



## PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi - 74000, Pakistan.

UAN: 111-001-122 Fax: 32410825

Website: www.psx.com.pk Email: info@psx.com.pk

### **DOCUMENTS TO BE SUBMITTED WITH THE VOLUNTARILY SURRENDER THEIR TRE CERTIFICATE**

1. Request of the TRE Certificate Holder duly signed by the Chief Executive and addressed to the Exchange, informing about the voluntarily surrender their TRE Certificate and to remove itself as a TRE Certificate Holder of the Exchange.
2. Resolution regarding voluntarily surrender of TRE Certificate and removing itself as a TRE Certificate Holder of the Exchange duly approved by the Board of Directors of the company. (Annexure -I)
3. Audited accounts of the latest financial year.
4. Latest list of directors of the company along with their complete addresses and number of shares held by each of them in the capital of the company.
5. Security Amount of Rs. 5 million under section 4.9.1 of the PSX Rule Book. (Annexure- II)
6. Undertaking (Annexure -III)
7. Copies of CNIC of Directors/CEO/Company Secretary.
8. Original TRE Certificate issued by the Exchange.
9. Original Broker Registration Certificate issued by the SECP. (if applicable)
10. NOC from CDC and NCCPL, (Applicable in case, where the concerned TREC Holder is a participant of CDC).
11. Latest certified list of shareholders, containing, their names, postal addresses and number of shares held by each in the capital of the company.
12. Latest Form "A" and Form "29" duly certified by the Deputy Registrar of Companies.
13. Request of deactivation of Trading Terminals and Disaster Recovery System (DRS) duly signed by the Chief Executive.
14. Any other document(s) as may be found necessary in the processing of voluntarily surrender of TRE Certificate and remove itself as a TRE Certificate Holder of the Exchange