



PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi - 74000, Pakistan.

UAN: 111-001-122 Fax: 32410825

Website: www.psx.com.pk Email: info@psx.com.pk

Form - A

FORM OF APPLICATION FOR LICENCE AS A SECURITIES BROKER

[see regulation 5(1)]

To
The Securities and Exchange Commission of Pakistan,
Islamabad.

Dear Sir,

1. We ,..... (Name of the company)..... hereby apply for licence of securities broker under section 68 of the Securities Act, 2015 [and/or section 51 of the Futures Market Act, 2016].
2. Certified true copies of all the documents specified in Annexure A of the Securities Brokers (Licensing and Operations) Regulations, 2016 are enclosed.
3. Original receipt of the bank for the fee of Rs.....being the licensing fee is enclosed.

Yours faithfully,

Signature of the Chief Executive