

Career Opportunity



Assistant Manager, Companies & Securities Compliance Wing Regulatory Affairs

Pakistan Stock Exchange is the only Stock Exchange of Pakistan, having its TREC Holders in Karachi, Lahore and Islamabad. PSX's mission is to contribute to the economic development of Pakistan by providing a fair, transparent, and efficient marketplace to facilitate capital formation for the benefit of investors, issuers and all stakeholders. In order to support its mission critical operations, PSX has implemented state of the art technology infrastructure and applications.

PSX is looking for a talented, qualified and highly motivated individual for the role of Assistant Manager in Companies & Securities Compliance Wing in Regulatory Affairs Department which shall be based in Head Office, Karachi. A competitive salary package will be offered to the chosen candidate. Position's key responsibilities, skillset and educational requirements are given below. Interested candidates can apply at Company's Website <https://www.psx.com.pk/psx/careers> or at email address hr@psx.com.pk by September 17, 2021.

Key Responsibilities:

- Monitoring compliance & interacting/ coordinating with Listed Companies to ensure meticulous compliance of all applicable provisions of PSX Regulations by the Listed Companies and Securities in its true spirit.
- Assist in taking actions against the non-compliant listed companies under clause 5.11. of the PSX Regulations including placement of companies in the Defaulters' Segment, suspension/ restoration of trading, issuance of Buy-Back Direction and Delisting of Companies from Exchange; issuance of Notices and communicating with other Departments of PSX as well as SECP, CDC and NCCPL in this respect.
- Analysis and review of the regulatory requirements and to propose amendments in the Listing of Companies & Securities Regulations (PSX Regulations) to strengthen the compliance procedures w.r.t. safeguarding the interest of all stakeholders in light of the international best practices and good corporate governance ethics.
- Liaison with IT Department to ensure timely completion of the Department's Projects.
- Assist in conducting Hearing of Non-Compliant Companies and preparation of enforcement Orders.
- Assist in conducting awareness session for listed companies to educate them about their regulatory obligations.
- Any other task assigned by HoD.

Required Skills/Experience/Education:

- Demonstrable knowledge of Corporate Laws including PSX Regulations, Companies Act 2017, Securities Act 2015.
- Excellent written and verbal communication skills
- Well versed in report writing, presentations, financial analyses and financial modeling
- Fine attention to detail, ability to multi-task, and a strong work ethic
- Minimum 3-5 years of relevant experience
- Post-graduate/ graduate in Business Administration, majoring in Finance
- CFA Level 1 and above