			e CDC Regulations	
S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason Consequent to switching-off of the trading terminals by PEX vide notice PROP-
1	A. I. Securities (Pvt.) Limited	Rectricted	29-007-20	PSQN- 1962 Almad Freshan St. Wilds
2 2 4	Danon Securities (Pvt.) Limited Next Capital Limited - Therratic A.S. Securities (Pvt.) limited	Ceosure Ceosure + Restrictions	28-0ct-20 28-0ct-20 27-0ct-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
5	The Bank of Khyber Silkhark Limited	Final Report issued Final Report issued	27-0x1-20 27-0x1-20	Non-Compliance of CDC Regulations Mon-Compliance of CDC Regulations
7. 8	Summit Bank Limited Saudi Pak Industrial & Agricultural Investment Co.	Final Report Issued Final Report Issued	27-0x1-29 27-0x1-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
9	Limited MRA Securities Limited	Cercury + Restrictions	21-0x1-20	Non-Compliance of CDC Regulations
11	S.D. MRZA SECURITIES (PVT) LTD. MISD CAPITAL EQUITIES (PVT) LTD.	Cercury + Restrictions	14-017-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
18	Sational Bank of Pakictas MK Securities (Pvt) Limited - Regular	Final Report Issued Geoure	14-0x1-20 12-0x1-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
16	SHALINK CAPITAL PAKISTAN (PROVATS) LIMITED -	Censure	12-011-20	Non-Compliance of CDC Regulations
56 57	HABIB LILLAH SKEROL (PVT) LTD. BRAINS SECURITIES (PVT) LTD. BRAINS SECURITIES (PVTATE) LIMBERT SECURITIES (PRIVATE)	Ceosure	12-011-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
18		Ceesure	12-011-20	Non-Compliance of CDC Regulations Consequent to removal of Restrictions by PSX to change the status as
29	Apert Securities Limited	Notice of Removal of Restriction	7-0:0-20	active TRS Certificate holder ide notice no. PSQ/N-1156 dated October 07, 20
20	MSD Capital Equities (Private) Limited	Notice of Removal of Restriction	5-0:0-20	Consequent to removal of Restrictions by PIX to change the status as active TRS Cestificate holder ide notice no. PSQN-1089 dated October 02, 25
'n	Arch Capital Securities (Misuse) Limited	Terminated	28 Sep 20	Voluntary Termination
22	Relisance Securities Limited	Notice in respect of Participant	20 (eq. 20	Consequent to removal of suspension of Ballance Securities Limited by Palistas Sock Suchange Limited as notified by PSR vide notice no. PSRN=3558 d. September 25, 2020. Nowwee, Toding terminal has not activated due
-	Remarce securities Limited			September 26, 2020. However, Yrading terminal has not activated due the brokerage license of Reliance Securities has been expired.
29	R.T SECURITIES (PVT.) LIMITED PUBLISE CAPITAL SECURITIES (PVT) LIMITED	Ceosure	28 Sep 20 28 Sep 20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
26	Taurus Securities Limited - LSE SPECTRUM SECURITIES LIMITED	Ceosure	28 Sep 20 28 Sep 20	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
27 28	TAURUS SECURITES LIMITED - Therasic Horizon Securities Limited - Therasic	Ceosure	28 Sep 20 23 Sep 20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
29 30	INA SECURITIES (PVT) LTD SPINGER EQUITIES (PROWNE) LIMITED	Ceosure	23-Sep-20 23-Sep-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
'n	ENWAVA SECURTIES (PVT.) LIMITED - Therasic SALMAN MALEO SECURTIES (PRIVATE) LIMITED -	Ceosure	17-Sep-20	Nan-Compliance of CDC Regulations
12	Theread: FORST STREET CAPITAL (PVT.) LTD Theread:	Cecsure	17-lap-20 17-lap-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
34	New Peak Securities (Private) Limited	Restrictions on opening of New Jub-Miccourts	17-Sep-20	Consequent to restriction on opening of new clients' account by the Participant as notified by PSX vide notice no. PSk/bl/3517 dated September 17, 200
n	SAAO CARITAL (PVT) LIMITED	Cercure + Restriction	10 Sep 20	Non-Compliance of CDC Regulations
n n	ZAFAR SECURITIES (PRINKES) LIMITED - Thematic DATTOD SECURITIES (PVT.) LTD Thematic	Ceosure	10 Sep 20 10 Sep 20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
26	Muhammad Tariq Mitti Securities (Put) 13d. Docstanic Securities (Put) Limited - Thematic	Censure + Rectriction Censure	10-Sep-20 10-Sep-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
60	Pearl Securities Limited	Censure + Restriction	9-Sep-20 9-Sep-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
a	Faycal Bank Limited Time Securities (Hrt.) Ltd Regular	Final Report Iccued Censure	9 Sep-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Consequent to removal of Recolutions by NCCPs as an MF Account Ho
44	ASDA Securities (Hrvate) Limited Frust Securities & Brokerage Limited	Removal of Restrictions Censury	3-Sep-20 28-Aug-20	vide ontice on MCCR STRINGSCORD Security 10 Non-Compliance of CDC Regulations
6	Rahat Securities Limited - LSE	Ceoure Ceoure	29-Aug-20 29-Aug-20 29-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
6	Millentium Bokerage (M.E.) Limited - Thematic We Financial Services Limited Barristan Ermanii Modifikator (Frankano Morri	Centure Letter	28-Aug-20	Non-Compliance of CDC Regulations
6	THE FINANCIAL SERVICES LIMITED PARESTAN KLAWAST INVESTIMENT COMPANY (PVT) STO SAMBA BANK LIMITED	Final Report Issued Final Report Issued	28-Aug-20 28-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
50 51	SAMIA BANK LIMITED SOMER BANK LIMITED RAW SECURITES (PVT) LIMITED - Thereas:	Final Report Issued Final Report Issued Censury	28-Aug-20 28-Aug-20 27-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
12	ROSMOPOLITAN SECURITIES (PRIVATE) LIMITED -	Cecure	27-Aug-20	Non-Compliance of CDC Regulations
53 54	Zafar Mos Capital Securities (Put) Ltd Regular Friendly Securities (Put) Ltd Thematic	Censure + Restriction Censure	25-Aug-20 25-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
55 56	ASIAN SECURITIES LIMITED - Thereads:	Ceosure	25-Aug-20 25-Aug-20	Non-Compliance of CDC Regulations
SP SP	FRIST NATIONAL EQUITIES LIMITED - Therasic ELEVEN STARS SECURITIES (PVT) LTD - Therasic	Ceosure	25-Aug-20 25-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
58 59	M. M. SECURITIES (PVT.) LIMITED - Thereisk Faintrade Capital Securities (Pvt.) Limited	Cecoure Cecoure Letter	25-Aug-20 25-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
60	Askari Securities Limbed EFG HERMES PAKSTAN LIMITED	Concure Letter Concure Letter	25-Aug-20 25-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
a	Amer Securities (Private) Limited	Removal of Restrictions	18-Aug-20	Consequent to removal of Restrictions by NCCPs as an IMF Account Holder vide nation on INCPs/CRQ/248/20-58ed August 18, 2020
a	Fair Edge Securities (Misate) Limited - Regular	Ceosure	18-Aug-20	Holder vide notice no. NCCPL/CRC/208/20 dated August 18, 2020 Non-Compliance of CDC Regulations
4	Fair Edge Securities (Piloto) Listed - Regular Grawth Securities (Pil) Ltd LSI	Cercure + Restriction	18-Aug-20	Non-Compliance of CDC Regulations
	Integrated Equities Limited - Regular B & B SECURITIES (PROVATE) LIMITED - Thereasic	Ceosure	18-Aug-20 18-Aug-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
e e	FARWAY SECURITIES (PVT) LIMITED - Theresic	Ceosure	18-Aug-20	Non-Compliance of CDC Regulations
60	HIGH LAND SECURITIES (PVT) LIMITED - Thematic R.A. Securities (Private) Limited	Censure Terminated	18-Aug-20 30-34-20	Non-Compliance of CDC Regulations Voluntary Termination
20	Apex Capital Securities (Art) Limited - Regular	Censure + Restriction	26-34-20	Non-Compliance of CDC Regulations
71	General Invest. & Securities (Pvt) Ltd Regular MIGM Securities (Private) Limited - Thematic	Ceosure	26-34-20 26-34-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
29	GENERAL INVEST. & SECURITIES (PVT) LTD.			Non-Compliance of CDC Regulations
		Censure Letter	20-14-20	
76	ABM Securities (Pvt) Limited - Thereatic ASDA Securities (Pvt.) Ltd Thereatic	Ceccure Letter Ceccure + Rectiction	20-34-20 36-34-20 36-34-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
x x	ABM Securities (Pvt) Limited - Thereadic ASDA Securities (Pvt.) LTL - Thereadic ASDA Muzzafa Securities (Pvivate) Limited - MT & ME	Centure Letter Centure Centure + Restriction Removal of Restrictions	20-34-20 26-34-20 26-34-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Consequent to removal of Restrictions by NCCPL as an NF & ME Acco
74 75 76 77	ABM Securities (Pvt) Limited - Thereatic ASDA Securities (Pvt.) Ltd Thereatic	Cersure Letter Censure Censure Censure Restriction Removal of Restrictions Removal of Surgension	20-34-20 36-34-20 36-34-20 36-34-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
	ABM Securities (Pict) timbed - Thereatic ASDA Securities (Pic.) 13.5 - Thereatic Acad Mustafa Securities (Private) Limited - MT & ME			Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Consequent to removal of Restrictions by NCCPL as an NF & ME Acco
n	AMM Securities (PM) Little Themsace AGM Securities (PM; Little Themsace AGM MACRIS Securities (Photog) Limited - AMT & SE Patel Securities (Photog) Limited Patel Securities (Photog) Limited Patel Securities (Photog) Limited MELLONALINA RECEIVANCE (PMT LIMITED	Removal of Suspension	58-Ad-20	the Compliance of CIC Regulation Occupant to Ministry and CIC Regulation Consequent to mendal of functions in Systems 1, as N M & AM According to the State of
n	AMM Securitise (Mrt) Limited: Themsatic ASION Securitise (Mrt.) Std Themsatic Asial Mustafa Securitise (Privatel) Limited - MIT & MRT Patel Securitise (Privatel) Limited Patel Securitise (Privatel) Limited	Removal of Suspension Suspended	56-34-20 56-34-20	Non-Compliance of CDC beguintance Non-Compliance of CDC beguintance Consequent to menousiful Restrictions by MCCFs as an MF & MF Acro Holder vide Ancies no MCCFs/CRD/226/00 data sky sk, 2000 Consequent to the removal of surpensions of Participant by MCCFs as not vide incision on MCCFs/CRD/222/00 dates sky sk, 2000.
77 78 79 80 81	and security (PA) closed. Theretic and Managerie (PA) 1. Theretic and Managerie (PA) 1. Theretic and Managerie (PA) 1. Theretic and Managerie (PA) 1. Theretic (PA) 1. The Panil Securities (PA) 1. Theretic (PA) 1. Theretic Panil Securities (PA) 1. Theretic (PA) 1. Theretic Managerie (PA) 1. Theretic (PA) 1. Theretic (PA) 1. Theretic Managerie (PA) 1. Theretic (PA) 1. The	Removal of Surgention Surgended Centure Letter Centure Letter Centure Letter	56-34-20 56-34-20 9-34-20 8-34-20 6-34-20	No Complement of ESE Engineers No Complement of ESE Engineers Consequent to emociate the excitation, by the SE Min Association Consequent to emociate the excitation, by the SE Min Association Association of the emociation of
77 78 29 80 81 82	And Microsoft Physics (Physics) (1994) and Andresoft Physics (1994) and Andresoft Physics (1994) and Andresoft Securities (Philade) Lindold - MIT & Securities (Philade) Lindold - Pauli Securities (Philade) Lindold - Pauli Securities (Philade) Lindold - Securities (Philade) Lindo	Renoval of Suspension Suspension Consular Letter Consular Letter Consular Letter Consular Letter Consular Letter	56-34-20 56-34-20 8-04-20 8-04-20 6-04-20 6-04-20	Non-Complement of ESE Experience The Complement of ESE Experience The Complement of ESE Experience Consequent on Home Annual Sections, 19 Key 8 Med Self Annual Section Annual Section Section (19 Key 19 Key
77 79 80 81 82 82 84 85 86	Address Landers Physics and Presents Address Marchine (Private) Address Marchine (Private) (America Address Marchine (Private) (America Address Marchine (Private) (America Address Marchine (Private) (America Address Marchine Ad	Removal of Surgention Surgential Conveyor latter	\$6-34-20 \$6-34-20 \$-34-20 \$-34-20 \$-34-20 \$-34-20 \$-34-20 29-14-20 29-14-20	Manufacture of the Appaired Manufacture of t
77 78 79 80 81 82 83 84 85	AND SCIENTS PAY COMMON TRANSMITS AND SCIENTS PAY COMMON TRANSMITS AND SCIENTS PAY COMMON COMMON TO SCIENTS AND SCIENTS PAY COMMON COMMON TO SCIENTS AND SCIENTS PAY COMMON TO SCIENTS AND SC	Removal of Surgentian Surgended Constant Letter	56-34-20 56-34-20 8-34-20 8-34-20 6-34-20 6-34-20 5-34-20 29-34-20	Manufacture of the Apparent of
77 78 79 80 81 82 83 84 85 86 87 88 80 80	AND SCIENTS PAY COMMON TOWARDS AND SCIENTS PAY COMMON TOWARDS AND SCIENTS PAY COMMON COMMON TOWARDS AND SCIENTS PAY COMMON COMMON TOWARDS AND SCIENTS PAY C	Remoted of Supersion Supersion of Supersion Connect states General states	16-16-20 16-16-20 16-16-20 16-16-20 16-16-20 16-16-20 16-16-20 20-16-	Manufacture of the Suppose of the Su
77 79 80 81 82 83 84 85 86 87	AND SCIENTS PAY COMMON TRANSMITS AND SCIENTS PAY COMMON TRANSMITS AND SCIENTS PAY COMMON COMMON TO SCIENTS AND SCIENTS PAY COMMON COMMON TO SCIENTS AND SCIENTS PAY COMMON TO SCIENTS AND SC	femoud of Supprocious Supposed General Limiter Contract	16-16-20 16-16-20 16-16-20 18-16-20 18-16-20 18-16-20 18-16-20 28-16-	Man for opposed of the Agents
77 78 79 80 80 81 82 83 84 85 86 87 88 80 80 81	And the control Park control. The state of the control Park control Pa	Remark of Engineers Simple Management General Arter General Art	16-16-20 16-16-20 16-16-20 16-16-20 16-16-20 16-16-20 16-16-20 20-16-	Man foreground of the Agencies and Control of the Agencies
77 79 70 80 81 82 83 84 85 86 80 80 80 81 82 82 83 84 85 86 86 86 86 86 86 86 86 86 86 86 86 86	And the control Park control Products and the control Park control Products and Andrew Control Park control Park control and Andrew Control Park control Park control and Andrew Control Park control Park control and Andrew	Remoted of Supersion Supersion of Supersion Connect states General states	\$6.00 00 \$6.00	Man foreground of the Agention. Man foreground of the Agention of the Agention. Man foreground of the Agent of the Agention of the Agent of the
77 78 79 80 81 82 84 85 86 86 87 87 88 80 80 81 81 82 82 83 80 80 81 82 82 83 83 83 83 83 83 83 83 83 83 83 83 83	And the control Publishmen Street, Markett Str	Remoted of Supersion Supersion of Supersion Connect states General states	\$6.00 00 \$6.00	Man for options of the Augustus.
77 78 79 80 81 82 84 85 86 86 87 87 88 80 80 81 81 82 82 83 80 80 81 82 82 83 83 83 83 83 83 83 83 83 83 83 83 83	And to control Park Control Technology (1997) and the control Technology (199	Remoted of Supersion Supersion of Supersion Connect states General states	\$6.00 00 \$6.00	Man for opposed of the Apparent Man for opposed of the Apparent Man for opposed of the Apparent Man for opposed on the Apparent Man for opposed of the Apparent Man for opposed on the Apparent
77 78 80 81 81 82 84 85 86 86 86 87 88 80 90 91 91 91 92 93 94 95 96 96 97 98 98 98 98 98 98 98 98 98 98 98 98 98	And to control Processor. The control Processor. And to control Pro	Nemark Fugures Separate	\$6.00 00 \$6.00	Manufacture of the September of the Sept
77 78 80 80 81 81 82 83 84 85 86 86 87 88 80 80 81 81 82 83 80 80 81 81 83 84 85 86 80 80 81 81 81 81 81 81 81 81 81 81 81 81 81	And the control Processors. The control Processors and the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control to the control to the control processors. The control processors are control processors.	Noted Pagester Sprint State of Control of C	16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 26-0-2	Manufacture of the Apparent of
77 78 80 81 81 82 84 85 86 86 86 87 88 80 90 91 91 91 92 93 94 95 96 96 97 98 98 98 98 98 98 98 98 98 98 98 98 98	And the control Publishmen Publis	Nemark Fugures Separate	16-0-20 16-0-2	Manufacture of the September of the Sept
77 78 80 80 81 81 82 83 84 85 86 86 87 88 80 80 81 81 82 83 80 80 81 81 83 84 85 86 80 80 81 81 81 81 81 81 81 81 81 81 81 81 81	And the control Processors. The control Processors and the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control Processors are control to the control Processors. The control to the control processors.	Name of Augustian Separation of Separation	16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 16-0-20 26-0-2	Manufacture of the Apparent of
77 78 79 80 80 81 81 83 84 85 86 80 90 91 101 100 100 100 100 100 100 100	And to control Processors. The control Processors and the control Processors. The control Processors and the control Processors a	Name of Augustian Section 2011 Section 201	\$1.00 (20) 16.00	Americanism of the Apparent of
77 78 78 78 78 78 78 78 78 78 78 78 78 7	And the control Processor Control Control And the control Processor Control And the control And	Noted Population Septimble Sept	10 to 20 10 to	Annual residence of the segment of t
77 78 78 78 78 78 78 78 78 78 78 78 78 7	And the control of Park Contro	Name of Augustian Section 2011 Section 201	\$1.00 (20) 16.00	Manufacture of the Apparent of
77 78 78 78 78 78 78 78 78 78 78 78 78 7	And to control Publishers Character And to control Publishers And to control	Noted Population Septimble Sept	10 to 20 10 to	Membrane of the Apparent of th
77 78 78 78 78 78 78 78 78 78	And to control Publishment Processor And to control Publishment Publishment And to control Publishment Publishment And Society Publishment Publishment And Society Publishment And Control Publishment And Mandaland A	bound Fuguitation Separation	\$1.00 (2) (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	Mentional and the Section of the Sec
77 38 80 81 81 81 82 83 84 85 86 86 87 87 88 88 88 88 88 88	And to control Publishers Character And to control Publishers And to control	bound Fuguitation Separation	\$1.00 (2) (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	Mentional and the second of th
77 28 28 41 41 42 43 44 45 46 47 48 48 48 48 49 48 48 48 48 48	And to control Processors - Minesters - Mi	Named Flagston Separate States State	10 At 32 At	Mentional and the Section of the Sec
77 78 88 81 14 15 16 17 18 18 19 10 10 10 10 10 10 10 10 10	And the control processor for the control pr	Noted Popularies Septimized Sept	10 AU 20 AU	Man foreground of the Augustian
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17 17 18 18 18 18 18 18 18 18 18 18 18 18 18	And the control of Park Contro	Noted Popularies Variable Services Service	10 Au 20 Au	Annual registration of the department of the segment of the segmen
19 19 19 19 19 19 19 19 19 19 19 19 19 1	And the control of th	Manual Fugures August	10 Au 20 20 20 20 20 20 20 20 20 20 20 20 20	Manufactions of the September 1
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20 10 10 10 10 10 10 10 10 10 10 10 10 10	And the control of Park Contro	Manual Fugures August	10 A	Mendingstrand of the approximation of the approxima
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20 10 10 10 10 10 10 10 10 10 10 10 10 10	And the control of Park Contro	Name of Augustian Name of Augustian Control of Contro	10 A	Men formation of the specimen
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19	And the control of th	Manual Fugues 1 August 1 August 2	10 Au 37 Au	Manufactions of the agency of
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19 19 19 19 19 19 19 19 19 19 19 19 19 1	And the control of Participation Processing Street	Name of Augusts August and Augusts Au	10 A 10 T 20 T	Manufactions of the September 1

\$. No. 148 144 145	Name of Participant	Disciplinary Actions	Maries Gara	Reason
144			Notice Date	
	ASDA Securities (Put.) Limited - MF	Restricted	17-Mar-20	Consequent to imposing the Restriction by NCCPL as an NF Account H side notice no. NCCPL/CRG/127/20 dated March 17, 2020.
145	Zillion Capital Securities Pvt. Limited - MF	Removal of Restrictions	36-Mar-20	Consequent to remainal of metriction by NCCPL as an NF Account Helide notice on NFFW NFW ITEM (to dend March 12 2000)
	Amer Securities (Private) Limited - MF	Removal of Restrictions Notice of Restriction & Censure	13-Mar-20	Consequent to removal of metriction by NCCPL as an NF Account Holder notice no. NCCRL/CRO D32/D6 done March 12: 2000.
146	BIPL Securities Limited		24-540-20	Non-Compliance of CDC Regulations
147	First Capital Equities Limited	Restricted	36-Feb-20	Due to the cancellation of liscence by SECP as a securities broker, vide no. 2(X8/SE/SMD/2000 dated February 20, 3020.
148	Din Capital Limited	Restricted	26-Feb-20	Our to the cancellation of lincence by SECP as a securities broker, vide no. 2(X8)/SE/SMD/2020 dated February 20, 2020.
149	Concordia Securities (Private) Limited	Restricted	26-Feb-20	Due to the cancellation of fiscence by SECP as a securities broker, vide no. 3(38)/SE/SMD,0020 dated February 30, 2000
190	MK Securities (Private) Limited	Restricted	34-Feb-20	Due to the cancellation of Escence by SECP as a securities broker, vide no. 2(EE)/SE/SMD/2000 dated February 20, 2020.
151	Abbasi Securities (Pvt.) Limited - MY and MF	Restricted	20-Feb-20	Consequent to imposing the restriction by NCCPL as an MF Account Ho and MF Account Holder vide notice no. NCCPL/CRD/108/20 dated February 1999.
152	Fairway Securities (Put.) Limited - MT and MF	Restricted	20-540-20	Consequent to imposing the restriction by NCCPL as an MF Account Ho and Mf Account Holder vide notice no. NCCPL/CRD/183/30 dated Feb
158	Shajar Cagital Polistan (Put.) Limited - MT and MF	Restricted	20-Feb-20	Consequent to imposing the restriction by NCCPL as an MF Account He and MF Account Holder vide notice no. NCCPL/CRC/206/20 dated field Consequent to suspension of TRE Certificate of Participant by PRI v
154	Reliance Securities Limited	Suspended	14-Feb-20	posicy on PSYSN 201 should Subscious 14, 2020.
156	Bankislami Pakistan Limbed Azee Securities (Private) Limbed	Removal of Suspension	10-Feb-20	Valuation Yestinization Due to removal of outpension of Participant by NCCPs as notified vide i
197		4	10.640-30	on service or an Albertan department of the control of the control of Participant by NCCPL as notified vide-
	Azee Securities (Private) Limited	AND THE REAL PROPERTY.		Consequent to the suspension of Participant by NCCPL as notified vide no. NCCPL/CRQ/RR/20 stend February 30, 2000.
158	Spet Securities Limited	Natice in respect of Participant	7 Feb-20	Participant has complied with the Regulation 6.8.2 CDC Regulation
199	AMCAP Securities (Private) Limited	Terminated	25-120-20	Consequent to the forfeiture of 19ki Certificate of the Participant b PSX vide notice no. PSX/to SX? dated January 31, 2020.
160	Luxor Securities (SMC-Private) Limited	Terminated	30-tar-30	Voluntary Terminasion
162	Foundation Securities (Put.) Limited MCFSs-Trustee Askari Islamic Asset Allocation Fund	Notice of Restriction & Consum	27-130-30 21-130-30	Non-Compliance of CDC Regulations Valuetary Termintaion
168	K & I Global Capital (Pvt.) Limited	Censure Letter	10-120-20	Non-Compliance of CDC Regulations
164	Amer Securities (Put.) Limited	Censure Letter	10-110-20	Non-Compliance of CDC Regulations
165	Ayub Chaudhary Investment (Put.) Limited	Notice of Restriction & Censure	19-130-30	Nan-Compliance of CDC Regulations
166	Habita Metropolitan Financial Services Limited	Censure Letter	90-tan-30	Non-Compliance of CDC Regulations
167	Shajar Capital Pakistan (Misate) Limited O Air Phonosi Securities (Misate) Limited	Notice of Rectriction & Censure Notice of Rectriction & Censure	90-1an-20 9-1an-20	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
169	Oriental Securities (Put.) Limited	Consume Letter	7-tan-20	Non-Compliance of CDC Regulations
130	Sumawala Securities (Private) Limited	Cercure Letter	7-tan-20	Non-Compliance of CDC Regulations
171	Raba Equities (Pvt) LSS.	Restricted	1-tan-20	Failure to submit Financial Statement or Auditor Certificate as requirement or Auditor Certificate as requirement of the CDC Regulation.

172	RP Securities (Private) Limited	Restricted	1-tan-20	Failure to submit Financial Statement or Auditor Certificate as requi under Regulation 6.8.2. of the CDC Regulation.
_			l .	Enhance to cultural Ensacial Streament or Auditor Conflictor or more
179	M. F. Stocks (Hivate) simited	Restricted	5-tan-20	Failure to submit financial Statement or Auditor Certificate as requirement for Regulation 6.8.2. of the CDC Regulation.
176	Nant Securities Limited	Restricted	1-tan-20	Failure to cubmit Financial Statement or Auditor Certificate as requirement or Auditor Certificate as requi
	Andrew Commen			
175	Beaming Investment & Securities (Private) Limited	Restricted	1-tan-20	Failure to submit Financial Statement or Auditor Certificate as requirement repulsion 6.8.2. of the CDC Regulation.
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136	Reliance Securities Limited	Restricted	1-tan-20	Failure to submit financial Statement or Auditor Certificate as requirement or Auditor Certificate as requirement of the CDC Regulation.
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177	RLC Securities Private Limited	Restricted	1-tan-20	Failure to submit Risaccial Statement or Auditor Certificate as requirement or Auditor Certificate as requirement on the CDC Regulation.
178	Maha Securities (Pvt.) Limited	Restricted	1-ran-20	Failure to submit Rinaccial Statement or Auditor Cetificate as requi- under Regulation 6.8.2. of the CDC Regulation.
				under Regulation 6.8.2. of the CDC Regulation.
179	F.M. Securities (Pvt.) ISS.	Restricted	1-san-20	Failure to submit Rinancial Statement or Auditor Certificate as requi under Regulation 6.8.2. of the CDC Regulation.
190		Restricted	1-tan-20	
190	CMA Securities (Pvt) simbed	Restricted	1-tan-30	Failure to submit Financial Statement or Auditor Certificate as requi under Regulation 6.8.2. of the CDC Regulation.
181	A.S. Securities (Private) Limited	Resticted	1-tan-20	
181		MACHINIA	2000	Failure to submit Rinancial Statement or Auditor Certificate as requirement or Auditor Certificate as requirement of the CDC Regulation.
182	Cherab Stock Services (Private) Limited	Terminated	35-Dec-19	Valuetary Termintalian
188	ASDA Securities (Pvt.) Limited - MF	Removal of Restriction	20-Dec-19	Consequent to removal of restriction by NCCPs as an MF Account Hall vide natice no. NCCPs/CRD/208/28 dated December 18, 2019.
186	Abid Ali Habib Securities (Private) Limited	Terminated	13-Dec-19	Voluntary Termination
185	zi Global Capital Limited	Censure Letter	10-Dec-19	Non-Compliance of CDC Regulations
196	Bhayani Securities (Pvt) Ltd. Aktai Securities (Pvt.) Limited	Censure Letter Censure Letter	5-Dec-18 5-Dec-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
188	Muhammad Slaim Kasmani Securities (Pvt.) Limited	Censure Letter	5-Dec-18	Non-Compliance of CDC Regulations
189	Grawth Securities (Put.) limited	Consume Letter	5-Dec-18	Non-Compliance of CDC Regulations
190	Faward Yusuf Securities (Pvt.) Limited	Consume Letter	5-Dec-18	Non-Compliance of CDC Regulations
191	Value Stock & Commodities (Pvt.) limited	Notice of Restriction & Censure	5-Dec-18	Non-Compliance of CDC Regulations
199	tomail lighal Securities (Pvt.) Limited S.D. Mirca Securities (Pvt.) Limited	Concure Letter	5-Dec-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
194	Afb Adhi Securities (Put.) Limited	Censure Letter	5-Dec-18	Non-Compliance of CDC Regulations
195	Abbasi Securides (Pvt.) Limited	Censure Letter	S-Dec-18	Non-Compliance of CDC Regulations
196	Isinchaid & Hasan Securities (Private) Limited	Terminated	27 Nov-19	Valuntary Termination
197	AL HABIB CAPITAL MARKETS (PRIVATE) LIMITED	Censure Letter	25 Nov 29	Non-Compliance of CDC Regulations
	H.P. Byramji & Co. (Put.) Limbed		25-Nov-19	Non-Compliance of CDC Regulations
199		Censure Letter		
199 200	High Land Securities (Pvt) Limited Yasir Mahmood Securities (Pvt) Ltd.	Consume Letter Consume Letter	25-Nov-19 25-Nov-19	Non-Compliance of CDC Regulations
199 200 201	High Land Securities (Pvt) Limited Yasir Mahmood Securities (Pvt) Ltd. Techno Fundamental Securities (Private) Limited	Coccure Letter Coccure Letter Terminated	25-900-19 25-900-19 25-900-19	Non-Compliance of CDC Regulations Voluntary Termination
202	Techno Fundamental Securities (Private) Limited Schon Capital Markets Limited	Concure Letter Concure Letter Terminated Terminated	25-100-59 25-100-59 25-100-59 18-100-59	Voluntary Termination Voluntary Termination Consequent to switching off of trading terminals of Participant by Pfile
202	Techno Fundamental Securities (Private) Limited Schon Capital Markets Limited Spert Securities Limited	Centure Letter Centure Letter Tecninated Tecninated Restricted	25 No. 19 25 No. 19 21 No. 19 18 No. 19 18 No. 19	Valuntary Termination Valuntary Termination Consequent to switching off of trading terminals of Participant by PSI
202	Techno Fundamental Securities (Private) Limited Schon Capital Markets Limited	Centure Letter Centure Letter Terminated Terminated Restricted Restricted	25 Nov 19 25 Nov 19 20 Nov 19 18 Nov 19 18 Nov 19	Voluntary Yeminasion Voluntary Yeminasion Consequent to switching off of trading terminals of Participant by Pfin consequent to switching off of trading terminals of Participant by Pfin
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	Name of Participant	Disciplinary Actions	Notice Date	Reason
292	MK Securities (Pvt.) Limited	Restricted	16-34-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-972 dated July 36, 2009.
298	Topline Securities Limited	Concure Letter	15-14-19	Mon-Compliance of CDC Regulations
294	Ata Ali Habib Securities (Pvt.) Limited	Censure Letter	15-34-19	Non-Compliance of CDC Regulations
295	Azee Securities (Private) Limited	Removal of Suspension	15-34-19	Due to removal of outgention of Participant by NCCPL as notified vide notice no. NCCPL/CRD/106/19 dated July 15, 2018.
				vide notice no. NCCPL/CRO/306/29 dated July 15, 2018.
296	KP Securities (Private) Limited	Restricted	15-34-19	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX/N-Bil7 dated July 15, 2019.
297	Azee Securities (Hrivate) Limited	Suspended	15-34-19	Consequent to the suspension of Participant by NCCPL as notified vide notice no. NCCPL/CRC/203/29 dated July 15, 2009.
298	Athlah Securities (PX.) simited	Notice of Rectriction & Centure Notice of Rectriction & Centure	9-14-19	Non-Compliance of CDC Regulations
259	Taurus Securities Limited	Notice of Restriction & Censure	9-02-29	Non-Compliance of CDC Regulations
260	Reliance Securities Limited	Removal of Restrictions	28-141-29	Consequent to restoration of trading terminals of Participant by PSX, as notified by PSX vide nation no. PSX/N-BSX dated June 28,
266	Dowood Equities Limited	Suspended	36-tun-29	Consequent to the suspension of Participant by NCCPs as notified vide notice no. NCCPs,/CRO/NO/19 dozed June 26, 2019.
262		Removal of Surgension	36-141-29	The to employ of expension of fluctivious by WY R or certifies
	Drawcod Equities Limited			Due to removal of outgendon of Participant by NCCPL as natified wide variou on MCCPs Interchibit about 1004 his horse Participant has complied with the Regulation 6.8.2 CDC
268	CMA Securities (PAL) Limited	Notice is respect of Participant	25-aur-29	
264	Reliance Securities Limited	Restricted	28-141-29	Consequent to owitching off of trading terminals of Participant by Pick side notice no. Pict/h-745 dated June 18, 2018.
265	Diyanah Islamic Financial Services (Private) Limited	Terminated	11-140-29	Voluntary Termination
266 267	Standard Capital Securities (Put.) Limited	Notice of Restriction & Consum Consume Letter	31-May-29 31-May-29	Non-Compliance of CDC Regulations
207	Din Capital Limited Azee Securities (Private) Limited	Notice of Restriction & Censure	21-May-29 20-May-29	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
269	Nini Securities (Put.) Limited	Censure Letter	30 May-29	Non-Compliance of CDC Regulations
230	R.T. Securities (Put.) Limited	Notice of Rectriction & Concurs	30-May-29	Non-Compliance of CDC Regulations
271	Khadim Ali Shah Bukhari Securities (Put.) Limited	Notice of Rectriction & Concure	28-May-29	Non-Compliance of CDC Regulations
272	A. H. M. Securities (Pvt.) Limited	Notice of Restriction & Censure	28-May-29	Non-Compliance of CDC Regulations
279	Onni Capital Management (Private) Limited	Terminated	23-May-29	Voluntary Termintarion
AV.		Removal of Restriction	21 M	Consequent to restoration of trading terminals of Participant by PSX, as notified by PSX vide notice no. PSX)N-638 dated May 21,
276	First Capital Equities Limited	Removal of Restriction	21-May-29	Pix , as notified by PSX vide notice no. PSQN-688 dated May 21, 2009.
275	First Capital Equities Limited	Restricted	16-May-18	Consequent to switching off of trading terminals of Participant by PSX vide notice no. PSX(N=622 dated May 16, 2018.
				PSX vide natice no. PSX/N=622 dated May 16, 2019.
2%	Azee Securities (Private) Limited	Suspended	16-May-29	Consequent to the suspension by NCCPs as notified vide notice on MCCPs (PRO-SPA) about May 14, 1919
277	Azee Securities (Private) Limited	Removal of Suspension	10 May 29	Consequent to removal of suspension by NCCPs as notified vide nation on NCCPs/CRO/ARATE dated May 14, 2019.
279	KSR Stack Brokerage (Pvt) Limited	Terminated	13-May-29	
229	Azee Securities (Hivate) Limited	Suspended	9-May-19	Consequent to the suspension by NCCPL as notified vide natice
290	Azee Securities (Private) Limited	Removal of Suspension	9 May 19	Valuation / Television of MCCPs or confided vide nation consequent to the outpersion by MCCPs or confided vide nation on MCCPs //Television depend May 98, 2019. Consequent to removal of suspension by MCCPs or confided vide contine no. MCCPs //Television/by/bil danad May 99, 2019.
295	Azee Securities (Privatar) Llimited Spectrum Securities Limited	Notice of Restriction & Consum	9-May-19	nation on NCCPL/CRO/S7729 dated May SR, 2019.
292	Beaming Investment & Securities (Private) Limited	Natice in respect of Participant	7 May 19	Non-Compliance of CDC Regulations Fasticipant has compiled with the Regulation 6.8.2 CDC
283		Terminated	3 May 19	
288	SAL Securities (Put) Limited	Notice of Restriction & Censure	2 May 19	Valuatory Termintaion Non-Compliance of CDC Regulations
265	Intermarket Securities Limited Rogal Securities (Pvt.) Limited	Centure Letter	29-Apr-29	Non-Compliance of CDC Regulations
266	EFG Hermes Pakiszan Limited	Notice of Restriction & Censure	29-Apr-29	Non-Compliance of CDC Regulations
297	Caccim Insectment (Hissate) Limited	Terminated	19-Apr-28	Valuation Terminitation Due to non-compliances of 6.8.2. of CDC Regulations after
268	CMM Securities (Pvt) timbed	Suspended	9-Apr-19	Due to non-compliances of 6.8.2. of CDC Regulations after
299	Beaming Investment & Securities (Private) Limited	Sucamded	26-601-19	Due to non-congliances of 6.8.2. of CDC Regulations after
290		Suspended	26-Mar-19	completing 60 Business Days of psytrictions.
290	First Fidelity Leasing Modaraba	Suspended	26-Mbr-19	consisting 50 Business David of restrictions. Due to non-consistence of 6.9.2. of CCC Regulations after consisting 50 Business David of restrictions. Due to non-consplicaces of 6.9.2. of CCC Regulations after consisting 50 Business David of restrictions.
295	Millennium Securities & Investments (Private)	Suspended	26-551-19	Due to non-compliances of 6.9.2. of CDC Regulations after completing 60 Business Days of rectrictions.
	Linear			congressing the description could be reconstructed.
292	Diyanah stransic Financial Services (Private) Limited	Suspended	36-Mar-19	
	Limited	Surpended Surpended	26-Mar-19 26-Mar-19	
292	Limited SMMC Investments (Hrivate) Etd.	Suspended	36-Mar-19	
292 298 298	Limited SMMC Investments (Hivate) Ltd. First Pakistan Securities Limited	Suspended Suspended	26-Mar-19 26-Mar-19	Due to non-compliances of 6.9.2, of CDC Regulations after consistent bit Business Date of restrictions. Due to non-compliances of 6.9.2, of CDC Regulations after consistent 60 Business Date of restrictions. Due to non-compliances of 6.9.2, of CDC Regulations after consistent 68 Business day of restrictions.
292	Limited SAMC Investments (Private) I.S. First Pakistan Securities United Multiline Securities (Pvt.) Limited	Suspended	36-Mar-19	Due to non-compliances of 6.8.2. of CCC Regulations who considerate Obstacles that of encodroms that is non-compliances of 6.8.2. of CCC Regulations when considerate of backens than of environmen. Due to non-compliances of 8.8.2. of CCC Regulations when considerate of backens day of enablishmen considerate of backens day of enablishmen. Man-Compliance of CCC Regulations.
292 298 296	Limited SMMC Investments (Hivate) Ltd. First Pakistan Securities Limited	Suspended Suspended	26-Mar-19 26-Mar-19	Due to non-compliances of 6.9.2, of CDC Regulations after consistent bit Business Date of restrictions. Due to non-compliances of 6.9.2, of CDC Regulations after consistent 60 Business Date of restrictions. Due to non-compliances of 6.9.2, of CDC Regulations after consistent 68 Business day of restrictions.
292 298 296 296 296 297	Listinad SMAC Investments (Private) Ist. PART Philiston Securities United Machilles Securities (Pr.) Limited Green Star Securities (Pr.) Limited Serves Star Securities (Pr.) Limited Wester Securities (Pr.) Limited Machinese Antilla was used in Securities (Pr.)	Surpended Surpended Cercure Letter Cercure Letter Cercure Letter	36-May 19 36-May 19 22-May 19 22-May 19 22-May 19 22-May 19	Does to non-compliance as of 6.8.2 of CCC Regulations after consistent of Business to Does of micrositions. Does non-compliance as 6.8.2 of CCC Regulations after consistent of Business to Does of micrositions. Does non-compliance as 6.8.2 of CCC Regulations after consistent of Business to Dear America Source, topic Compliance of CCC Regulations. Non-Compliance of CCC Regulations. Non-Compliance of CCC Regulations.
292 298 296 296 296	Listind SAMC INSCREENT (Private) (35. First Polician Securiore Limbed Samilion Securiore (Pur), Limited Series Star Securiore (Pur), Limited Series Star Securiore (Pur), Limited Series Star Securiore (Pur), Limited SAML	Suspended Suspended Censure Letter Censure Letter Censure Letter Censure Letter Plates of PetaTiction & Censure	36-bbs-19 26-bbs-19 22-bbs-19 22-bbs-19 22-bbs-19 22-bbs-19	Due to our compliance of 6.5.2 of CCE Registrons of the consistent of the factors than discovery than con- trol to our control of the control of the con- trol of the control of the control of the con- trol of the control of the control of the con- trol of the control of the control of the con- trol configuration of CCE Registrons. Not Compliance of CCE Registrons.
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292 298 296 296 296 297	Listind SAMC INSCREENT (Private) (35. First Polician Securiore Limbed Samilion Securiore (Pur), Limited Series Star Securiore (Pur), Limited Series Star Securiore (Pur), Limited Series Star Securiore (Pur), Limited SAML	Suspended Suspended Censure Letter Censure Letter Censure Letter Censure Letter Plates of PetaTiction & Censure	36-bbs-19 26-bbs-19 22-bbs-19 22-bbs-19 22-bbs-19 22-bbs-19	Date to over-semigeness of 6.5.2 of CEC Regulations after seminations of 6.5.2 of CEC Regulations after seminations of this flowers from the connections. The control of th
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Under Regulation 14.6.1 of the CDC Regulations				
S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason Consequent to restocation of trading terminals of Participant by PSK with immediate effect as notified by PSK vide notice no. PSK WITH SERVICE AREAS TERMINAL TO SERVE
				PIX with immediate effect as notified by PIX vide notice no. PIX No. 15 dated toward 12 little
342	Artif Habib Limited K.H.S. Securities (PAT) Limited	Notice of Restriction & Consum Removal of Restriction	22-101-29 23-101-29	Nan-Compliance of CDC Regulations Consequent to restocation of trading terminals of Participant by PDC with immediate effect as notified by PDC vide notice no. 901/86.06 efect transmit by 1918.
868		Renova of Rectriction		PSK with immediate effect as notified by PSK vide notice no. PSR Read denied frames in 1888.
346	Fair Deal Securities (Private) Limited HMC Stocks (Private) Limited	Terminated Restricted	26-120-29 26-120-29	Voluntary Terminazion Consequent to owitching-off of the trading terminals of Participan as notified by PSI vide notice no. PSI(N=46 dated January Sis, 2018.
206	K.H.S. Securities (PAT.) Limited	Restricted	26-120-29	Consequent to switching-off of the trading terminals of Participan
347	E.H.S. Securities (Pvt.) Limited CMM Securities (Pvt.) Limited	Restricted	26-140-29 26-140-29	as notified by PSX vide notice no. PRi(N=44 dated Ianuary 36, 2018. Failure to submit Financial Statement or Auditor Certificate as associated under Statement of Auditor Certificate as
348	KSR Stock Brokerage (Pvt) Ltd.	Removal of Restriction	15-120-29	Participant has compiled with the Regulation 6.8.2
249	Fair beal Securities (Pvt) Ltd.	Rectricted	1-30-19	required upday Requirement & 2 of the CPV Requirement
350	Beaming Investment & Securities (Private) Limited	Restricted	1-30-19	Failure to cubmit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
351	Maha Securities (Pvt.) Limited	Restricted	1-100-19	required under Regulation 6.8.2 of the CDC Regulation. Failure to cubmit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
352	Invest Capital Markets Limited	Rectricted	5-tan-19 5-tan-19	Failure to cubmit Financial Statement or Auditor Certificate as required under Regulation 6 8.2 of the CDC Regulation.
353	SMMC Investments (Hivate) 135.	Restricted		required under translation abstracts of the CEC Required required under translation 6.8 of the CEC Required Failure to cultrait Financial Statement or Auditor Certificate as required under translation 6.8 to of the EEC Required
354	KSR Stock Brokerage (Pvt) Ltd.	Restricted Restricted	1-an-19 1-an-19	ration to cobint induction basement or Auditor Centricitie as converted under Resolution 6.8 k of the CRY Resolution
255	First Pakistan Securities (Limbed Diyanah Islamic Financial Services (Private) Limbed	Recticted	1-lan-19 1-lan-19	Failure to cubmit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation Failure to cubmit Financial Statement or Auditor Certificate as
207	Limited Millennium Securities & Investments (Private) Limited	Restricted	1-30-19	resources under resources on X of the CCC Resources. Salue so submit Financial Statement or Audion Certificate as required under Regulations & 8 of the CCC Resources. Failure to submit Financial Statement or Audion Certificate as required under Regulation & 8.2 of the CCC Regulation.
100	Limited	bestimed	5-ba-19	required under Regulation 6.8.2 of the CDC Regulation. Failure to submit Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
259	First Fidelity Leasing Modaraba Sigma Leasing Corporation Limited	Suspended	1-180-19 30-Dec-18	required under Remittation 5.8.7 of the CPC Besidence Failure to settle the CPC outstanding dues.
				Consequent to switching-off of the trading terminals by PSX width
360	South Asian Securities (Private) Limited	Removal of Suspension	25-Dec-18	Concequent to cwitching-off of the trading terminals by PSX with immediate effect vide notice no. PSX/N=6554 dated December 21 2018.
361	ligital usman koduovi Securities (PAL) Limited #LLC Securities (Private) Limited	Concure Letter Removal of Restriction	20-Dec-18 18-Dec-18	Nan-Compliance of CDC Regulations Consequent to restocation of trading terminals of Participant by PIX with immediate effect as notified by PIX vide notice no. PIXIN-65 IN Stand Disconter 18, 2018
262	SM Capital Securities (Put.) Limited	Concure Letter	16-Dec-18	PSKN 4628 dated becember 18, 2018 Non-Compliance of CDC Regulations
364	Oriental Securities (PAL) Limited Apex Capital Securities (PAL) Limited	Concure Letter	16 Oct-18	Non-Compliance of CDC Regulations
265	Apex Capital Securities (Pkt) Limited Time Securities (Pkt.) Limited	Concure Letter Concure Letter	16-Dec-18 16-Dec-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
367	zi Rank Limbed	Centure Letter Removal of CDC Notice datedBecomber DI, 2018	18-Dec-18	Non-Compliance of CDC Regulations Participant has compiled with Regulation 6.8.1 of the CDC
268	Guireo Securides (Private) Limited	Removal of CDC Notice datedBecember DI, 2018 Notice of Restriction & Censure	13 Dec-18	Marticipant has complied with Regulation 6.9.1 of the CDC Regulations.
369 270	MMA Securities Limited Creative Capital Securities (Art.) Limited	Notice of Rectriction & Censure Censure Letter	30 Nov 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
371	B & B Securities (Pvt.) Limited	Concure Letter	20 Nov 18	Non-Compliance of CDC Regulations
372 373	Aktai Securities (Pvt.) Limited Sumawala Securities (Pvt.) Limited	Concure Letter	30 Nov 58 30 Nov 58	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
374	Tariq Sayeed Securities (Private) Limited	Removal of Suspension	29 Nov-18	Consequent to removal of corpension by NCCPL as confined vide ratios no. NCCPL/CRO/221/38 dated November 28, 2018 and restauction of todaing terminals by PRI as notified vide notice no PRON-6419 dated November 16, 2018.
375	KBr Global Capital (Pvt.) Limited	Consume Letter	23 Nov 18	Phi(Nett) dated November 16, 2018. Non-Compliance of CDC Regulations
236	Inharmib Securities (Pvt) Limited	Terminated	29 Nov-18	Valuntary Termintalian
377	Bhayani Seculties (Put) Ltd. Nael Capital (Put) Limited	Notice of Restriction & Censure Notice of Restriction & Censure	22 Nov-18 22 Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
379	Tis Securities (Pvt) Ltd. Dowood Squities Limited	Notice of imposition of Penalty Notice of Rectriction & Centure	22-Nov-18 22-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
281	Tis Securities (Put) Ltd.	Imporition of Penalty	22 Nov-18	Non-compliance of citic Regulations Penalty imposed on non-compliances highlighted in Inspection of Participant Record
100	Khayyam Securities (Private) Limited	Territored	16-Nov-18	Participant Record Voluntary Termintalos
282	AM Claudhan Securities (Hisatel Limited	Removal of Suspension	16-Nov-18	Commence of the first section in the
ide		Restricted	16-Nov-18	Consequent to cultivity after the trading terminals by PAX wife Consequent to cultiving-off of the trading terminals by PAX vide notice no. PAXNH-6418 dated Neumber 16, 2018.
205	RLC Securities (Private) Limited Moneyline Securities (Pvt.) Limited	Rectricted Censure Letter	16-Nov-18 15-Nov-18	notice no. PSix16-6418 dated November 16, 2018. Non-Compliance of CDC Regulations
296	Gull Dhami Securities (Pvt.) Limbed	Consume Letter	15-Nov-18	Non-Compliance of CDC Regulations
387	CMA Securities (PAL) Limited Mayori Securities (Private) Limited	Concure Letter	15-Nov-18 12-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
200	Q. Ain Khanani Securities (Private) Limited	Consume Letter	12-Nov-18	Non-Compliance of CDC Regulations
265	Shaffi Securises (Pvt.) Limited	Consume Letter	9 Nov-18	Nan-Compliance of CDC Regulations
292 293	CRitim Investments (Pvt.) Limited Khawaja Securities (Pvt.) limited	Censure Letter Censure Letter	9-Nov-18 9-Nov-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
394	F.M. Securities (Private) Limited	Rectricted	26-017-18	Consequent to switching-off of the trading terminals by PSX vide notice on PSYM SART from the related by 1919.
395	Apert Securities Limited	Removal of Restriction	25-011-18	ner companies or Lock Regulations Non-Compliance of CDC Regulations Consequent to watching off of the trading terminals by PRX vide motion on PSYM-REIS frond Cruther 15, 2018. Consequent to restriction of trading terminals of Participant by PRX with reflect from Cruther 15, 2018 to motified by PRX vide motion on PSYM-REIS from Contrate 11, 2018 to motified by PRX vide motion on PSYM-REIS from Contrate 11, 2018 to motified by PRX vide
296	IG: Investment Bank Limited	Terminated	22-017-18	eotice no. PSON 6281 direid October 26, 2018. Voluntary Terminision
367	Salim Soper Securities (Put.) Limited	Suspended	17-013-18	TOWNS TO PROVIDE A STATE AND A STATE OF A ST
298	Salim Soper Securities (Put.) Limited	Removal of Surgension	17-013-18	Consequent to custoine of the trading terminal to PT 2008. Consequent to custoine off of the trading terminals by PEC 91.
200	A.S. Securities (Pvt.) simited	Recticted	16-017-18	Consequent to cuitching-off of the trading terminals by PSX vide and can a BOND of the forms for the 116 3119
400	CAMCO (Pvt) Limited	Removal of Restriction	12-017-18	continuous at demandrating on a later compagn personnel by the view continuous deliverabilities indused furniture at a birth Contequent to restoration of trading terminals of Participant by PSX as notified by PSX vide notice no. PSR/N 46007 dated October
605	Fairtrade Capital Securities (Pvt.) Limited	Notice of Rectriction & Censure	90018	Non-Compliance of CDC Regulations
602	Linex Securities (Put) Limited	Notice of Restriction & Consum	90018	Non-Compliance of CDC Regulations
608 606	Optimus Capital Management (Private) Limited EPG Hermes Pakktan Limited	Notice of Restriction & Censure Notice of Restriction & Censure	900-18 900-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
406	FDM Capital Securities (Put) Limited	Notice of Restriction & Censure	9-0:0-18	Non-Compliance of CDC Regulations
606 607	Caccim Investments (Private) Limited Market 786 (Private) Limited	Notice of Rectriction & Censure Notice of Rectriction & Censure	900:18 900:18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
408	First Capital Equities Limited	Suspended	900-18	Consequent to the suspension by NCCPs as notified vide notice on Anne Pranting Fire Association and Tene
609	First Capital Equities Limited	Removal of Suspension	900-18	co. NATAN PROPERTY RESEARCH WAS INCOME. Consequent to removal of suspension by NCCPL as notified vide consequent to removal of suspension by NCCPL as notified vide consequent to removal of suspension by NCCPL as notified vide.
410	DIA Securities (PM) Limited	Removal of Restriction	900-18	Consequent to restoration of trading terminals of Participant by PEX as notified by PEX vide notice no. PEX/N-9817 dated October
411	Summit Bank Limited - Summit Custodial Services	Consume Letter	5-0:0-18	09, 2009. Non-Compliance of CDC Regulations
412	MIGM Securities (Private) Limited	Concure Letter	20018	Non-Compliance of CDC Regulations
613 636	Fair Deal Securities (Pvt) Ltd. Riss Wheel Securities (Pvt) Ltd.	Concure Letter Concure Letter	3-00-18 3-00-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
415	Auf Latif Securities (Pvt) Ltd.	Censure Letter	200:18	Non-Compliance of CDC Regulations
636 617	Trust Securities & Brokerage Limited ASDA Securities (No.) Ltd.	Centure Letter Notice of Restriction & Centure Notice of Restriction & Centure	200:18 200:18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
418 429	Zahid Latif Khae Securities (PAS) Limited CMM. Securities (PAS) Limited	Notice of Rectriction & Centure Rectricted	25-Sep-18 19-Sep-18	Non-Compliance of CDC Regulations Consequent to critically off of the studing terminals of Participal as notified by PSX vide notice no. PSQNV-RSS1 dated September 18, 2018.
429	CMM. Securities (Pvt.) Limited SMMC Investments (Private) Limited	Restricted Restricted	29-Sep-18	os sottleed by Pait vide natice no. PSQNs-SSS1 dated September 18, 2018. Consequent to owitching-off of the trading terminals of Participa
				Consequent to cuinting-off of the trading terminals of Furticipal as notified by PSX vide notice no. PSQNb-6951 dated September 18, 2018. Consequent to cuinting-off of the trading terminals of Furticipal
421	CAMCO (Put.) Limited	Recolored Removal of Restriction	29-Sep-18 29-Sep-18	Consequent to owinthing-off of the trading terminals of Participar as notified by Pitt vide natice no. PO/NH-6/81 dated Segtember 18, 2018. Consequent to restoration of trading terminals of Participant by Pit as notified by Pitt vide natice no. PO/NH-6/844 dated
	Apex Capital Securities (Misate) Limited			PSX as notified by PSX vide notice no. PSX/N-S344 dated September 18: 2018.
422		Removal of Restriction	19-Sep-18	September 18. 1018. Consequent to resconding of moding beneficials of Participant by PEX as notified by PEX when more no. PSQN-5344 dated September 18. 2018.
628	MSD Capital Equities (Pvt.) Limited			
	MID Capital Equities (Pvt.) Limited Aprix Capital Securities (Private) Limited	Recticted	18-Sep-18	Consequent to cwitching-off of the trading terminals of Participan as notified by PSX vide notice no. PSX(N=6310-dated September 18, 2018.
628			18-Sep-18	Consequent to switching-off of the trading terminals of Participal as notified by PEX vide notice no. PEX/N-EE10 Lated September 18, 2018.
628 636	Apex Capital Securities (Mivate) Limited	Recolicaed		Consequent to outstain off of the trading terminals of Featings as notified by PEx visite codes on PSQN+S110 stated September 18, 2018. Consequent to outstaing-off of the trading terminals of Participa as notified by PEx visit on SQN+S110 stated September 18, 2018.
428 438 435	Ages Capital Securities (History Limited WA Securities (PAT) Limited	Resticad Resticad	Sir Sap-18	as coefficied by PSE value nation on PSEVE-115 dated large-time. It, 2018. Li, 2018. Consequent to waiting off of the studing receivable of personnel and personnel of personnel and p

5. No.	Name of Participant Next Capital Linited	Disciplinary Actions Notice of Recriction & Census	Notice Date 18-lep 18	Reason Non-Compliance of CDC Regulations
490 491	Horizon Securities Limited WES Financial Services Limited	Natice of Restriction & Penalty Natice of Restriction & Penalty	18-Sep-18 18-Sep-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
492	Ellair Securities Pakistan (Put.) Limited First Capital Equities Limited	Natice of Restriction & Penalty Notice of Restriction & Censure Natice of Restriction & Penalty	18 Sep 18 18 Sep 18	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
435	Danson Securities (Prt.) Limited Invest Forum (Private) Limited	Terminated	17-Sep-18	Voluntary Termintalian
496	Progressive Investment Management (Put.) and AMCAP Securities (Private) Limited	Recticted Further revised Rectriction	18-Sep-18	Due to contravention at section 24 of CD ACT SWI F HAS with bean station 6. 7 of the F PV bean station Due to the Prohibitory Order by SECP vide Order dated
438	First National Equities Limited	Notice of Restriction & Censure	12-Sep-18	Non-Compliance of CDC Regulations
439	AMCRP Securbies (Private) Limited	Revised Notice of Restriction	10-Sep-18	Consequent to suspension of the trading terminals of Participan by Pix vide notice no. PSX(N-556t dated September 10, 2018. Consequent to respond of sentioring by BSX or confide the BSX
640	Fairtrade Capital Securities (Private) Limited	Removal of Restriction	is-Aug-sk	Consequent to removal of extriction by PSX as notified by PSX vide natice no. PSX/N=8985 dated August 10, 2018 for opening or new clients account.
441	Saina Caixer Securities (Private) Limited	Removal of Restriction	20-Aug-18	Consequent to restoration of trading terminals by PEX as notified by PEX vide notice no. PER/N-4882 dated August 20, 2018.
662	Saima QuixerSecurities (Private) Limited	Restricted	17-Aug-18	Consequent to switching-off of the trading terminals by PSX vide nation on PSR had NOT detail account 16. 1919 Consequent to support on of trading terminals by PSX or notified
668	Yenus Securities (Private) Limited	Removal of suspension	15-Aug-18	Consequent to restoration of trading terminals by PSE as notified by PSE side notice to. PSE(N=020 dated August 18, 2008 and in view of letter No. 2(8)(6)(4)Mb) 28 dated May 56, 2018 of the SECP.
444	Pearl Securities Limited	Notice of Restriction & Census Notice of Restriction & Census	8-Aug-18	Non-Compliance of CDC Regulations
466	Summit Capital (Nrt.) Limited - thr Topline Securities Limited Zafar Securities (Nrt.) Limited	Notice of Restriction & Censule Notice of Restriction & Censule Notice of Restriction & Censule	8-Aug-18 8-Aug-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
668	HMC Stocks (SMC-Put.) Limited Lived Zakaria Gulabi Securities (Pvt.) Limited	Natice of Recriction & Penalty Terroinment	8 Aug-18 2 Aug-18	Nan-Compliance of CDC Regulations Voluntary Termintarion
450	Issed Zakaris Gulabi Securities (Pvt.) Limited Yalue Stock and Commodities (Private) Limited	Removal of Restriction	5-Aug-18 5-Aug-18	Voluntary Termination Consequent to removal of recription by PSX is notified by PSX vide notice no. PSX/N=SSX2 dated August 0x, 2018 for opening of new clients in comment.
461	Omni Capital Management (Private) Limited	Removal of Surgension	26-36-18	
				Consequent to restriction of toding seminals by PSX vide notice no. PSX/N=6985 dated xJy 26, 2018
452	Ahsam Securities (Pvt.) Limited	Removal of Suspension	26-34-18	Consequent to restantion of toding seminals by PSX vide notice no. PSX/N=83% daned x4y 24, 2018
453	R.F.L. Equity (Private) Limited	Removal of Surgention	26-34-18	Consequent to restoration of trading terminals by PSX vide notice no. PSX/19-6388 duned July 24, 2018
455	HK Securities (Pvt.) Limited SMMC Investments (Private) Limited	Removal of Suspension	19-34-18	Voluntary Termintalos Consequent to restocation of studing terminals by PSX vide notice no.PSX(N=2566 dased July 18, 2018
456	Interactive Securities (Private) Limited	Removal of Surgention	17-16-18	Consequent to restoration of toding seminals by PSX vide notice no. PSX/N=6225 dated Ally 18, 2018
497	First Dawood Investment Bank Limited	Terminated	19-34-18	Voluntary Termintaion
458 459	Darson Securities (Private) Limited Darson Securities (PVI) Limited - LSI	Terminated Censure Letter	9-14-18 6-14-18	Voluntary Termintalos Non-Compliance of CDC Regulations
661	Laved lighal Securities (Put.) Limited Fair Edge Securities (Put.) Limited	Notice of Rectriction & Centure Notice of Rectriction & Centure Notice of Rectriction & Centure	5-16-18 5-16-18 5-16-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
662	General Invest: & Securities (Pvt.) Limited Monaniar Financial (Pvt.) Limited	Notice of Restriction & Consum Notice of Restriction & Consum	5-04-18 5-04-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
665	Safe Securities (Private) Limited ASA Stocks (Private) Limited	neminated Removal of Restriction	4 mi-18	Voluntary Termintation Consequent to restoration of trading terminals by PSE as notifies vide notice no. PSI(N=8)66-538ed June 29, 2018.
666	Sakansola Capital Securities (Pvt) LSS.	Censure Letter	27-tus-18	Non-Compliance of CDC Regulations
667	High Land Securities (Pvt.) Limited 128 Securities (Pvt.) Limited	Censure Letter	27-141-18 27-141-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
669	KP Securities (Private) Limited	Removal of Restriction	27-sun-18	Consequent to rectoration of trading terminals by PSE as notifie vide notice no. PSX/N-3897 dated sune 27, 2018.
430	Fairtrade Capital Securities (Private) Limited	Restricted	25-140-18	Consequent to cwitching off the trading terminals: by PSX vide notice no. PSQN-1829 dated June 22, 2018 in respect of the notice no. PSQN-1829 dated June 22, 2018 in respect of the
471 472	R.Y. Securities (Pvt) simited - LSI Guireo Securities (Private) simited	Censure Letter Censure Letter	21-141-18 21-141-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
476	Summit Capital (Hivate) Limbed EP Securities (Hivate) Limited	Censure Letter Rectricted	25-1un-18 20-1un-18	Non-Compliance of CDC Regulations Consequent to cwitching-off the trading terminals: by PSX as
435	ASA Stocks (Private) Limited	Restricted	20 tur-18	
436	AMCAP Securities (Private) Limited	Modification in Restrication	29-tun-28	nation no PRAN-2781 dated June 20, 2018. Consequent to removal of outpension NECPL as notified vide nation on NECPL/ENF/PLS dated June 16, 2018. Novemer, Restriction in respect of the opening of new Sub-Account not yet
427	AMCRP Securities (Private) Limited	Suspended	29-140-28	consequent to the suspension by NCCPs as notified vide notice on NCCR (FRY) (FRY) (FRY) are not you the latest
478 479	Adeel Zafar Securities (Private) Limited FOM Capital Securities (Pvs.) Limited	Terminated Notice of Restriction & Censure	1-3an-18 20-May-18	Valuatory Termination Non-Compliance of CDC Regulations
690 695	First Zeiva (Private) simited DIM Securities (Private) simited	Terminated Censure Letter	29-May-18 28-May-18	Voluntary Termintalon Non-Compliance of CDC Regulations
462 463	Pearl Brokerage Private Limited Falki Capital (Private) Limited	Censure Letter Censure Letter	28-May-18 28-May-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
484 485	Continental Capital Management (Md) Ltd Kahat Securities Limited	Censure Letter Censure Letter	28-May-18 28-May-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
66 67	Biomital Securities (Pvt) Ltd. Yasir Mahmood Securities (Pvt) Ltd.	Censure Letter Censure Letter	28 May 18 28 May 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Consequent to cuitathing-off the trading terminals: by PKX vide
489	Fair Deal Securities (Private) Limited Standard Capital Securities Put Ltd.	Restricted Notice of Restriction & Censure	16-May-18 7-May-18	Consequent to switching-off the trading terminals, by PSX vide notice on PSXNe 3362 dated May 16, 2018. Non-Compliance of CDC Regulations
690 695	Patel Securities (Put.) Limited Patha Securities (Put.) Limited	Notice of Restriction & Consum Notice of Restriction	7 May 18 7 May 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
692 693	Hanza Farhad Securities (Put.) Limited Spirser Equities (Put.) Limited	Censure Letter Censure Letter	7-May-18 7-May-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
496	JSK Securities Limited Purgata Capital Securities (Put.) Limited	Consume Letter Consume Letter	7 May Sk 7 May Sk	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
496	Progressive Investment Management (Put.) Ltd. Igbal Usman Koduovi Securities (Put.) Limited	Concure Letter Concure Letter	7-May-18 25-Apr-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
498	Docslaris Securities (Pvt) Limited	Cercure Letter	25-Apr-18	Non-Compliance of CDC Regulations
699 500	Seven Star Securities (Pht.) Limited First National Equities Limited	Concure Letter Concure Letter	25-Apr-18 25-Apr-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
566 560	Pace Investment & Securities (Private) Limited Focuse Securities Limited Mannoo Capital (Pr.S.) Limited	Terminated Notice of Rectriction & Cercure	56-Apr-18 58-Apr-18	Viduntalily termination Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
508 508	Askari Securities Limbed	Concure Letter Concure Letter	18-Apr-18 9-Apr-18	Non-Compliance of CDC Regulations
506 506	Abbasi Securities (Pvt.) Limited S.D. Micro Securities (Pvt.) Limited	Concure Letter Notice of Restriction & Concure	6-Apr-18 30-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
507 508	Brains Securities (Put.) Limited Adrel & Nadeen Securities (Put.) Limited	Concure Letter Notice of Restriction & Concure	29-66a-18 29-66a-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
509 530	Amer Securities (Pvt.) Limited MND Investment (Pvt.) Limited	Notice of Restriction & Consum Notice of Restriction & Consum	29-55x-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
\$11 \$12 \$10	Fair Deal Securities (Pvt.) Limited KP Securities (Pvt.) Limited	Concure Letter Concure Letter Notice of Restriction & Concure	28 Mar 18 28 Mar 18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
518 538 545	Al-Haq Securities (Pvt.) Limited Aus Global Limited	Notice of Restriction & Concurs Notice of Restriction & Concurs Terminated	27-Mar-18 27-Mar-18 19-Mar-19	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
526 527	At Hussin Rejobali Limbed Adam Securities Simbed Progressive Securities (Put.) Limbed	Notice of Restriction & Consum Notice of Restriction & Consum	21-Mar 18 21-Mar 18	Valuatory Terminission Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
528 529	Progressive Securities (PHL) Limited Rahat Securities Limited Equity Master Securities (PHL) Limited	Notice of Restriction & Consule Consule Letter	21-66x-18 21-66x-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
530 531	zamshaid & Hasan Securities (Put.) Limited	Notice of Restriction & Censure Restricted	21-May 18 29-May 18	Non-Compliance of CDC Regulations Due to switching-off the trading terminals. By PSK vide notice is
521 522	Shaffi Securities (Private) Limited Maha Securities (Pvt.) Limited	Recticted	29-Mar-18 29-Mar-18	PSXNs 2766 stoned Moreth S6, 2008. Due to switching-off the trading terminals, by PSX vide notice or PSXNs 507 dated Moreth S6, 2008.
529	Shaffi Securities (Private) Limited	Removal of Restriction	29-May-18	Consequent to restoration of trading terminals by PSX vide notic no. PSX(N-180) dated March 18, 2018.
SIN	Naveed H. M. Idrees (Private) Limited	Terminated	12-Mar-18	Participant has created to be Trading Right Entidement Certificat holder as communicated by PSV vide natice no. PSV/N=1586 date March 66, 2018.
	Athlah Securities (Put.) Limited	Notice of Restriction & Census Notice of Restriction & Census	9-Mar-18 9-Mar-18	Non-Compliance of CDC Regulations
525 536		Restricted	9 Mar-18	Non-Compliance of CDC Regulations Consequent to the restriction on opening of new clients account by PDX as notified vide notice no. PSQN=16/1 dated March 06 2018.
525 536 527	F.M. Securities (Private) similard		-	2018. Non-Compliance of CDC Regulations
525 526 527 528	F.M. Securities (Private) Limited 128 Securities (Pvt.) Limited	Natice of Recriction & Penalty	8Mar St	Apriliagian and Co. Agranos
\$25 \$36 \$37 \$38 \$39 \$30	128 Securities (P.C.) Limited Doculani Securities (PKT 13d Jonal Infall Securities (PKT Limited	Natice of Restriction & Penalty Natice of Restriction & Penalty Notice of Restriction & Census	8-Mar-18 2-Mar-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
528 529	128 Securities (P.C.) Limited Doculani Securities (PKT 13d Jonal Infall Securities (PKT Limited	Natice of Restriction & Penalty Natice of Restriction & Penalty	SMarst SMarst SMarst	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
528 529 530 531	128 Sociation (PAC) Limited Docalismi Securities (PAC) Limited Limited Space (PAC) Limited Limited Space (PAC) Limited	Natice of Restriction & Penalty Natice of Restriction & Penalty Notice of Restriction & Census Notice of Restriction & Census	8-Mar-18	Non-Compliance of CDC Regulations Compliance of CDC Regulations Non-Compliance of
\$28 \$29 \$30 \$31 \$32 \$38	128 Institution (PM.) Limited Decision Societies (PM.) Limited Decision Societies (PM.) Limited Assa of solid Societies (PM.) Limited 228 Societies (PM.) Limited 228 Societies (PM.) Limited Wilson (PM.) Limited	Nation of Restriction & Penanty Nation of Restriction & Penanty Nation of Restriction & Consum Consum Letter Restricted	8 Mar-18 2 Mar-18 2 Mar-18 2 Mar-18 1 Mar-18 1 Mar-18	New Compliance of CICK Regulation Compliance of CICK Regulation New Compliance CICK Regulation New Compliance CICK Regulations New Compliance CICK Regulations New Compliance CICK Regulations New Compliance CICK Regulations New Compliance CICK Regulation
\$28 \$29 \$30 \$31 \$32 \$38	128 Securities (PM,) similared Docation Sociation (PM,) similared Docation Sociation (PM,) similared alea of a similar Sociation (PM,) similared alea of similared (PM,) similared	Notice of Berchiston & Premity Notice of Berchiston & Premity Notice of Berchiston & Comean Notice of Bertischian & Comean Notice of Bertischian & Comean Comean Letter Restricted Comean Letter Terminated Terminated	9-Marc 18 2-Marc 18 2-Marc 18 2-Marc 18 1-Marc 18 1-Marc 18 1-Marc 18 2-6-9-0-18 22-6-9-18	Non-Compliance of CDC Regulations Compliance of CDC Regulations Non-Compliance of

S. No.	Name of Participant Foture Securities Limited - LSI	Disciplinary Actions Consum Letter	Notice Date	Reason Non-Compliance of CDC Regulations
544	Alfalah Securities (Private) Limited	Consume Letter	29-Feb-18	Non-Commissors of CDC Bassintions
546	M.M. Securities (Pvt.) Limited MSM Securities (Pvt.) Limited	Notice of Restriction & Censure	19-140-18 15-140-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
547 548	New Peak Securities (Pvt.) Limited	Consume Letter Consume Letter	15-540-18 15-540-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
549	MANSecurities (Pvt.) Limited	Terminated	16-Feb-18	Voluntary Termintation
550 551	High Land Securities (Put.) Limited K.H.S Securities (Put.) Limited	Notice of Restriction & Censure Censure Letter	8 Feb-18 8 Feb-18	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
552 553	Zafar Moti Capital Securties (Pvt.) Limited	Notice of Rectriction & Centure Terminated	8 Feb-18 26-180-18	Non-Compliance of CDC Regulations
554 554	BlackStone Equities (Hruste) Limited Affa Adhi Securities (Hrt.) Limited	Natice of Restriction & Penalty	26-110-18 26-110-18	Valuetary Termintaion Non-Compliance of CDC Regulations
555	H. P. Byranji & Co. (Pvt.) Limited	Natice of Rectriction & Penalty	29-tat-18	Non-Compliance of CDC Regulations Participant has complied with Regulation 6.8.2 of the CDC
556	MPSRT Securities (Private) Limited Millennium Securities & Investments (Private)	Removal of Restriction	29-120-18	Regulations.
567	Limited	Resticted	1-tan-18	Consequent to suspension of the trading terminals: by PSX vide notice no. PSQN+001 dated January 01, 2018.
558	Pearl Brokerage (Private) Limited	Removal of Restriction	1-101-18	Consequent to rectaction of trading terminals by PSX w.e.f. tanuary 05, 2018, as notified by PSX vide natice no. PSQN-29 dated tanuary 05, 2018.
559	Bright Investment and Securities (Pvt.) Limited	Terminated	29-Dec-17	dated January CS, 2018. Voluntarily termination and surrender of TREC as communicated the PER vide ordina on PER No. 1919 dated framewhar 17. 2017.
560	Pearl Brokerage (Private) Limited	Restricted	26-Dec-17	the Bill vide contra no Bill to 1998 detail househar 17 1617. Due to switching-off the toding terminals by PIX vide notice no
561	Asad Musturia Securities (SMC-Put.) Ltd	Coccure Letter	22-Dec-17	Non-Compliance of CDC Regulations
562 568	Rafi Securities (PAL) Ltd Fair Edge Securities (PAL) Limited	Censure Letter Censure Letter	22-Dec-57 22-Dec-57	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
564	First Capital Equities Limited A. N. Equities (Pht.) Limited	Consume Letter	22-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
566	Khawaja Securities (Pvt.) Limited	Notice of Restriction & Censure	22-0sc-17	Non-Compliance of CDC Regulations
567 568	Strongman Securities (Pvt.) Limited Techno Fundamental Securities (Pvt.) Ltd.	Censure Letter Censure Letter	25-Dec-57 25-Dec-57	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
569	Maan Securities (Private) Limited	Concure Letter	25-Dec-17	Non-Compliance of CDC Regulations
\$30 \$31	Sherman Securities (Private) Limited Muhammad Amerikias Securities (Put.) Limited	Natice of Restriction & Penalty	25-Dec-17 20-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
532	N.U.A Securities (Pvt.) Ltd	Natice of Restriction & Penalty	20-0xc-17	Non-Compliance of CDC Regulations
579 576	Nini Securities (SMC-Put.) Ltd Memor Securities (Put.) Ltd	Concure Letter	20-Dec-17 20-Dec-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
535	First Zeiva (Private) simited	Concure Letter	20-Dec-17	Non-Compliance of CDC Regulations
536	XPSRT Securities (Private) Limited	Restricted	20-Dec-17	failure to submission of Financial Statement or Auditor Certifical as required under Regulation 6.8.2 of the CDC Regulation.
527	K.H.S. Securities (Private) Limited	Removal of Restriction	18-Onc-17	
				Consequent to restoration of trading terminals by PSX w.e.f. December 20, 2017, as notified by PSX wide notice no. PSX/h- TXR detective that forecolour 15 Med 3 Due to SSCP directive the CDC to suspend the Participant vide
578	A.H.K.D. Securities (Private) timbed	Suspended	18-Dec-17	
539 580	HK Securities (Put.) Limited	Suspended Suspended	18-Dec-17 18-Dec-17	
580 581	Invest Forum (SMC-Private) Limited Invest Forum (SMC-Private) Limited	Suspended Restricted	18-Dec-17 18-Dec-17	Due to SECP directive the CDC to suspend the Porticipant vide order daned Secretiver 13, 2007. Due to the cancellation of Secretive by SECP as a securities broke vide ander dated framewhere 19, 3 (etc.)
582	Invest Forum (IMC-Private) Limited HK Securities (Private) Limited	Restricted	18-0ec-17 18-0ec-17	uida refer detail harambar 12 (8117) Due to the cancellation of liscence by SECP as a securities broke
583	Inharizab Securities (Pvt.) Limited	Suspended	12-0ec-17	bue to SECP directive the CDC to suppose the Participant vide
586	Shaukat Shaffi Securities (Private) Limited	Suspended	12-0ec-17	order duted December \$1, 2007.
585	AM Chaudhry Securities (Private) Limited	Suspended	12-0ec-17	Due to SECP directive the CDC to suspend the Participant vide order danad becenter \$1,2507. Due to SECP directive the CDC to suspend the Participant vide color danad becomber \$1,2507.
586	First Pakistan Securities Limited	Restricted	4-Dec-17	order dated December 11, 2017. Due to refusal of the application for grant of license as securities broken by 6678, wide policy from thomsoner bit, 3447.
582	AM Chaudhry Securities (Private) Limited	Removal of Restriction	30-Nov-17	Consequent to the nectoration of trading terminals by PSX vide notice dated PSXN+ 7671 dated November 36, 2017.
588	Topline Securities Limited	Cercure Letter	29-Nov-17	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
590	A.S. Securities (Pvt.) Limited AM. Chaudhary Securities	Notice of Rectriction & Censure	28-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
591	Din Capital Limited	Revised Natice of Restriction	29-Nov-17	Consequent to the restoration of trading terminals by PSX vide natice dated PSX/N-7969 dated November 29, 2017 however
592	Tumbi Securities (Private) Limited	Terminated	22-Nov-17	Participant has ceased to be Yoshing Hight Entidement Certificat holder as communicated by the Palistan Stock Sachange Limine vide notice no. PSICNP-7317 dated November 21, 2017
				Surricipant has caused to be Youline Made Confession Facilities
599	My Securities (Private) simited	Terminated	22-Nov-17	Participant has ceased to be Yrading Hight Entidement Certificat holder as communicated by the Palistan Stock Exchange Linited vide notice no. PSI(N-720) dated November 18, 3017
564	Ayub Chaudhary Investments (Put.) Limited	Notice of Restriction & Censure	25 Nov-17	Non-Compliance of CDC Regulations
595 596	Darson Securities (Private) Limited	Notice of imposition of Penalty Restricted	17-Nov-17 17-Nov-17	Non-Compliance of CDC Regulations Consequent to the suspension of Scoence by SECP as a securitie
592	Din Capital Limited Ellair Securities Pokistan (Put.) Limited	Censure Letter	17-Nov-17 18-Nov-17	broker vide order dated November DK, 2017 Non-Compliance of CDC Regulations
598	Reliance Securities simited	Concure Letter	18 Nov 17	Non-Compliance of CDC Regulations
599	Sasipura Securities & Services (Put.) Limited	Censure Letter	11-Nov-17	Non-Compliance of CDC Regulations
			15.80x.17	
601	Concordia Securities (Pvt.) Limited R.T Securities (Pvt.) Limited	Censure Letter Censure Letter	15-Nov-17 15-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
601 602	R.T Securities (Put.) Limited Filtree (SMC-Put.) Limited	Centure Letter Centure Letter Centure Letter	11-Nov-17 11-Nov-17 8-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
600 600	R.T Securities (Pvt.) Limited Filices (SAC-Pvt.) Limited Millennium Securities & Investments (Private) Limited	Centure Letter Centure Letter Conture Letter Notice of Restriction & Centure	11-Nov-17 11-Nov-17 8-Nov-17 8-Nov-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
606	R.T Securities (Put.) Limited Filtree (SMC-Put.) Limited	Notice of Restriction & Consum Consume Letter	\$400-17 \$400-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
601 602 608 608 606 606	E.T Seculates (Pr.E) similard Hiere (NAC-Pr.E) Limited Millionium Securities & Enveronents (Pristate) Limited MISC Capital Equation (Pr.E) Limited HISC Signity Modarable Son Capital Limited			Non-Compliance of CDC Registration Date to add
606	E.T Seculates (Pr.E) similard Hiere (NAC-Pr.E) Limited Millionium Securities & Enveronents (Pristate) Limited MISC Capital Equation (Pr.E) Limited HISC Signity Modarable Son Capital Limited	Notice of Restriction & Consum Consume Letter	\$400-17 \$400-17	Non-Compliance of CDC Registration Date to add
606 606 606	R.T Securities (Pvt.) Limited Filtree (SMC-Pvt.) Limited Milleration Securities & Investments (Private) Limited ARIO Capital Equities (Pvt.) Limited First Equity Modaraba	Notice of Restriction & Census Census Letter Revised Notice of Restriction Restricted	8-900-17 8-900-17 8-900-17 6-900-17	Non-Complane of CE CE Registron Complane of CE CE Registron Complane of CE CE Registron Non-Complane of CE CE Registron Set Sold of PE CE Registron Registron 6.6.2 of the CE Registron Sections registre Capit Registron 6.6.2 of the CE Registron Sections registre Capit
606 606	A.T. Securities (PAC) similard Silvers (DMC-PAC) silversed Millerwine Societies & Envertinenses (Prisate) Listoland USES Cappert Equities (PAC) similard USES Cappert Limited USES Cappert Limited USES Cappert Limited	Notice of Restriction & Census Census Letter Revised Notice of Restriction Restricted Restricted	\$ 500-17 \$ 500-17 \$ 500-17 \$ 500-17	Non-Complane of CE CE Registron Complane of CE CE Registron Complane of CE CE Registron Non-Complane of CE CE Registron Set Sold of PE CE Registron Registron 6.6.2 of the CE Registron Sections registre Capit Registron 6.6.2 of the CE Registron Sections registre Capit
606 606 606 607 608	A T Security (PA) Limited Rese (MCA PA) Limited Allowance (Security & Investment (Photolog Limited Mich Couper & Investment (Photolog Limited Mich Couper & Investment (Photolog Mich Couper & Michael Mich Couper & Michael Mich Couper & Michael Mi	Notice of Restrictor & Consus Consus Later Revised Notice of Frestricton Restricted Restricted Restricted	\$-860-17 \$-860-17 \$-860-17 \$-860-17 \$-860-17	New Complement of CSC Regulations New CSC New
606 606 600 600 608 608 609	A Tourish (PAL) similed A Shi Shouline (PAL) similed A Shi Shouline (PAL) similed	Notice of Antisticion & Consum Consum Letter Revised Notice of Penalistan Resistand Resistand Resistand Resistand	8 Mon-17 8 Mon-17 8 Mon-17 6 Mon-17 6 Mon-17 5 Mon-17 13 Oct-17	Meet Compressed of City Regulations Meet Compressed of City Regulations Meet Compress of the M. XIV Transmissible Procurages of City Regulation to Link A. May Meet M. XIV Transmissible Regulation Regulations Meet Meet Meet M. XIV Transmissible Procurages of City Regulation to Link A. May Meet M. XIV Transmissible Regulation Regulations Compress to Improvious of Meeting Meeting Meeting Meeting Meeting Compress to Improvious of Meeting Meeting Meeting Meeting Compress to Improvious of Meeting Meeting Meeting Meeting Compress of City Meeting Meeting Meeting Compress of City Meeting Meeting Meeting Meeting Compress of City Meeting Meeting Meeting Compress of City Meeting Meeting Compress of City Meeting Meeting Compress of City Meeting Compres
606 606 606 600 600 600 633 611	A Time rate (MA) cannot A Time rate (MA) cann	Notice of Nestriction & Consum Consum Letter Research Research Research Research Restricted Restricted Restricted Restricted	8 Non-17 8 Non-17 8 Non-17 6 Non-17 6 Non-17 1 Non-17 1 Non-17 24 Oct-17 20 Oct-17	West Completion of Citis Supplement Advances and
606 606 600 600 608 608 609	A Tourish (PAL) similed A Shi Shouline (PAL) similed A Shi Shouline (PAL) similed	Notice of Antisticion & Consum Consum Letter Revised Notice of Penalistan Resistand Resistand Resistand Resistand	8 Mon-17 8 Mon-17 8 Mon-17 6 Mon-17 6 Mon-17 5 Mon-17 13 Oct-17	Meet Compressed of City Regulations Meet Compressed of City Regulations Meet Compress of the M. XIV Transmissible Procurages of City Regulation to Link A. May Meet M. XIV Transmissible Regulation Regulations Meet Meet Meet M. XIV Transmissible Procurages of City Regulation to Link A. May Meet M. XIV Transmissible Regulation Regulations Compress to Improvious of Meeting Meeting Meeting Meeting Meeting Compress to Improvious of Meeting Meeting Meeting Meeting Compress to Improvious of Meeting Meeting Meeting Meeting Compress of City Meeting Meeting Meeting Compress of City Meeting Meeting Meeting Meeting Compress of City Meeting Meeting Meeting Compress of City Meeting Meeting Compress of City Meeting Meeting Compress of City Meeting Compres
666 606 606 600 600 608 609 630 611 612	A Tourshout (PAL) similed	Netion of Restriction & Consular Consular Letter Revision of Restriction Revision of Restriction	8 Nac-17 8 Nac-17 8 Nac-17 6 Nac-17 6 Nac-17 6 Nac-17 1 Nac-17 14 Oct-17 16 Oct-17 26 Oct-17	West compared of Cit Supposes,
606 606 600 600 600 600 600 611 611 611	A Tourism Projection of Committee of Committ	NOTO of Resistant & Concess Consequentes Secretaria of Association Resistant Resista	8 Nov. 17 8 Nov. 17 8 Nov. 17 6 Nov. 17 1 Nov. 17 10 Cot. 17 10 Cot. 17 20 Cot. 17	West companies of Chic September 1995 and the Chi
606 606 606 600 600 600 600 600 611 612 612 613 614 615	A Tallocation (Price) (Content Management (Content) (Content) Management (Mean of American & Consus American States of American American States of American A	8 Nov 17 8 Nov 17 8 Nov 17 6 Nov 17 5 Nov 17 1 N	West companies of Chic September 1 (Chic Septemb
608 606 606 609 608 609 610 611 612 611 611 615 616 617	A Tourism Project Limited The Control Med And Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited Limited	Nation of American & Consus Consus series Consus series Residence Residen	\$ 800-17 \$ 800-	West compared and College Specimen. West compared and College Spec
608 605 605 609 609 609 611 612 613 614 615 625 617	A Tallocation place (see and content of the content	Notice of American's Element Response Notice of Elements Response Notice of Elements Response Response	\$ 800-17 \$ 900-17 \$ 900-17 \$ 900-17 \$ 900-17 \$ 900-17 \$ 900-17 \$ 900-17 \$ 900-17	West companion of Chic Apparents
608 605 606 600 600 600 610 611 612 613 614 615 626 617 618 629 630	A Tourism Projection of March 1994 (April 1994) (April 19	West of Particular A Service Francisco Control Contro	\$ 800-17 \$ 800-	West compared and College Specimen. West compared and College Spec
608 605 605 609 609 609 611 612 613 614 615 625 617	A Tourism Parismond The Committee of Commit	WHAT PROVIDED A STOCKED AS STOCKE	\$ 800-17 \$ 8	West Companion of Chical September 1 (Chical September 1) (Chical Septem
608 605 606 600 600 600 610 611 612 613 614 615 626 617 618 629 630	A Tourism Projection of March 1994 (April 1994) (April 19	West of Particular A Service Francisco Control Contro	\$ 800-17 \$ 8	West Companion of Chical September 1 (Chical September 1) (Chical Septem
608 605 600 600 600 600 620 611 612 613 614 615 626 627 628 629 620 621 621 622 623 623 624 625 626 627 628 628 628 628 628 628 628 628 628 628	A Tourism Annual Control Contr	WHEN PROVIDED A CONTROL OF CONTRO	# 800-17 # 800-17	West Companion of Chical September 1 (Chical September 1) (Chical Septem
608 605 600 600 600 600 620 611 612 613 614 615 626 627 628 629 620 621 621 622 623 623 624 625 626 627 628 628 628 628 628 628 628 628 628 628	A Tourism Projection of March 1994 (April 1994) (April 19	Water French Army Special Control of Management Management Amended Man	\$400.07 \$400.0	West companies of CSS Experiment West CSS Experi
608 605 606 609 609 610 611 612 613 614 615 636 627 638 630 631 631 632 631 632 633 631	A Tourism Projection of Comment o	Water French Arms Toman Arms	\$ 800.07 \$ 8	We compared a Chic Agentum We compared and Chic Agentum We compared a
608 606 600 600 600 600 611 611 611 611 611	A Tourism Projection of Control Medical Control Contro	Water French Army Sensor Sensor Sensor Sensor Sensor Sensor Sensor	\$ 100-17 \$ 1	West companies of the registrom West to the registrom West companies of
608 600 600 600 600 610 610 611 611 611 611	A Tourism Projection of March 1994 (April 1994) (April 19	Water French Arms Toman Arms	\$ 800.07 \$ 8	West companies of Chic September 1 and Chicago
608 606 600 600 600 600 611 611 611 611 611	A Tourism Projection of Control Medical Control Contro	Water French Army Sensor Sensor Sensor Sensor Sensor Sensor Sensor	\$ 100-17 \$ 1	West companies of Chic September 1 and Chicago
661 662 663 664 665 669 660 660 660 660 661 641 641 642 643 643 644 645 645 645 645 645 647 647 648 648 647 648 648 648 648 648 648 648 648 648 648	A Tourism Project State of Control of Contro	Water Fermina Lines Fermina Lines Fermina Ferm	\$100.07 \$100.07 \$100.07 \$100.07 \$100.07 \$100.00 \$100.0	West companion of Coll Experiment West collection of Collection o
600 600 600 600 600 600 600 600 600 600	A Tourism Projection of Control (Control (Contro	Water Ferrican Lines Ferrican Control Management Control Manage	\$ 600 17 \$ 600	West companies of Chic September 1 and Chicago
601 605 607 608 609 600 600 600 601 601 601 601 603 603 603 603 603 603 603 603 603 603	A Tourism Annual Control Contr	Water Ferrican Lines Ferrican Control Management Control Manage	\$100.07 \$100.07 \$100.07 \$100.07 \$100.07 \$100.00 \$100.0	West companies of CSS Experiment West CSS
601 602 603 609 609 609 600 600 600 600 600 600 600	A Tourism Projection of Control (Control (Contro	Water Fermina Lines Fermina Lines Fermina Ferm	\$ 600.07 \$ 6	West companies of CSS Experiment West CSS
664 667 669 669 669 669 669 669 669 669 669	A Tourism Annual Control Contr	Water Farmers Assessed Free Farmers Assessed Farmers Asse	\$ 100 c 1	West companies of CSS Experiment West CSS
661 662 669 669 669 669 669 669 669 669 669	A Tourism Projection of Control (Control (Contro	Water Fermion Lines Townstein Committee Marrison Francisco Marr	\$ 100 c 1	West companion of Chic Apparents
666 667 669 669 669 669 669 669 669 669	A Tourism Annual Control Contr	Water Fermion Lines Townstein Committee Marrison Francisco Marr	\$ 800.01 \$ 800.01 \$ 800.01 \$ 800.01 \$ 800.01 \$ 800.01 \$ 800.01 \$ 800.00 \$ 8	West Companion of Chical Apparents West Companion of Chical Apparent West Com
666 667 669 669 669 669 669 669 669 669	A Tour Seed of the Control of the Co	West Present Area Freshold Freshold Freshold Freshold Freshold	\$ 100.01 1	West companion of Chical Apparents West companion of Chical Apparent West compa
666 667 669 669 669 669 669 669 669 669	A Tourism Projection of Commission (Commission of Commission of Commissi	Water Ferrican Lines Secretaria Marchani Principa Marchani	\$ 100.01 \$ 1	West Companion of Chic September 1 and Chicago
666 667 669 669 669 669 669 669 669 669	A Tourism for a common of the	West Present Area Freshold Freshold Freshold Freshold Freshold	\$ 100.01 1	West companion of Chical September 1 and Chical September 1 and Chical September 2 and Chic
666 667 668 669 669 669 669 669 669 669 669 669	A Tourism Projection of Commission (Commission of Commission of Commissi	Water Ferrican Lines Secretaria Marchani Principa Marchani	\$ 100.01 \$ 1	West companies of the depotence of the separate of the separat
600 600 600 600 600 600 600 600 600 600	A Tourism Private (Institute of Control of C	West Principal Control Section	\$ 100 17 1 1	West companion of Chical September 1 and Chical September 1 and Chical September 2 and Chic
600 600 600 600 600 600 600 600 600 600	2 No London (1994) Control (1994) 2 No London (1994) Control (1994) Control (1994) Annual Control (1994) C	Water Fermina Lines Manager Fermina Man	\$ 1000 17 \$ 1000	West companion of the department of the departme
600 600 600 600 600 600 600 600 600 600	A Tourism Agent and Comment of Co	Water Fermina Lines Manager Fermina Man	\$ 100 17 1 1	West comparent of Cost September 1 (1997) and the cost September 1
600 600 600 600 600 600 600 600 600 600	A Tourism price of the Common	Water Fermina Lines Manager Fermina Man	\$ 1000 17 \$ 1000	West companion of the department of the departme
600 600 600 600 600 600 600 600 600 600	A Tourism of the common of the	Water Fermina Lines Manager Fermina Man	\$ 100 CT 1	West compared and the opportunity of the opportunit
600 600 600 600 600 600 600 600 600 600	A Tourism price of the Common	Water Fermina Annual Program of P	\$ 1000 17 \$ 1000	West companion of the department of the departme
600 600 600 600 600 600 600 600 600 600	A Tourism Annual Control of Contr	Water Fermina Annual Program of P	\$ 100 CT 1	West companion of the department of the departme
600 600 600 600 600 600 600 600 600 600	A Tourism for the common of th	Water Fermina Annual Program of P	\$ 100 CT 1	West companion of Coll Apparents West coll Apparents Wes
600 600 600 600 600 600 600 600 600 600	A Tourism Annual Control of Contr	Water French Arter French Art	\$ 100 CT 1	Mes companies of Chic Apparents Mes companies of Chic Apparent
600 600 600 600 600 600 600 600 600 600	A Tourism price of the common	West Particular Activation Framework Control of the Control of th	\$ 100 CT 1	West companion of the department of the departme
400	A Tourism of Control o	West of Fernica Annual Control of State	## 100 PT	West companion of Cold Apparents West Col
600 600 600 600 600 600 600 600 600 600	A Tourism of the common of the	West Process Area Market Process Market Proc	\$ 800 17 \$ 8	Men companies of the opposition

		Under Regulation 14.6.1 of th	e CDC Regulations	
S. No.	Name of Participant	Disciplinary Actions	Notice Date	Reason
659	BRBA Equities (Put) Ltd. LINEX Securities (Put) Limited	Rectriction setter	8-Aug-17 A-Aug-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
661	Strongman Securities (Pvt.) Limited	Censure Letter	4 Aug-17	Non-Compliance of CDC Regulations
662	128 Securities (Pvt.) simited	Restricted	3-Aug-17	Consequent to switching off of trading terminals of Porticipant by PSX vide notice no. PSX/N-66EL dated August 08, 2017
				PSX vide notice no. PSI(N-t631 dated August 68, 2017
663	Nik Bank Limited	Terminated	1-Aug-17	Voluntary Termination
664	AMCAP Securities (Private) Limited	Modification in Restrication	26-34-17	consequent to restaration of studing terminals by PSX with effect from July 24, 2017 as notified by notice no. PSX/N-6613 dated
	AMEND SECURES PRODUCT COMME			consequent to restoration of studing terminals by PDX with effect from suly 24, 2817 as notified by notice no. PSI/N+4613 dated suly 21, 2617 However, PSX has not littled restriction on opening of new Client Accounts.
665	S. Z. Securities (Pvt.) simbed	Terminated	18-16-17	Consequent to the forfeiture of Trading Right Entitlement
666	s. 2. securities (PVL) united (ametaid & Hasan Securities (PVL) Ltd	Notice of imposition of Penalty	20-140-17	Confidence of the Stationard by Michael Middle Ground Sale 18: 1911 7 Non-Compliance of CDC Regulations
667	Zafar Securities (Private) Limited	Natice of imposition of Penalty	22-sur-17	Non-Compliance of CDC Regulations
668	Azee Securities (Private) Limited	Succended	22-sur-17	Due to suspension by National Clearing Company of Pakktan vide notice no. NCCPL/CRO/SYR/S7 dated June 22, 2007
				notice no. NCCPL/CRO/199(17 dated June 22, 2017
669	Azee Securities (Private) Limbed	Removal of Suspension	22-sun-17	Consequent to removal of outpension: by National Clearing Company of Pakistan vide notice no. NCCPL/CRC/200/17 dated
630		Suspended		Anna 10 Meri
630	Asis Dawood Securities (Mivate) Limited	Suspended	36-1an-17	Due to suspension by National Clearing Company of Pakkton vide notice no. NCCPL/CRO/SET/ST dated June 16, 2017
671	Taria Saveed Securities (Private) Limited	Suspended	26-1un-17	Due to suspension by National Clearing Company of Pakktan vide notice no. NCCH_/CRO/186/17 dated June 16, 2017
672	All Equities (Misate) Limited	Suspended	16-1at-17	Due to suspension by National Clearing Company of Paliktan vide social no. NCCPL/CRO/196/17 dated June 16, 2017
679	Reliance Securities Limited	Cercure Letter	19-140-17	Non-Compliance of CDC Regulations
631	Habib Metropolitan Financial Services Limited	Censure Letter	19-140-17	Non-Compliance of CDC Regulations
675	Invest and Finance Securities Limited	Notice of Rectriction & Penalty	18-1at-17	Non-Compliance of CDC Regulations
636	Dawood Souties Limited	Suspended	5-Nn-17	Due to suspension by National Clearing Company of Palkkton vide notice no.NCCPU/CRD/SR7/17 dated June 05, 2017
				AUSGO ALACCPUCKO/SKV/17 dated 144-05, 2017
677	Drawood Equities Limited	Removal of Suspension	5-au-17	Consequent to removal of cuspension by National Clearing Company of Pakistan vide notice no. NCCPL/CRC/188/17 dated ause 06, 2017
678	Oriental Securities (Pvt.) Limited	Cercure Letter	5-au-17	Nan-Compliance of CDC Regulations
679	Value Stock and Commodities (Private) Limited	Restriction on opening of New Jub-Miccourts	149.17	Consequent to imposing restriction on Participant by PSX vide
				Nan-Compliance of CDC Regulations Consequent to imposing restriction on Participant by MX vide notice no nation no MX/N b 166 dated May 11, 2017 for opening of the sub-accounts Due to non-compliances of 6.82. of CDC Regulations after
690	Eccorts Capital Limited	Suspended	21-May-17	use to non-compronces or 6.8.2. of CDC Regulations after completing 60 business Days of Restrictions.
681	Allied Securities (Private) Limited	Suspended	29-May-17	consisting 60 Business Bays of Restrictions. Pursuant to directive issued by SECP vide order dated May 26, 2017
682	S. A Securities (Private) Limited	Surpended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2007
689	Interactive Securities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26, 2017
686	Chippa Securities (Private) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by MCP vide order dated May 26, 2007
685	JCR Capital Securities (Private) Limited	Suspended	29-May-17	
686	and the second second second			Nov? Pursuant to directive issued by SICP vide order dated May 26.
682	Luxor Securities (SMC-Put.) Limited	Suspended Suspended	29-May-17 29-May-17	No.2 Pursuant to directive issued by SECP vide order dated May 36,
687	MAN Securities (Art.) Limited	Suspended		Pursuant to directive occurs by such vide order dated May 2s, 2017 Pursuant to directive issued by SICP vide order dated May 2s.
	Onni Capital Management (Private) Limited	Suspended	29-May-17	
689	Lawed Zakaria Gulabi Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, No. 2
690	MAC Securities (Private) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
665	Muhammad Araf Kapadia Securities (SMC-PAC)	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
	Limited			2017 Businese to dispersion instead by SECR saids order detect bits bit
692	Motivals Securities (Pvt.) Limited	Suspended	29-May-17	2017
660	MY Securities (Pvt.) simited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, No. 2
694	AAU Securities (Put.) Limited	Suspended	29-May-17	Pursuant to directive issued by SSCP vide order dated May 26,
695	Abid Ali Habib Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant or annexture resides by socie vide order dates buy 26,
696	Alson Securities (Put.) Limited	Succended	29-May-17	Pursuant to directive issued by SICP vide order dated May 26,
667	Authful Authralf Securities (Pvt.) Limited	Successful	29-May-17	2027 Pursuant to directive issued by SECP vide order dated May 26, 2027
698		Suspended	29-May-17	
	BMS Capital (Pvt.) Limited			Pursuant to directive issued by SECP vide order dated May 26, 26/17 Pursuant to directive issued by SECP vide order dated May 26.
699 700	Bright Investment & Securities (Put.) Limited	Suspended	29-May-17	Next Pursuant to directive issued by SECP vide order dated May 26, 2007
	Fine Securities (SMC-Private) Limited	Suspended	29-May-17	Purchase to directive rocked by MCP vide order dated May 26, 2007
705	Fort Securities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26, 2017
702	Fotress Financial Senices (Put.) Limited	Suspended	29-May-17	Pursuant to directive issued by SSCP vide order dated May 26, 36x7
708	H.H.K. Securities (Pvt.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
706	shayyam Securities (Put.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
706	Prime Capital Management (Put.) Limited	Suspended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2019 Pursuant to directive issued by SECP vide order dated May 26, 2017
706	R.F.L. Equity (Put.) Limited	Succended	29-May-17	Pursuant to directive issued by SECP vide order dated May 26,
707		f-rested.		New 2 Pursuant to directive issued by SECP vide order dated May 26, 3012
	S. M. Securities (Misate) Limited	Suspended	29-May-17	March 19 dispersion in could be SECR vide order detail May No.
708	Schon Capital Markets Limited	Suspended	29-May-17	Pursuant to directive icosed by SECP vide order dated May 26, 2012 Pursuant to directive icosed by SECP vide order dated May 26,
	Sher Capital Squities (Pvt.) Limited	Suspended	29-May-17	Surround to dispersion in code to GETP vide order dated May 26,
710	SMMC Investments (SMC-Pvt.) Limited	Suspended	29-May-17	3642
711	South Asian Securities (Put.) Limited	Suspended	29-May-17	Pursuant to-directive issued by MCP vide order dated May 26,
712	Union Securities (Pvt.) Limited	Suspended	29-May-17 29-May-17	Pursuant to directive issued by SECP vide order dated May 26, 2007
718	Venus Securities (Pvt.) Limited	Suspended	29-May-17	2017 Pursuant to directive issued by SECP vide order dated May 26, 2017
716	2M Capital (No.) Limited	Suspended	29-Mby-17	
725	MAS Capital Securities (Art.) Limited	Suspended	29-May-17	Note to directive issued by SECP vide order dated May 26,
726	R. A. Securities (Put.) Limited	Suspended	29-May-17	No.2 Pursuant to directive icsued by SECP vide order dated May 26, 2007
717		Restricted	26-May-17	2017 Consequent to suspension of all trading terminal of Participant
718	Fine Securities (SMC-Private) Limited	Recticted	26-May-17	Consequent to suspension of all trading terminal of Participant by PRI vide notice no notice no. PRI/N Intil danel May Vil. (811) Consequent to suspension of all trading terminal of Participant by PRI vide notice no notice no PRI/N Intil Rend May Vil. (801) Consequent to suspension of all trading terminal of Participant
728	Luxer Securities (SMC-Put.) Limited	Restricted		by PSX vide notice no notice no PSX/In biblid dated blay 25, 2017
729	Max Penes Asiam Securities (Mivate) Limited			
730		Restricted	23-May-17	Consequent to corporation of all trading terminal of Participant to 900 vide core's no 90s/te-beth dend New 13 life 9
314	Trust Securities & Brokerage Limited	Restriction Letter	18-May-17	Non-Compliance of CDC Regulations
721 722	Trust Securities & Brokerage Limited Switch Securities (Private) Limited SETH: SECURITIES (SMC-PVT) LTD	Recoliction Letter Recoliction Letter Recoliction Letter	18-May-17 18-May-17 18-May-17	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
721 722 728	Trust Securities & Brokenge Limited Switch Securities (Private) Climited SSTHE SECURITIES (SMC-PVT) LTD ASA STOCKS (PVT.) LIMITED	Recoliction Letter Recoliction Letter Recoliction Letter	18-May-17 18-May-17	Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
728 726	Finat Securities & Brokenge Limited Switch Securities (Privated Limited Mitter SECURITIES (SMC-PVT) LTD MAS STOOK (PVT.) LIMITED M. M. SECURITIES (PVT.) LIMITED	Recoliction Letter Recoliction Letter Recoliction Letter Censure Letter Censure Letter	18-May-17 18-May-17 18-May-17 18-May-17 18-May-17	Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations Nan-Compliance of CDC Regulations
728 726 725	Finat Securities & Brokenge Limited Switch Securities (Pricate) Limited Silves SECURITIES (SAC-PHY) LYD ASA-STOCK GRYT JUMPIED M. M. SECURITIES (PVT.) LIMITED Libe Securities (Limited	Restliction letter Restliction Letter Restliction Letter Restliction Letter Cecure Letter Cecure Letter Restlicted	28-May-17 28-May-17 28-May-17 28-May-17 28-May-17 28-May-17	New Join Active Control Mills - Machine 1 years - Man Compilation of Cell Seguidation. Nata Compilation of Cell Regulations. Consequent to supposition of all reading severals of Participate La Efficient Action of Activities of Activities of Participate La Efficient Action of Activities of Act
728 726	Finat Securities & Brokenge Limited Switch Securities (Privated Limited Mitter SECURITIES (SMC-PVT) LTD MAS STOOK (PVT.) LIMITED M. M. SECURITIES (PVT.) LIMITED	Recoliction Letter Recoliction Letter Recoliction Letter Censure Letter Censure Letter	18-May-17 18-May-17 18-May-17 18-May-17 18-May-17	New Join Active Control Mills - Machine 1 years - Man Compilation of Cell Seguidation. Nata Compilation of Cell Regulations. Consequent to supposition of all reading severals of Participate La Efficient Action of Activities of Activities of Participate La Efficient Action of Activities of Act
728 726 726 726	Four Securities & Kindenge Limited Shafts Securities (Prisade) Limited Shafts Securities (Prisade) Limited Shafts Securities (Prisade) Limited M.A. SECURITIES (PVT) LIMITED Use Securities Limited MED Capital Security (Prisade) Limited	BACKETON SATINF BACKETON SATINF BACKETON SATINF CARROWS SATINF CARROWS SATINF FACILITIES	18-May-17 18-May-17 18-May-17 18-May-17 18-May-17 13-May-17 13-May-17	Consequent to exploration and the designation of the exploration of th
728 726 725 726 727	That Securine & Enterage Limited Limits Securine (Private) Limited Limits Securine (Limited) Limits Securine (Limited) Limits Securine (Limited) ANA SECURINE (LIMITED) Limits Securine (Limited) Limits Securine (Limited) MED Capital Squites (Private) Limited MMM Securities (Private) Limited	Restriction setter Restriction setter Restriction setter Restriction setter Consume setter Consume setter Restricted Restricted Restricted Terminated	18 May 17 18 May 17 18 May 17 18 May 17 18 May 17 18 May 17 10 May 17 10 May 17 10 May 17	The second secon
728 726 725 726 726 727 728	Instri Bourisia & Reliange Limited March Sourisia & Profession Limited March Sourisia (Profession Activity) to MAR SIGORISIA (MARCH) MAR SIGORISIA (MARCH) MAR SIGORISIA (MARCH) MARCH SIGORISIA (MARCH) MARCH Limited MARCH Sourisia (Profession) Limited MARCH Sourisia (Profession) Limited Tank Sourisia (Profession) Limited	Recipions setting Recipions setting Recipions setting Recipions setting Census in Easter Census in Easter Census in Easter Recipional Recipional Recipional Recipional Recipional Recipional Recipional Recipional Recipional	18/May-17 18/May-17 18/May-17 18/May-17 18/May-17 18/May-17 18/May-17 19/May-17 19/May-17 19/May-17	The contract of the contract o
728 726 725 726 727 728 729	Institutions & Resempt Limited Anni Sourches (Institution) Anni Anni Anni Anni Anni Anni Anni Anni	NewSection unter NewSection unter NewSection unter NewSection unter Consular later Consular later Fasticised NewSection NewSection	18-May-17 18-May-17 18-May-17 18-May-17 18-May-17 12-May-17 12-May-17 12-May-17 12-May-17 13-May-17 13-May-17 13-May-17 13-May-17 13-May-17 13-May-17	The companies of CES Equipment
728 726 725 726 727 728 729 730	That Secretary Services A Proteoring Limited Within Secretary Service A Proteoring Limited Within Secretary Service APPLY 10 AND PROCESSORY SERVICES APPLY 10 AND RECOGNISHED APPLY SERVICES WAS RECOGNISHED APPLY SERVICES APPL	Merchanis India Merchanis India Merchanis India Merchanis India General India Central India Merchanis M	28 May 17 38 May 17 38 May 17 38 May 17 38 May 17 38 May 17 38 May 17 30 May 17 30 May 17 30 May 17 50 May 17 50 May 17 50 May 17 50 May 17 50 May 17 50 May 17	The companies of the Equations was companies of the Equations companies of the Equations companies the Equation of the Equations was companies to the Equation of the Equations companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation was companies the Equation of the Equation of the Equations was companies of the Equations was companied of the Equations
728 726 725 726 727 728 729	That Sources & Rinkerge Limited South Sources (1994) South S	NewSection unter NewSection unter NewSection unter NewSection unter Consular later Consular later Fasticised NewSection NewSection	18 May 17 18 May 27 5 May 27	The companies of the foreign control of the companies of the companies of the foreign control of the companies of the compani
728 726 725 726 727 728 729 730	That Secretary Services & Revision of Control Secretary Services (Secretary Secretary	Merchanis India Merchanis India Merchanis India Merchanis India General India Central India Merchanis M	28 May 17 38 May 17 38 May 17 38 May 17 38 May 17 38 May 17 38 May 17 30 May 17 30 May 17 30 May 17 50 May 17 50 May 17 50 May 17 50 May 17 50 May 17 50 May 17	The companies of the Equations was companies of the Equations companies of the Equations companies the Equation of the Equations was companies to the Equation of the Equations companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation companies the Equation of the Equation of the Equation was companies the Equation of the Equation of the Equations was companies of the Equations was companied of the Equations
728 726 725 726 727 728 729 730	That Secretary Services & Revision of Control Secretary Services (Secretary Secretary	Merchanis India Merchanis India Merchanis India Merchanis India General India Central India Merchanis M	18 May 17 18 May 27 5 May 27	The companies of the Supplement of the Supplemen
728 726 725 726 727 728 729 730	That Section 2 Memory control with Memory control and the Control Cont	Measurement of the Measurement o	18 May 17 18 May 18 18 May	We compared of the Seguine We compared the Seguine Segui
728 726 725 726 727 728 729 730	The Secretary Control of Manager	Memories where the second of t	18 http://	The companies of the Supplies Companies the Supplies of Supplies Companies to Supplies of Supplies Companies to Supplies of Supplies The Companies to Supplies of Supplies The Supplies of Supplies The Supplies of Supplies The Companies of Supplies The Com
728 726 727 728 729 729 720 720 720 720 720 720 720 720 720 721 722 723 720 720 720 720 720 720 720 720 720 720	That is because it is the design in control and the second in control and in the second in control and in the second in control and in the second in the sec	Memories where the second of t	18 Money 27 28 Mon	The Company of the Supplies The Supp
728 726 725 726 727 728 729 730	The Secretary Control of Manager	Memories where the second of t	18 http://	We story and a first beginning to the story and a f
728 726 727 728 729 729 720 720 720 720 720 720 720 720 720 721 722 723 720 720 720 720 720 720 720 720 720 720	And the second of the American Control of the American	Memorian under Memorian under Memorian under Memorian under Memorian under General und General der General und General der G	18 Money 27 28 Mon	Note the present of the September of the
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728 726 726 727 728 729 729 729 720 720 720 720 720 720 720 720 720 720	And the second and th	Memory and the control of the contro	States 2 Sta	Man Company of the Nagarian Man Company of t
728 728 726 727 728 729 729 720 720 720 720 720 721 722 728 729 720 720 720 720 720 720 720 720 720 720	Media State A Managery colours And State State Programs And State State State State And State	Minister and Minis	18 More 27 28 More 28	Note the present of the September of the

\$. No. 765 766	Name of Participant	Disciplinary Actions	Notice Date	Reason
	M. J. Memor Securities (Pvt.) Limited	Notice of Restriction	20-Mar-17	Non-Compliance of CDC Regulations
	SPECTRUM SECURITIES (PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
	TARIQ VOHRA SECURITIES (PVT) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
748	SLOBAL SECURITES PAXISTAN LIMITED	Notice of Restriction	30-Mb/-17	Non-Compliance of CDC Regulations
749	H.M. IDREES H. ADAM (SMC-PVT.) LIMITED	Censure Notice	30-Mar-17	Non-Compliance of CDC Regulations
750	Motivala Securities (Private) Limited	Natice of Rectriction & Penalty	30-Mar-17	Non-Compliance of CDC Regulations
761	H.H.K. Securities (Pvt.) Limited	Natice of Rectriction & Penalty	30 Mar-17	Non-Compliance of CDC Regulations
792	MUHAMMAD HUSSAN ISMAL SECURITIES (PVT)	Censure Notice	30-664-17	Non-Compliance of CDC Regulations
	LTD.			
768 764	ACM GLOBAL (PVT.) LIMITED	Censure Notice Notice in respect of Participant	30-Mar-17	Non-Compliance of CDC Regulations
	JCR Capital Securities (Private) Limited		29-Mar-17	Participant has complied with the Regulation 6.9.2 Consequent to suspension of all trading terminal of Participan
766	Invest Forum (SMC-Private) Limited	Restricted	17-Mar-17	hu BET vide notice on BEVIN, 1747 dated Much 16, 3917
796	HK Securities (Private) Limited	Restricted	17-Mar-17	Consequent to suspension of all trading terminal of Participan
362	Bhayani Securities (Pvt) Ltd.	Notice of Barmirries	MARK 17	hu blir uide antine on, bliribe titals desert beauth til. 5017 Non-Compliance of CDC Regulations
762	Bitayani Securities (PVI) LTE. First Equity Modaraba	Nation of Recording	35-500-17 35-500-17	Non-Compliance of CDC Regulations
	MUHAMMAD ASHFAD HUSSAN SECURITIES IPVTI			
798	LTD	Natice of Restriction	50-Mbr-57	Non-Compliance of CDC Regulations
760	Summania Securities (Brianta) Limited	Natice of Restriction	30 Mbr 17	Non-Compliance of CDC Regulations
	SEVEN STAR SECURITIES (PVT.) LTD.	Nutice of Restriction	10-Mbr-17	Non-Compliance of CDC Regulations
102	IQBAL USMAN KODVAN SECURITIES (PVT) LTD	Notice of Bermirator	MANUT?	Non-Compliance of CDC Regulations
768	MILITERS SECURITIES (PVT.) LIMITED	Nutice of Restriction	10-Mbr-17	Non-Compliance of CDC Regulations
764	Duttoo Securides (Pvt.) Limited	Nutice of Restriction	16-Mb/-17	Non-Compliance of CDC Regulations
765	SECURITY INVESTMENT BANK LIMITED	Notice of Restriction	16-Mb/-17	Non-Compliance of CDC Regulations
766	S. Z. Securities (Pvt.) Limited	succended	30 Mbr 17	Due to violation of Section 24 of the Central Depositories ACT
		Natice of Imposition of Penalty	18-Mar-17	nex? Non-Compliance of CDC Regulations
	XSR Stack Brokerage (Pvt.) Ltd	Natice of Imposition of Penalty Natice of Imposition of Penalty	18-Mar-17	
768	Progressive Securities (Private) Limited	Notice of Impostsoil of Penalty Further Succeeded	18-660-17 18-660-17	Non-Compliance of CDC Regulations Consequent to suspension of TRE Certificate of Participant to
769	MMM Securities (Misute) Limited	Further Suspended	19-Mar-17	PSX vide notice no. PSX/N 5637 dated March 50, 2057.
			l	
770	Tariq Sayeed Securities (Private) Limited	Restricted	7-Mar-17	Consequent to switching off of trading terminals of Participant PSX vide notice no. PSX/N-15k1 dated March D6, 2017 on the
				Participant request.
771	ESCORTS CARTAL LIMITED	Restricted	6-Mar-17	Participant has not complied with Regulation 6.8.1 of the CDC
772	Faith Securities (Myotel Limited	succended	6Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
				completing 60 day of sectionies. Due to non-compliances of 68.2 of CDC Regulations after
779	Stack Vision(Put.) Limited	suspended	6-Mar-17	
776	Kaucone Capital Markets (SMC-Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
725		succended	6Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
	A.H.K.D. Securities (Private) Limited			
726	Akd Analytics (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after completing 60 day of restrictions.
777	SNM Securities (Private) Limited	suspended	6Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
				completing 60 day of extrictions. Due to non-compliances of 68.2 of CDC Regulations after
778	SMB Securities (Private) Limited	suspended	6-Mar-17	completion (it day of matrictions
729	Stable Securities (Pvt) Limited	succended	6Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
		suspended		Due to non-compliances of 68.2 of CDC Regulations after
790	Quality Mahmood Securities (Sinc-Pvd) Limited	suspended	6-Mar-17	completing 60 day of metrictions.
791	JCR Capital Securities (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after completing 60 day of restrictions.
762	Reliance Capital (Pvd Limbed	suspended	6Mar-17	Due to non-compliances of 68.2 of CDC Regulations after
				completing 60 day of metrictions.
769	Fincap Investments (Private) Limited	suspended	6-Mar-17	Due to non-compliances of 68.2 of CDC Regulations after completion 69-ths of participate
794	Allied Securities (Private) Limited	suspended	6Mar-17	Due to non-compliances of 6.8.2 of CDC Regulations after
765		suspended	6Mar-17	consisting 65 Business day of certaintions Due to non-compliances of 68.2 of CDC Regulations after
790	Ab Equities (Private) Limited			completing 60 day of metrictions.
796	Universal Equities (Pévate) Limited	Terminated	2-Mar-17	Voluntary Termination
767	M. R. Securities (SMC-Private) Limited	Terminated	2-Mar-17	Consequent to the forfeiture of THE Certificate of the Participal by PSE vide notice no. PSIXIN-1660 dated March 05, 2017
				Consequent to the forfeiture of Trading Right Entitlement
768	Alto Securities (Private) simited	Terminated	28-Feb-17	Certificate of the Participant by PKN/N-1884 dated February 27
799		Succended	20-Feb-17	2017
799	MMM Securities (Misate) Limited	Suspended	20-H6-17 17-H6-17	Due to non-compliances of CDC Regulations.
790	Sunrice Capital (Private) Limited M. R. Securities (SMC-PVT.) Limited	Suspended Further Suspended	17-H0-17 10-H0-17	Due to non-compliances of regulatory provisions Due to non-compliances of regulatory provisions
792		Natice of Imposition of Penalty	10-H6-17 8-feb-17	
792	MAM Securities (Missel) Limited M. R. Securities (SMC-PVT.) Limited	Streetion of further Suspension	8+40-17 6-feb-17	Non-Compliance of CDC Regulations Due to non-compliances of CDC Regulations.
766	M. R. Securities (SMC-PVT.) Limited	Suspended	1-feb-17	Due to suspension by National Cleaning Company of Pakktan vi notice no. NCCPL/CRD/20/17 dated February 61, 2017
766		Succended	W-196-77	
76	##0 Securities (Private) Limited Sunrice Capital (Private) Limited	Suspended	80-181-17 80-181-17	Participant has breached the provisions of the CDC Regulation Participant has breached the provisions of the CDC Regulation
				Participant has breached the provisions of the CDC Regulation Participant has not complied with Regulation 6.8.1 of the CDC
767	Zafar Securities (Private) Limited	Restricted	30-tar-17	Bandation
	Zafar Securities (Private) Limited	Removal of Restriction	30-tar-17	Participant has complied with the Regulation 6.8.2
799	Sonia Shaltoad Securities (Private) Limited	Terminated	26-130-17	Valuetary Termination
800	Pak Meezan Securities (Private) Limited	Natice in respect of Participant	18-110-17	Participant has complied with the Regulation 6.8.2
801	Zafar Mod Capital Securities (Private) Limited	Removal of Suspension	18-110-17	Consequent to removal of suspension - by National Cleaning Company of Pakktan vide notice no. NCCPUCS/IN/17 dated
				Company of Maxima vide Nation No. NCCPUCK/W/17 Ested January 17, 2017.
802		Succended	17-140-17	Due to suspension by National Clearing Company of Pakktan vi
802	Zafar Moti Capital Securities (Private) Limited	Suspended	17-180-17	notice no. NCCPL/CS/96/17 dated lanuary 17, 2017
808	AM Chaudhry Securities (Private) Limited	Removal of Restriction	18-180-17	Participant has complied with the Regulation 6.8.2
				Consequent to removal of suspension, by flational Clearing
804	Alto Securities (Private) Limited	Removal of Suspension	13-140-17	Company of Pakktan vide notice no. NCCPUCS/R1/17 dated January 18, 2017.
805	Machhadi Securities (Privatel Limited	Terminated	18-180-17	January Sk. 2012. Valuatary Termination
	Machinal Securities (Private) Limited SIS Securities (Private) Limited	Terminated	18-180-17	Voluntary Termination
806	AND Securities (Private) simited	Suspended	12-140-17	Due to suspension by National Cleaning Company of Pakktan vi notice no. NCCPL/CS/36/17 dated January 11, 2017
806		Extension of intention to suspend	9-201-17	
807	Allied Bank Limited - LSE Branch			Failure to settle the CDC outstanding dues.
807		Extension of intention to suspend	9-30-17	Failure to cettle the CDC outstanding dues.
807 808 809	Alled Bank Limited: Sli Branch			
807 808 809 833	Allied Bank Limited: 55 Branch	Extension of intention of Regulatorylliction	9-39-17	Failure to settle the CDC outstanding dues.
807 808 809 830 811	Allied Bank Limited: ISS Branch Allied Bank Limited: ISS Branch	Extension of intention of Regulatorylliction Extension of intention to curpent	9-tan-17	Failure to settle the CDC outstanding dues.
807 808 809 830 811 812	Alled Bank Limbed- 66 Branch Alled Bank Limbed- 66 Branch Alled Bank Limbed- 66 Branch	Extension of intention of Regulatorylliction Extension of intention to curpend Extension of intention of Regulatorylliction	9-tan-17 9-tan-17	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
809 808 809 830 811 812 813	Allied Bank Limited- fill Branch Allied Bank Limited - LSE Branch	Extension of intention of RegulatoryBiction Extension of intention to outpend Extension of intention of RegulatoryBiction Extension of intention of RegulatoryBiction	9-30-17 9-30-17 9-30-17	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.
809 808 809 830 811 812 813	Alled Bank Limbed- 66 Branch Alled Bank Limbed- 66 Branch Alled Bank Limbed- 66 Branch	Extension of intention of Regulatorylliction Extension of intention to curpend Extension of intention of Regulatorylliction	9-tan-17 9-tan-17	Failure to settle the CDC outstanding dues. Failure to settle the CDC outstanding dues.

			Under Regulation 14.6.1 of th	ne CDC Regulations	
19 10 10 10 10 10 10 10	S. No.		Disciplinary Actions	Notice Date	Reason
March Section Professional	817 818		Removal of Restriction Removal of Restriction	6-lan-17 6-lan-17	Participant has complied with the Regulation 6.8.2 Participant has complied with the Regulation 6.8.2
March Marc	829		Restricted	2-lan-17	
March March Princip (Instell March March Princip (Instell March	820	Ab Equities (Private) Limited	Restricted	2-101-17	
## Marchanton programmed ## Marchanton program	911	and another thermal states	Bertimet	hm.17	
Million Mill					
March Marc					
Manual Content Service Manual Content Serv	828		Restricted	2-lan-17	Railure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
Note Control Contr	836	Diyarah Islamic Financial Services (Private) Limited	Restricted	2-101-17	Railure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
1987 Company	825	Escorts Capital Limited	Restricted	2-tan-17	Railure to submission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2.of the CDC Regulation.
1987 Company	836	Faith Securities (Misatel Limited	Restricted	2-lan-17	failure to cubmission of Financial Statement or Auditor Certificate
A Company Management Product Control of State	417	Corne in acronom (Brisma) Lindad	Bertimet	hm.17	
10					
Marie Section Productional Marie Section Productional Marie Section Productional Marie Section Productional Marie Section Section Productional Marie Section Sect					
Section Security Protections Section Security Protections Section Security Protections Section Security Protections Section Sectio		Kaycone Cagital Markets (SMC-Private) Limited			
the second process provide control and an article of the process provide control and article of the process process process provide control and article of the process proce	830	Malik Securities (Private) Limited	Restricted	2-lan-17	failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
the second process provide control and an article of the process provide control and article of the process process process provide control and article of the process proce	881	Machhadi Securities (Private) Limited	Restricted	2-101-17	failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
Section of the sectio	832	Pak Meezan Securities (Private) Limited	Restricted	2-lan-17	
March 1996 Policy Policy Comp.	833	Assistant Mahamond Sacurities (Secular) Limited	Resticted	240-17	
Management Man					
Marchan Programme					
March March Prince Company Company March	835	S A Securities (Pvt) Limited	Restricted	2-tan-17	
March Company Compan	836	SIS Securities (Private) Limited	Restricted	2-lan-17	Failure to cubmicsion of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
March Company Compan	887	SMB Securities (Hisate) Limited	Restricted	2-101-17	Failure to cubmission of Financial Statement or Auditor Certificate as required under Regulation 6.8.2 of the CDC Regulation.
March Marc	838	SNM Securities (Private) similed	Restricted	2-tan-17	
Marie			h-mi-mi	A ten 43	Salina to cubacionina of Garactel Statement or Auditor Carellinas.
Miles Mile		+			as required under Regulation 6.8.2 of the CDC Regulation.
March Marc					
Miles Mile		Allied Bank Limited- KSE Branch Allied Bank Limited - LSE Branch	Notice of intention to Suspend		Failure to cettle the CDC outstanding dues. Failure to cettle the CDC outstanding dues.
March Marc	843		Notice of Intention to Suspend		
March Comp Princet March Comp March Co	865	Allied Bank Limited: KSE Branch	Notice of Regulatory Action		failure to settle the CDC outstanding dues.
March Marc	846	Alled Bank Limited: 56 Branch	Notice of Regulatory Action	29-Dec-16	Failure to cettle the CDC outstanding dues.
	847	Surrice Capital (Private) Limited	Rectricted	25-Dec-56	Due to compowersion of provisions of the CDC Regulations
	868	Aprix Capital Securities (Hrivate) Limited	Nutice of Restriction	20-Dec-56	Non-Compliance of CDC Regulations
The control of a gall and a second control of the c		Apex Capital Securities (Hivate) Limited		20-Dec-16	Non-Compliance of CDC Regulations
A 1	890	A.I Securities (Pvt.)Ltd		19 Occ-16	Non-Compliance of CDC Regulations
March Control Adjusted Price Price	851	B & B Securities (Private) Limited		18-Dec-16	Non-Compliance of CDC Regulations
The content of the	852	Continental Capital Management (Put) 13d	Nutice of Restriction	28-Dec-16	
200 100	853	Faward Yusuf Securities (Pvt.) Limited	Nutice of Rectriction	19 Dec-16	Non-Compliance of CDC Regulations
200 100	854	ofae Mather Securities (Nrt.) Limited	Nutice of Restriction	28-Dec-16	Non-Compliance of CDC Regulations
Additional Control and Indicating (Control and Control and Contr	855	Chanani Securities (Pvt.) Limited	Nutice of Restriction	18-Dec-16	
The second policy of the property of the prope	856	M H Securities (Pvt.) Limited	Censure Notice	18-Dec-16	Non-Compliance of CDC Regulations
20 1.0 April country present (1)	857	Multammad Tariq Mitti Securities (PM) 13d.		19-Dec-16	
March Marc	858		Censure Notice	18-Dec-16	
None	859	2.A. Ghaffar Securities (Private) Ltd.	Nutice of Restriction	28-Dec-16	Non-Compliance of CDC Regulations
March Marc	890		Terminated	9-Dec-16	
A Temperature Control of the Control	861	Paramount Securities (SMC-Private) Limited	Terminated	8-Dec-16	Voluntary Termination
Mathematics	862		Removal of Restriction	3-Dec-16	Consequent to restoration trading terminals of Participant by PSX vide notice no. PSI(N=6985 dated December 07, 2016.
Additional Processing Control	862	Y.S. Stocks (Private) Limited	Terminated	2-bec-16	Voluntary Termination
100 March Control Principated March Personal 10 March March Control Principated March Personal 10 March March Personal Mar	866	AM Chaudhry Securities (Private) Limited			Due to switching off of toding terminals, of Participant by PSX vide ordina on PSR Invited Annual November Int. 1916
10 Value 10	965 966				Non-Compliance of CDC Regulations Non-Compliance of CDC Regulations
March Marc	967				
March Marc	900		Territoral		
10	869	MMM Souther Striveted Limited	Terminated	10 Nov-16	Voluntary Termination
19	870	Fot Investments (Private) Limited	Terminated	10-Nov-16	
19 19 19 19 19 19 19 19	871		Terminated	10-Nov-16	
19 19 19 19 19 19 19 19	872			6-Nov-16	
20 of the designment of temperature and an experiment of the section of the secti	879	Friendly Securities (Put.) Limited	Nutice of Restriction	4-Nov-16	
Annual Confession Associated Annual Associ	275 2 ^{ro}	At Habb capital Markets (PAL) Limited		1-Nov-16	
20 10 10 10 10 10 10 10	875 876	cos capital Management (Private) Limited Suprice Control (Brivate) Limited		1-900-16 1-900-16	
197 Michael Meil (1987) Annual M	877	SCR Capital Securities (Private) Limited			Due to switching off of tooling terminals of Participant by PSX
19	878	DM Securities (Put.) Limited		28-011-16	Non-Compliance of CDC Regulations
Bit of the process of		Muhammad Salim Kasmani Securities	Nutice of Restriction	28-011-16	Non-Compliance of CDC Regulations
Mod		Prime Securities (Put.) Limited			Non-Compliance of CDC Regulations
Miles Management Manageme	881	Q. Ain Khanani Securities (P.K.) Limited	Notice of Rectriction	27-011-16	Non-Compliance of CDC Regulations
Miles Management Manageme	882	SAAO Capital (Pvt.) Linited	Natice of Restriction	27-011-16	Non-Compliance of CDC Regulations
18	900	ANDREW Securities (SMC-PVT) Limited			Voluntary Termination
The contract price of	104 995			29-012-16 19-012-16	
20	866	Simi Capital Securities (Art) Ltd.		19-017-16	Non-Compliance of CDC Regulations Non-Compliance of PhY Resolverious
The Control Principle (Control Control	987	Al-Hagani Securities & Investment Corporation		18/01/16	
200 Security Margan Security Part Security March Personal 200 0.0 Security Margan Security Part Security March Personal 200 0.0 Security March Personal 200					
The comparison of the comparis	888			18-017-16	
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