- 2	Name of Participant Creative Capital Securities (Nr.) Limited	Disciplinary Actions Concure Letter	Notice Date 11-au-30	Reason Non-Compliance of CDC Regulations
	Monaniar Financial (Pvt.) Limited Cedar Capital (Pvt.) Limited	Notice of Rectriction & Concurs Notice of Rectriction & Concurs	11-1at-30 11-1at-30	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
4	Monaniar Financial (Pvt.) Limited - Thematic	Notice of Rectriction & Concurs	11-lui-30	Non-Compliance of CDC Regulations
5	New Peak Securities (Pvt.) Limited - Thematic ASA Stocks (Pvt.) Limited - Thematic	Cercure Letter Notice of Rectriction & Cercure	11-sur-30 11-sur-30	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
7	Askari Bank Limbed	Concure Letter	3-au-20	Non-Compliance of CDC Regulations
9	Bank Artists United Hobits Bank Limited Al Banaka Bank (Pakistan) Limited	Concure Letter Concure Letter	3-xa-20 3-xa-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
11	Bank Islami Pakistan Limited	Concure Letter	3-au-20	Non-Compliance of CDC Regulations
12	Concordia Securities (Private) Limited Vector Secrities (Private) Limited - MF	Terminated Removal of Restriction	28-May-20 18-May-20	Voluntary Termination Consequent to removal of Restrictions by NCCPs as an MF & Mf Acco
				vide notice no. NCCPL/CRD/182/30 dated May 18, 2020 Consequent to removal of restriction by NCCPs, as an MF & MT Account
16 15	First Street Capital (Private) simbed - MF & MT	Removal of Restriction Concurs Letter	19-May-20 16-May-20	Holder wide notice on Brillian Parkings Fits depart May 15, 1900.
56	Progressive Investment Management (Put.) and Falki Capital (Private) Limited	Censure Letter	16-May-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
17 18	Bridge Securities (Put.) Limited MCFSs-Trustee Allied Capital Protected Fund	Notice of Recolation & Concurs Terminated	16-May-30 23-Apr-30	Non-Compliance of CDC Regulations Voluntary Terminarios
19	Vector Secrities (Private) Limited - MF	Restricted	17-Apr-30	consequent to imposing the Restrictions by NCCPs as an MF Account H vide notice no. NCCPs (CRC)/SRJ/20 dated April 17, 2000
20	First Street Capital (Hrivata) Limited - MF & MT	Restricted	57-Apr-20	vide notice no. NCCPI_CRD/Ski/20 dated April 17, 2000 consequent to imposing the Restrictions by NCCPL as an MF & MT Aco Holder vide notice no. NCCPI_CRD/Ski/20 dated April 17, 2000
		Restricted		
21	Zillion Capital Securities (Private) Limited - MF Meet Capital Limited	Restricted	57-Apr-20	consequent to imposing the Restrictions, by NCCPL as an MF Account H vide notice no. NCCPL/CRD/SSJ/20 dated April 17, 2020 Non-Compliance of CDC Regulations
29	Axic Global Limited	Coccure Letter Coccure Letter	3 Apr-30 3 Apr-30	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
25	HMC Stocks (Nr.) Limited Khawaja Securities (Nr.) Limited	Centure Letter	2-Apr-20	Non-Compliance of CDC Regulations
26	Raba Equities (Pvt) Limited EP Securities (Private) Limited	Suspended Suspended	27-Mar-20 27-Mar-20	Business Days of Restrictions.
28	M. F. Stocks (Private) Limited	Suspended	27-Mar-20	Business David Recommendations after completing Due to non-compliances of 6.8.2 of CoC Regulations after completing Business David of Beachington
29	Beaming Investment & Securities (Private) Limited	Suspended	27-664-20	Bue to non-compliances of 6.8.2 of CDC Regulations after completing Bue to non-compliances of 6.8.2 of CDC Regulations after completing Business those of Berstelmoss Due to non-compliances of 6.8.2 of CDC Regulations after completing
30 31	RLC Securities Private Limited Maha Securities (Pvt.) Limited	Suspended	27-Mar-20 27-Mar-20	Business Days of Restrictions. Due to non-compliances of 6.8.2. of CDC Regulations after completing
ш	F.M. Securities (Pvt.) Limited	Suspended	27-Mar-20	Business Davi of Restrictions. Due to non-compliances of 6.8.2 of CDC Regulations after completing Business Davi of Restrictions
22	CMA Securities (Pvt) Limbed	Suspended	27-Mar-20	Due to non-compliances of 6.8.2. of CDC Regulations after completing discloses flow of Burstimions
34	A. S. Securities (Private) Limited Reliance Securities Limited	Suspended	27-Mar-20 27-Mar-20	Due to non-compliances of 6.8.2. df CDC Regulations after completing Business Davs of Restrictions. Due to non-compliances of 6.8.2. df CDC Regulations after completing
*	Altai Securities (Private) Limited	Removal of Restrictions	36-661-20	Business Davis of Restrictions. Consequent to rectaration of trading terminals of Participant by PSX vi
w	Amer Securities (Private) Limited - MF	Resticted	17-Mar-20	coning and the Art Annual Mauric by 1998. Consequent to imposing the Restriction by NCCPL as an MF Account How vide notice on NCCPL/CRO/130/20 dated March 17, 2000.
26	Akhai Securities (Private) Limited	Restricted	17-66x-20	side notice no. NCCPL/CR0/136/20 dated March 17, 2000. Consequent to switching off of trading terminals of Pericipant by Pfor various and/Subh-18 dated March 17, 2000. Consequent to switching off of trading terminals of Pericipant by Pfor Various Consequent to switching off of trading terminals of Pericipant by Pfor Various Consequent to switching off of trading terminals of Pericipant by Pfor Various Consequence of Various Conseq
20	ziit Securities Limited	Resticted	17-Mar-20	consequent to switching off of trading terminate of Participant by Pat v notice noPixth-Itial dated March 17, 2020.
40	ASDA Securities (Put.) Limited - MF	Resticted	17-66a-20	Consequent to imposing the Restriction by NCCPL as an MF Account Ho side notice no. NCCPL/CHO/127/28 dated March 17, 2020. Consequent to removal of restriction by NCCPL as an MF Account Holder
42	Zillion Capital Securities Pvt. Limited - MF Amer Securities (Private) Limited - MF	Removal of Restrictions Removal of Restrictions	16-Mar-20 13-Mar-20	consequent to remain of restriction by NCCPL as an MF Account Holise solice so, NCCPL/YRD/124/30 dayed March 18, 2000. Consequent to remail of restriction by NCCPL as an MF Account Helder
- Gi	BIPL Securities Limited	Notice of Restriction & Censure	3-Mar-20	notice on married (19th (1937)) should be unit 193 (1936) Non-Compliance of CDC Regulations
44	First Capital Equities simited	Restricted	24-Feb-20	Due to the cancellation of liscence by SECP as a securities broker, vide in no. 2(kit/SE/SMD/2000 dated February 20, 2020.
6	Din Cagital Limited	Restricted	26-Feb-20	Due to the cancellation of liscence by SECP as a securities broker, vide in no. 2(XIE/SE/SMD)2000 dated February 20, 3020.
66	Concordia Securities (Private) Limited	Restricted	24-Feb-20	Oue to the cancellation of fiscence by SECP as a securities broker, vide in no. 2(38)/SE/SMD(0000-dated February 20, 2000
e	MK Securities (Private) Limited	Restricted	26-Feb-20	no. 3 EXIGNAL PARECUSED GREEN FRANCIS 2000 Due to the cancellation of Siscence by SECP as a securities broker, vide in o. 2 (23),555/5Mb/2000 cased February 20, 2020.
	Abbasi Securities (Pvt.) Limited - MT and MF	Restricted	20-540-20	no. 2(31)/56/5Mb/2000 coned February 20, 2020. Consequent to imposing the restriction by NCCPL as an MF Account Holder vide notice no. NCCPL/CRD/253/20 dated February Exploration (NCCPL/CRD/253/20 dated February Exploration (NCCPL/CRD/2
				and Mff Account Holder vide notice no. NCCPL/CHC)/SSI/20 dated Febr 99. 2000. Consequent to imposing the restriction by NCCPL as an MF Account Holder vide notice no. NCCPL/CHC)/SSI/20 dated Febr
49	Fairway Securities (Pvt.) Limited - MY and MF	Restricted	20-540-20	19, 2000
50 51	Shajar Capital Pakistan (Pvt.) Limited - MT and MF Reliance Securities Limited	Restricted Suspended	20-Feb-20 14-Feb-20	Consequent to imposing the restriction by NCCPs as an MF Account Ho and MFI account window ride notice on MFPH APAPARATE details found fails Consequent to suspension of TRE Certificate of Participant by PSX vi
92	Bankhdansi Pakistas Limited	Terminated Burnard of Companion	14-540-20	voluntary Terminalise
51 54	Asee Securities (Private) Limbed Asee Securities (Private) Limbed	Removal of Suspension Suspended	10-Feb-20 10-Feb-20	Voluntary Termination Due to reviewed of supposition of Practicipant by NCCPL is notified vide in New York of Building to the Contract of Section 1. Consequent to the suspection of Practicipant by NCCPL as notified vide in NCCPL (ENGINE) Observed to NCCPL (ENGINE).
55	Ages Securities (Private) Limited	Natice is respect of Participant	7640-20	no. NCCH,/CRO/RR/20-steed February 10, 2000. Participant has complied with the Regulation 6.8.2 CDC Regulations.
56	AMCAP Securities (Private) Limited	Terminated	25-126-20	Consequent to the forfeiture of TRE Certificate of the Panicipant by PSX vide notice no. PSR/N-187 dated sanuary 81, 2000.
57	Luxor Securities (SMC-Private) Limited	Terminated	30-tar-30	PSX vide notice no. PSR/N=187 dated January 81, 2000. Voluntary Termintalion
58 59	Foundation Securities (Put.) Limited MCFSs-Truzzee Askari Islamic Asset Allocation Fund	Notice of Restriction & Concurs Terminated	27-136-30 25-136-30	Non-Compliance of CDC Regulations Voluntary Termintalion
60	K & I Global Capital (Pvt.) Limited	Coccure Letter Coccure Letter	56-130-30	Non-Compliance of CDC Regulations
e e	Amer Securities (Pvt.) Limited Ayub Chaudhary Investment (Pvt.) Limited	Notice of Restriction & Censure	19-140-30 20-140-30	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
64	Habib Metropolitan Firancial Services Limited Shajar Capital Polistan (Hivate) Limited	Coccure Letter Notice of Rectriction & Coccure	30-131-30 30-131-30	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
6	Q. Ain Khanani Securities (Private) Limited Oriental Securities (PAT) Limited	Notice of Restriction & Consum Consume Letter	9-tan-20 7-tan-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
er er	Sumawala Securities (Private) Limited	Cercure Letter Restricted	7-san-20 1-san-20	Non-Compliance of CDC Regulations Endury to cuboir Signatural Streament or Auditor Conflictors or security
	Raba Equities (Put) Ltd.	Restricted		Failure to cubmit Rissocial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation. Failure to cubmit Rissocial Statement or Auditor Certificate as require
40	KP Securities (Private) Limited	Recticted	1-ma-20	under Regulation 6.8.2. of the CDC Regulation.
70	M. F. Stocks (Hivate) Limited	Recticted	1-tan-20	Failure to submit Rinancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
71	Apert Securities Limited	Recolicted	1-tan-20	Failure to submit Financial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
72	Beaming Investment & Securities (Private) Limited	Restricted	1-tan-20	Failure to submit Rinancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
29	Reliance Securities Limited	Restricted	1-tan-20	Failure to submit Rinancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
24	RLC Securities Private Limited	Restricted	1-tan-20	
				Failure to submit Rinancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
%	Maha Securities (Pvt.) Limited	Restricted	1-tan-20	Failure to submit Financial Statement or Auditor Cettificate as require under Regulation 6.8.2. of the CDC Regulation.
×	F.M. Securities (Pvt.) ISS.	Recticted	1-tan-20	Failure to submit Rinancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
27	CMA Securities (Pvt) Limited	Restricted	1-tan-20	Failure to submit Financial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation.
76				under Regulation 6.8.2. of the CDC Regulation.
76	A. S. Securities (Private) Limited	Restricted	5-tan-20	
29	Cherab Stock Services (Private) Limited	Recolcoed Terminated	35-Oec-59	Failure to submit Rizancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation. Voluntary Termination
N N	A. S. Securities (Private) similard Cherala Stock Services (Private) Limited ASDA Securities (PAC) Limited - MF		1-320-20 30-0ec-20 20-0ec-20	Failure to submit Rizancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation. Voluntary Termination
29	Cherab Stock Services (Private) Limited	Recolcoed Terminated	35-Oec-59	Failure to submit Rizancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation. Voluntary Termination
20 81 82 88 88	Cherab Stock Services (Private) Limited	Restitional Terminated Removal of Reminister Terminated Consum Letter Consum Letter Consum Letter	20 Onc-19 20 Onc-19 15 Onc-19 10 Onc-19 5-Onc-18 5-Onc-18	Failure to submit Rizancial Statement or Auditor Certificate as require under Regulation 6.8.2. of the CDC Regulation. Voluntary Termination
29	Chesab Stock Services (Prisonts) Limited ASSA Securities (Pv.) Limited - NF Abid All Habits Securities (Pv.) series His Stock Countries (Pv.) series Brayani Securities (Pv.) Limited Abid Securities (Pv.) Limited Abid Securities (Pv.) Limited Abid Securities (Pv.) Limited	Recolcoed Terminated	35-Oec-59	Fabru to submit Security Scores on Audion Confidence in agricultural security of the Confidence of Confidence in agricultural security Regulation AS of the CONFIDENCE IN
79 80 81 82 88 84 85 86	Checula stock Service (Prikami) Limited AIDA Securities (Prikami) Limited - MF AIDA Securities (Prikami (Prikami Limited) Bildada Capatal Institute Maharendi Sanna Securities (Prika Limited Securities (Pri	Recitional Removal of Respondor Removaled Constructed	35 Onc-19 20 Onc-19 13 Onc-19 13 Onc-19 5 Onc-18 5 Onc-18 5 Onc-18 5 Onc-18 5 Onc-18 5 Onc-18	Falser is subset facular formers or a hard Configura is equivalent for the configuration of Co
20 81 82 88 88	Checal Stock Service (Pricing) Limited 800 Securities (Put_Limited - Mil	Restitional Terminated Removal of Reminister Terminated Consum Letter Consum Letter Consum Letter	20 Onc-19 20 Onc-19 15 Onc-19 10 Onc-19 5-Onc-18 5-Onc-18	Table 19 section financial transverse in south confirms in application from the product of the COST of the COST operation of the Cost of the COST operation of the Cost of the COST operation in NECFA is a left of Association of the Cost of the Cos
79 80 81 82 88 84 85 86	Chemical Bloods Sectionary Processing Section 44 ASSA Sections (P. P.C.) Limited - 56 ASSA SECTIONS (P. P. C.) Limited - 56 ASSA SEC	Recitional Removal of Respondor Removaled Constructed	35 Onc-19 20 Onc-19 13 Onc-19 13 Onc-19 5 Onc-18 5 Onc-18 5 Onc-18 5 Onc-18 5 Onc-18 5 Onc-18	states to the thread transport of their or officials in again, which begins the A office they again and begins and a first they again and the states of the A office they are not seen as the A office thread thr
79 80 81 82 88 84 85 86	Checal Stock Service (Pricing) Limited 800 Securities (Put_Limited - Mil	Recitional Removal of Respondor Removaled Constructed	15 Onc-19 20 Onc-19 15 Onc-19 15 Onc-19 15 Onc-19 15 Onc-19 15 Onc-18	Table 19 section financial transverse in south confirms in application from the product of the COST of the COST operation of the Cost of the COST operation of the Cost of the COST operation in NECFA is a left of Association of the Cost of the Cos
20 20 21 22 22 23 24 24 25 25 25 25 25 25 25 25 25 25 25 25 25	Asset Section (Planet Planet Limited And Section (Planet Planet Limited And Section (Planet Limited And Section (Planet Limited And Section (Planet Limited Limited Control Co	Methods Tennested Method of NeuroScient Method of NeuroScient General state	35 One-59 20 One-59 13 One-59 13 One-59 13 One-59 14 One-59 15 One-59 15 One-51	states when the state of terror and terror a
79 80 81 82 83 84 85 86 80 80 80 80 80 80 80 80 80 80 80 80 80	Association for the control co	Monitorial Monitorial of Association Monitorial of Association Monitorial of Monitorial General college	35 One-59 25 One-59 15 One	Makes when the third the second or factor of children and we see the opposite of the OF the Spanish and the OF the OF the Spanish and the OF
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79 80 81 82 82 83 84 85 86 80 90 90 91 92 93 94 95 95 95 95 95 95 95 95 95 95 95 95 95	Access the section of	Monitorial Security Control of C	\$5 Onc. 99 \$5 Onc. 99 \$5 Onc. 99 \$5 Onc. 99 \$5 Onc. 90 \$5 Onc	Materia has been described to the control of the co
29 80 81 82 83 84 85 85 85 85 85 85 85 85 85 85 85 85 85	Account Section Principle Control Cont	Memoral Memora	\$5 Open 59 35 Open 59 15 Ope	Mater with Statest Statest Statest and Statest
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79 80 81 82 83 84 85 85 85 85 85 85 85 85 85 85 85 85 85	Among the control of	Named States of the Control of the C	30 00 00 00 00 00 00 00 00 00 00 00 00 0	Material with the state of the
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30 80 81 81 82 82 82 82 82 82 82 82 82 82 82 82 82	Account for the control of the contr	Managed Memorated Terror of Managed Memorated Terror of Managed Memorated Me	10 No. 10 20 Oct	Material with the control of the con
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80 80 80 80 80 80 80 80 80 80 80 80 80 8	Annual Principal Control of Contr	Manufacture of Manufa	10 mm 10 m	Material was designed and section of the latest ordinates in sequence of the latest ordinates in sequence of the latest ordinates in latest ordina
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80 80 80 80 80 80 80 80 80 80 80 80 80 8	Account for the control of the contr	Nomice Nom	10 mm m 10 mm m m m m m m m m m m m m m m m m m	Material with the seal of the
8	Account for the control of the contr	Manufacture of Manufa	10 mm 10 m	Marie Waller (1997) and Control of the Control of t

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
129	Raba Equities (Put.) Limited	Recticted	Sirling Si	Consequent to switching off of trading terminals of Participant by Plin vide notice no. PSx(N-11)2 dated September 16, 2019.
130	We Financial Services Limited	Suspended	6-Sep-18	Consequent to suppression the license of of Participant by SECP side on street formerhay No. 1916 or Securities British
131	Monaniar Financial (Pvt.) Limited	Censure Letter	5-Sep-28	Non-Compliance of CDC Regulations
132	FOM Capital Securities (Pvt.) Limited	Notice of Restriction & Censure	5-Sep-19	Non-Compliance of CDC Regulations
133	Summit Capital (Pvt.) Limited	Notice of Rectriction & Censure	5-Sep-18	Non-Compliance of CDC Regulations
136	Tariq Sayeed Securities (Put) Limited	Terminated	6 Sep-18	Voluntary Termintaion
135	Addrag Advarf Securities (Private) Limited	Terminated	2 Sep-19	Voluntary Terminasion
136	Nayyar Sheikh Securities (Pvt.) Limited	Terminated	30-Aug-19	Voluntary Termintaion
137	Hoji Abdul Sattar Securities (Pvt.) Limited	Terminated	30-Aug-19	Voluntary Termintaion
138	M H Securities (Private) Limited	Terminated	27-Aug-19	Voluntary Termintaion
139	R.F.I. Equity (Hrivate) Limited	Terminated	21-Aug-19	Voluntary Termintaion
140	Zahit Latif Khan Securities (Pvt.) Limited	Censure Letter	9-Aug-19	Non-Compliance of CDC Regulations
141	Memor Securities (Pvt.) Limited	Censure Letter	9-Aug-19	Non-Compliance of CDC Regulations
142	Rafi Securities (Put.) Ltd	Censure Letter	9 Aug-19	Non-Compliance of CDC Regulations
148	Multiline Securities (Put) Limited	Censure Letter	31-34-19	Non-Compliance of CDC Regulations
144	Maan Securities (Private) Limited	Censure Letter	26-34-19	Non-Compliance of CDC Regulations
145	Shajagak Securities (Pvt) Limited	Censure Letter	26-34-19	Non-Compliance of CDC Regulations
146	Nayyar Sheikh Securities (Pvt) LSS.	Censure Letter	26-34-19	Non-Compliance of CDC Regulations
147	Khawaja Securities (Put.) Limited	Censure Letter	25-34-19	Non-Compliance of CDC Regulations
148	MK Securities (Pvt.) Limited	Removal of Restrictions	18-14-19	Consequent to restocation of trading terminals of Participant by PSX, as notified by PSX vide notice no. PSX/N+87% dated July 17,

S. No.				
	Name of Participant	Disciplinary Actions	Notice Date	Reason
149	MK Securities (Pvt.) Limited	Restricted	16-34-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-972 dated July 36, 2009.
150	Topline Securities Limited	Concure Letter	15-14-19	Mon-Compliance of CDC Regulations
151	Ata Ali Habib Securities (Pvt.) Limited	Concure Letter	15-34-19	Non-Compliance of CDC Regulations
152	Asse Securities (Private) Limited	Removal of Suspension	15-34-19	Non-compliance of CDC Regulations: Due to removal of suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/106/19 daned July 15, 2018.
				vide notice no. NCCPL/CRO/306/29 dated July 15, 2018.
158	KP Securities (Private) Limited	Restricted	15-34-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-Bil7 dated July 15, 2019.
154		Suspended	15-34-19	Consequent to the suspension of Profession In. M/VP
154	Azee Securities (Private) Limited	Suspended	15-34-19	Consequent to the suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRC/203/29 dated July 15, 2009.
155	Athlah Securities (PX.) simited	Notice of Rectriction & Centure Notice of Rectriction & Centure	9-14-19	Non-Compliance of CDC Regulations
156	Taurus Securities Limited	Notice of Restriction & Censure	9-02-29	Non-Compliance of CDC Regulations
197	Reliance Securities Limited	Removal of Restrictions	28-tur-29	Consequent to restoration of trading terminals of Participant by PSX, as notified by PSX vide natice no. PSR/N-803 dated June 28,
				201
158	Drawood Equities Limited	Suspended	26-141-29	Consequent to the suspension of Participant by NCCPs as notified vide notice no. NCCPs,/CRO/NO/19 dozed June 26, 2019.
159				the material surveyed of freely and a series
	Dawcod Equities Limited	Removal of Suspension	36-tun-19	Due to removal of outgendon of Participant by NCCPL as natified wife variou on MCCPs Interchibit about 100 his his Participant has complied with the Regulation 6.8.2 CDC
160	CMM Securities (Put.) Limited	Natice is respect of Participant	25-141-29	
161	Reliance Securities Limited	Resticted	28-141-28	Consequent to owitching off of trading terminals of Participant by Pick side notice no. Pict/h-745 dated June 18, 2018.
162	Diyanah Islamic Financial Services (Private) Limited	Terminated	11-140-29	Valuatory Terminasion
168	Standard Capital Securities (Put.) Limited	Notice of Rectriction & Concure	21-May-29	Non-Compliance of CDC Regulations
164	Din Capital Limited	Concure Letter Notice of Rectriction & Concure	21-May-19	Non-Compliance of CDC Regulations
166	Azee Securities (Private) Limited Nini Securities (Put.) Limited	Notice of Recticion & Centure	30 May 28	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
167	R.T. Securities (Pvt.) Limited	Notice of Rectriction & Censure	30-May-19	Non-Compliance of CDC Regulations
168	Khadim Ali Shah Bukhari Securities (Pvt.) Limited	Notice of Restriction & Censure	28-May-29	Non-Compliance of CDC Regulations
169	A. H. M. Securities (Pvt.) Limited	Notice of Rectriction & Censure	28-May-19	Non-Compliance of CDC Regulations
130	Omni Capital Management (Private) Limited	Terminated	23-May-28	Waluston Termination
				Consequent to restoration of trading terminals of Participant by PDX, as notified by PDX vide notice no. PSI(N-638 dated May 21,
171	First Capital Equities Limited	Removal of Restriction	21-May-19	PSX, as notified by PSX vide notice no. PSIQN-688 dated May 21,
	First Capital Equities Limited			2009. Consequent to switching off of trading terminals of Participant by
172	PAST Cagetan Equities Limited	Restricted	16-May-19	PSX vide natice no. PSX/N-622 dated May 16, 2018.
139	Azee Securities (Privatar) Limited	Suspended	16 May-28	Consequent to the suspension by NCCPs as notified vide notice on. MCCPs (PRO-SPA) about May 14, 1919.
131	Azee Securities (Private) Limited	Removal of Surgension	16-May-28	on MCG (FB/NGE/NG dood Mount A 2016) Consequent to removal of suspension by NCCPs as notified vide
176	Azee Securities (Private) Llimbed KSR Stack Brokerage (Pvt) Llimbed	Territorial	19.46-19	Consequent to removal of suspension by NCOPs as notified vide notice on NCOPs/ORO/N/NO dated May 54, 2019.
477		remOM	armiy 28	Valuationy Termination Consequent to the suspension by NCCPs as notified vide natice.
136	Azee Securities (Private) Limited	Suspended	9-May-19	Valuation y televisions by MCCPs as notified vide nation on MCCPs (MRNASSE) and May 09, 2019. Consequent to the outperson by MCCPs as notified vide nation on MCCPs (MRNASSE) and May 09, 2019. Consequent to removal of suspection by MCCPs as notified vide nation on MCCPs (MRNASSE) and May 09, 2019.
177	Azee Securities (Private) Limited	Removal of Surgention	9 May 19	 Lawcequert to removar or suspension by NCCPs as notified vide nation no. NCCPs / ORO / S7/59 dated May 36, 2019.
138	Spectrum Securities Limited	Notice of Rectriction & Censure	9-May-19	Non-Compriance of CDC Regulations
139	Beaming Investment & Securities (Private) Limited	Natice is respect of Participant	7 May Sk	Participant has compiled with the Regulation 6.8.2 CDC Regulations.
190	SAL Securities (PAI) Limited	Terminated	2 May 59	Valuatory Termination
181	Intermarket Securities Limited Royal Securities (Pvt.) Limited	Notice of Rectriction & Censure	30 Apr 29	Non-Compliance of CDC Regulations
182		Censure Letter	29-Apr-19	Non-Compliance of CDC Regulations
188	EFG Hermes Pakistan Limited	Notice of Rectriction & Concurs Terminated	29-Apr-29	Non-Compliance of CDC Regulations
	Caccin Investment (Private) Limbed	terminated	29-Apr-29	Valuetary Termintaion Due to non-compliances of 6.9.2. of CDC Regulations after
185	CMA Securities (Pvt) simbed	Suspended	9-Apr-19	completing 60 Business Davis of rectrictions.
186	Beaming Investment & Securities (Private) Limited	Suspended	36-66x-19	Due to non-compliances of 6.9.2. of CDC Regulations after completion 60 fluriouss those of completions
187	First Fidelity Leasing Modaraba	Suspended	26-661-19	consisting 60 Business David of restrictions bue to non-consistence of 6.9.2. of CCE Regulations wher consisting 60 Business David of restrictions bue to non-consistence of 6.9.2. of CCE Regulations wher consistence 60 Business Phase of remotivious
				Annual and Australia Para of Samorton
188	Millennium Securities & Investments (Private) Limited	Suspended	36-56x-19	Due to non-compliances of 6.9.2. of CDC Regulations after completing 60 Business Days of rectrictions.
100	Diyarah Islanic Financial Services (Private)	ttet	No. and only	Due to non-compliances of 6.8.2. of CDC Regulations after
189	Limited	Suspended	36-May 19	Due to non-compliances of 6.8.2. of CDC Regulations after completing 60 Business Days of restrictions.
190	Limited SMMC Investments (Hrivate) Etd.	Suspended	36-Mar-19	bue to non-compliances of 6.8.2 of CDC Regulations wher completing 60 that less thate of restrictions. bue to non-compliances of 6.8.2 of CDC Regulations wher completing 60 that less thate of restrictions.
	Limited	Suspended Suspended Suspended		Due to non-compliances of 6.8.2, of CDC Regulations after consistent 60 Business Date of restrictions. Due to non-compliances of 6.8.2 of CDC Regulations after consistent 60 Business Date of restrictions. Due to non-compliances of 6.8.2, of CDC Regulations after
190	Limited SMMC Investments (Hivate) Ltd. First Pakistan Securities Limited	Suspended	36-Mar-19	Due to non-compliance of 6.8.2. of CC Regulations after considering 6th Business Date of respections. Due to non-compliance of 6.8.2. of CCC Regulations after considering 6th Business Code of restriction. Due to non-compliance of 6.8.2. of CCC Regulations after considering 6th Business Code of restrictions. Mon-Compliance Code of CCR Regulations.
190 191 192 198	Limited SMMC Investments (Private) Iss. First Pakistan Securities Umited hautiline Securities (Put.) Limited Seven Star-Securities (Put.) Limited	Surpended Surpended Coccurs Letter Concurs Letter	36-May 19 36-May 19 22-May 19 22-May 19	consistent 60 haciness than of enrichtons. Due to no-compliance of 61 d.; of CCE Regulations after consistent 60 haciness than of restrictions. Due to non-compliance of 62 d.; of CCE Regulations after consistent 60 haciness day of restrictions. Non-Compliance of CCE Regulations Non-Compliance of CCE Regulations.
190	Limited SAMC towestments (Private) Ist. First Palistan Securities (Intelligence) Mattellies Securities (Proj.) Limited Securities (Proj.) Limited Securities (Proj.) Limited Vector Securities (Proj.) Limited	Suspended Suspended	26-Mar-19 26-Mar-19	considerine 60 Business (base of evolutions.) Due to non-compliances of 6.82. of 500 Regulations after considerine 60 Business (base of evolutions). Due to non-compliances of 6.82. of 500 Regulations after considerine 60 Business of due of evolutions. Man-Compliance of 500 Regulations
190 191 192 198	Listinad SMAC Investments (Private) Ist. PART Philiston Securities United Machilles Securities (Pr.) Limited Green Star Securities (Pr.) Limited Serves Star Securities (Pr.) Limited Wester Securities (Pr.) Limited Machineral Antilla Wester Securities (Pr.)	Surpended Surpended Coccurs Letter Concurs Letter	36-May 19 36-May 19 22-May 19 22-May 19	consistent 60 haciness than of enrichtons. Due to no-compliance of 61 d.; of CCE Regulations after consistent 60 haciness than of restrictions. Due to non-compliance of 62 d.; of CCE Regulations after consistent 60 haciness day of restrictions. Non-Compliance of CCE Regulations Non-Compliance of CCE Regulations.
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190 195 192 199 196 196 196	District MoMC Investments (Prisure) (15.5. Past Palician Securious similard Modifies Securious (Invited Modifies Securious Modifies (Invited Mod	Supposited Supposited Conserva center	26-68x-16 26-68x-16 22-68x-16 22-68x-16 22-68x-16 22-68x-16 22-68x-16 22-68x-16 22-68x-16 22-68x-16	connected th horizon than of annotation. But this compliance of 6.3. of 6 the hypothesis shall considered the horizon than of marcheological contents of the horizon than of marcheological contents of the horizon than of marcheological contents of the hypothesis of 60°C kingdinson the Compliance of 60°C kingdinson the Compliance of 60°C kingdinson than Compliance of 60°C kingdinson than Compliance of 60°C kingdinson than of 60°C kingd
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		Under Regulation 14.6.1 of th		
S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason Consequent to remonation of trading terminals of Participant by PIX with immediate effect as notified by PIX vide article no. PIX has been a transact by 1988 40.
238		Removal of Restriction Notice of Restriction & Censure		PSX with immediate effect as notified by PSX vide notice no. 858 No.16 stand tomato 19 Mets
240	Adf Habib Limited K.H.S. Securities (Pvt.) Limited	Record of Restriction	22-120-29 21-120-29	Non-Compliance of CDC Regulations Consequent to restocation of trading terminals of Participant by PSC with immediate effect as notified by PSC vide notice no. 95% Multi-depend training by 1948.
241	E.H.S. Securities (PAL) Limited Fair Deal Securities (Private) Limited	Terminated	25-120-29	PSI with immediate effect as notified by PSI vide notice no. BSI No.6x dened income by little Voluntary Termintaion
				Consequent to owitching-off of the trading terminals of Participan
242	HMC Stocks (Misute) Limited	Restricted	36-tan-19	Consequent to owitching-off of the trading terminals of Participan as notified by PSx vide nation no PSt(N=44 dated January 36, 2018.
248		Restricted	26-120-29	Consequent to cwitching-off of the trading terminals of Participan as notified by PRX vide notice no. PSX/IN-64 dated January Sii, 2018.
248	KHS Securities (PAL) Limited	Recticied	39-130-29	ac notified by PSX vide notice no. PSX/N=64 dated zanuary 16, 2018.
266	CMM Securities (PAL) Limited	Restricted	25-120-29	Failure to cubmit Financial Statement or Auditor Certificate as consists under Resolution 6.8.2 of the CDT Resolution
245 286	KSR Stack Brokerage (Pvt) Ltd. Fair Deal Securities (Pvt) Ltd.	Removal of Restriction Restricted	25-120-29 1-120-29	Participant has compiled with the Regulation 6.9.2 Failure to submit Financial Statement or Auditor Certificate as
247	Braming Investment & Securities (Private) Limited	Restricted	1-341-19	required under Required on 5.8.2 of the CDC Required on Failure to cubmit Financial Statement or Auditor Certificate as required under Required on 6.8.2 of the CDC Required on
248	Maha Securities (Pvt.) Limited	Restricted	1-101-19	required under Regulation 6.8.2 of the CDC Regulation. Failure to cubmit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
249	Invest Capital Markets Limited	Restricted	5-lan-19	required under Resolution 6.8.2 of the CDC Resolution. Failure to submit Financial Statement or Auditor Certificate as required under Resolution 6.8.2 of the CDC Resolution.
290	SMAC Investments (Private) Ltd.	Restricted	1-tan-19	required under Regulation 6.8 E of the CEC Regulation. Failure to submit Financial Statement or Auditor Cestificate as consulant under Regulation 6.8 E of the FFF Beautivinor. Failure to submit Financial Statement or Auditor Cestificate as
251	KSR Stack Brokerage (Pvt) Ltd.	Restricted	1-310-19	
292	First Poliston Securities Limited Disposit Islamic Financial Services (Private)	Restricted	1-120-19	Failure to cubmit Financial Statement or Auditor Certificate ac required under Regulation 6.8.2.of the CDC Regulation.
253	Limited	Restricted	5-lan-19	required under Requisition 6.8.2 of the CDC Requirelos. Failure to cubmit Financial Statement or Auditor Certificate as required under Requisition 6.8.2 of the CDC Requirelos.
264	Millennium Securities & Investments (Private) Limited	Restricted	1-140-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
295	First Fidelity seasing Modaroba	Restricted	1-381-19	Failure to cubmit Financial Statement or Auditor Certificate as consists under Resolution 6.8.3 of the CDC Resolution
296	Sigma Leaking Corporation Limited	Surgended	85-Dec-18	failure to settle the CDC outstanding dues.
267	South Asian Securities (Minate) Limited	Removal of Suspension	25-Dec-18	Consequent to cwitching-dff of the trading terminals by PSX with immediate effect vide nation on. PSX(N=6554 dated December 3s, 2018.
				2018
298	total usman kodvavi Securities (Art.) simited	Cercure Letter	20-Dec-18	Non-Compliance of CDC Regulations
299	RLC Securities (Private) simbed	Removal of Restriction	18-Dec-18	Pix with immediate effect as notified by Pix vide notice no.
260	GM Capital Securities (Put.) Limited	Cercure Letter	18-Dec-18	Non-Compliance of CDC Regulations Consequent to restocation of trading sensions of Participant by FSK with immediate effect as conflict by FSK via notice no. FSK with immediate effect of conflict by FSK via notice no. FSK via notice of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
262	Oriental Securities (Put.) Limited Apex Capital Securities (Put) Limited	Cercure Letter Cercure Letter	18-Dec-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
262	Time Securities (Nrt.) simited	Consume Letter	18-Dec-18	Non-Compliance of CDC Regulations
265	IS Bank Limited Guines Securities Philippel Limited	Concure Letter Removal of CDC Notice datedDecember DI, 2018	18-Dec-18 18-Dec-18	Non-Compliance of CDC Regulations Participant has compiled with Regulation 6.8.1 of the CDC
266	MRA Securities climited	Notice of Rectriction & Census	30 Nov-18	Regulations, Non-Compliance of CDC Regulations
267	Creative Capital Securities (Put.) Limited It & It Securities (Put.) Limited	Cercure Letter Cercure Letter	20 Nov 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
269	Akhai Securities (Pvt.) Limited	Cercure Letter	30 Nov 58	Non-Compliance of CDC Regulations
270	Sumawala Seculties (Pvt.) simited	Cercure Letter	30-Nov-18	Non-Compliance of CDC Regulations Consequent to service of consequence by MCVIII as conflict side.
271	Tariq Sayeed Securities (Private) Limited	Removal of Surgension	29 Nov-18	Consequent to removal of curpension by NCCPs as notified vide natice on. NCCPs/CR0/212/128 stand November 18, 2018 and extraordin of trading terminal by PEX as conflied vide nation on. PSQN=6419 stand November 16, 2018.
				PSQN-6419 dated November 16, 2018.
272	KBI Global Cagital (Pvt.) Limited Inhantalib Securities (Pvt.) Limited	Consume Letter Terminated	23 Nov 18 23 Nov 18	Non-Compliance of CDC Regulations Valuationy Termination
234	Bhayani Securities (Pvt) Ltd.	Notice of Rectriction & Concurs Notice of Rectriction & Concurs	22 Nov-18	Non-Compliance of CDC Regulations
276	Nael Capital (Pvt) Limited Tis Securities (Pvt) Ltd.	Notice of Imposition of Penalty	22 Nov-18 22 Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
277	Dawood Equities Limited	Notice of Restriction & Censure	22 Nov 18	Non-Compliance of CDC Regulations
278	Tis Securities (Pvt) Ltd.	Imposition of Penalty	22-Nov-18	Penalty imposed on non-complianors highlighted in Inspection of Participant Record
279	Khayyam Securities (Private) Limited	Terminated	16-Nov-18	Voluntary Termination
290	AM Chaudhary Securities (Private) Limited	Removal of Suspension	16-Nov-18	Consequent to restantion of trading terminals by PKI vide notice no. PROVIN-6418 dated tocomber 16, 2018. Consequent to cwitching-off of the trading terminals by PKI vide notice no. PKI/No-6418 dated November 16, 2018.
291	RLC Securities (Private) similard	Restricted	16-Nov-18	Consequent to cuitching-off of the trading terminals by PKI vide notice no. PKX/N-641R dated November 16, 201R.
292	Moneyline Securities (Pvt.) Limited Gul Dhami Securities (Pvt.) Limited	Cercure Letter Cercure Letter	15 Nov-18 15 Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
296	CMM Securities (Pvt.) Limited Mayeri Securities (Pvt.) Limited	Censure Letter	15-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
285 296	Mayori Securities (Private) Limited A sin Physical Securities (Briums) Limited	Concure Letter Concure Letter	12 Nov-18 12 Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
297	Friendly Securities (PAT) 135.	Concure Letter	9-Nov-18	Non-Compliance of CDC Regulations
288 289	Shaffi Securities (Pvt.) Limited CRSSim Investments (Pvt.) Limited	Cercure Letter Cercure Letter	9-Nov-18 9-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
290	Khawaja Securities (Put.) Limbed	Centure Letter	9-Nov-18	Non-Compliance of CDC Regulations Consequent to switching-off of the trading terminals by PSX vide
261	F.M. Securities (Private) simited	Restricted	26-000-18	
292	Apert Securities Limited	Removal of Restriction	25-011-18	Consequent to restoration of trading terminals of Participant by PSE with effect from October 25, 2018 as notified by PSE vide notice no. PSE/N-S2R1 dated October 26, 2018.
299	Kir Investment Bank Limited	Terminated	22-Oct-18	Voluntary Terminasion
296	Salim Scoer Securities (Put.) Limited	Suspended Removal of Suspension	17-00-18	on MCFF PROTEST 4 and Crober 17, 2018. Consequent to removal of suspension by MCFF, as notified vide notice on MCFF PORTS 18, 10, 10, 10, 10, 10, 10, 10, 10, 10, 10
296	Salim Scoer Securities (Put.) similed	Renoval of Surgension Restricted	17-001-18 16-001-18	notice on MCCPLEND/198/198 dated October 17, 2018.
	A.S. Securities (P.K.) Limited			contracts about the design of the contract of Participant by
267	CAMCO (Pit) Limited	Removal of Restriction	12-011-18	Consequent to celebring-diff of the trading terminals by PSX vide notice on a blocks offer streading forminals. Surely Consequent to respond on of source president of Participant by PSX as notified by PSX vide notice on PSX/W-6007 dated October 51 Minus.
298 299	Fairtrade Capital Securities (Pvt.) Limited Lines Securities (Pvt) Limited	Notice of Rectriction & Centure Notice of Rectriction & Centure	9-0:0-18 9-0:0-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
300	Optimus Capital Management (Private) Limited	Notice of Restriction & Censure	900-18	Non-Compliance of CDC Regulations
305	EFG Hermer, Pakistan Limited	Notice of Restriction & Censure Notice of Restriction & Censure	900-18	Non-Compliance of CDC Regulations
202 208	FORM Capital Securities (Pvt) Limited Cassim Investments (Private) Limited	Notice of Rectriction & Centure Notice of Rectriction & Centure	9-0:0-18 9-0:0-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
304	Market 786 (Hivate) Limited	Notice of Rectriction & Centure	90018	Non-Compliance of CDC Regulations Companion to the companion by MCCR; as confidentials out in
305	First Capital Equities Limited	Suspended Removal of Suspension	9-0:0-18	Consequent to the suspension by NCCPL as notified vide natice on NCCPL Plant of the American Street Consequent to removal of suspension by NCCPL or notified vide.
306	First Capital Equities Limited		900-18	Consequent to restocation of trading terminals of Provinces Inc.
307	BNA Securities (PAI) Limited	Removal of Restriction	9-0:0-18	Consequent to restocation of rading berminals of Participant by PSX as notified by PSX vide notice no. PSX/N=9917 dated October 99.2 2018.
208	Summit Bank Limited - Summit Custodial Services	Concure Letter	5-0:0-18	Non-Compliance of CDC Regulations
209 233	MIGH Securities (Private) Limited Fair Deal Securities (Put) Ltd.	Cercure Letter Cercure Letter	300:18 300:18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
811	Riss Ahmed Securities (PAI) 135.	Concure Letter	20018	Non-Compliance of CDC Regulations
812 818	Auf Latif Securities (Pvt) Ltd. Frust Securities & Brokerage Limited	Cercure Letter Cercure Letter	3-0m-18 3-0m-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
334	ASDA Securities (Act.) Ltd.			Non-Compliance of CDC Regulations
	Solid and the found	Notice of Rectriction & Concurs Notice of Rectriction & Concurs	20018 8.4	Non-Security Control
215	Zahid Latif Khan Securities (Put.) Limited	Notice of Rectriction & Centure	2-Sep-18	Non-Compliance of CDC Regulations
215 216	Zahid Ladf Khas Securities (Put.) Limited CMM. Securities (Put.) Limited	Notice of Rectriction & Centure Rectricted	Shipp Sk	New Compliance of CDC Regulations Consequent to owinthing-off of the trading terminals of Participar as notified by PSI vide notice no. PSI(N-ESI dated September 18, 2018.
	Zahid Latif Khan Securities (Put.) Limited	Notice of Rectriction & Centure		Non-Compliance of CDC Regulations Consequent to cwarting off of the sading resistance of Proficipal as notified by PRI vote notice on PSQNV-8151 stand Registerates 18, 2018. Consequent to cwarting off of the rading serminal of Principal as notified by PRI view notice on PSQNV-8151 stand Registerate 18, 2018.
336	Zahid Ltdf Khan Securities (Pvt.) Limited CMM Securities (Pvt.) Limited	Notice of Rectriction & Centure Rectricted	Shipp Sk	Non-Compliance of CDC Regulations Consequent to working off of the studie premisels of Participan as confined by PEX wisk autors on PSIPS-ISE Lated September 18, 2018. Consequent to working off the studing sensitives of Participan as confined by PEX view autors on PSIPS-ISE Lated September 18, 2018.
316	Zahid Lardi Rhas Recurtises (Put.) Limited CA66, Securitises (Put.) Limited SAMC Investments (Philase) Limited	Notice of Recolocion & Census Recolocad Recolocad	20-Sep-18	ton Compliance of CDC Impulsions Consequent to existing off of the today beninsk of Participal to confined by Pirk observations, and other laboration of the Consequent to existing off of the Tabley (No. 1984). A 2018. Consequent to existing off of the Tabley (No. 1984) as confined by Pirk observations and the Tabley (No. 1984). A 2018. It is notified by Pirk observation of the Tabley (No. 1984). A 2018.
236 217 238 239	Dahl Land Haar Sourinse (Mr.) Limited CMM Socurise (Mr.) Limited SMMC Investments (Mr.) Limited CMMCO (Mr.) Limited Ages Capital Socurise (Mr.) Limited	Notice of Recording & Concern Recording Recording Recording Recording Record of Recording	20-Sep-18 20-Sep-18 20-Sep-18	ton Compliance of CDC Impulsions Consequent to existing off of the today beninsk of Participal to confined by Pirk observations, and other laboration of the Consequent to existing off of the Tabley (No. 1984). A 2018. Consequent to existing off of the Tabley (No. 1984) as confined by Pirk observations and the Tabley (No. 1984). A 2018. It is notified by Pirk observation of the Tabley (No. 1984). A 2018.
236 217 238 239	CAME CAPITAL SECURITION DAYS (Limited) CAM SECURITIES (PAT Limited) MACK DIMENTERS (PAT Limited) CAMECO (PAT Limited) CAMECO (PAT Limited) Agen Capital Securities (Private) Limited MED Capital Securities (Private) Limited	Notice of Recording & Conque Rectificad Rectificad Rectificad Rectificad Record of Recording Record of Recording	29-Sep-18 29-Sep-18 29-Sep-18 29-Sep-18 29-Sep-18	ton Compliance of CDC Impulsions Consequent to existing off of the today beninsk of Participal to confined by Pirk observations, and other laboration of the Consequent to existing off of the Tabley (No. 1984). A 2018. Consequent to existing off of the Tabley (No. 1984) as confined by Pirk observations and the Tabley (No. 1984). A 2018. It is notified by Pirk observation of the Tabley (No. 1984). A 2018.
315 317 318 329 330	Dated of their Securious (Inc.) (seemed Code Securious (Inc.) (seemed Securious (Inc.) (seemed Securious (Inc.) (seemed Securious (Inc.) (seemed CodeCo (Inc.) (seemed CodeCo) (seemed CodeCo (Inc.) (Notice of Recording & Concern Recording Recording Recording Recording Record of Recording	20-loop-18 20-loop-18 20-loop-18 20-loop-18 20-loop-18 20-loop-18	was congrant or differ species of the stage mount of the species of the stage mount of the species as a state of the stage mount of the species as a state of the stage mount of the species as a state of the stage mount of the species as a state of the stage mount of the species as a state of the stage mount of the species as a state of the species as a s
315 317 318 319 320 321 322	And of the Security Private Control of the Security Private Co	Mean of Homeston & Content Resistand	20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18	Amenication of GET Agency and Amenic
315 317 318 329 330	Chief of the Security Private Visions Anno Security Private Visions Anno Security Private Visions CARRO SPAT, Limited CARRO SPAT, Limited Anno Capital Security Private Visions Anno Capital Security Private V	Mean of Secretary Residual	20-loop-14 20-loop-14 20-loop-14 20-loop-14 20-loop-14 20-loop-14 20-loop-14 20-loop-14 20-loop-14	An exception of GEV department
312 313 313 313 313 313 313 313 313 313	And of the Security Private Control of the Security Private Co	Mean of Homeston & Content Resistand	20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18 20-Sep-18	Amenication of GET Agency and Amenic

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
326 327	Next Capital Limited	Notice of Rectriction & Censure Natice of Rectriction & Penalty	18-Sep-18 18-Sep-18	Non-Compliance of CDC Regulations
328 329	WE Financial Services Limited Elkir Securities Pakistan (Put.) Limited	Natice of Restriction & Penalty Natice of Restriction & Penalty	18-Sep-18 18-Sep-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
230 231	First Capital Equities Limited Darson Securities (Pvs.) Limited	Notice of Rectriction & Concurs Natice of Rectriction & Penalty	18-Sep-18 18-Sep-18	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
332	Invest Forum (Privace) Limited Progressive Investment Management (Pvt.) Ltd	Terminated Restricted	17-Sep-18	Voluntary Termintarion
234	AMCAP Securities (Private) Limited	Further revised Restriction	18-Sep-18	Due to contravention of section 24 or CD Act 1997 read with Beautifuline 6-27 of the 679° Septiations Due to the Prohibitory Order by School Order dated
235	First National Equities Limited	Notice of Rectriction & Centure	12-Sep-18	Nan-Compliance of CDC Regulations
336	AMCAP Securities (Private) Limited	Revised Notice of Restriction	10 Sep 18	Consequent to suspension of the trading terminals of Participant by PSX vide notice no. PSX/N-5344 dated September 30, 2018.
337	Fairtrade Capital Securities (Private) Limited	Removal of Restriction	30 Aug-18	Consequent to removal of restriction by PIX as notified by PIX wide notice no. PIX N-1985 dated August 80, 2018 for opening of new clients account.
226	Saima Qaixer Securities (Private) Limited	Removal of Restriction	20-Aug-18	Consequent to restoration of trading terminals by PSX as notified by PSX vide notice no. PSX,N=6892 dated August 20, 2018.
229	Saima Qaixer Securities (Private) Limited	Restricted	17-Aug-18	Consequent to cuitching-off of the trading terminals by PSX vide nervo on a status than dend autom 16. html:
340	Venus Securities (Private) Limited	Removal of suspension	15-Aug-18	Consequent to restauration of studing terminals by PSE as notified by PSE vide notice no. PSE/bi-122 dated August 12, 2018 and in view of letter No. 2(8)(6)(5)(5)(1) it does divey of, 2018 of the SECP.
241	Pearl Securities Limited Summit Capital (Put.) Limited - Libr	Notice of Restriction & Census Notice of Restriction & Census	\$Aug-18 \$Aug-19	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
343	Topline Securities Limited	Notice of Rectriction & Centure Notice of Rectriction & Centure	8 Aug-18 8 Aug-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
345	HMC Stocks (SMC-Put.) Limited	Notice of Rectriction & Penalty	8-Aug-18	Non-Compliance of CDC Regulations
366	Issed Zakaria Gulabi Securities (Pvt.) Limited	Terminated	1-Aug-18	Valuetary Terminasion Consequent to removal of restriction by PIX as notified by PIX
347	Value Stock and Commodities (Private) Limited	Removal of Restriction	1-Aug-18	Consequent to removal of restriction by PSX as notified by PSX vide notice no. PSX/N-4582 dated August 05, 2018 for opening of new clients account.
348	Onni Capital Management (Private) Limited	Removal of Suspension	26-34-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N=6884 dated July 24, 2018
349	Ahsam Securities (Pvt.) Limited	Removal of Suspension	26-34-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N=EXH dated July 24, 2018
350	R.F.L. Equity (Misote) Limited	Removal of Suspension	26-tul-18	Consequent to restoration of studing serminals by PSX vide notice no. PSX/N=688 dated July 26, 2018
351	HK Securities (Pvt.) similard	Terminated	20-14-18	no. PSX/N=6888 dused July 24, 2018 Voluntary Termintarion
362	SMAC Investments (Hivate) Limited	Removal of Suspension	19-14-18	Consequent to restoration of studing serminals by PSX vide notice no.PSX/N=4268 stated July SK, 2018
252	Interactive Securities (Private) Limited	Removal of Surgension	17-14-18	Consequent to restriction of toding terminals by PSX vide notice no. PSX/N=8225 stored key 18, 2018
254	Interactive Securities (Private) Limited First Dawood Investment Bank Limited	Terminated	19-10-18	eo. PSX/N=225 dated July 13, 2018 Voluntary Termintarion
355 356	Darson Securities (Private) Limited Darson Securities (Put) Limited - LSI	Terminated Censure Letter	9-14-18 6-14-18	Voluntary Termination Non-Compliance of CDC Regulations
257 257	Danson Securities (Put) Limited - LSI Laved ligital Securities (Put.) Limited	Notice of Rectriction & Centure	5-M-18	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
359 359	Fair Edge Securities (Put.) Limited General Invest. & Securities (Put.) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	5-04-18 5-04-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
360 361	Monaniar Financial (Put.) Limited Safe Securities (Private) Limited	Notice of Rectriction & Censure Terminated	5-16-18 4-16-18	Non-Compliance of CDC Regulations Valuatory Termintalian
362	ASA Stocks (Private) Limited	Removal of Restriction	29-147-58	Consequent to restoration of studing terminals by PSR as notified vide notice no. PSQN=8846-dated June 29, 2018.
363	Sakanusia Capital Securities (Pvt) Ltd.	Consume Letter	27-140-18	Non-Compliance of CDC Regulations
364	High Land Securities (Pvt.) Limited 128 Securities (Pvt.) Limited	Centure Letter Centure Letter	27-1an-18 27-1an-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
366	KP Securities (Private) Limited	Removal of Restriction	27-tun-18	Consequent to restoration of trading terminals by PSII as notified vide notice no. PSI/N-3897 dated June 27, 2018.
367	Fairtrade Capital Securities (Private) Limited	Restricted	25-1at-18	Consequent to switching-off the trading terminals: by PSX vide notice no. PSQN=1828 dated June 22, 2018 in respect of the
268	R.Y. Securities (Put) simited - LSI	Censure Letter	25-140-18	noncine of new Sub-Account Non-Compliance of CDC Regulations
209	Sulmo Securides (Private) Limited Summit Capital (Private) Limited	Cercure Letter Cercure Letter	25-140-18 25-140-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
371	KP Securities (Private) Limited	Resticted	20-tun-18	Consequent to cwitching-off the trading terminals: by PKX as conflied vide notice no. PER/N-2789 dated June 20. 2008.
372	ASA Stocks (Private) simited	Restricted	20 tun-18	
379	AMCAP Securities (Private) Limited	Modification in Restrication	29-140-28	continues as formating with ordering resembles of PAS observed and PAS obs
331	AMCAP Securities (Private) Limited	Suspended	29-140-28	Consequent to the corperation by MCCPL as notified vide natice
375	Adeel Zafar Securities (Private) Limited	Terminated	1-349-18	Valuntary Termintaion
377	First Zeiva (Pévate) Limited	Notice of Rectriction & Consule Terminated	93-Mby-18 29-Mby-18	Non-Compliance of CDC Regulations Voluntary Termintarion
379	DOM Securities (Private) similard Free! Brokerage Private Limited	Censure Letter Censure Letter	28-May-18 28-May-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
280 281	Falki Capital (Private) Limited Continental Capital Management (PM) Ltd	Cercure Letter Cercure Letter	28-May-18 28-May-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
102	Rahat Securities Limited Biomillah Securities (Put) Ltd.	Censure Letter Censure Letter	28 May 18 28 May 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
285	Yacir Mahmood Securities (Put) Ltd.	Cercure Letter	28-May-18 16-May-18	Non-Compliance of CDC Regulations Consequent to switching off the trading terminals: by PSX vide
26	Fair Deal Securities (Private) Limited Standard Capital Securities Put Ltd.	Notice of Rectriction & Censure	7-May-18	notice no. PSONs 2562 dated May 16. 2018. Non-Compliance of CDC Regulations
367 368	Patel Securities (Pvt.) Limited Patha Securities (Pvt.) Limited	Notice of Rectriction & Cercure Notice of Restriction	7 May Sk 7 May Sk	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
200	Hanza Farhad Securities (Pvt.) Limited Spirzer Equities (Pvt.) Limited	Concure Letter	7 May Sil	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
395	ISK Securities Limited	Cercure Letter	7 May 18	Non-Compliance of CDC Regulations
292	Purgati Capital Securities (Pvt.) Limited Progressive Investment Management (Pvt.) and	Cercure Letter	7 May 18 7 May 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
364	ligital Usman Radiusvi Securities (Pvt.) Limited	Cercure Letter	26-Apr-18	Non-Compliance of CDC Regulations
296 296	Docutaris Securities (Pvt) Limited Seven Star Securities (Pvt.) Limited	Censure Letter Censure Letter	25-Apr-18 25-Apr-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
297	First National Equities Limited Face Investment & Securities (Private) Limited	Consume Letter Terminated	25-Apr-18 16-Apr-18	Non-Compliance of CDC Regulations Valuetarily termination
299	Foture Securities Limited	Notice of Rectriction & Centure Centure Letter	18-Apr-18 18-Apr-18	Viduritarily termination Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
600 605	Foture Securities Limited Mannao Cagissi (Pvt.) Limited Askari Securities Limited	Censure Letter Censure Letter	18-Apr-18 9-Apr-18	Non-Compliance of CDC Regulations
602 608	Abbasi Securities (Pvt.) Limited S.D. Micro Securities (Pvt.) Limited	Concure Letter Notice of Rectriction & Concure	6-Apr-18 80-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
606 606	Brains Securities (Pvt.) Limited	Concure Letter Notice of Restriction & Concure	29-Mar-18 29-Mar-18	Non-Compliance of CDC Regulations
406	Adrel & Nadeen Securities (Pvt.) Limited Amer Securities (Pvt.) Limited	Notice of Rectriction & Concurs	29-50-58	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
607 608	MND Investment (Pvt.) Limited Fair Deal Securities (Pvt.) Limited	Notice of Rectriction & Concurs Concurs Letter	29 Mar 18 28 Mar 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
609 630	RP Securities (Pvt.) Limited AFHaq Securities (Pvt.) Limited	Censure Letter Notice of Restriction & Censure	28-Mar-18 27-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
411 412	Neis Global Limited All Husbin Raiabali Limited	Notice of Rectriction & Centure Terminated	27-Mar-18 22-Mar-18	Non-Compliance of CDC Regulations Valuation Termintains
418	At Hucun Equals Limited Attam Securities Limited Progressive Securities (Prt.) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	21-660-18 21-660-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
415	Rahat Securities Limited	Notice of Rectriction & Censure	21-Mar-18	Non-Compliance of CDC Regulations
417 417	Equity Master Securities (Pvt.) Limited Isinshaid & Hacan Securities (Pvt.) Limited	Consume Letter Notice of Restriction & Consume	21-66x-18 21-66x-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
418 429	Shaffi Securities (Private) Limited	Restricted	29-Mar-18 29-Mar-18	Due to switching-off the trading terminals by PKK vide notice no PKKNs 1786 dated March St. 2018. Due to switching-off the trading terminals by PKK vide notice no
	Maha Securities (Pvt.) Limited			PSXN-1797 doord March 16, 2018.
430	Shaffi Securities (Private) Limited	Removal of Restriction	29-660-28	Consequent to restoration of studing terminals by PSX vide notice no. PSX(h-1802 dated March 18, 2018. Participant has caused to be Trading Right Entidement Confliction
421 422	Naveed H. M. Idrees (Private) Limited Athliah Securities (Put.) Limited	Terminated Notice of Restriction & Censure	12-Mar-18 9-Mar-18	Participant has caused to be Trading Right Entidement Certificate holder as communicated by PSX vide notice no. PSX/N=1566 dated Maxch 66, 2018. Non-Compliance of CDC Regulations
429	Saya Securities (Pvt.) Limited	Notice of Restriction & Censure	9 Mar SE	Nan-Compliance of CDC Regulations Consequent to the restriction on opening of new clients account by PDX as notified vide notice on PDQNs 1671 dated March 09, 3918
434	F.M. Securities (Private) Limited 128 Securities (P.K.) Limited	Restricted Nation of Barrietins & Barriet	9-Mar-18	
436	Doculari Securides (PVL) Ltd	Natice of Recriction & Penalty Natice of Recriction & Penalty	8 Mar 18 8 Mar 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
427 428	Tomail Igbal Securities (Pvt.) Limited Ata Ali Habib Securities (Pvt.) Limited	Notice of Rectriction & Censure Notice of Rectriction & Censure	2-Mar-18 2-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
429 430	Summit Capital (Pvt.) Limited Ala Ali Habib Securities (Pvt.) Limited	Notice of Rectriction & Centure Centure Letter	2-Mar-18 1-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
dis	128 Securities (Put.) Limited	Restricted	1-Mar-18	Consequent to the restriction on opening of new clients account by PSX as notified vide notice no. PSX/N-1562 dated March 01, Note:
432 433	Royal Securities (Pvt) Limited Misdom Securities (Private) Limited	Cercure Letter Terminated	20-640-18 22-640-18	Non-Compliance of CDC Regulations Voluntary Termintains
434	Ali Hucain Rejabali Limbed Sitani Osman Securities (Pvt.) Limbed	Censure Letter Notice of Restriction & Censure	21-945-18 21-945-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
		Canada Latter	20-940-18	Nan-Compliance of CDC Regulations
436	HH Michah Securities (Put.) Ibd Bawa Securities (Put.) Limited	Notice of Rectriction & Concurs	20-Feb-18	Non-Compliance of CDC Regulations

S. No.			e CDC Regulations	
	Name of Participant	Disciplinary Actions	Notice Date	Reason
661	Fortune Securities Limited - LSI Alfalah Securities (Private) Limited	Censure Letter Censure Letter	19-Feb-18 19-Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
662	M.M. Securities (Pvt.) Limited MISM Securities (Pvt.) Limited	Ceccure Letter Notice of Rectriction & Ceccure	29-540-18 25-540-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
444	New Prak Securities (Pvt.) Limited	Centure Letter	15-540-18	Non-Compliance of CDC Regulations
665	Infinite Securities Limited M.A.N Securities (Pvt.) Limited	Concure Letter Terminated	15-Feb-18 16-Feb-18	Non-Compliance of CDC Regulations Voluntary Termintaion
667	High Land Securities (Pvt.) Limited	Notice of Rectriction & Censure	8 Feb-18	Non-Compliance of CDC Regulations
469	K.H.S Securities (Pvt.) Limited Zafar Mod Capital Securies (Pvt.) Limited	Consure Letter Notice of Rectriction & Consure	8 Feb-18 8 Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
450	BlackStone Equities (Misate) similed	Terminated Natice of Restriction & Penalty	26-110-18 25-110-18	Valuatory Termination
452	Alfa Adhi Securities (Pvt.) Limited H. P. Byramji & Co. (Pvt.) Limited	Notice of Rectriction & Penalty Notice of Rectriction & Penalty	20-100-18 20-100-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Participant has complied with Regulation 6.8.2 of the CDC
459	XPERT Securities (Private) Limited	Removal of Restriction	29-130-28	Regulations.
454	Millennium Securities & Investments (Private) Limited	Restricted	1-tan-18	Consequent to suspension of the trading terminals: by PSX vide notice no. PSX/N-005 dated January 01, 2018.
455	Pearl Brokerage (Private) Limited	Removal of Restriction	1-20-18	Consequent to rectoration of trading terminals by PSX w.e.f.
456		MINIST OF PROPERTY.		Consequent to restoration of trading terminals by PSX w.s.f. zanuary 05, 2018, as notified by PSX vide notice no PSQ/N-29 other diseases vid. 2018. Voluntarily termination and surrender of TREC as communicate.
452	Bright investment and Securities (Pvt.) similed	Terminated Rectificted	28-Dec-17 26-Dec-17	har 90's vide notice on 90's to 1900 at 180
458	Pearl Brokerage (Private) Limited Acad Mustur's Securities (SMC-Put.) Ltd	Consume Letter	29-0ec-17 22-0ec-17	Non-Compliance of CDC Regulations
459	Rafi Securities (Put.) 135	Concure Letter	22 Oec-17	Non-Compliance of CDC Regulations
662	Fair Edge Securities (Art.) Limited First Capital Equities Limited	Cercure Letter Cercure Letter	22-Dec-17 22-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
662	A. N. Squities (Pvt.) Limited	Ceccure Letter Notice of Rectriction & Ceccure	22-Dec-17	Non-Compliance of CDC Regulations
664	Khawaja Securities (Put.) Limited Strongman Securities (Put.) Limited	Notice of Rectification & Concurs Concurs Letter	22 Oec-17 25 Oec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
465	Techno Fundamental Securities (Pvt) Ltd. Maan Securities (Privatar) Limited	Consume Letter	25-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
607	Sherman Securities (Private) Limited	Censure Letter	25-Dec-17	Non-Compliance of CDC Regulations
668	Muhammad Amer Riss Securities (Pvt.) Limited	Natice of Restriction & Penalty	20-Dec-17	Non-Compliance of CDC Regulations
470	N.U.A Securities (Pvt.) Ltd Nini Securities (SMC-Pvt.) Ltd	Natice of Restriction & Penalty Concure Letter	20-Dec-17 20-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
471	Memor Securities (Pvt.) Itsd	Concure Letter Concure Letter	20-Dec-17	Non-Compliance of CDC Regulations
479	Fixt Zeiva (Private) Limited	Restricted	20-Dec-17	Non-Compliance of CDC Regulations Failure to submission of Financial Statement or Auditor Certificat
479	XPSRY Securities (Private) Limited		20-Oec-17	failure to submission of Financial Statement or Auditor Certifical as required under Regulation 6.8.2.of the CDC Regulation.
476	K.H.S. Securities (Private) Limited	Removal of Restriction	18-Dec-17	Consequent to rectoration of trading terminals by PSX w.e.f. December 20, 2017, as notified by PSX wide notice no. PSX/N- 7313 dept.f have about 16, 3117
475	AHXD. Securities (Private) Limited	Suspended	16-Dec-17	Due to SECP directive the CDC to suspend the Porticipant vide
4%	HIC Securities (Pvt.) Limited	Suspended	18-Dec-17	celes dead hexamber 11, felt? Due to SSCP directive the CDC to suppend the Participant vide order dand becomber 11, 2007. Due to SSCP directive the CDC to suspend the Participant vide
477	Invest Forum (SMC-Private) Limited	Suspended	16-Dec-17	Due to SECP directive the CDC to suspend the Porticipant vide order dated December 11, 2007.
478	truest Forum (SMC-Private) Limited	Recticted	13-Dec-17	order dated December 11, 2017. Due to the cancellation of Scance by SECP as a securities broke side order dated fluorember 19, 2017.
429	HK Securities (Private) Limited	Recticted	13-Dec-17	Due to the cancellation of liscence by SECP as a securities broke
690	Inhanzaib Securities (Pvt.) Limited	Suspended	12-0ec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2007.
685	Shaukat Shaffi Securities (Private) Limited	Suspended	12-0ec-17	Due to SSCP directive the CDC to suspend the Porticipant vide order dated December 11, 2007.
482	AM Chaudhry Securities (Private) simited	Suspended	12-0ec-17	order dated December 11, 2007. Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2007.
483	First Pakistan Securities Limited	Restricted	4-bec-17	Due to refusal of the application for grant of license as securities horizon to 60°0 wide notice department of 100°0 in 100°0 wide consequent 60°0 and confer of tradition towards in the 100° wide
484	AM Chaudhry Securities (Private) Limited	Removal of Restriction	30-Nov-17	concepant to the restoration of trading seminancity PSX vide- nation dated PSXNs-1671 dated November 80, 2017.
66	Topline Securities Limited A.S. Securities (Put.) Limited	Cercure Letter Cercure Letter	29-Nov-17 28-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
497	AM. Claudhary Securities	Notice of Rectriction & Censure	28-Nov-17	Non-Compliance of CDC Regulations Consequent to the recognise of trading harmings in the Marian.
466	Din Capital Limited	Revised Natice of Restriction	29 Nov 17	natice dated PSI/IN-7969 dated November 28, 2017 however opening of new sub-Account is restricted.
489		Terminated	22 Nov 17	Participant has ceased to be Yrading Right Entidement Certificat holder as communicated by the Pakistan Stock Sichtage Linthe vide notice no. PSIQN-7317 dated November 21, 2017
	Tumbi Securities (Private) Limited	THE REAL PROPERTY.	22 400 27	vide notice no. PSIQN-7317 dated November 21, 2017
490	MySecurities (Private) Limited	Terminated	22-Nov-17	Participant has ceased to be Trading Right Entidement Certificat holder as communicated by the Pakistan Stock Sichlange Limite vide notice no. PSI(N-720) dated November 13, 2017
695 692	Ayub Chaudhary Investments (Put.) Limited	Notice of Rectriction & Concure Natice of Imposition of Penalty	25 Nov-17 17 Nov-17	Non-Compliance of CDC Regulations
499	Din Capital Limited	Restricted	17-Nov-17	Consequent to the auspension of Siccence by SECP as a securitie broker vide order dated November DK 2017
494	Elkir Securities Pakistan (Put.) Limited	Cercure Letter	14 Nov-17	Non-Compliance of CDC Regulations
496	Societies Securities & Services (Put.) Limited	Centure Letter	15 Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
497	Concordia Securities (Pvt.) Limited	Censure Lettler	15-Nov-17	Non-Compliance of CDC Regulations
498	R.T Securities (Pvt.) Limited Filtree (SMC-Pvt.) Limited	Censure Letter Censure Letter	11-Nov-17 8-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
500	Millennium Securities & Investments (Private)	Notice of Restriction & Census	8.80m.17	Nan-Compliance of CDC Regulations
565	Limited		8.80m-17	
502	MID Capital Equities (Pvt.) Limited First Equity Modaraba	Notice of Rectriction & Concurs Concurs Letter	8-Nov-17 8-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
508	Din Capital Limited	Revised Notice of Restriction	8-Nov-17	
506	Millennium Securities & Investments (Private)Limited	Restricted	6-Nov-17	Consequent Fs as of June 30, 2017 cubmitted by Participant und Regulation 6:8.2 of the CDC Regulations discloses regative Capit Adequacy Level
505	Din Capital Limited	Restricted	6-Nov-17	Consequent to imposition of rectriction by MIX as notified vide
506	Shaukat Staffs Securities (Private) Limited	Restricted	1-Nov-17	Consequent to imposition of rectriction by PSX as notified vide notice on this by 1965 depart somewhere in 1967 Consequent to the support of the training terminals by PSX as notified vide notice on PSXNs 6961 dated November 01, 3917
507	Safe Securities (Private) Limited	Restricted	31-011-17	notified vide notice no. MX/N=6651 dated November 01, 2017 Consequent to curpension of the trading terminals by PSX vide
508	K.H.S. Securities (Private) Limited	Restricted	30-013-17	Consequent to curpension of the trading terminals by PSI vide nation on PSINI-WRI lated Grobber \$1, 2617 Consequent to custifining off the trading terminals by PSI vide nation on BSINI-WRI demand/neclear bit 1881 V
509	Sakanusia Capital Securities (Pvt.) Limited	Notice of Recordation & Penalty	26-011-17	
520	Surrice Capital (Private) Limited	Further Suspended	20-013-17	Due to cancellation of licoence by Securities and Euchange Financiarion of Brainman vide nature detail Securities 14 - 3697 Due to SECP directive the CDC to terminate the Participant vide
511			19-017-17	Due to SECP directive the CDC to terminate the Participant vid
	Pak Meesan Securities (Private) simbed	Terminated		
512	Pak Meezan Securities (Private) simbed Kaycone Capital Markets (SMC-Private) simited	Terminated	19-001-17	Due to SECP directive the CDC to terminate the Participant vid order dated October 13 2017 The No. SECP directive the CDC To terminate the Participant vid the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the No. SECP directive the CDC To terminate the Participant vide the CDC To terminate the CDC To terminate the Participant vide the CDC To terminate the CDC To terminate the Participant vide the CDC To terminate the CDC To terminate the Participant vide the CDC To terminate the CDC To terminate the Participant vide the CDC To terminate the CDC To terminate the Participant vide the CDC To terminate the CDC To terminate
518	Faith Securities (Private) Limited	Terminased Terminased	19-013-17	order dated October 13, 2017 Due to SECP directive the CDC to terminate the Participant vide order dated fromber 13, 2017
518 518	Faith Securities (Missell Limited Stock Vision(Mc.) Limited	Terminated Terminated Terminated	19-0ct-17 19-0ct-17	outer dated Conster 13, 2017 Due 10 SECP direction the CCC to sensitize the Punticipant vid action dated Conster 13, 2017 Due 10 SECP directive the CCC to terminate the Punticipant vid action directive 13, 2017
518	Faith Securities (Private) Limited	Terminased Terminased	19-013-17	order dated October 13, 2017 Due to SECP directive the CDC to terminate the Participant vid
518 516 525 526 527	Faith Securities (Private) Limited Stack Weiser(Put.) Limited Value Stack and Commodities (Private) Limited Saith Securities (Private) Limited FAIK Securities (Put.) Limited	Terminated Terminated Terminated Terminated Nation of Recordation & Penalty Terminated	19-0ct-17 19-0ct-17 56-0ct-17 56-0ct-17 56-0ct-17	order dand Octaber 11 2027 Dain 10 SEPP describes the CCC consensate the Participant vide control of CTE of
518 516 515 516 517 518	Faith Securities (Private) Limited Stack Violate(Put.) Limited Value Stack and Commodities (Private) Limited	Terminated Terminated Terminated	96-00-12 96-00-12 96-00-12 96-00-12 96-00-12 96-00-12	order dated distalent 11 3037 Due 10 SEP describes the CEO consensates the Participant vide confer dated distalent 11 3037 Due 10 SEP describes the CEO consensates the Participant vide confer dated distalent 11 3037 Non-Compliance of CEO Regulations Valuations/ Termination Non-Compliance of CEO Regulations Non-Compliance of CEO Regulations
518 516 515 516 517	Faith Securities (Private) Limited Stack Weiser(Put.) Limited Value Stack and Commodities (Private) Limited Saith Securities (Private) Limited FAIK Securities (Put.) Limited	Terminated Terminated Terminated Terminated Nation of Recordation & Penalty Terminated	19-0ct-17 19-0ct-17 56-0ct-17 56-0ct-17 56-0ct-17	onter dated Costater 11 2017 Due 10 SEP describes the CCC Contention the Pre-displant vide ander dated Costater 12 2017 Due 10 SEP describes the CCC Contention the Pre-displant vide content dated Costater 12 2017 Main Compliance of CCC Regulation Valuations/Termination Main Compliance of CCC Regulations
518 516 515 516 517 518	Facts Sociation (Private) Limited Total Visioned Limited Vision State And Commodates (Private) Limited Facts Sociation (Private) Limited Facts Sociation (Private) Limited FAM. Sociation (Private) Limited FAM. Sociation (Private) Limited South State And Commodates (Private) Limited South State And Commodates (Private) Limited South State And Commodates (Private) Limited South Sociation (Private) Limited	Terminated Terminated Terminated Terminated Nation of Recordation & Penalty Terminated	96-00-12 96-00-12 96-00-12 96-00-12 96-00-12 96-00-12	onter dated Costater 11 2017 Due 10 SEP describes the CCC Contention the Pre-displant vide ander dated Costater 12 2017 Due 10 SEP describes the CCC Contention the Pre-displant vide content dated Costater 12 2017 Main Compliance of CCC Regulation Valuations/Termination Main Compliance of CCC Regulations
518 516 515 526 517 528	parts Securities (Private) Limited 10xX Violon(Put.) Limited 10xX Violon(Put.) Limited 1xxx Securities (Private) Limited	Terminated Terminated Terminated Terminated Nation of NewYorks A Prestry Terminated Nation of NewYorks A Prestry Nation of NewYorks A Prestry Supported of NewYorks A Prestry	36-0cc-17 36-0cc-17 36-0cc-17 36-0cc-17 36-0cc-17 36-0cc-17 18-0cc-17	Select Open Conduct 1 3 2022. Does to SECP Administration for Concentration for Perforage calls Does to SECP Administration for Concentration for Perforage calls Does to SECP Administration for Concentration for Perforage calls The Concentration of Concentration for Perforage calls The Concentration of Concentration for Perforage calls The Concentration of Concentration of Concentration The Concentration of Concentration of Security Securities Concentration of Securities and Concentration of Securities and Concentration of Perform is and securities and Concentration of Perform is and securities (Concentration of Perform is and securities Concentration Concen
518 516 515 526 517 528	Sath Securities (Private) Linited Stack Microlife () Linited Stack Microlife () Linited Value Bod and Commodities (Privaty) Linited Unit Securities (Privat) Linited Value Bod and Commodities (Privaty) Linited Sath Securities (Privaty) Linited Securities (Privaty) Linited Securities (Privaty) Linited Securities (Privaty) Linited	Terminated Terminated Terminated Terminated Nation of NewYorks A Prestry Terminated Nation of NewYorks A Prestry Nation of NewYorks A Prestry Supported of NewYorks A Prestry	36-0cc-17 36-0cc-17 36-0cc-17 36-0cc-17 36-0cc-17 36-0cc-17 18-0cc-17	Contract Contract 1 2027 Day to 150 Office And in City Contract and Principate call Day to 150 Office And in City Contract and Principate call Day to 150 Office And in City Contract and the Principate call Day to 150 Office And in City Contract and Contract 1, 2007 Non-Completion of 100 City Englandors Contract to 100 City Contract Contract to 100 City Contract Non-Completion of 100 City Contract Non
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\$18	Land Science promote content See Science Content of Co	Named	9 00 12 9 00 12 9 00 12 9 00 12 9 00 12 9 00 12 9 00 12 1 0	under derde der Australia. John der der
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		Under Regulation 14.6.1 of th		
S. No.	Name of Participant ERAL Equities (Pirt) Inc.	Disciplinary Actions	Notice Date	Reason
567	LINEX Securities (Pvt) Limited	Concure Letter	9-Aug-17 4-Aug-17	Nan-Compliance of CDC Regulations
558	Strongman Securities (Put.) Limited	Consume Letter	6 Aug-17	Non-Compliance of CDC Regulations
559	128 Securities (Pvt.) Limited	Rectricted	3 Aug-17	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-tili21 dated August 08, 2007
560	NIR Rank Limited	Terminated	1-Aug-17	Voluntary Termination
561	AMCAP Securities (Private) Limited	Modification in Restrication	26-34-17	consequent to restoration of toding terminals by PDX with effect from July 28, 2817 as notified by notice no. PSQN=4812 dated July 21, 2027 However, PDX has not lithed restriction on opening of new Client Accounts.
				of new Client Accounts.
562	S. 2. Securities (Put.) Limited Lamshaid & Hasan Securities (Put) Ltd	Terminated Natice of imposition of Penalty	18-34-17	Consequent to the forfeiture of Trading Right Entitlement Conflicts of the Redicional his Novike Elek shoot sale 45, 2013 Non-Compliance of CDC Regulations
566 566	Ismshaid & Haran Securities (PM) Ltd Zafar Securities (Private) Limited	Natice of imposition of Penalty Natice of imposition of Penalty	22-sun-17 22-sun-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
565	Attac Securities (Brigate) Limited	Suspended	22-sun-17	Due to suspension by National Cleaning Company of Pakkton vide notice no. NCCPL/CRO/199/17 dated June 22, 2007
566	Ager Securities (Private) Limited	Removal of Suspension	22-sur-17	actice on NCCPL/CRO/389/17 dated June 22, 2007 Consequent to removal of cuspension: by National Charles Company of Pakistan vide notice no. NCCPL/CRO/305/17 dated June 19 3017
567	Asia Daward Securities (Private) Limited	Succended	36-au-17	Company of Paketta was notice no. NCCPY_CRIQ/200/37 dated buse 10 - Min? Due to suspension by National Cleaning Company of Pakktan vide notice no. NCCPY_CRIQ/38/372 dated sales 56, 2007
568	Tariq Sayeed Securities (Private) Limited	Succended	36-au-17	notice e.a. NCCPL/CRO/3187/17 daned June 56, 2007 Due to surgension by National Clearing Company of Palkktan vide notice e.a. NCCPL/CRO/318/17 daned June 56, 2007
500	All Equities (House) Limited	Secondari	1610.07	notice no. NCCPL/CRD/185/17 dated June 16, 2007 Due to suspension by National Clearing Company of Palkktan vide notice no. NCCPL/CRD/186/17 dated June 16, 2007
530				
571	Reliance Securities Limited Habilo Metropolitan Financial Services Limited	Censure Letter Censure Letter	13-141-17 13-141-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
572	Invest and Finance Securities Limited	Natice of Restriction & Penalty	18-tun-17	Non-Compliance of CDC Regulations
579	Drawood Equities Limited	Suspended	5-han-17	Due to suspension by National Cleaning Company of Pakktan vide notice no.NCCPU/CRO/SR7/17 dated June 65, 2017
536	Dowcood Squittes Limited	Removal of Surgension	5-au-17	Consequent to removal of outpension by National Clearing Company of Pakistan vide notice no. NCCPL/CRQ/188/17 dated asse 66, 2017
535 536	Oriental Securities (Prt.) Limited Yalue Stock and Commodities (Private) Limited	Concure Letter Restriction or opening of New Sub-Accounts	5-to-17 1-to-17	Non-Compliance of CDC Regulations Consequent to imposing restriction on Participant by PIX vide oning an article on PIRING State America.
527		Suspended	31-May-17	On the sub-accounts One to non-compliances of 6.82. of CDC Regulations wher completing 60 Business Days of Restrictions.
577 578	Escorts Capital Limited	Suspended Suspended		consisting 60 Business Days of Restrictions. Pursuant to directive issued by SICP vide order dated May 26,
	Allied Securities (Private) Limited		29-May-17	Pursuant to directive issued by story vide order dated May 26, 50x3 Pursuant to directive issued by SICP vide order dated May 26,
539	S. A Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 24, 2607 Pursuant to directive issued by SECP vide order dated May 24, 2607
580	Interactive Securities (Pvt.) Limited	Suspended	29-May-17	runsum to directive issued by socie vide order dated May 26, 2007
581	Chippa Securities (Private) Limited	Suspended	29-Mby-17	Pursuant to directive issued by SICP vide order dated May 26, 2007 Pursuant to directive issued by SICP vide order dated May 26,
582	JCR Capital Securities (Private) Limited	Suspended	29-Mby-17	Pursuant to directive issued by SECP vide order dated May 26, More: Pursuant to directive issued by SECP vide order dated May 26,
583	Luxor Securities (SMC-Pvt.) Limited	Suspended	29-May-17	Pursuant to-directive issued by SICP vide order dated May 36, Mor 2
586	MAN Securities (Put.) Limited	Suspended	29-May-17	Pursuant to directive icosed by SICP vide order dated May 24, 2017
585	Onni Capital Management (Private) Limited	Suspended	29-Mby-17	Pursuant to directive issued by SSCP vide order dated May 26, 2007
586	Jawed Zakaria Gulabi Securities (Put.) Limited	Suspended	29-May-17	2007 Pursuant to directive iccued by SECP vide order dated May 24, 2017
587	MAC Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
588	Muhammad Anaf Kapadia Securities (SMC-Pvt.) Limited	Suspended	29-May-17	Pursuant to directive iccurd by SSCP vide order dated May 26, 2017
589	Motivals Securities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SICP vide order dated May 26, 2017
590	MY Securities (Put.) similard	Suspended	29-May-17	2007 Pursuant to directive issued by SECP vide order dated May 26, 2647
595	AAU Securities (Put.) Limited	Suspended	29-May-17	
562	Abid Ali Habib Securities (Pvt.) Limited	Suspended	29-May-17	No.2 Pursuant to directive issued by SSCP vide order dated May 26, 2007
560	Alican Securities (Put.) Limited	Suspended	29-May-17	Pursuant to directive issued by SICP vide order dated May 26,
564	Ashfaq Ashraf Securities (Pvt.) Limited	Suspended	29-May-17	
586	BMS Capital (Put.) Limited	Suspended	29-May-17	2017. Pursuant to directive issued by SICP vide order dated May 26,
596	Bright Investment & Securities (Put.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
567	Fine Securities (SMC-Private) Limited	Suspended	29-Mby-17	Note: Pursuant to directive income by SICP vide order dated May 26, 2022 Pursuant to directive income by SICP vide order dated May 26,
598	Fot Securities (Put.) Limited	Successful	29-May-17	2007 Pursuant to directive issued by SECP vide order dated May 26,
500		Successful	29-May-17	2007 Pursuant to directive issued by SECP vide order dated May 26.
600	Fotness Financial Services (PAt.) Limited			No.2 Business to discretize insued by SS/B vide naturalisted May No.
600	H.H.K. Securities (Pvt.) Limited	Suspended	29-May-17	No.2 Pursuant to directive issued by SICP vide order dated May 26,
600	Khayyam Securities (Pvt.) Limited	Suspended Suspended	29-May-17 29-May-17	2017 Pursuant to directive issued by SICP vide order dated May 26.
	Prime Capital Management (Put.) Limited			
608	R.F.L. Equity (Pvs.) Limited	Suspended	29-May-17	Pursuant to directive issued by SSCP vide order dated May 26, 2012 Pursuant to directive issued by SSCP vide order dated May 26,
604	S. M. Securities (Private) Limited	Suspended	29-Mby-17	Pursuant to directive issued by MicP vide order dated May 26, Mic2 Pursuant to directive issued by SICP vide order dated May 26.
606	Schon Capital Markets Limited	Surpended	29-May-17	
606	Sher Capital Equities (Put.) Limited	Suspended	29-Mby-17	Pursuant to directive issued by SICP vide order dated May 26, 2007 Pursuant to directive issued by SICP vide order dated May 26,
607	SMMC Investments (SMC-Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SSCP vide order dated May 26, 36x2 Burns on the directive insued by SSCP vide order dated May 36.
608	South Asian Securities (Put.) Limited	Suspended	29-May-17	
609	Union Securities (Pvt.) Limited	Suspended	29-Mby-17	Pursuant to-directive issued by SICP vide order dated May 26, 2007
620	Venus Securities (Pvt.) Limited	Suspended	29-Mby-17	Pursuant to directive issued by SSCP vide order dated May 26, 2017
611	ZM Capital (No.) Limited	Suspended	29-Mby-17	Pursuant to-directive icoued by SICP vide order dated May 26, 2012 Pursuant to-directive icoued by SICP vide order dated May 26, 34x12
612	MAS Capital Securities (Art.) Limited	Suspended	29-May-17	Pursuant to-directive issued by SECP-vide order dated May 26,
613	R. A. Securities (Put.) Limited	Suspended	29-May-17	Pursuant to-directive issued by SICP vide order dated May 26, 20/2
634	Fine Securities (SMC-Private) Limited	Suspended Restricted	26-May-17	2007 Consequent to suppersion of all trading terminal of Participant by Pist vide notice no notice no. Pist/N-bitis dated May 25, 2017
615	Luxur Securities (SMC-Pvt.) Limited	Restricted	26-May-17	by PSX vide notice no notice on PSX/N-MSK dated May 25, 2017 Consequent to cuspension of all trading terminal of Participant
636	Max Penes Asiam Securities (Private) Limited	Restricted	23-May-17	by PSX vide notice no notice so PSX to biblid dated May 25, 2011 Consequent to suspension of all trading terminal of Participan
617	Trust Securities & Brokerage Limited	Restriction Letter	18-May-17	his PER vide contra on PER/his later daniel Maio 19 Orle 1 Non-Compliance of CDC Regulations
628	Switch Securities (Private) Limited	Restriction Letter	18-May-17	Non-Compliance of CDC Regulations
629 630	SETHI-SECURITIES (SMC-PVT) LTD ASA STOCKS (PVT.) LIMITED	Rectriction Letter Concure Letter	18-May-17 18-May-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
621	M. M. SECURITIES (PVT.) LIMITED	Censure Letter	18-May-17	Non-Compliance of CDC Regulations
622	Live Securities Limited MID Capital Equities (Private) Limited	Recticted Removal of Restriction	12-May-17 10-May-17	to be superson of an trading terminal of Participan to be under notice on Beliefs intered May 19 1843 Consequent to restoration trading terminals of Participant by PS
628	MID Capital Equities (Private) Limited MMM Securities (Private) Limited	Removal of Restriction Terminated	10-May-17 10-May-17	Consequent to restoration trading terminals of Participant by PS vide nation no. PSN(N-8075 dated May 30, 2017 Consequent to the forfeiture of Trading Right Entitlement
625	Yariq Sayeed Securities (Private) Limited	Removal of Restriction	8 May 17	Consequent to restoration trading terminals of Participant by PS vide notice no. PSI/N-2887 dated May 08, 2027
636	SHAFFI SECURITIES (PVT) LIMITED	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
627	Techno Fundamental Securities (Pvt.) Limited	Restriction setter	5-May-17	Non-Compliance of CDC Regulations
628	NAVVAR SHEIGH SECURITIES (PVT) LTD.	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
639		Restriction Letter Restriction Letter	5-May-17 5-May-17	Non-Compliance of CDC Regulations
630	Pearl Brokerage (Pvt.) Limited INTEGRATED EQUITIES (PRINATE) LIMITED	Recoliction setter Censure Letter	5-May-17 5-May-17	Non-Comprisince of CDC Regulations Non-Compliance of CDC Regulations
632	Guirea Securities (Pvt.) Limited		28-Apr-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
631	Fairway Securities (Put.) Limited Networth Securities Limited	Nutice of Restriction Nutice of Restriction	28-Apr-17 28-Apr-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
635	shawaja Securities (Put.) Limited	Notice of Restriction Notice of Restriction	28-8pt-17 28-8pt-17	Non-Compliance of CDC Regulations
	Khawaja Securities (Pvt.) Limited ABM Securities (Pvt.) Limited		28-Apr-17	Non-Compliance of CDC Regulations
637	MSO Capital Equities (Privase) Limited	Restricted Modification in Restrictation	27-Apr-17 26-Apr-17	Consequent to suspension of all trading terminal of Participant by Mitwide notice on Plants hills dend body to the Y Consequent to restoration of sale side transactions for only Sub-
	AMCAP Securities (Private) Limited	Modification in Rectrication Rectricated	25-Apr-17 5-Apr-17	Consequent to restancian of sale side processors for only sub- Accounts of the Participant by Pill vide notice no. Plot/N-2899 dated Anni 24, 2017 Consequent to curposition of all tading terminal of Participant
600				
639	AMCAP Securities (Private) Limited CREATIVE CAPITAL SECURITIES (PVT) LTD.	Notice of Restriction	80-Mar-17	by PSE vide notice no. PSXNo-3181 dated April 06, 2017 Non-Compliance of CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	
5. No.	Name of Participant M.J. Memor Securities (Pr.) Limited	Disciplinary Actions Notice of Restriction	Notice Date 30-Mar-17	Reason Non-Compliance of CDC Regulations
663	SECTION SECURISE (PUT) LIMITED	Censure Notice	20-Mar-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
644	TARIO VOHRA SECURITIES IPVTI LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
646	SLOBAL SECURITES PAKISTAN LIMITED	Notice of Restriction	30-Mar-17	Non-Compliance of CDC Regulations
666	H.M. IDREES H. ADAM (SMC-PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
607	Motivala Securities (Private) Limited	Natice of Rectriction & Penalty	30-Mar-17	Non-Compliance of CDC Regulations
668	H.H.K. Securities (Pvt.) Limited	Natice of Restriction & Penalty	30-Mb/-17	Non-Compliance of CDC Regulations
600	MUHAMMAD HUSSAIN ISMAL SECURITIES (PVT)	Censure Notice	30-May-17	Non-Compliance of CDC Regulations
	LTD.			
650	ACM GLOBAL (PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
651	ICR Capital Securities (Mivate) Limited	Notice is respect of Participant	29-Mar-17	Participant has compiled with the Regulation 6.8.2 Consequent to cuspension of all trading terminal of Participant
652	Invest Forum (SMC-Private) Limited	Restricted	17-Mar-17	hu BSE vide notice on BSERN 1383 dated Much 16, 3017
653	HK Securities (Private) Limited	Restricted	17-Mar-17	Consequent to cooperation of all trading terminal of Participant to MN vide nodes on MNNs, this desert blanch tal. 2017
654	Bhayani Securities (Put) Ltd.	Notice of Restriction	10-Mbr-17	Non-Compliance of CDC Regulations
655	First Equity Modaraba	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
696	MUHAMMAD ASHFAD HUSSAN SECURITIES (PVT)	Natice of Restriction	10-Mbr-17	
656	LTD	Nutice of Restriction	10-660-17	Non-Compliance of CDC Regulations
657	Summwala Securities (Private) Limited	Nutice of Restriction	16-Mbr-17	Non-Compliance of CDC Regulations
658	SEVEN STAR SECURITIES (PVT.) LTD.	Nutice of Restriction	16-Mbr-17	Non-Compliance of CDC Regulations
609	IQBAL USMAN KODVANI SECURITIES (PVT) LTD	Notice of Restriction	16-Mbr-17	Non-Compliance of CDC Regulations
660 661	MILTERS SECURITIES (PVT.) LIMITED	Notice of Restriction Notice of Restriction	16-Mar-17 16-Mar-17	Non-Compliance of CDC Regulations
667	Duttoo Securities (Pvt.) Limited SECURITY INVESTMENT BANK LIMITED	Notice of Rectriction	10-MS-17 MANUST	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
60		currented	35-Mar-17	Non-Comprisince of CDC Regulations Due to-violation of Section 24 of the Central Depositories Act,
	S. Z. Securities (Pvt.) simbed			1667
664	KSR Stock Brokerage (Pvt.) Ltd	Natice of Imposition of Penalty	13-Mar-17	Non-Compliance of CDC Regulations
665	Progressive Securities (Private) similard	Notice of Imposition of Penalty	13-Mar-17	Non-Compliance of CDC Regulations Consequent to suspension of THE Certificate of Participant by
666	MAM Securities (Private) Limited	Further Suspended	19-Mar-17	Consequent to suspension of TRE Certificate of Participant by PSX vide notice no. PSX/to-0637 dated March 10. 2007.
_	1			Consequent to switching off of trading terminals of Participant by PIX vide natice no. PSII/N-1SIs dated March 66, 2017 on the
667	Tariq Sayeed Securities (Private) Limited	Restricted	7-Mar-17	PSK vide notice no. PSK/N-SSIS dated March 66, 2057 or the Participant request.
	-			Participant request. Participant has not compiled with Regulation 6.8.1 of the CDC
668	ESCORTS CAPITAL LIMITED	Restricted	6-Mar-17	
669	Faith Securities (Misate) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after completing 60 day of extrictions.
630	Stock VicioniPAC.) Limited	succended	6-Mar-17	completing 60 day of settlections. Due to non-compliances of 68.2 of CDC Regulations after
				completing 60 day of metrictions.
671	Kaycone Capital Markets (SMC-Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
672	A.H.K.D. Securities (Private) timbed	suspended	6-Mar-17	Due to non-compliances of 68.2. of CDC Regulations after
679	Akd Analytics (Private) Limited	suspended	6Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
				completing 60 day of extrictions. Due to non-compliances of 68.2, of CDC Regulations after
636	SNM Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of ext.2 of CDC Regulations after completing 60 day of mitrictions.
675	SMB Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2. of CDC Regulations after
636	Stable Securities (Pvt) Limited	succended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
				consisting 60 day of materiage Due to non-compliances of 68.2 of CDC Regulations after
627	Quain Mahmood Securities (Simc-Pvt) Limited	suspended	6-Mar-17	completing 60 day of metrictions.
678	JCR Capital Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
679	Reliance Capital (Pvd) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
680		suspended	6-Mar-17	completing 60 day of settlictions. Due to non-compliances of 68.2 of CDC Regulations after
680	Fincap Investments (Private) Limited	suspended	6-Mar-17	
681	Allied Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.9.2. of CDC Regulations after completion 60 Business day of certificions
682	Ab Equities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
689	Universal Equities (Private) Limited	Terminated	2-Mar-17	completing 60 day of metricolors. Voluntary Terminorios
686	M. R. Securities (SMC-Private) Limited	Terminated	2-Mar-17	Consequent to the forfeiture of THL Certificate of the Participant
685	M. R. Securises (MAC-Private) Limited	Terminated	249-17	by PSX vide notice no. PSXN+1660 dated March 05, 2017
685	ARE Securities (Private) Limited	Terminated	29-540-17	Consequent to the forbitum of Trading Right Entitlement Certificate of the Participant by Hisph-1884 dated February 27,
				2017
686	MAM Securities (Private) Limited	Suspended	20-Feb-17 17-Feb-17	Due to non-compliances of CDC Regulations.
687	Surrice Capital (Private) Limited M. R. Securities (SMC-PVT.) Limited	Suspended Further Suspended	17-9ab-17 10-9ab-17	Due to non-compliances of regulatory provisions Due to non-compliances of regulatory provisions
689	MAM Securities (Private) Limited	Natice of Imposition of Penalty	8 Feb-17	Nan-Compliance of CDC Regulations
690	M. R. Securities (SMC-PVT.) Limited	Intention of further Suspension	6-Feb-17	Non-compliance of CDC Regulations. Due to non-compliances of CDC Regulations.
681				Due to suspension by National Cleaning Company of Pakkitan vide
665	M. R. Securities (SMC-PVT.) Limited	Suspended	1-feb-17	notice no. NCCPL/CRO/20/17 dated February 01, 2017
662	AMD Securities (Private) Limited	Suspended	30-tas-17	Participant has breached the provisions of the CDC Regulations Participant has breached the provisions of the CDC Regulations
699	Sumice Capital (Private) Limited	Suspended	30-tar-17	Participant has breached the provisions of the CDC Regulations
694	Zafar Securities (Private) Limited	Restricted	30-tar-17	Participant has not complied with Regulation 6.8.1 of the CDC
695	Zafar Securities (Private) Limited	Removal of Restriction	30-tar-17	Participant has compiled with the Regulation 6.8.2
696	Sonia Shahoad Securities (Private) Limited	Terminated	36-tar-17	Voluntary Yesminasion
667	Pak Meezan Securities (Privata) timited	Natice in respect of Participant	18-110-17	Participant has compiled with the Regulation 6.8.2
698	Zafar Moti Capital Securities (Private) Limited	Removal of Suspension	18-tan-17	Consequent to removal of suspension by National Cleaning Company of Pakktan vide notice no. NCC PUCS/IN/17 dated
	Amer How Capital Securities (Private) Limited	termina in nagerous	481481147	Company of Pakktan vide notice no. NCCPL/CS/36/17 dated January 17, 2017.
600	L	Succended	17-tae-17	Due to suspension by National Clearing Company of Pakktan vide
	Zafar Mosi Capital Securities (Private) Limited			notice no. NCCPL/CS/96/17 dated tanuary 17, 2017
700	AM Chaudhry Securities (Private) Limited	Removal of Restriction	18-180-17	Participant has complied with the Regulation 6.8.2
701	AND Securities (Private) Limited	Removal of Suspension	18-180-17	Consequent to removal of suspension: by National Clearing Company of Pakkstan vide notice no. NCCPU/Cl/II/17 dated
	new securities (PRVSS) Limited			January 13, 2017.
702	Machinal Securities (Private) Limited	Terminated	18-tat-17	Valuatary Termination
708	Srii Securities (Private) Limited	Terminated	18-110-17	Valuatory Termination
704	XXX Securities (Private) Limited	Suspended	12-tar-17	Due to suspension by National Clearing Company of Pakkstan vide
				notice no. NCCPL/CS/26/17 dated tanuary 11, 2017
705	Allied Bank Limited - LSE Branch	Extension of intention to suspend	9-tan-17	Failure to settle the CDC outstanding dues.
706	Allied Bank Limited: 55 Branch	Extension of intention to suspend	9-100-17	failure to settle the CDC outstanding dues.
707 708	Allied Bank Limited- 56 Branch	Extension of intention of RegulatoryAction Extension of intention to cuspend	9-tan-17 9-tan-17	Failure to cettle the CDC cutstanding dues.
708	Alled Bank Limited- ISS Branch	Extension of intention to suspend Extension of intention of RegulatoryAction	9-tan-17 9-tan-17	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
709	Alfied Bank Limited - ISS Branch Alfied Bank Limited - LSS Branch	Extension of intention of RegulatoryAction Extension of intention of RegulatoryAction	9-lan-17 9-lan-17	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
711		Natice of Restriction	9-30-17 6-30-17	Failure to cettle the CDC outstanding dues. Non-Compliance of CDC Regulations
	Sherman Securities (Pvt.) Limited Digenah Islamic Financial Services (Private)	Natice in respect of Participant	640-17	Non-comprises of CDC Regulation Participant has compiled with the Regulation 6.8.2
712	Limited Malik Securities (Private) Limited	Terminated	640-17	Voluntary Termination

S. No.				
	Name of Participant	Disciplinary Actions	Notice Date	Reason
716 715	S A Securities (Pvd) Limited SrS Securities (Private) Limited	Removal of Restriction Removal of Restriction	6-tan-17 6-tan-17	Participant has compiled with the Regulation 6.8.2 Participant has compiled with the Regulation 6.8.2
726	A.H.K.D. Securities (Private) simbed	Bestimed	2-101-17	
748	A.H.K.D. Securitive (PRVIDE) Limited	Million	240717	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
717	Ab Equities (Private) Limbed	Rectricted	2-tan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
728		Resticted	2-tan-17	
718	AKD Analytics (Private) Limited	Restricted	2-tan-17	Railure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
729	Allied Securities (Private) Limited	Record	2-tan-17	failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
				ac required under Regulation ILE 2 of the CDC Regulation. Railure to submission of Financial Statement or Auditor Certificate
720	AM Chaudhry Securities (Private) Limited	Restricted	2-tan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
721	Diyarah Islamic Financial Services (Private)	Restricted	2-101-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
722	Secorts Capital Limited	Rectricted	2-tan-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
728	Faith Securities (Mivate) Limited	Restricted	2-tan-17	Railure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
748	Path securities (Private) Limited	Million	2401	
726	Fincaptivectments (Private) Limited	Restricted	2-180-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
725		Resticted	240-17	
725	JCR Capital Securities (Private) Limited	Recticted	2/89-17	Railure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
726	Kaycone Capital Markets (SMC-Private) Limited	Rectricted	2-381-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
727		Resticted		
727	Malik Securities (Private) Limited	Restricted	2-tan-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
728	Machhadi Securities (Private) Limited	Restricted	2-tan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
729	Pak Meezan Securities (Private) Limited	Rectricted	2-tan-17	Railure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
730	Quality Mishimood Securities (Simo-Put) Limited	Restricted	2-100-17	
780	system was mood securities purceway clinited	Messicular	200727	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
791	Reliance Capital (Pvt) Limited	Restricted	2-tan-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
799		Bertimet	hm.17	
792	S A Securities (Pvt) Limited	Restricted	2-m-17	Railure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
788	Sti Securities (Private) Limited	Restricted	2-tan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
794	SMR Securities (Misute) Limited	Restricted	2-tan-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
786	SNM Securities (Private) Limited	Resticted	2-tan-17	Railure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
	see scanne (rinner) unner			as required under Regulation 6.8.2 of the CDC Regulation.
796	Stable Securities (Pvt) Limited	Rectricted	2-tan-17	Railure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
782		Restricted	2-tan-17	
	Stack Vision(PAt.) Limited			Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
728 729	Allied Bank Limited: KSS Branch Allied Bank Limited: 155 Branch	Notice of intention to Suspend Notice of Intention to Suspend	29-Dec-56 29-Dec-56	Failure to cettle the CDC outstanding dues. Failure to cettle the CDC outstanding dues.
	Allied Bank Limited - LSE Branch Allied Bank Limited - SE Branch	Notice of Intention to Suspend	29-Dec-16	Failure to settle the CDC outstanding dues.
761	Allied Bank Limited- KSS Branch	Notice of Regulatory Action	23-Dec-56	Failure to cettle the CDC cutstanding dues.
761 762 763	Alled Bank Limbed-Kill Branch Alled Bank Limbed - LSE Branch Alled Bank Limbed-Kill Branch	Notice of Regulatory Action Notice of Regulatory Action Notice of Regulatory Action	29 Oec-56 29 Oec-56 29 Oec-56	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
742 748 746	Allied Bank Limited - LSE Branch Allied Bank Limited - SE Branch Sunrice Capital (Private) Limited	Notice of Regulatory Action Notice of Regulatory Action Notice of Regulatory Action Restricted	29 Oec-56 29 Oec-56 25 Oec-56	Failure to cettle the CDC outstanding dues. Failure to cettle the CDC outstanding dues. Due to continuention of prairisians of the CDC Regulations.
745 745 742 748 746 746 746	Allied Bank Limited - LSE Branch Allied Bank Limited- fill Branch Sunrice Capital (Private) Limited Apex Capital Securities (Private) Limited	Notice of Regulatory Action		Failure to cettle the CDC customding dues. Failure to cettle the CDC customding dues. Due to communication of previous of the CDC Regulations Non-Compliance of CDC Regulations.
742 748 746	Allied Bank Limited - LSS Branch Allied Bank Limited - SS Branch Sunrise Capital Private) Limited Apex Capital Securities (Private) Limited Apex Capital Securities (Private) Limited A.Securities (Priv. Stall A.S Securities (Priv. Stall	Notice of Regulatory Action Notice of Regulatory Action Notice of Regulatory Action Restricted	29 Oec-56 29 Oec-56 25 Oec-56	Failure to cettle the CDC outstanding dues. Failure to cettle the CDC outstanding dues. Due to continuention of prairisians of the CDC Regulations.
742 748 746 746 746	Allied Book Linitud - LSE Bronch Allied Book Linitud - SEE Bronch Allied Book Linitud - SEE Bronch Linitud Capital (School Linitud Apre Capital Securities (House) Linitud Apre Capital Securities (House) Linitud Apre Capital Securities (House) Linitud Aut Securities (House) Linitud Bill & Securities (House) Linitud	Notice of Regulatory Action Notice of Regulatory Action Notice of Regulatory Action Reschicted Reschicted Notice of Reschicten Notice of Reschicten	29 Oec-16 29 Oec-16 29 Oec-16 20 Oec-16 20 Oec-16	Fabor to certile the CCC contracting date, fabor to certificate CCC contracting date. Due to componential of previously of the CCC Regulation, two compliance of CCC Regulation. Non-Compliance of CCC Regulation. Non-Compliance of CCC Regulation. Non-Compliance of CCC Regulation. Non-Compliance of CCC Regulation.
742 748 746 746 746	Alled Basis Limited - SSE Brooch Alled Basis Limited - SSE Brooch Alled Basis Limited - SSE Brooch Aper Capital Securities (Private) Limited B & B & Securities (Private) Limited Confinentia Capital Management (Par) SM Fanal You'd Securities (Par) Limited Fanal You'd Securities (Par) Limited	Nation of Regulatory Action Nation of Regulatory Action Nation of Regulatory Action Nation of Resolution Nation of Resolution Nation of Resolution Nation of Resolution Nation of Resolution Nation of Resolution Nation of Resolution	29 Oec-16 29 Oec-16 29 Oec-16 20 Oec-16 20 Oec-16	Failure III selected CCC contracting dates. Failure III selected CCC contracting dates. Failure III selected CCC contracting dates. Due to contracted or practices of the CCC templations. Non Compressed of CCC Registrations. Non Compressed of CCC Registration. Non Compressed of CCC Registration.
742 748 746 746 746 747 747 748 749 750	Alber Bask Limbel - SS Brooch Milder Bask Limbel - SS Brooch Lavina Capital Primitals Limbe Ages Capital Societies (Primital Limbel Ages Capital Societies (Primital Limbel Ages Capital Societies (Primital Limbel A. Sisanitate (Per 3,54) B. B. Sisanitates (Per 3,54) B. Bask Tarian Societies (Per 3,54)	Nation of Regulatory, Action Nation of Regulatory, Action Nation of Regulatory, Action Resistance Nation of Resistance Nation of Resistance Nation of Resistance Nation of Resistance Nation of Resistance Nation of Resistance	29 Onc-16 29 Onc-16 29 Onc-16 29 Onc-16 30 Onc-16 30 Onc-16 30 Onc-16 30 Onc-16 30 Onc-16 31 Onc-16 31 Onc-16 31 Onc-16 31 Onc-16 31 Onc-16	Risken to senten the CEC contracting dee. Paller to select the CEC contracting dee. Date to communities of the CEC Registron THE CEC REGIS
742 748 746 746 746	Affect Rask Valende - USE Brown. Martine Capital Privated Limited Agen Capital Recentler Privated Limited Agen Capital Recentler Privated Limited Agen Capital Securities Privated Limited Agen Capital Securities Privated Limited Agen Capital Securities (Privated Limited Agen Capital Securities (Privated Limited B & B & Recentler (Privated Recentler) (Privated B & B & Recentler (Privated Recentler) (Privated B & B & Recentler (Privated) (Privated B & B & B & B & B & B & B & B & B & B	Motion of Mingolatory Actions Motion of Mingolatory Actions Motion of Mingolatory Actions Motion of Mingolatory Actions Motion of Mingolatory Motion of Mi	29 Oec-16 29 Oec-16 29 Oec-16 20 Oec-16 20 Oec-16	Palan is united the CCC contracting den- Palan is united the CCC contracting den- bases to community of processing of the CCC Registration. Note Complained of CCC Registration.
742 748 746 746 746 746 747 748 749 750 751	Ander Sax income - Lik Brownh Ander Sax income - Mi Brownh Lamins Cappar (Mount) Lamins Lamins Cappar (Mount) Lamins Lamins Cappar (Mount) Lamins Associated income interval cappar Associated (Mount) Lamins Associated (Mount) Associated (Moun	Motor of Regulatory Action Notice of Regulatory Action Notice of Regulatory Action Notice of Regulatory Restricted Notice of Restriction	20 Onc 56 30 Onc 56	Nature to sent the CCC contrology date. Nature to sent the CCC contrology date. Into a contrological of processor of the CCC spondings Montrological of CCCC spondings Montrological of CCC spondings Montrological of CC
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742 748 746 746 746 746 747 748 749 750 751	Ander Sax income - Lik Brownh Ander Sax income - Mi Brownh Lamins Cappar (Mount) Lamins Lamins Cappar (Mount) Lamins Lamins Cappar (Mount) Lamins Associated income interval cappar Associated (Mount) Lamins Associated (Mount) Associated (Moun	Micro of Regulatory Action Nation of Regulatory Action Nation of Regulatory Action Nation of Regulatory Action Nation of Regulatory Action Resident of Residents Resident of Residents Nation of Residents Commen Nation Commen Nation Commen Nation Commen Nation Commen Nation Action of Residents Nation of Res	28 One-56 28 One-56 29 One-56 29 One-56 39 One-56	date in sent to CSS controlled Arms. That is was not CSS controlled Arms. The trans and CSS controlled Arms. The CSS co
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