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**Disciplinary Register - Participants  
Under Regulation 14.6.1 of the CDC Regulations**

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
146	First Securities (Private) Limited	Rescinded	21-Mar-18	Due to non-compliance of Part A of CDC Regulations after Non-compliance of CDC Regulations
147	Mathura Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
148	Sumit Ltd Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
149	India Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
150	Infomatrix Africa Invest Securities (Pvt) Limited	Notice of Restriction & Consent	21-Mar-18	Non-compliance of CDC Regulations
151	Prime Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
152	Cap Capital (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
153	MF Securities (Pvt) Limited	Rescinded of Restrictions	21-Mar-18	Consent to withdrawal of trading services of Participant by MF with effect from Monday, March 26, 2018, as notified by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
154	Rel Securities (Private) Limited	Rescinded	21-Mar-18	Consent to withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
155	Rel Securities (Pvt) Limited	Rescinded	21-Mar-18	Consent to withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
156	MF Securities (Pvt) Limited	Rescinded	21-Mar-18	Consent to withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
157	MF Stocks (Pvt) Limited	Rescinded	21-Mar-18	Consent to withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
158	First Securities (Pvt) Ltd	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
159	Reliance Securities Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
160	Reliance Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
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164	Rel Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
165	Rel Securities (Private) Limited - old	Notice of Restriction & Consent	21-Mar-18	Non-compliance of CDC Regulations
166	India Management Finance Invest Securities Limited	Notice of Restriction & Consent	21-Mar-18	Non-compliance of CDC Regulations
167	MF Securities (Private) Limited	Rescinded	21-Mar-18	Consent to the suspension by NCFE, as notified vide notice no. NCFE/2018/2018 dated September 26, 2018.
168	India Securities (Pvt) Limited	Notice in respect of Participant	21-Mar-18	Participant has complied with the Regulation 6 & 7 (CNC)
169	Sumit Capital Markets Limited	Rescinded	21-Mar-18	Consent to the withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
170	170 Securities (Private) Limited	Rescinded of Restriction for opening Offshore Sub-Account	21-Mar-18	Consent to the withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
171	Sumit India Securities (Private) Limited	Rescinded	21-Mar-18	Consent to the withdrawal of trading services of Participant by PMF with letter no. PMF/NR/2018 dated March 23, 2018.
172	Rel Securities (Pvt) Ltd - old	Notice of Restriction & Consent	21-Mar-18	Non-compliance of CDC Regulations
173	Sumit Securities (Pvt) Ltd	Notice of Restriction & Consent	21-Mar-18	Non-compliance of CDC Regulations
174	Rel Securities Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
175	Reliance Securities (Pvt) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
176	Rel Securities (Private) Limited	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
177	Rel Securities (Pvt) Ltd	Consent Letter	21-Mar-18	Non-compliance of CDC Regulations
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