16 ACTIVE 230 348 AMCAP Securities (Private) lumited Advised - 20-Dec-16 ACTIVE	223	45	Bhayani Securities (Private) Limited	Advised	-	22-Dec-16	ACTIVE
227 490 Baba Equities (Private) Limited Advised - 20-Dec-16 ACTIVE 228 224 K & IGlobal (Private) Limited Advised - 20-Dec-16 ACTIVE 229 224 K & IGlobal (Private) Limited Advised - 20-Dec-16 ACTIVE 229 224 K & IGlobal (Private) Limited Advised - 20-Dec-16 ACTIVE 230 348 AMCAP Securities (Private) Limited Advised - 1-Dec-16 TRADING TERMINALS SUSPENDED	225	16	D.J.M. Securities (Private) Limited	Advised	-	21-Dec-16	ACTIVE
228 224 K & IGibbal (Private) lumited Advised - 20-Dec-16 ACTIVE 229 224 K & IGibbal (Private) lumited Advised - 20-Dec-16 ACTIVE 230 348 AMCAP Securities (Private) lumited Advised - 1-Dec-16 TRADING TERMINALS SUSPENDED	227	490		Advised	-	20-Dec-16	ACTIVE
230 348 AMCAP Securities (Private) Limited Advised - 1-Dec-16 TRADING TERMINALS SUSPENDED	228		K & I Global (Private) Limited		-	20-Dec-16	
231 90 Darson Securities (Private) Limited Penalty Imposed 50,000 1-Dec-16 ACTIVE			AMCAP Securities (Private) Limited	Advised	- 50,000	1-Dec-16	

S. No.	TREC No.	Name of TREC Holder	Disciplinary Actions	Amount of Penalty (If any)	Date of Enforcement Actions	Current Status
232	177	Din Capital (Private) Limited	Penalty Imposed	52,479	1-Dec-16	ACTIVE
233	37	HH Misbah Securities (Private) Limited	Advised		29-Nov-16	ACTIVE
234	62	WE Financial Services Limited	Advised	-	28-Nov-16	ACTIVE
235	28	Ghani Osman Securities (Private) Limited	Advised		22-Nov-16	ACTIVE
236	72	TS Securities (Private) Limited	Penalty Imposed	89,701	18-Nov-16	ACTIVE
237	6	Sherman Securities (Private) Limited	Penalty Imposed	248,773	14-Nov-16	ACTIVE
238	107	Seven Star Securities (Private) Limited	Advised	-	8-Nov-16	ACTIVE
239	109	Dalal Securities (Private) Limited	Advised	-	4-Nov-16	ACTIVE
240	410	Zahid Latif Khan Securities (Private) Limited	Advised	-	4-Nov-16	ACTIVE
241	30	Cedar Capital (Private) Limited	Advised	-	4-Nov-16	ACTIVE
242	88	Fawad Yusuf Securities (Private) Limited	Advised	-	4-Nov-16	ACTIVE
243	68	Zafar Securities (Private) Limited	Advised	-	4-Nov-16	ACTIVE
244	96	MSMANIAR Financials (Private) Limited	Advised	-	4-Nov-16	ACTIVE
245	10	Sakarwala Capital Securities (Private) Limited	Advised		27-Oct-16	ACTIVE
246	194	M.R.A. Securities (Private) Limited	Penalty Imposed	50,486	26-Oct-16	ACTIVE
247	194	M.R.A. Securities (Private) Limited	Penalty Imposed	52,250	26-Oct-16	ACTIVE
248	194	M.R.A. Securities (Private) Limited	Advised		26-Oct-16	ACTIVE
249	102	Growth Securities (Private) Limited	Advised		25-Oct-16	ACTIVE
250	32	Zafar Moti Capital Securities (Private) Limited	Penalty Imposed	303,839	10-Oct-16	ACTIVE
251	166	Topline Securities (Private) Limited	Advised	505,055	10-Oct-16	ACTIVE
252	22	BMA Capital Management Limited	Advised		4-Oct-16	ACTIVE
253	442	General Investment & Securities (Private) Limited	Advised		4-Oct-16	ACTIVE
254	128	KASB Securities Limited	Advised		29-Sep-16	ACTIVE
255	128	KASB Securities Limited	Advised		29-Sep-16	ACTIVE
256	149	JS Global Capital Limited	Advised		29-Sep-16	ACTIVE
257	149	JS Global Capital Limited	Advised		29-Sep-16	ACTIVE
258	61	Abbasi Securities (Private) Limited	Advised		29-Sep-16	ACTIVE
259	102	Growth Securities (Private) Limited	Advised		28-Sep-16	ACTIVE
260	145	Adam Securities (Private) Limited	Advised		28-Sep-16	ACTIVE
261	149	JS Global Capital Limited	Advised		28-Sep-16	ACTIVE
262	149	M.R.A. Securities (Private) Limited	Advised	-	28-Sep-16	ACTIVE
263	88	Fawad Yusuf Securities (Private) Limited	Advised	-	23-Sep-16	ACTIVE
263	128	KASB Securities Limited	Advised Penalty Imposed	64.000	2-Sep-16	ACTIVE
265	118	Sunrise Capital (Private) Limited	Penalty Imposed Penalty Imposed	164,285	26-Aug-16	TRADING TERMINALS SUSPENDED
265	36			104,285	7-Aug-16	ACTIVE
260	212	Time Securities (Private) Limited Horizon Securities Limited	Warned		4-Aug-16	ACTIVE
267	212				1-Aug-16	ACTIVE
268	22	BMA Capital Management Limited	Warned	-	1-Aug-16 1-Aug-16	ACTIVE
269		BMA Capital Management Limited	Warned		25-Jul-16	
270	18 482	Ismail Iqbal Securities (Private) Limited	Advised	-	25-Jul-16 25-Jul-16	ACTIVE
271 272	482	New Peak Securities (Private) Limited	Warned	-		
		Summit Capital (Private) Limited	Warned	-	25-Jul-16	ACTIVE
273	194	M.R.A. Securities (Private) Limited	Warned		28-Jun-16	ACTIVE
274	346	Strongman Securities (Private) Limited	Warned	-	28-Jun-16	ACTIVE
275	466	Unex Securities (Private) Limited	Warned		28-Jun-16	ACTIVE
276	66	Iqbal Usman Kodvavi Securities (Private) Limited	Warned	-	28-Jun-16	ACTIVE
277	32	Zafar Moti Capital Securities (Private) Limited	Warned	-	25-May-16	ACTIVE
278	102	Growth Securities (Private) Limited	Warned		25-May-16	ACTIVE
279	100	Elixir Securities Pakistan (Private) Limited	Warned	-	25-May-16	ACTIVE
280	347	Amer Securities Limited	Warned	-	25-May-16	ACTIVE
281	102	Growth Securities (Private) Limited	Warned		25-May-16	ACTIVE
282	169	Pearl Securities Limited	Warned		25-May-16	ACTIVE
283	485	Hamza Farhad Securities (Private) Limited	Warned	-	25-May-16	ACTIVE
284	91	Intermarket Securities Limited	Warned	-	25-May-16	ACTIVE
285	346	Strongman Securities (Private) Limited	Warned	-	25-May-16	ACTIVE
286	142	Foundation Securities (Private) Limited	Warned	-	25-May-16	ACTIVE