Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
1	Jurshead & Hasan Securities (Private) Limited	Terminated	07-Nov-19	Voluntary Terminatior
2	AL HABIB CAPITAL MARKETS (PRIVATE) LIMITED	Censure Letter	05-Nov-19	Non-Compliance of CDC Regulations
3	H.R. Byzenji & Co. (Pvt.) Limited	Censure Letter	05-Nov-19	Non-Compliance of CDC Regulations
4	High Land Securities (Pvt) Limited	Censure Letter	05-Nov-19	Non-Compliance of CDC Regulations
5	Yasir Mahmood Securities (Pvt) Ltd.	Censure Letter	05-Nov-19	Non-Compliance of CDC Regulations
6	Techno Fundamental Securities (Private) Limited	Terminated	01-Nov-19	Voluntary Terminatior
7	Ichiro Capital Markets Limited	Terminated	18-Nov-19	Voluntary Terminatior
8	Ejazt Securities Limited	Restricted	18-Nov-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no PSX/N-1408 dated November 18, 2019
9	MSD Capital Equities (Private) Limited	Restricted	18-Nov-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no PSX/N-1408 dated November 18, 2019
10	Share Centre (Private) Limited	Terminated	7-Nov-19	Voluntary Terminatior
11	Ms Munir M. Ahmed Wazeer Securities (Pvt.) Limited	Notice of Imposition of Penalty	1-Nov-19	Non-Compliance of CDC Regulations
12	ABM Capital Management Limited	Notice of Restriction & Censure	31-Oct-19	Non-Compliance of CDC Regulations
13	Asaa Securities (Private) Limited	Suspended	22-Oct-19	Consequent to the suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/187/19 dated October 22, 2019.
14	Asaa Securities (Private) Limited	Removal of Suspension	22-Oct-19	Due to removal of suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/188/19 dated October 22, 2019
15	Brainstorm Pakistan Limited	Terminated	16-Oct-19	Voluntary Terminatior
16	Market 786 (Private) Limited	Notice of Restriction & Censure	16-Oct-19	Non-Compliance of CDC Regulations
17	Al-Haqiqi Securities & Investment Corporation (Private) Limited	Terminated	10-Oct-19	Voluntary Terminatior
18	Pervez Ahmed Capital (Pvt.) Limited	Restricted	7-Oct-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-1249 dated October 07, 2019.
19	ASA Securities (Private) Limited	Censure Letter	7-Oct-19	Non-Compliance of CDC Regulations
20	Ingcity Master Securities (Pvt.) Limited	Censure Letter	1-Oct-19	Non-Compliance of CDC Regulations
21	Hamza Farhad Securities (Pvt.) Limited	Removal of Restrictions	27-Sep-19	Consequent to restoration of trading terminals of Participant by PSX vide notice no. PSX/N-1201 dated September 27, 2019.
22	South Asian Securities (Private) Limited	Terminated	26-Sep-19	Voluntary Terminatior
23	Diawood Equities Ltd.	Notice of Restriction & Censure	23-Sep-19	Non-Compliance of CDC Regulations
24	Hamza Farhad Securities (Pvt.) Limited	Restricted	19-Sep-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-1162 dated September 19, 2019.
25	ASDA Securities (Pvt.) Limited - MF	Restricted	17-Sep-19	Consequent to imposing the restriction by NCCPL as an MF Account Holder vide notice no. NCCPL/CRO/1217/19 dated September 17, 2019.
26	Progressive Securities (Pvt.) Limited	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
27	Zahid Securities Limited	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
28	Adeel & Nadeem Securities (Pvt.) Limited	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
29	MMD Investment (Pvt.) Limited	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
30	Insight Securities (Pvt.) Limited	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
31	Jubilee Migend Securities (Private) Limited	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
32	Zaptco Securities (Pvt.) Ltd.	Notice of Restriction & Censure	17-Sep-19	Non-Compliance of CDC Regulations
33	Gul Dhaki Securities (Pvt) Ltd.	Censure Letter	17-Sep-19	Non-Compliance of CDC Regulations
34	Bee Financial Services Limited	Removal of Suspension	16-Sep-19	Consequent to lift up the suspension of license of Participant by SECP vide Order dated September 16, 2019 as Securities Broker.
35	Zillion Capital Securities Pvt. Limited - MF	Restricted	16-Sep-19	Consequent to imposing the restriction by NCCPL as an MF Account Holder vide notice no. NCCPL/CRO/1212/19 dated September 13, 2019.
36	Ainer Securities (Private) Limited - MF	Restricted	16-Sep-19	Consequent to imposing the restriction by NCCPL as an MF Account Holder vide notice no. NCCPL/CRO/1213/19 dated September 13, 2019.
37	Baba Equities (Pvt.) Limited	Restricted	16-Sep-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-1132 dated September 16, 2019
38	Bee Financial Services Limited	Suspended	6-Sep-19	Consequent to suspension the license of of Participant by SECP vide order dated September 06, 2019 as Securities Broker
39	Momentor Financial (Pvt.) Limited	Censure Letter	5-Sep-19	Non-Compliance of CDC Regulations
40	FDM Capital Securities (Pvt.) Limited	Notice of Restriction & Censure	5-Sep-19	Non-Compliance of CDC Regulations
41	Summit Capital (Pvt.) Limited	Notice of Restriction & Censure	5-Sep-19	Non-Compliance of CDC Regulations
42	Tariq Sayeed Securities (Pvt) Limited	Terminated	4-Sep-19	Voluntary Terminatior
43	Ashfaq Ashraf Securities (Private) Limited	Terminated	2-Sep-19	Voluntary Terminatior
44	Nayyar Sheikh Securities (Pvt.) Limited	Terminated	30-Aug-19	Voluntary Terminatior
45	Haji Abdul Sattar Securities (Pvt.) Limited	Terminated	30-Aug-19	Voluntary Terminatior
46	M H Securities (Private) Limited	Terminated	27-Aug-19	Voluntary Terminatior
47	R.F.L. Equity (Private) Limited	Terminated	26-Aug-19	Voluntary Terminatior
48	Zahid Latif Khan Securities (Pvt.) Limited	Censure Letter	8-Aug-19	Non-Compliance of CDC Regulations
49	Momtaz Securities (Pvt.) Limited	Censure Letter	8-Aug-19	Non-Compliance of CDC Regulations
50	Kafi Securities (Pvt.) Ltd	Censure Letter	8-Aug-19	Non-Compliance of CDC Regulations
51	Multiline Securities (Pvt) Limited	Censure Letter	31-Jul-19	Non-Compliance of CDC Regulations
52	Maan Securities (Private) Limited	Censure Letter	26-Jul-19	Non-Compliance of CDC Regulations
53	Dragonjack Securities (Pvt) Limited	Censure Letter	26-Jul-19	Non-Compliance of CDC Regulations
54	Nayyar Sheikh Securities (Pvt) Ltd.	Censure Letter	26-Jul-19	Non-Compliance of CDC Regulations
55	Khawaja Securities (Pvt.) Limited	Censure Letter	25-Jul-19	Non-Compliance of CDC Regulations
56	JAK Securities (Pvt.) Limited	Removal of Restrictions	18-Jul-19	Consequent to restoration of trading terminals of Participant by PSX, as notified by PSX vide notice no. PSX/N-876 dated July 17, 2019.
57	JAK Securities (Pvt.) Limited	Restricted	16-Jul-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-872 dated July 16, 2019 .
58	Cognine Securities Limited	Censure Letter	15-Jul-19	Non-Compliance of CDC Regulations
59	Alia Ali Habib Securities (Pvt.) Limited	Censure Letter	15-Jul-19	Non-Compliance of CDC Regulations
60	Asaa Securities (Private) Limited	Removal of Suspension	15-Jul-19	Due to removal of suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/1024/19 dated July 15, 2019.
61	KP Securities (Private) Limited	Restricted	15-Jul-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-867 dated July 15, 2019.
62	Asaa Securities (Private) Limited	Suspended	15-Jul-19	Consequent to the suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/1024/19 dated July 15, 2019.
63	Alfalah Securities (Pvt.) Limited	Notice of Restriction & Censure	9-Jul-19	Non-Compliance of CDC Regulations
64	Taurus Securities Limited	Notice of Restriction & Censure	9-Jul-19	Non-Compliance of CDC Regulations
65	Reliance Securities Limited	Removal of Restrictions	28-Jun-19	Consequent to restoration of trading terminals of Participant by PSX, as notified by PSX vide notice no. PSX/N-803 dated June 28, 2019.
66	Diawood Equities Limited	Suspended	26-Jun-19	Consequent to the suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/922/19 dated June 26, 2019.
67	Diawood Equities Limited	Removal of Suspension	26-Jun-19	Due to removal of suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRO/923/19 dated June 26, 2019.
68	CMR Securities (Pvt.) Limited	Notice in respect of Participant	25-Jun-19	Participant has complied with the Regulation 6.8.2 CDC Regulations
69	Reliance Securities Limited	Restricted	18-Jun-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-766 dated June 18, 2019.
70	Dawlance Capital Securities (Pvt.) Limited	Terminated	11-Jun-19	Voluntary Terminatior
71	Standard Capital Securities (Pvt.) Limited	Notice of Restriction & Censure	31-May-19	Non-Compliance of CDC Regulations
72	JS Capital Limited	Censure Letter	31-May-19	Non-Compliance of CDC Regulations
73	Asaa Securities (Private) Limited	Notice of Restriction & Censure	30-May-19	Non-Compliance of CDC Regulations
74	Nisa Securities (Pvt.) Limited	Censure Letter	30-May-19	Non-Compliance of CDC Regulations
75	K S Securities (Pvt.) Limited	Notice of Restriction & Censure	30-May-19	Non-Compliance of CDC Regulations
76	Khalim-Ali Shah Bukhari Securities (Pvt.) Limited	Notice of Restriction & Censure	29-May-19	Non-Compliance of CDC Regulations
77	A. H. M. Securities (Pvt.) Limited	Notice of Restriction & Censure	29-May-19	Non-Compliance of CDC Regulations
78	Vision Capital Management (Private) Limited	Terminated	23-May-19	Voluntary Terminatior
79	First Capital Equities Limited	Removal of Restriction	21-May-19	Consequent to restoration of trading terminals of Participant by PSX , as notified by PSX vide notice no. PSX/N-638 dated May 21, 2019.
80	First Capital Equities Limited	Restricted	16-May-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-622 dated May 16, 2019.
81	Asaa Securities (Private) Limited	Suspended	14-May-19	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/824/19 dated May 14, 2019.
82	Asaa Securities (Private) Limited	Removal of Suspension	14-May-19	Consequent to removal of suspension by NCCPL as notified vide notice no. NCCPL/CRO/825/19 dated May 14, 2019.
83	ASA Stock Brokerage (Pvt) Limited	Terminated	13-May-19	Voluntary Terminatior
84	Asaa Securities (Private) Limited	Suspended	9-May-19	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/825/19 dated May 09, 2019.
85	Asaa Securities (Private) Limited	Removal of Suspension	9-May-19	Consequent to removal of suspension by NCCPL as notified vide notice no. NCCPL/CRO/826/19 dated May 09, 2019.
86	Spectrum Securities Limited	Notice of Restriction & Censure	9-May-19	Non-Compliance of CDC Regulations
87	Beaming Investment & Securities (Private) Limited	Notice in respect of Participant	7-May-19	Participant has complied with the Regulation 6.8.2 CDC Regulations
88	Jaks Securities (Pvt) Limited	Terminated	2-May-19	Voluntary Terminatior
89	Intermarket Securities Limited	Notice of Restriction & Censure	30-Apr-19	Non-Compliance of CDC Regulations
90	Royal Securities (Pvt.) Limited	Censure Letter	26-Apr-19	Non-Compliance of CDC Regulations
91	JS Global Securities (Pvt.) Limited	Notice of Restriction & Censure	26-Apr-19	Non-Compliance of CDC Regulations
92	Cascade Investment (Private) Limited	Terminated	19-Apr-19	Voluntary Terminatior
93	CMR Securities (Pvt) Limited	Suspended	9-Apr-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
94	Beaming Investment & Securities (Private) Limited	Suspended	26-Mar-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
95	First Fidelity Leasing Modaraba	Suspended	26-Mar-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
96	Millennium Securities & Investments (Private) Limited	Suspended	26-Mar-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
97	Dawlance Islamic Financial Services (Private) Limited	Suspended	26-Mar-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
98	MMC Investments (Private) Ltd.	Suspended	26-Mar-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
99	First Pakistan Securities Limited	Suspended	26-Mar-19	Due to non-compliances of 6.8.2 of CDC Regulations after completing 60 Business Days of restrictions.
100	Multiline Securities (Pvt.) Limited	Censure Letter	22-Mar-19	Non-Compliance of CDC Regulations
101	Seven Star Securities (Pvt.) Limited	Censure Letter	22-Mar-19	Non-Compliance of CDC Regulations
102	Vector Securities (Pvt.) Limited	Censure Letter	22-Mar-19	Non-Compliance of CDC Regulations
103	Muhammad Ashfaq Hussain Securities (Pvt.) Limited	Notice of Restriction & Censure	22-Mar-19	Non-Compliance of CDC Regulations
104	Prime Securities (Pvt.) Limited	Censure Letter	22-Mar-19	Non-Compliance of CDC Regulations
105	Sajna Capital (Pvt.) Limited	Censure Letter	22-Mar-19	Non-Compliance of CDC Regulations
106	JAK Securities (Pvt.) Limited	Removal of Restrictions	22-Mar-19	Consequent to restoration of trading terminals of Participant by PSX with effect from Monday, March 25, 2019, as notified by PSX vide notice no. PSX/N-391 dated March 22, 2019.
107	Prime Securities (Private) Limited	Terminated	22-Mar-19	Voluntary Terminatior
108	RUC Securities (Pvt) Limited	Restricted	18-Mar-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-293 dated March 15, 2019.
109	JAK Securities (Pvt) Limited	Restricted	18-Mar-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-293 dated March 15, 2019.
110	KAT Stocks (Pvt) Limited	Restricted	18-Mar-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-293 dated March 15, 2019.
111	Alert Securities Pvt Ltd	Censure Letter	28-Feb-19	Non-Compliance of CDC Regulations
112	Reliance Securities Limited	Censure Letter	28-Feb-19	Non-Compliance of CDC Regulations
113	Khanani Securities (Pvt.) Limited	Censure Letter	27-Feb-19	Non-Compliance of CDC Regulations
114	B.L.A Securities (Pvt.) Limited	Censure Letter	27-Feb-19	Non-Compliance of CDC Regulations
115	Fikree's (Pvt.) Limited	Censure Letter	27-Feb-19	Non-Compliance of CDC Regulations
116	Insight Securities (Pvt.) Limited	Censure Letter	27-Feb-19	Non-Compliance of CDC Regulations
117	AMLAP Securities (Private) Limited - LLL	Notice of Restriction & Censure	22-Feb-19	Non-Compliance of CDC Regulations
118	Habib Metropolitan Financial Services Limited	Notice of Restriction & Censure	22-Feb-19	Non-Compliance of CDC Regulations
119	AMLAP Securities (Private) Limited	Suspended	22-Feb-19	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/1174/18 dated February 22, 2019.
120	Maha Securities (Pvt.) Limited	Notice in respect of Participant	22-Feb-19	Participant has complied with the Regulation 6.8.2 CDC Regulations
121	Invest Capital Markets Limited	Terminated	21-Feb-19	Consequent to the Refunded of IPE TRE Certificate of the Participant by PSX vide notice no. PSX/N-197 dated February 21, 2019.
122	21st Securities (Private) Limited	Removal of Restriction for opening offline Sub-Account	18-Feb-19	Consequent to removal of restriction for the opening of new Clients' accounts by the PSX as notified by PSX vide notice no. PSX/N-158 dated February 18, 2019.
123	South Asian Securities (Private) Limited	Restricted	18-Feb-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-159 dated February 18, 2019.
124	J.M. Securities (Pvt.) Ltd. - Ltd.	Notice of Restriction & Censure	15-Feb-19	Non-Compliance of CDC Regulations
125	Growth Securities (Pvt) Ltd.	Notice of Restriction & Censure	13-Feb-19	Non-Compliance of CDC Regulations
126	2W Securities Limited	Censure Letter	13-Feb-19	Non-Compliance of CDC Regulations
127	Millennium Brokerage (Pvt.) Limited	Censure Letter	13-Feb-19	Non-Compliance of CDC Regulations
128	Pearl Brokerage (Private) Limited	Terminated	31-Jan-19	Voluntary Terminatior
129	Zaviel Securities (Pvt) Ltd.	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
130	Galaxy Capital Securities (Pvt) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
131	Fairway Securities (Pvt) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
132	Draper Capital Pakistan (Private) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
133	Fawad Yusuf Securities (Pvt.) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
134	ASA Stocks (Pvt.) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
135	Foundation Securities (Private) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations

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S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
136	B.O.A Securities (Private) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
137	Muhammad Salim Kasmani Securities (Pvt.) Ltd.	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
138	Abbasi & Company (Private) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
139	Ali Midlah Securities (Private) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
140	ABM Securities (Pvt) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
141	Zaima Qaiser Securities (Pvt) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
142	Javed Iqbal Securities (Pvt) Ltd.	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
143	Chinab Stock Services (Pvt) Limited	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
144	Alfa Adhi Securities (Pvt) Ltd.	Censure Letter	25-Jan-19	Non-Compliance of CDC Regulations
145	LSB Securities (Private) Limited	Notice of Restriction & Censure	25-Jan-19	Non-Compliance of CDC Regulations
146	HMC Stocks (Private) Limited	Removal of Restriction	23-Jan-19	Consequent to restoration of trading terminals of Participant by PSX with immediate effect as notified by PSX vide notice no. PSX/N-25 dated January 23, 2019.
147	Arif Habib Limited	Notice of Restriction & Censure	22-Jan-19	Non-Compliance of CDC Regulations
148	K.H.S. Securities (Pvt.) Limited	Removal of Restriction	21-Jan-19	Consequent to restoration of trading terminals of Participant by PSX with immediate effect as notified by PSX vide notice no. PSX/N-44 dated January 21, 2019.
149	Fair Deal Securities (Private) Limited	Terminated	16-Jan-19	Voluntary Terminatior
150	HMC Stocks (Private) Limited	Restricted	16-Jan-19	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-44 dated January 16, 2019.
151	K.H.S. Securities (Pvt.) Limited	Restricted	16-Jan-19	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-44 dated January 16, 2019.
152	CMK Securities (Pvt.) Limited	Restricted	16-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
153	ASR Stock Brokerage (Pvt) Ltd.	Removal of Restriction	15-Jan-19	Participant has complied with the Regulation 6.8.2
154	Fair Deal Securities (Pvt) Ltd.	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
155	Bizenting Investment & Securities (Private) Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
156	Alpha Securities (Pvt.) Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
157	Invest Capital Markets Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
158	BMAC Investments (Private) Ltd.	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
159	ASR Stock Brokerage (Pvt) Ltd.	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
160	First Pakistan Securities Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
161	Dawlah Islamic Financial Services (Private) Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
162	Millennium Securities & Investments (Private) Limited	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
163	First Fidelity Leasing Modaraba	Restricted	1-Jan-19	Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation
164	Pajjaria Leasing Corporation Limited	Suspended	31-Dec-18	Failure to settle the CDC outstanding dues
165	South Asian Securities (Private) Limited	Removal of Suspension	21-Dec-18	Consequent to switching-off of the trading terminals by PSX with immediate effect vide notice no. PSX/N-6564 dated December 21, 2018.
166	Iqbal usman Iqubsar Securities (Pvt.) Limited	Censure Letter	20-Dec-18	Non-Compliance of CDC Regulations
167	RUC Securities (Private) Limited	Removal of Restriction	18-Dec-18	Consequent to restoration of trading terminals of Participant by PSX with immediate effect as notified by PSX vide notice no. PSX/N-6536 dated December 18, 2018.
168	GVR Capital Securities (Pvt.) Limited	Censure Letter	18-Dec-18	Non-Compliance of CDC Regulations
169	Crescent Securities (Pvt.) Limited	Censure Letter	14-Dec-18	Non-Compliance of CDC Regulations
170	Ajaxa Capital Securities (Pvt) Limited	Censure Letter	14-Dec-18	Non-Compliance of CDC Regulations
171	Time Securities (Pvt.) Limited	Censure Letter	14-Dec-18	Non-Compliance of CDC Regulations
172	J.I Bank Limited	Censure Letter	14-Dec-18	Non-Compliance of CDC Regulations
173	Guimo Securities (Private) Limited	Removal of CDC Notice dated December 03, 2018	13-Dec-18	Participant has complied with Regulation 6.8.1 of the CDC Regulations
174	MRA Securities Limited	Notice of Restriction & Censure	30-Nov-18	Non-Compliance of CDC Regulations
175	Creative Capital Securities (Pvt.) Limited	Censure Letter	30-Nov-18	Non-Compliance of CDC Regulations
176	K & B Securities (Pvt.) Limited	Censure Letter	30-Nov-18	Non-Compliance of CDC Regulations
177	Akhtar Securities (Pvt.) Limited	Censure Letter	30-Nov-18	Non-Compliance of CDC Regulations
178	Summaira Securities (Pvt.) Limited	Censure Letter	30-Nov-18	Non-Compliance of CDC Regulations
179	Tariq Sayeed Securities (Private) Limited	Removal of Suspension	29-Nov-18	Consequent to removal of suspension by NCCPL as notified vide notice no. NCCPL/CRO/2117/18 dated November 28, 2018 and restoration of trading terminals by PSX as notified vide notice no. PSX/N-6459 dated November 16, 2018.
180	Alfa Global Capital (Pvt.) Limited	Censure Letter	23-Nov-18	Non-Compliance of CDC Regulations
181	Johnsonb Securities (Pvt) Limited	Terminated	23-Nov-18	Voluntary Terminatior
182	Bhayani Securities (Pvt) Ltd.	Notice of Restriction & Censure	22-Nov-18	Non-Compliance of CDC Regulations
183	Basit Capital (Pvt) Limited	Notice of Restriction & Censure	22-Nov-18	Non-Compliance of CDC Regulations
184	TL Securities (Pvt) Ltd.	Notice of Imposition of Penalty	22-Nov-18	Non-Compliance of CDC Regulations
185	Dawood Equities Limited	Notice of Restriction & Censure	22-Nov-18	Non-Compliance of CDC Regulations
186	TL Securities (Pvt) Ltd.	Imposition of Penalty	22-Nov-18	Penalty imposed on non-compliances highlighted in inspection of Participant Record
187	Prosperity Securities (Private) Limited	Terminated	16-Nov-18	Voluntary Terminatior
188	AM Chaudhary Securities (Private) Limited	Removal of Suspension	16-Nov-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-6453 dated November 15, 2018.
189	RUC Securities (Private) Limited	Restricted	16-Nov-18	Consequent to switching-off of the trading terminals by PSX vide notice no. PSX/N-6453 dated November 16, 2018.
190	Monarchse Securities (Pvt.) Limited	Censure Letter	15-Nov-18	Non-Compliance of CDC Regulations
191	Gul Dhami Securities (Pvt.) Limited	Censure Letter	15-Nov-18	Non-Compliance of CDC Regulations
192	CSML Securities (Pvt.) Limited	Censure Letter	15-Nov-18	Non-Compliance of CDC Regulations
193	Magnet Securities (Private) Limited	Censure Letter	12-Nov-18	Non-Compliance of CDC Regulations
194	Q. Ain Khosani Securities (Private) Limited	Censure Letter	12-Nov-18	Non-Compliance of CDC Regulations
195	Friendly Securities (Pvt) Ltd.	Censure Letter	9-Nov-18	Non-Compliance of CDC Regulations
196	Shaffi Securities (Pvt.) Limited	Censure Letter	9-Nov-18	Non-Compliance of CDC Regulations
197	CASSIM Investments (Pvt.) Limited	Censure Letter	9-Nov-18	Non-Compliance of CDC Regulations
198	Khawaja Securities (Pvt.) Limited	Censure Letter	9-Nov-18	Non-Compliance of CDC Regulations
199	J.M. Securities (Private) Limited	Restricted	26-Oct-18	Consequent to switching-off of the trading terminals by PSX vide notice no. PSX/N-6283 dated October 26, 2018
200	Epert Securities Limited	Removal of Restriction	25-Oct-18	Consequent to restoration of trading terminals of Participant by PSX with effect from October 25, 2018 as notified by PSX vide notice no. PSX/N-6281 dated October 24, 2018.
201	Al-Ghazali Bank Limited	Terminated	22-Oct-18	Voluntary Terminatior
202	Salim Sozer Securities (Pvt.) Limited	Suspended	17-Oct-18	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/2017/18 dated October 17, 2018
203	Salim Sozer Securities (Pvt.) Limited	Removal of Suspension	17-Oct-18	Consequent to removal of suspension by NCCPL as notified vide notice no. NCCPL/CRO/2018/18 dated October 17, 2018
204	A.S. Securities (Pvt.) Limited	Restricted	16-Oct-18	Consequent to switching-off of the trading terminals by PSX vide notice no. PSX/N-6081 dated October 16, 2018
205	CAMCO (Pvt) Limited	Removal of Restriction	12-Oct-18	Consequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSX/N-6007 dated October 12, 2018
206	Fairtrade Capital Securities (Pvt.) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
207	Lotus Securities (Pvt) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
208	Optimus Capital Management (Private) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
209	VFG Hermes Pakistan Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
210	FBM Capital Securities (Pvt) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
211	Crescim Investments (Private) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
212	Market 786 (Private) Limited	Notice of Restriction & Censure	9-Oct-18	Non-Compliance of CDC Regulations
213	First Capital Equities Limited	Suspended	9-Oct-18	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRO/75/18 dated October 09, 2018
214	First Capital Equities Limited	Removal of Suspension	9-Oct-18	Consequent to removal of suspension by NCCPL as notified vide notice no. NCCPL/CRO/76/18 dated October 09, 2018
215	PAA Securities (Pvt) Limited	Removal of Restriction	9-Oct-18	Consequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSX/N-5917 dated October 09, 2018
216	Summit Bank Limited - Summit Custodian Services	Censure Letter	5-Oct-18	Non-Compliance of CDC Regulations
217	MBM Securities (Private) Limited	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations
218	Fair Deal Securities (Pvt) Ltd.	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations
219	Ibrar Ahmed Securities (Pvt) Ltd.	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations
220	Arif Latif Securities (Pvt) Ltd.	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations
221	Trust Securities & Brokerage Limited	Censure Letter	2-Oct-18	Non-Compliance of CDC Regulations
222	ASSA Securities (Pvt.) Ltd.	Notice of Restriction & Censure	2-Oct-18	Non-Compliance of CDC Regulations
223	Zahid Latif Atlas Securities (Pvt.) Limited	Notice of Restriction & Censure	25-Sep-18	Non-Compliance of CDC Regulations
224	CSML Securities (Pvt.) Limited	Restricted	19-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5351 dated September 18, 2018.
225	BMAC Investments (Private) Limited	Restricted	19-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5351 dated September 18, 2018.
226	CAMCO (Pvt.) Limited	Restricted	19-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5351 dated September 18, 2018.
227	Ajaxa Capital Securities (Private) Limited	Removal of Restriction	19-Sep-18	Consequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSX/N-5364 dated September 19, 2018.
228	MBD Capital Equities (Pvt.) Limited	Removal of Restriction	19-Sep-18	Consequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSX/N-5364 dated September 19, 2018.
229	Ajaxa Capital Securities (Private) Limited	Restricted	18-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.
230	PAA Securities (Pvt) Limited	Restricted	18-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.
231	MBD Capital Equities (Pvt.) Limited	Restricted	18-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.
232	Epert Securities Limited	Restricted	18-Sep-18	Consequent to switching-off of the trading terminals of Participant as notified by PSX vide notice no. PSX/N-5310 dated September 18, 2018.
233	Progressive Investment Management (Pvt.) Ltd	Removal of Restriction	18-Sep-18	Participant has removed causes of Restrictions

Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
234	Next Capital Limited	Notice of Restriction & Censure	18-Sep-18	Non-Compliance of CDC Regulations
235	Alacizer Securities Limited	Notice of Restriction & Penalty	18-Sep-18	Non-Compliance of CDC Regulations
236	MS Financial Services Limited	Notice of Restriction & Penalty	18-Sep-18	Non-Compliance of CDC Regulations
237	Cedar Securities Pakistan (Pvt.) Limited	Notice of Restriction & Penalty	18-Sep-18	Non-Compliance of CDC Regulations
238	First Capital Equities Limited	Notice of Restriction & Censure	18-Sep-18	Non-Compliance of CDC Regulations
239	Danish Securities (Pvt.) Limited	Notice of Restriction & Penalty	18-Sep-18	Non-Compliance of CDC Regulations
240	Invest Forum (Private) Limited	Terminated	17-Sep-18	Voluntary Terminatior
241	Progressive Investment Management (Pvt.) Ltd	Restricted	14-Sep-18	Due to contravention of Section 24 of CD Act 1997 read with Regulation 8.7 of the CDC Rules-Delivery
242	ANEAP Securities (Private) Limited	Further revoked Restriction	10-Sep-18	Due to the Prohibitory Order by SECP vide Order dated September 04, 2018
243	First National Equities Limited	Notice of Restriction & Censure	12-Sep-18	Non-Compliance of CDC Regulations
244	ANEAP Securities (Private) Limited	Revised Notice of Restriction	10-Sep-18	Consequent to suspension of the trading terminals of Participant by PSX vide notice no. PSX/N-5144 dated September 10, 2018.
245	Fairtrade Capital Securities (Private) Limited	Removal of Restriction	31-Aug-18	Consequent to removal of restriction by PSX as notified by PSX vide notice no. PSX/N-4965 dated August 30, 2018 for opening of new clients account
246	Saima Qaiser Securities (Private) Limited	Removal of Restriction	20-Aug-18	Consequent to restoration of trading terminals by PSX as notified By PSX vide notice no. PSX/N-4832 dated August 20, 2018.
247	Saima Qaiser Securities (Private) Limited	Restricted	17-Aug-18	Consequent to switching off of the trading terminals by PSX vide notice no. PSX/N-4767 dated August 16, 2018.
248	Venus Securities (Private) Limited	Removal of suspension	15-Aug-18	Consequent to restoration of trading terminals by PSX as notified by PSX vide notice no. PSX/N-4702 dated August 15, 2018 and in view of letter No. 2(181)SE/SMD/18 dated May 16, 2018 of the SECP.
249	Pearl Securities Limited	Notice of Restriction & Censure	9-Aug-18	Non-Compliance of CDC Regulations
250	Summit Capital (Pvt.) Limited - Ltd	Notice of Restriction & Censure	8-Aug-18	Non-Compliance of CDC Regulations
251	Pagilow Securities Limited	Notice of Restriction & Censure	8-Aug-18	Non-Compliance of CDC Regulations
252	Zafar Securities (Pvt.) Limited	Notice of Restriction & Censure	8-Aug-18	Non-Compliance of CDC Regulations
253	HMC Stocks (SMC-Pvt.) Limited	Notice of Restriction & Penalty	8-Aug-18	Non-Compliance of CDC Regulations
254	Javed Zakaria Gulabi Securities (Pvt.) Limited	Terminated	5-Aug-18	Voluntary Terminatior
255	Value Stock and Commodities (Private) Limited	Removal of Restriction	1-Aug-18	Consequent to removal of restriction by PSX as notified by PSX vide notice no. PSX/N-4512 dated August 01, 2018 for opening of new clients account
256	Cohro Capital Management (Private) Limited	Removal of Suspension	26-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-4384 dated July 24, 2018
257	Africam Securities (Pvt.) Limited	Removal of Suspension	24-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-4376 dated July 24, 2018
258	R.F.L. Equity (Private) Limited	Removal of Suspension	24-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-4388 dated July 24, 2018
259	IAS Securities (Pvt.) Limited	Terminated	20-Jul-18	Voluntary Terminatior
260	IMAC Investments (Pvt.) Limited	Removal of Suspension	09-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no.PSX/N-4263 dated July 08, 2018
261	Interactive Securities (Private) Limited	Removal of Suspension	17-Jul-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-4215 dated July 13, 2018
262	First Dawood Investment Bank Limited	Terminated	13-Jul-18	Voluntary Terminatior
263	Danish Securities (Private) Limited	Terminated	9-Jul-18	Voluntary Terminatior
264	Danish Securities (Pvt) Limited - Ltd	Censure Letter	9-Jul-18	Non-Compliance of CDC Regulations
265	Javed Iqbal Securities (Pvt.) Limited	Notice of Restriction & Censure	5-Jul-18	Non-Compliance of CDC Regulations
266	Fair Edge Securities (Pvt.) Limited	Notice of Restriction & Censure	5-Jul-18	Non-Compliance of CDC Regulations
267	General Invest. & Securities (Pvt.) Limited	Notice of Restriction & Censure	5-Jul-18	Non-Compliance of CDC Regulations
268	Momentor Financial (Pvt.) Limited	Notice of Restriction & Censure	5-Jul-18	Non-Compliance of CDC Regulations
269	Safe Securities (Private) Limited	Terminated	4-Jul-18	Voluntary Terminatior
270	ASA Stocks (Private) Limited	Removal of Restriction	29-Jun-18	Consequent to restoration of trading terminals by PSX as notified vide notice no. PSX/N-3946 dated June 29, 2018.
271	Vidanwala Capital Securities (Pvt) Ltd.	Censure Letter	27-Jun-18	Non-Compliance of CDC Regulations
272	High Land Securities (Pvt.) Limited	Censure Letter	27-Jun-18	Non-Compliance of CDC Regulations
273	11B Securities (Pvt.) Limited	Censure Letter	27-Jun-18	Non-Compliance of CDC Regulations
274	KP Securities (Private) Limited	Removal of Restriction	27-Jun-18	Consequent to restoration of trading terminals by PSX as notified vide notice no. PSX/N-3897 dated June 27, 2018.
275	Fairtrade Capital Securities (Private) Limited	Restricted	25-Jun-18	Consequent to switching off the trading terminals by PSX vide notice no. PSX/N-3828 dated June 22, 2018 in respect of the opening of new Sub-Account
276	R. S. Securities (Pvt) Limited - Ltd	Censure Letter	21-Jun-18	Non-Compliance of CDC Regulations
277	Zaptco Securities (Private) Limited	Censure Letter	21-Jun-18	Non-Compliance of CDC Regulations
278	Summit Capital (Private) Limited	Censure Letter	21-Jun-18	Non-Compliance of CDC Regulations
279	KP Securities (Private) Limited	Restricted	20-Jun-18	Consequent to switching off the trading terminals by PSX as notified vide notice no. PSX/N-3785 dated June 20, 2018
280	ASA Stocks (Private) Limited	Restricted	20-Jun-18	Consequent to switching off the trading terminals by PSX vide notice no. PSX/N-3783 dated June 20, 2018
281	ANEAP Securities (Private) Limited	Modification in Restrication	19-Jun-18	Consequent to removal of suspension NCCPL as notified vide notice no. NCCPL/CRD/79/18 dated June 19, 2018. However, Restriction in respect of the opening of new Sub-Account not yet removed
282	ANEAP Securities (Private) Limited	Suspended	19-Jun-18	Consequent to the suspension by NCCPL as notified vide notice no. NCCPL/CRD/78/18 dated June 18, 2018
283	Adeel Zafar Securities (Private) Limited	Terminated	1-Jun-18	Voluntary Terminatior
284	EMM Capital Securities (Pvt.) Limited	Notice of Restriction & Censure	30-May-18	Non-Compliance of CDC Regulations
285	First Zenith (Private) Limited	Terminated	29-May-18	Voluntary Terminatior
286	EMM Securities (Private) Limited	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
287	Pearl Brokerage Private Limited	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
288	Zaibi Capital (Private) Limited	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
289	Continental Capital Management (Pvt) Ltd	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
290	Rahat Securities Limited	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
291	Blumillah Securities (Pvt) Ltd.	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
292	Fazal Mahmood Securities (Pvt) Ltd.	Censure Letter	28-May-18	Non-Compliance of CDC Regulations
293	Fair Deal Securities (Private) Limited	Restricted	16-May-18	Consequent to switching off the trading terminals by PSX vide notice no. PSX/N-3292 dated May 16, 2018
294	Standard Capital Securities Pvt Ltd.	Notice of Restriction & Censure	7-May-18	Non-Compliance of CDC Regulations
295	Peter Securities (Pvt.) Limited	Notice of Restriction & Censure	7-May-18	Non-Compliance of CDC Regulations
296	Pacha Securities (Pvt.) Limited	Notice of Restriction	7-May-18	Non-Compliance of CDC Regulations
297	Saimia Fairfield Securities (Pvt.) Limited	Censure Letter	7-May-18	Non-Compliance of CDC Regulations
298	Qaiser Equities (Pvt.) Limited	Censure Letter	7-May-18	Non-Compliance of CDC Regulations
299	2M Securities Limited	Censure Letter	7-May-18	Non-Compliance of CDC Regulations
300	Punjab Capital Securities (Pvt.) Limited	Censure Letter	7-May-18	Non-Compliance of CDC Regulations
301	Progressive Investment Management (Pvt.) Ltd	Censure Letter	7-May-18	Non-Compliance of CDC Regulations
302	Iqbal Usman Nadeem Securities (Pvt.) Limited	Censure Letter	25-Apr-18	Non-Compliance of CDC Regulations
303	Associates Securities (Pvt) Limited	Censure Letter	25-Apr-18	Non-Compliance of CDC Regulations
304	Seven Star Securities (Pvt.) Limited	Censure Letter	25-Apr-18	Non-Compliance of CDC Regulations
305	First National Equities Limited	Censure Letter	25-Apr-18	Non-Compliance of CDC Regulations
306	Pace Investment & Securities (Private) Limited	Terminated	16-Apr-18	Voluntarily terminatior
307	Profuse Securities Limited	Notice of Restriction & Censure	13-Apr-18	Non-Compliance of CDC Regulations
308	Monnas Capital (Pvt.) Limited	Censure Letter	13-Apr-18	Non-Compliance of CDC Regulations
309	Jubair Securities Limited	Censure Letter	9-Apr-18	Non-Compliance of CDC Regulations
310	Alibaco Securities (Pvt.) Limited	Censure Letter	6-Apr-18	Non-Compliance of CDC Regulations
311	S.G. Moca Securities (Pvt.) Limited	Notice of Restriction & Censure	30-Mar-18	Non-Compliance of CDC Regulations
312	Brains Securities (Pvt.) Limited	Censure Letter	29-Mar-18	Non-Compliance of CDC Regulations
313	Adeel & Nadeem Securities (Pvt.) Limited	Notice of Restriction & Censure	29-Mar-18	Non-Compliance of CDC Regulations
314	Amer Securities (Pvt.) Limited	Notice of Restriction & Censure	29-Mar-18	Non-Compliance of CDC Regulations
315	Mfab Investments (Pvt.) Limited	Notice of Restriction & Censure	29-Mar-18	Non-Compliance of CDC Regulations
316	Fair Deal Securities (Pvt.) Limited	Censure Letter	28-Mar-18	Non-Compliance of CDC Regulations
317	KP Securities (Pvt.) Limited	Censure Letter	28-Mar-18	Non-Compliance of CDC Regulations
318	Al-Haq Securities (Pvt.) Limited	Notice of Restriction & Censure	27-Mar-18	Non-Compliance of CDC Regulations
319	Aziz Global Limited	Notice of Restriction & Censure	27-Mar-18	Non-Compliance of CDC Regulations
320	Ali Hussain Rajabali Limited	Terminated	22-Mar-18	Voluntary Terminatior
321	Adam Securities Limited	Notice of Restriction & Censure	21-Mar-18	Non-Compliance of CDC Regulations
322	Progressive Securities (Pvt.) Limited	Notice of Restriction & Censure	21-Mar-18	Non-Compliance of CDC Regulations
323	Rahat Securities Limited	Notice of Restriction & Censure	21-Mar-18	Non-Compliance of CDC Regulations
324	Equity Master Securities (Pvt.) Limited	Censure Letter	21-Mar-18	Non-Compliance of CDC Regulations
325	Lorenzo & Hasan Securities (Pvt.) Limited	Notice of Restriction & Censure	21-Mar-18	Non-Compliance of CDC Regulations
326	Shuffi Securities (Private) Limited	Restricted	19-Mar-18	Due to switching off the trading terminals by PSX vide notice no. PSX/N-1786 dated March 19, 2018
327	Maha Securities (Pvt.) Limited	Restricted	19-Mar-18	Due to switching off the trading terminals by PSX vide notice no. PSX/N-1787 dated March 19, 2018
328	Shuffi Securities (Private) Limited	Removal of Restriction	19-Mar-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-1800 dated March 19, 2018.
329	Waveed H. M. Idrees (Private) Limited	Terminated	12-Mar-18	Participant ceas ceased to be Trading Right Entitlement Certificate holder as communicated by PSX vide notice no. PSX/N-1536 dated March 06, 2018.
330	Allbizzi Securities (Pvt.) Limited	Notice of Restriction & Censure	9-Mar-18	Non-Compliance of CDC Regulations
331	Sizar Securities (Pvt.) Limited	Notice of Restriction & Censure	9-Mar-18	Non-Compliance of CDC Regulations
332	F.M. Securities (Private) Limited	Restricted	9-Mar-18	Consequent to the restriction on opening of new clients account by PSX as notified vide notice no. PSX/N-1671 dated March 09, 2018
333	11B Securities (Pvt.) Limited	Notice of Restriction & Penalty	8-Mar-18	Non-Compliance of CDC Regulations
334	Associates Securities (Pvt.) Ltd	Notice of Restriction & Penalty	8-Mar-18	Non-Compliance of CDC Regulations
335	Ismail Iqbal Securities (Pvt.) Limited	Notice of Restriction & Censure	2-Mar-18	Non-Compliance of CDC Regulations
336	Alfa Ali Habib Securities (Pvt) Limited	Notice of Restriction & Censure	2-Mar-18	Non-Compliance of CDC Regulations
337	Summit Capital (Pvt.) Limited	Notice of Restriction & Censure	2-Mar-18	Non-Compliance of CDC Regulations
338	Alfa Ali Habib Securities (Pvt) Limited	Censure Letter	1-Mar-18	Non-Compliance of CDC Regulations
339	11B Securities (Pvt.) Limited	Restricted	1-Mar-18	Consequent to the restriction on opening of new clients account by PSX as notified vide notice no. PSX/N-1502 dated March 01, 2018
340	Royal Securities (Pvt) Limited	Censure Letter	26-Feb-18	Non-Compliance of CDC Regulations
341	Wisdom Securities (Private) Limited	Terminated	22-Feb-18	Voluntary Terminatior
342	Ali Hussain Rajabali Limited	Censure Letter	21-Feb-18	Non-Compliance of CDC Regulations
343	Ghani Osman Securities (Pvt.) Limited	Notice of Restriction & Censure	21-Feb-18	Non-Compliance of CDC Regulations
344	Ali Mizbah Securities (Pvt.) Ltd	Censure Letter	20-Feb-18	Non-Compliance of CDC Regulations
345	Alaya Securities (Pvt.) Limited	Notice of Restriction & Censure	20-Feb-18	Non-Compliance of CDC Regulations
346	F.M. Securities (Pvt.) Limited	Censure Letter	19-Feb-18	Non-Compliance of CDC Regulations
347	11B Capital Management (Pvt.) Limited	Censure Letter	19-Feb-18	Non-Compliance of CDC Regulations

Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
248	Culture Securities Limited - Ltd	Censure Letter	19-Feb-18	Non-Compliance of CDC Regulations
249	Alfalah Securities (Private) Limited	Censure Letter	19-Feb-18	Non-Compliance of CDC Regulations
250	M.M. Securities (Pvt.) Limited	Censure Letter	19-Feb-18	Non-Compliance of CDC Regulations
251	AMM Securities (Pvt.) Limited	Notice of Restriction & Censure	15-Feb-18	Non-Compliance of CDC Regulations
252	New Peak Securities (Pvt.) Limited	Censure Letter	15-Feb-18	Non-Compliance of CDC Regulations
253	Infinite Securities Limited	Censure Letter	15-Feb-18	Non-Compliance of CDC Regulations
254	M.A.N Securities (Pvt.) Limited	Terminated	14-Feb-18	Voluntary Termination
255	High Land Securities (Pvt.) Limited	Notice of Restriction & Censure	8-Feb-18	Non-Compliance of CDC Regulations
256	S.H.S Securities (Pvt.) Limited	Censure Letter	8-Feb-18	Non-Compliance of CDC Regulations
257	Zafar Mob Capital Securities (Pvt.) Limited	Notice of Restriction & Censure	8-Feb-18	Non-Compliance of CDC Regulations
258	BlackStone Equities (Private) Limited	Terminated	26-Jan-18	Voluntary Termination
259	Info Adm Securities (Pvt.) Limited	Notice of Restriction & Penalty	25-Jan-18	Non-Compliance of CDC Regulations
260	A. P. Ayaniq & Co. (Pvt.) Limited	Notice of Restriction & Penalty	23-Jan-18	Non-Compliance of CDC Regulations
261	EPORT Securities (Private) Limited	Removal of Restriction	19-Jan-18	Participant has complied with Regulation 6.8.2 of the CDC Regulations
262	Millennium Securities & Investments (Private) Limited	Restricted	1-Jan-18	Consequent to suspension of the trading terminals by PSX vide notice no. PSX/N-001 dated January 01, 2018.
263	Pearl Brokerage (Private) Limited	Removal of Restriction	1-Jan-18	Consequent to restoration of trading terminals by PSX w.e.f. January 01, 2018, as notified by PSX vide notice no. PSX/N-29 dated January 01, 2018.
264	Bright Investment and Securities (Pvt.) Limited	Terminated	28-Dec-17	Voluntarily termination and surrender of TREC as communicated ...No. 8004 vide notice no. KSE/N-7878 dated December 27, 2017
265	Pearl Brokerage (Private) Limited	Restricted	26-Dec-17	Due to switching-off the trading terminals by PSX vide notice no. PSX/N-7944 dated December 26, 2017
266	Arzal Mustafa Securities (SMC-Pvt.) Ltd	Censure Letter	22-Dec-17	Non-Compliance of CDC Regulations
267	Kalt Securities (Pvt.) Ltd	Censure Letter	22-Dec-17	Non-Compliance of CDC Regulations
268	Fair Edge Securities (Pvt.) Limited	Censure Letter	22-Dec-17	Non-Compliance of CDC Regulations
269	First Capital Equities Limited	Censure Letter	22-Dec-17	Non-Compliance of CDC Regulations
270	A. N. Equities (Pvt.) Limited	Censure Letter	22-Dec-17	Non-Compliance of CDC Regulations
271	Khawaja Securities (Pvt.) Limited	Notice of Restriction & Censure	22-Dec-17	Non-Compliance of CDC Regulations
272	Shangrilla Securities (Pvt.) Limited	Censure Letter	21-Dec-17	Non-Compliance of CDC Regulations
273	Techno Fundamental Securities (Pvt) Ltd	Censure Letter	21-Dec-17	Non-Compliance of CDC Regulations
274	Vision Securities (Private) Limited	Censure Letter	21-Dec-17	Non-Compliance of CDC Regulations
275	Sherman Securities (Private) Limited	Censure Letter	21-Dec-17	Non-Compliance of CDC Regulations
276	Muhammad Amer Riaz Securities (Pvt.) Limited	Notice of Restriction & Penalty	20-Dec-17	Non-Compliance of CDC Regulations
277	R.U.A Securities (Pvt.) Ltd	Notice of Restriction & Penalty	20-Dec-17	Non-Compliance of CDC Regulations
278	Nice Securities (SMC-Pvt.) Ltd	Censure Letter	20-Dec-17	Non-Compliance of CDC Regulations
279	Meesaq Securities (Pvt.) Ltd	Censure Letter	20-Dec-17	Non-Compliance of CDC Regulations
280	First Zenya (Private) Limited	Censure Letter	20-Dec-17	Non-Compliance of CDC Regulations
281	EPORT Securities (Private) Limited	Restricted	20-Dec-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
282	K.H.S. Securities (Private) Limited	Removal of Restriction	19-Dec-17	Consequent to restoration of trading terminals by PSX w.e.f. December 20, 2017, as notified by PSX vide notice no. PSX/N-7743 dated December 19, 2017.
283	A.H.K.D. Securities (Private) Limited	Suspended	14-Dec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017
284	HB Securities (Pvt.) Limited	Suspended	14-Dec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017
285	Invest Forum (SMC-Private) Limited	Suspended	14-Dec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017
286	Invest Forum (SMC-Private) Limited	Restricted	13-Dec-17	Due to the cancellation of licence by SECP as a securities broker vide order dated December 12, 2017
287	HB Securities (Private) Limited	Restricted	13-Dec-17	Due to the cancellation of licence by SECP as a securities broker vide order dated December 12, 2017
288	Lakhanada Securities (Pvt.) Limited	Suspended	12-Dec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017
289	Khaukat Shaffi Securities (Private) Limited	Suspended	12-Dec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017
290	AM Chaudhry Securities (Private) Limited	Suspended	12-Dec-17	Due to SECP directive the CDC to suspend the Participant vide order dated December 11, 2017
291	First Pakistan Securities Limited	Restricted	4-Dec-17	Due to refusal of the application for grant of license as securities broker by SECP vide order dated December 04, 2017
292	AM Chaudhry Securities (Private) Limited	Removal of Restriction	30-Nov-17	Consequent to the restoration of trading terminals by PSX vide notice dated PSX/N-7671 dated November 30, 2017
293	Tagline Securities Limited	Censure Letter	29-Nov-17	Non-Compliance of CDC Regulations
294	K.S. Securities (Pvt.) Limited	Censure Letter	28-Nov-17	Non-Compliance of CDC Regulations
295	AM Chaudhry Securities	Notice of Restriction & Censure	28-Nov-17	Non-Compliance of CDC Regulations
296	Elm Capital Limited	Revised Notice of Restriction	23-Nov-17	Consequent to the restoration of trading terminals by PSX vide notice dated PSX/N-7368 dated November 23, 2017 however opening of new sub account is restricted.
297	Tumbi Securities (Private) Limited	Terminated	22-Nov-17	Participant has ceased to be Trading Right Entitlement Certificate holder as communicated by the Pakistan Stock Exchange Limited vide notice no. PSX/N-7157 dated November 21, 2017
298	Mly Securities (Private) Limited	Terminated	22-Nov-17	Participant has ceased to be Trading Right Entitlement Certificate holder as communicated by the Pakistan Stock Exchange Limited vide notice no. PSX/N-7159 dated November 21, 2017
299	Ayesh Chaudhry Investments (Pvt.) Limited	Notice of Restriction & Censure	21-Nov-17	Non-Compliance of CDC Regulations
300	Danson Securities (Private) Limited	Notice of Imposition of Penalty	17-Nov-17	Non-Compliance of CDC Regulations
301	Elm Capital Limited	Restricted	17-Nov-17	Consequent to the suspension of licence by SECP as a securities broker vide order dated November 08, 2017
302	Silver Securities Pakistan (Pvt.) Limited	Censure Letter	14-Nov-17	Non-Compliance of CDC Regulations
303	Reliance Securities Limited	Censure Letter	14-Nov-17	Non-Compliance of CDC Regulations
304	Analpara Securities & Services (Pvt.) Limited	Censure Letter	11-Nov-17	Non-Compliance of CDC Regulations
305	Concordia Securities (Pvt.) Limited	Censure Letter	11-Nov-17	Non-Compliance of CDC Regulations
306	R.T Securities (Pvt.) Limited	Censure Letter	11-Nov-17	Non-Compliance of CDC Regulations
307	Ciliate (SMC-Pvt.) Limited	Censure Letter	8-Nov-17	Non-Compliance of CDC Regulations
308	Millennium Securities & Investments (Private) Limited	Notice of Restriction & Censure	8-Nov-17	Non-Compliance of CDC Regulations
309	MBG Capital Equities (Pvt.) Limited	Notice of Restriction & Censure	8-Nov-17	Non-Compliance of CDC Regulations
310	First Equity Modaraba	Censure Letter	8-Nov-17	Non-Compliance of CDC Regulations
311	Elm Capital Limited	Revised Notice of Restriction	8-Nov-17	Due to advice of PSX vide email dated November 07, 2017
312	Millennium Securities & Investments (Private) Limited	Restricted	6-Nov-17	Consequent PS as of June 30, 2017 submitted by Participant under Regulation 6.8.2 of the CDC Regulations discloses negative Capital Adequacy Level
313	Elm Capital Limited	Restricted	6-Nov-17	Consequent to imposition of restriction by PSX as notified vide notice no. PSX/N-7041 dated November 06, 2017
314	Khaukat Shaffi Securities (Private) Limited	Restricted	1-Nov-17	Consequent to the suspension of the trading terminals by PSX as notified vide notice no. PSX/N-6951 dated November 01, 2017
315	Safe Securities (Private) Limited	Restricted	31-Oct-17	Consequent to suspension of the trading terminals by PSX vide notice no. PSX/N-6850 dated October 31, 2017
316	K.H.S. Securities (Private) Limited	Restricted	30-Oct-17	Consequent to switching-off of trading terminals by PSX vide notice no. PSX/N-6817 dated October 30, 2017
317	Siddamalik Capital Securities (Pvt.) Limited	Notice of Restriction & Penalty	26-Oct-17	Non-Compliance of CDC Regulations
318	Sunrise Capital (Private) Limited	Further Suspended	20-Oct-17	Due to cancellation of licence by Securities and Exchange Commission of Pakistan vide order dated September 14, 2017
319	Pak Meezan Securities (Private) Limited	Terminated	19-Oct-17	Due to SECP directive the CDC to terminate the Participant vide order dated October 13, 2017
320	Keystone Capital Markets (SMC-Private) Limited	Terminated	19-Oct-17	Due to SECP directive the CDC to terminate the Participant vide order dated October 13, 2017
321	Faith Securities (Private) Limited	Terminated	19-Oct-17	Due to SECP directive the CDC to terminate the Participant vide order dated October 13, 2017
322	Stock Vision(Pvt.) Limited	Terminated	19-Oct-17	Due to SECP directive the CDC to terminate the Participant vide order dated October 13, 2017
323	Value Stock and Commodities (Private) Limited	Notice of Restriction & Penalty	16-Oct-17	Non-Compliance of CDC Regulations
324	Earth Securities (Private) Limited	Terminated	16-Oct-17	Voluntary Termination
325	I.ok Securities (Pvt.) Limited	Notice of Imposition of Penalty	16-Oct-17	Non-Compliance of CDC Regulations
326	Value Stock and Commodities (Private) Limited	Notice of Restriction & Penalty	16-Oct-17	Non-Compliance of CDC Regulations
327	Switch Securities (Private) Limited	Suspended	13-Oct-17	Consequent to the suspension of licence by Securities and Exchange Commission of Pakistan vide order dated October 11, 2017
328	Shaffi Securities (Pvt) Limited	Censure Letter	4-Oct-17	Non-Compliance of CDC Regulations
329	Security Investment Bank Limited	Terminated	29-Sep-17	Voluntary Termination
330	Alacrar Securities (Private) Limited	Terminated	27-Sep-17	Voluntary Termination
331	Abbasi Securities (Pvt.) Limited	Censure & Restriction Letter	27-Sep-17	Non-Compliance of CDC Regulations
332	Alabel Securities Limited	Censure & Restriction Letter	25-Sep-17	Non-Compliance of CDC Regulations
333	First Zenya (Private) Limited	Removal of Restriction	22-Sep-17	Consequent to restoration of trading terminals by PSX vide notice no. PSX/N-5612 dated September 22, 2017
334	First Zenya (Private) Limited	Restricted	21-Sep-17	Consequent to switching-off of trading terminal of Participant by PSX vide notice no. PSX/N-5612 dated September 20, 2017
335	AM Chaudhry Securities (Private) Limited	Restricted	21-Sep-17	Consequent to switching-off of trading terminal of Participant by PSX vide notice no. PSX/N-5612 dated September 20, 2017
336	Global Securities Pakistan Limited	Terminated	21-Sep-17	Voluntary Termination
337	Progressive Investment Management (Pvt.) Ltd	Notice of Imposition of Penalty	21-Sep-17	Non-Compliance of CDC Regulations
338	Invest Capital Markets Limited	Restricted	18-Sep-17	Consequent to imposing the restriction by NCCPL vide notice no. NCCPL.8.8.2.2.2.1.1.1.1.1 dated September 15, 2017
339	PACE Investment & Securities (Private) Limited	Restricted	7-Sep-17	Consequent to suspension of the trading terminals by PSX vide notice no. PSX/N-5168 dated September 07, 2017
340	Lion Securities Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
341	Qasim Mahmood Securities (SMC-Pvt) Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
342	Delik Securities (Private) Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
343	ABD Analytics (Pvt) Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
344	Stable Securities (Pvt) Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
345	Stability Islamic Investments & Securities (Pvt) Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
346	Mian Pervez Aslam Securities (Pvt) Limited	Terminated	28-Aug-17	Due to SECP directive the CDC to suspend / terminate the Participant vide order dated August 23, 2017
347	Riaz Ahmed Securities (Pvt) Ltd.	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
348	Lalik Securities (Private) Limited	Restriction Letter	21-Aug-17	Non-Compliance of CDC Regulations
349	Abbasi Zafar Securities (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
350	HM Securities (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
351	Vizgaspak Securities (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
352	JMA Securities (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
353	C & I Global Capital (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
354	Mannaa Capital (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
355	Haji Abdul Sattar Securities (Pvt.) Limited	Restriction Letter	21-Aug-17	Non-Compliance of CDC Regulations
356	Bridge Securities (Pvt.) Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
357	Amanah Investments Limited	Censure Letter	21-Aug-17	Non-Compliance of CDC Regulations
358	Habib Ullah Sheikh (Pvt.) Limited	Restriction Letter	21-Aug-17	Non-Compliance of CDC Regulations
359	Oldmutual Securities Limited	Notice of Restriction & Penalty	21-Aug-17	Non-Compliance of CDC Regulations
360	Gul Dhiani Securities (Pvt.) Limited	Restriction Letter	21-Aug-17	Non-Compliance of CDC Regulations
361	Tone Securities (Pvt.) Limited	Notice of Restriction & Penalty	21-Aug-17	Non-Compliance of CDC Regulations
362	Lint Securities (Pvt.) Limited	Removal of Restriction	8-Aug-17	Consequent to restoration trading terminals of Participant by PSX vide notice no. PSX/N-4699 dated August 08, 2017
363	Monarylone Securities (Pvt.) Limited	Restriction Letter	8-Aug-17	Non-Compliance of CDC Regulations

Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
664	AHKA Equities (Pvt) Ltd.	Restriction Letter	8-Aug-17	Non-Compliance of CDC Regulations
665	LINEX Securities (Pvt) Limited	Censure Letter	8-Aug-17	Non-Compliance of CDC Regulations
666	Sirajmian Securities (Pvt.) Limited	Censure Letter	8-Aug-17	Non-Compliance of CDC Regulations
667	LUX Securities (Pvt.) Limited	Restricted	3-Aug-17	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-6631 dated August 03, 2017
668	NIB Bank Limited	Terminated	1-Aug-17	Voluntary Termination
669	AMCAP Securities (Private) Limited	Modification in Restriction	26-Jul-17	consequent to reclassification of trading terminals by PSX with effect from July 24, 2017 as notified by notice no. PSX/N-6613 dated July 21, 2017 However, PSX has not lifted restriction on opening of new Client Accounts.
670	S. Z. Securities (Pvt.) Limited	Terminated	18-Jul-17	Consequent to the forfeiture of Trading Right Entitlement Certificate of the Participant by PSX/N-6584 dated June 08, 2017
671	Jamshaid & Hasan Securities (Pvt) Ltd	Notice of imposition of Penalty	22-Jun-17	Non-Compliance of CDC Regulations
672	Cedar Securities (Private) Limited	Notice of imposition of Penalty	22-Jun-17	Non-Compliance of CDC Regulations
673	Asian Securities (Private) Limited	Suspended	22-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/339/17 dated June 22, 2017
674	Asian Securities (Private) Limited	Removal of Suspension	22-Jun-17	Consequent to removal of suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/330/17 dated June 19, 2017
675	Aziz Diawood Securities (Private) Limited	Suspended	16-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/317/17 dated June 16, 2017
676	Tiang Sayeed Securities (Private) Limited	Suspended	16-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/316/17 dated June 16, 2017
677	AB Equities (Private) Limited	Suspended	16-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/316/17 dated June 16, 2017
678	Radiance Securities Limited	Censure Letter	10-Jun-17	Non-Compliance of CDC Regulations
679	Habib Metropolitan Financial Services Limited	Censure Letter	10-Jun-17	Non-Compliance of CDC Regulations
680	Invest and Finance Securities Limited	Notice of Restriction & Penalty	10-Jun-17	Non-Compliance of CDC Regulations
681	Diawood Equities Limited	Suspended	5-Jun-17	Due to suspension by National Clearing Company of Pakistan vide notice no.NCCPL/CRO/307/17 dated June 05, 2017
682	Diawood Equities Limited	Removal of Suspension	5-Jun-17	Consequent to removal of suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRO/180/17 dated June 05, 2017
683	Cosmos Securities (Pvt.) Limited	Censure Letter	5-Jun-17	Non-Compliance of CDC Regulations
684	Value Stock and Commodities (Private) Limited	Restriction on opening of New Sub-Accounts	1-Jun-17	Consequent to imposing restriction on Participant by PSX vide notice no.notice no. PSX/N-6566 dated May 31, 2017 for opening of new sub-accounts
685	Escorts Capital Limited	Suspended	31-May-17	Due to non-compliances of 6.8.2 of CDC Regulations after completing 90 Business Days of Restriction
686	Allied Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
687	S. A.Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
688	Interactive Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
689	Chiapis Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
690	JCR Capital Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
691	Luxor Securities. (SMC-Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
692	MAK Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
693	Cross Capital Management (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
694	Lizaed Zubaria Gulabi Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
695	KMC Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
696	Muhammad Arif Kapadia Securities (SMC-Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
697	Multiwide Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
698	MV Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
699	AAU Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
700	Abid Ali Habib Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
701	Ahsam Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
702	Ashfaq Ashraf Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
703	AWR Capital (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
704	Bright Investment & Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
705	Fine Securities (SMC-Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
706	Foit Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
707	Fortress Financial Services (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
708	H.H.K. Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
709	Khayam Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
710	Prime Capital Management (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
711	R.T.J. Equity (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
712	S. M. Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
713	Schon Capital Markets Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
714	Silver Capital Equities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
715	SMAC Investments (SMC-Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
716	South Asian Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
717	Unison Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
718	Venus Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
719	ZNF Capital (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
720	MAK Capital Securities. (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
721	R. A. Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2017
722	Fine Securities (SMC-Private) Limited	Restricted	26-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no.notice no. PSX/N-6363 dated May 25, 2017
723	Luxor Securities. (SMC-Pvt.) Limited	Restricted	26-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no.notice no. PSX/N-6363 dated May 25, 2017
724	Maxi Penco Actum Securities (Private) Limited	Restricted	23-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no.notice no. PSX/N-6364 dated May 25, 2017
725	Trust Securities & Brokerage Limited	Restriction Letter	19-May-17	Non-Compliance of CDC Regulations
726	Switch Securities (Private) Limited	Restriction Letter	18-May-17	Non-Compliance of CDC Regulations
727	JETHI SECURITIES (SMC-PVT) LTD	Restriction Letter	18-May-17	Non-Compliance of CDC Regulations
728	ASA STOCKS (PVT.) LIMITED	Censure Letter	18-May-17	Non-Compliance of CDC Regulations
729	SK SK SECURITIES (PVT.) LIMITED	Censure Letter	18-May-17	Non-Compliance of CDC Regulations
730	Liaq Securities Limited	Restricted	12-May-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-5786 dated May 11, 2017
731	MID Capital Equities (Private) Limited	Removal of Restriction	10-May-17	Consequent to restriction trading terminals of Participant by PSX vide notice no. PSX/N-6075 dated May 10, 2017
732	MAM Securities (Private) Limited	Terminated	10-May-17	Consequent to the forfeiture of Trading Right Entitlement Certificate of the Participant by PSX/N-6014 dated May 10, 2017
733	Tiang Sayeed Securities (Private) Limited	Removal of Restriction	8-May-17	Consequent to restriction trading terminals of Participant by PSX vide notice no. PSX/N-2987 dated May 08, 2017
734	ANJIFFI SECURITIES (PVT) LIMITED	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
735	Techno Fundamental Securities (Pvt.) Limited	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
736	SAFFAR SHEIKH SECURITIES (PVT) LTD.	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
737	KHAN SECURITIES (PRIVATE) LIMITED	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
738	Pearl Brokerage (Pvt.) Limited	Restriction Letter	5-May-17	Non-Compliance of CDC Regulations
739	INTEGRATED EQUITIES (PRIVATE) LIMITED	Censure Letter	5-May-17	Non-Compliance of CDC Regulations
740	Vishio Securities (Pvt.) Limited	Notice of Restriction	26-Apr-17	Non-Compliance of CDC Regulations
741	Fairway Securities (Pvt.) Limited	Notice of Restriction	26-Apr-17	Non-Compliance of CDC Regulations
742	Dalsworth Securities Limited	Notice of Restriction	26-Apr-17	Non-Compliance of CDC Regulations
743	Khawaja Securities (Pvt.) Limited	Notice of Restriction	26-Apr-17	Non-Compliance of CDC Regulations
744	ARM Securities (Pvt.) Limited	Notice of Restriction	26-Apr-17	Non-Compliance of CDC Regulations
745	MID Capital Equities (Private) Limited	Restricted	27-Apr-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-5636 dated April 26, 2017
746	AMCAP Securities (Private) Limited	Modification in Restriction	25-Apr-17	Consequent to restriction of sale side transactions for only Sub-Accounts of the Participant by PSX vide notice no. PSX/N-2899 dated April 24, 2017
747	AMCAP Securities (Private) Limited	Restricted	5-Apr-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-5131 dated April 05, 2017
748	CREATIVE CAPITAL SECURITIES (PVT) LTD.	Notice of Restriction	30-Mar-17	Non-Compliance of CDC Regulations
749	SAZ CAPITAL SECURITIES (PVT) LTD.	Notice of Restriction	30-Mar-17	Non-Compliance of CDC Regulations

Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
550	M. I. Mentor Securities (Pvt.) Limited	Notice of Restriction	30-Mar-17	Non-Compliance of CDC Regulations
551	SPECTRUM SECURITIES (PVT) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
552	TAWIQ VOHRA SECURITIES (PVT) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
553	GLOBAL SECURITIES PAKISTAN LIMITED	Notice of Restriction	30-Mar-17	Non-Compliance of CDC Regulations
554	H.M. IDRIS& H. ADAM (SMC-PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
555	Midlands Securities (Private) Limited	Notice of Restriction & Penalty	30-Mar-17	Non-Compliance of CDC Regulations
556	H.M.K. Securities (Pvt.) Limited	Notice of Restriction & Penalty	30-Mar-17	Non-Compliance of CDC Regulations
557	MUHAMMAD HUSSAIN ISMAIL SECURITIES (PVT) LTD.	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
558	ADM GLOBAL (PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
559	JCR Capital Securities (Private) Limited	Notice in respect of Participant	29-Mar-17	Participant has complied with the Regulation 6.8.2
560	Invest Forum (SMC-Private) Limited	Restricted	17-Mar-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-1742 dated March 16, 2017
561	HK Securities (Private) Limited	Restricted	17-Mar-17	Consequent to suspension of all trading terminal of Participant by PSX vide notice no. PSX/N-1742 dated March 16, 2017
562	Bhayani Securities (Pvt) Ltd.	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
563	First Equity Modaraba	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
564	MUHAMMAD ASHFAQ HUSSAIN SECURITIES (PVT) LTD.	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
565	Suttonwale Securities (Private) Limited	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
566	SEVEN STAR SECURITIES (PVT.) LTD.	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
567	ISMAIL VOHRA KODVAV SECURITIES (PVT) LTD	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
568	MEALTLINE SECURITIES (PVT.) LIMITED	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
569	Vistiso Securities (Pvt.) Limited	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
570	SECURITY INVESTMENT BANK LIMITED	Notice of Restriction	16-Mar-17	Non-Compliance of CDC Regulations
571	S. Z. Securities (Pvt.) Limited	suspended	14-Mar-17	Due to violation of Section 24 of the Central Depositories Act, 1997
572	KGR Stock Brokerage (Pvt.) Ltd	Notice of Imposition of Penalty	13-Mar-17	Non-Compliance of CDC Regulations
573	Progressive Securities (Private) Limited	Notice of Imposition of Penalty	13-Mar-17	Non-Compliance of CDC Regulations
574	RAM Securities (Private) Limited	Further Suspended	13-Mar-17	Consequent to suspension of TRE Certificate of Participant by PSX vide notice no. PSX/N-1637 dated March 10, 2017
575	Tariq Sayeed Securities (Private) Limited	Restricted	7-Mar-17	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-1531 dated March 06, 2017 or the Participant request.
576	ESCORTS CAPITAL LIMITED	Restricted	6-Mar-17	Participant has not complied with Regulation 6.8.1 of the CDC Regulations
577	Faith Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
578	Stock Vision(Pvt.) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
579	Keystone Capital Markets (SMC-Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
580	A.H.K.D. Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
581	Alal Analytics (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
582	Vaid Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
583	Siddi Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
584	Stable Securities (Pvt) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
585	Qasim-Mahmood Securities (Smc-Pvt) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
586	JCR Capital Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
587	Reliance Capital (Pvt) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
588	Alsiap Investments (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
589	Allied Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
590	Ali Equities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 6.8.1 of CDC Regulations after completing 60 day of restriction.
591	Universal Equities (Private) Limited	Terminated	2-Mar-17	Voluntary Terminatior
592	M. R. Securities (SMC-Private) Limited	Terminated	2-Mar-17	Consequent to the forfeiture of TRE Certificate of the Participant by PSX vide notice no. PSX/N-1460 dated March 09, 2017
593	Abbi Securities (Private) Limited	Terminated	28-Feb-17	Consequent to the forfeiture of Trading Right Entitlement Certificate of the Participant by PSX/N-1364 dated February 27, 2017
594	MAM Securities (Private) Limited	Suspended	20-Feb-17	Due to non-compliances of CDC Regulations.
595	Sunrise Capital (Private) Limited	Suspended	17-Feb-17	Due to non-compliances of regulatory provisions
596	M. R. Securities (SMC-PVT.) Limited	Further Suspended	10-Feb-17	Due to non-compliances of regulatory provisions
597	MAM Securities (Private) Limited	Notice of Imposition of Penalty	8-Feb-17	Non-Compliance of CDC Regulations
598	M. R. Securities (SMC-PVT.) Limited	Intention of Further Suspension	6-Feb-17	Due to non-compliances of CDC Regulations.
599	M. R. Securities (SMC-PVT.) Limited	Suspended	1-Feb-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/E&D/2017 dated February 01, 2017
600	Abbi Securities (Private) Limited	Suspended	30-Jan-17	Participant has breached the provisions of the CDC Regulations
601	Sunrise Capital (Private) Limited	Suspended	30-Jan-17	Participant has breached the provisions of the CDC Regulations
602	Zafar Securities (Private) Limited	Restricted	30-Jan-17	Participant has not complied with Regulation 6.8.1 of the CDC Regulations
603	Zafar Securities (Private) Limited	Removal of Restriction	20-Jan-17	Participant has complied with the Regulation 6.8.2
604	Gohar Shahzad Securities (Private) Limited	Terminated	20-Jan-17	Voluntary Terminatior
605	Pak Meezan Securities (Private) Limited	Notice in respect of Participant	18-Jan-17	Participant has complied with the Regulation 6.8.2
606	Zafar Moti Capital Securities (Private) Limited	Removal of Suspension	18-Jan-17	Consequent to removal of suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/36/17 dated January 17, 2017
607	Zafar Moti Capital Securities (Private) Limited	Suspended	17-Jan-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/36/17 dated January 17, 2017
608	Abd Chaudhry Securities (Private) Limited	Removal of Restriction	13-Jan-17	Participant has complied with the Regulation 6.8.2
609	Abbi Securities (Private) Limited	Removal of Suspension	13-Jan-17	Consequent to removal of suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/31/17 dated January 13, 2017
610	Machlaab Securities (Private) Limited	Terminated	13-Jan-17	Voluntary Terminatior
611	DD Securities (Private) Limited	Terminated	12-Jan-17	Voluntary Terminatior
612	Abbi Securities (Private) Limited	Suspended	12-Jan-17	Due to suspension by National Clearing Company of Pakistan vide notice no. NCCPL/CS/26/17 dated January 11, 2017
613	Allied Bank Limited - LSE Branch	Extension of intention to suspend	9-Jan-17	Failure to settle the CDC outstanding dues.
614	Allied Bank Limited- ISE Branch	Extension of intention to suspend	9-Jan-17	Failure to settle the CDC outstanding dues.
615	Allied Bank Limited- ISE Branch	Extension of intention of Regulatory Action	9-Jan-17	Failure to settle the CDC outstanding dues.
616	Allied Bank Limited- KSE Branch	Extension of intention to suspend	9-Jan-17	Failure to settle the CDC outstanding dues.
617	Allied Bank Limited- KSE Branch	Extension of intention of Regulatory Action	9-Jan-17	Failure to settle the CDC outstanding dues.
618	Allied Bank Limited - LSE Branch	Extension of intention of Regulatory Action	9-Jan-17	Failure to settle the CDC outstanding dues.
619	Shermen Securities (Pvt.) Limited	Notice of Restriction	6-Jan-17	Non-Compliance of CDC Regulations
620	Dawlah Islamic Financial Services (Private) Limited	Notice in respect of Participant	6-Jan-17	Participant has complied with the Regulation 6.8.2
621	Abbi Securities (Private) Limited	Terminated	6-Jan-17	Voluntary Terminatior

Disciplinary Register - Participants
Under Regulation 14.6.1 of the CDC Regulations

S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
622	J.A Securities (Pvt) Limited	Removal of Restriction	9-Jan-17	Participant has complied with the Regulation 6.8.2
623	DG Securities (Private) Limited	Removal of Restriction	9-Jan-17	Participant has complied with the Regulation 6.8.2
624	A.H.K.D. Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
625	Ali Equities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
626	AKD Analytics (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
627	Allied Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
628	AM Chaudhry Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
629	Dilpirah Islamic Financial Services (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
630	Escorts Capital Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
631	Faith Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
632	Fincap Investments (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
633	JCR Capital Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
634	Kaizone Capital Markets (SMC-Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
635	Mbilli Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
636	Mischladi Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
637	PSX Meezan Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
638	Elecom Mahmood Securities (Smc-Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
639	Reliance Capital (Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
640	S A Securities (Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
641	DG Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
642	Skilli Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
643	DBM Securities (Private) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
644	Stable Securities (Pvt) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
645	Black Viccian(Pvt.) Limited	Restricted	2-Jan-17	Failure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
646	Allied Bank Limited- 468 Branch	Notice of Intention to Suspend	23-Dec-16	Failure to settle the CDC outstanding dues.
647	Allied Bank Limited - 132 Branch	Notice of Intention to Suspend	23-Dec-16	Failure to settle the CDC outstanding dues.
648	Allied Bank Limited- 88 Branch	Notice of Intention to Suspend	23-Dec-16	Failure to settle the CDC outstanding dues.
649	Allied Bank Limited- 468 Branch	Notice of Regulatory Action	23-Dec-16	Failure to settle the CDC outstanding dues.
650	Allied Bank Limited - 132 Branch	Notice of Regulatory Action	23-Dec-16	Failure to settle the CDC outstanding dues.
651	Allied Bank Limited- 88 Branch	Notice of Regulatory Action	23-Dec-16	Failure to settle the CDC outstanding dues.
652	Justice Capital (Private) Limited	Restricted	21-Dec-16	Due to controvertion of provisions of the CDC Regulations.
653	Ajara Capital Securities (Private) Limited	Notice of Restriction	20-Dec-16	Non-Compliance of CDC Regulations
654	Ajara Capital Securities (Private) Limited	Notice of Restriction	20-Dec-16	Non-Compliance of CDC Regulations
655	A.J Securities (Pvt.)Ltd	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
656	B & B Securities (Private) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
657	Continental Capital Management (Pvt) Ltd	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
658	Fraazi Yusuf Securities (Pvt.) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
659	Ufaz Mazhar Securities (Pvt.) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
660	Shaiani Securities (Pvt.) Limited	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
661	M H Securities (Pvt.) Limited	Censure Notice	19-Dec-16	Non-Compliance of CDC Regulations
662	Muhammad Tariq Moti Securities (Pvt) Ltd.	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
663	Vector Securities (Pvt.) Ltd.	Censure Notice	19-Dec-16	Non-Compliance of CDC Regulations
664	J.A. Griffin Securities (Private) Ltd.	Notice of Restriction	19-Dec-16	Non-Compliance of CDC Regulations
665	Ghory's Securities (Pvt.) Limited	Terminated	9-Dec-16	Voluntary Terminatior
666	Persimum Securities (SMC-Private) Limited	Terminated	8-Dec-16	Voluntary Terminatior
667	AM Chaudhry Securities (Private) Limited	Removal of Restriction	7-Dec-16	Consequent to restoration trading terminals of Participant by PSX vide notice no. PSX/N-6981 dated December 07, 2016.
668	V.S. Stocks (Private) Limited	Terminated	2-Dec-16	Voluntary Terminatior
669	AM Chaudhry Securities (Private) Limited	Restricted	30-Nov-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-6981 dated November 30, 2016.
670	A.H.M Securities (Pvt.) Limited	Notice of Restriction	22-Nov-16	Non-Compliance of CDC Regulations
671	Mizanco Securities (Pvt.) Ltd	Notice of Restriction	22-Nov-16	Non-Compliance of CDC Regulations
672	Invest Forum (SMC-Private) Limited	Removal of Restriction	16-Nov-16	Consequent to restoration of opening of new sub-accounts and trading in the House Account of Participant by PSX vide notice no. PSX/N-6639 dated November 16, 2016.
673	First Punjab Modaraba	Terminated	14-Nov-16	Voluntary Terminatior
674	MNM Equities (Private) Limited	Terminated	10-Nov-16	Voluntary Terminatior
675	Fort Investments (Private) Limited	Terminated	10-Nov-16	Voluntary Terminatior
676	Abid Investments (Private) Limited	Terminated	10-Nov-16	Voluntary Terminatior
677	Shajar Capital Pakistan (Pvt.) Limited	Notice of Restriction	4-Nov-16	Non-Compliance of CDC Regulations
678	Friendly Securities (Pvt.) Limited	Notice of Restriction	4-Nov-16	Non-Compliance of CDC Regulations
679	Al Habib Capital Markets (Pvt.) Limited	Notice of Restriction	1-Nov-16	Non-Compliance of CDC Regulations
680	Lion Capital Management (Private) Limited	Censure Notice	1-Nov-16	Non-Compliance of CDC Regulations
681	Justice Capital (Private) Limited	Censure Notice	1-Nov-16	Non-Compliance of CDC Regulations
682	JCR Capital Securities (Private) Limited	Restricted	31-Oct-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-6214 dated October 31, 2016.
683	DJM Securities (Pvt.) Limited	Notice of Restriction	28-Oct-16	Non-Compliance of CDC Regulations
684	Muhammad Salim Kasmani Securities	Notice of Restriction	28-Oct-16	Non-Compliance of CDC Regulations
685	Prime Securities (Pvt.) Limited	Notice of Restriction	28-Oct-16	Non-Compliance of CDC Regulations
686	Q. Ain Khanani Securities (Pvt.) Limited	Notice of Restriction	27-Oct-16	Non-Compliance of CDC Regulations
687	SAAD Capital (Pvt.) Limited	Notice of Restriction	27-Oct-16	Non-Compliance of CDC Regulations
688	Ahlami Securities (SMC-PVT) Limited	Terminated	25-Oct-16	Voluntary Terminatior
689	Cascim Investments (Pvt.) Limited	Notice of Restriction	19-Oct-16	Non-Compliance of CDC Regulations
690	Jungle Securities (Private) Limited	Notice of Restriction	19-Oct-16	Non-Compliance of CDC Regulations
691	Jaiz Capital Securities (Pvt) Ltd.	Notice of Restriction	19-Oct-16	Non-Compliance of CDC Regulations
692	Al-Hoqani Securities & Investment Corporation (Pvt.) Ltd	Notice of Restriction	18-Oct-16	Non-Compliance of CDC Regulations
693	Dolat Securities (Pvt.) Limited	Notice of Restriction	18-Oct-16	Non-Compliance of CDC Regulations
694	Investment Managers Securities (Pvt.) Ltd	Notice of Restriction	18-Oct-16	Non-Compliance of CDC Regulations
695	Zillion Capital Securities (Pvt.) Limited	Notice of Restriction	18-Oct-16	Non-Compliance of CDC Regulations
696	H. P. Byzanji & Co. (Pvt.) Limited	Removal of Restriction	18-Oct-16	Participant has complied with Regulation 6.8.1 of the CDC Regulations.
697	Prosperity Securities (Private) Limited	Terminated	18-Oct-16	Voluntary Terminatior
698	H. P. Byzanji & Co. (Pvt.) Limited	Restricted	14-Oct-16	Participant has not complied with Regulation 6.8.1 of the CDC Regulations.
699	Black Viccian Securities (Private) Limited	Restricted	13-Oct-16	Due toswitching off of trading terminals of Participant by PSX vide notice no. PSX/N-5664 dated October 13, 2016.
700	Growth Securities (Pvt) Limited	Notice of Restriction	10-Oct-16	Non-Compliance of CDC Regulations
701	Q Securities (Pvt.) Ltd.	Notice of Restriction	10-Oct-16	Non-Compliance of CDC Regulations
702	Escorts Capital Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-5664 dated October 10, 2016.
703	Evimi Capital Management (Private) Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-5664 dated October 10, 2016.
704	PSX Meezan Securities (Private) Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-5664 dated October 10, 2016.
705	Aizit Dawood Securities (Private) Limited	Restricted	10-Oct-16	Due to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-5664 dated October 10, 2016.
706	Alai Securities (Private) Limited	Terminated	10-Oct-16	Voluntary Terminatior

Updated as of November 30, 2019