



PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi - 74000, Pakistan.

UAN: 111-001-122 Fax: 32410825

Website: www.psx.com.pk Email: info@psx.com.pk

Annexure-A

(On the Letterhead of the Broker)

Dated: _____

The Managing Director
Pakistan Stock Exchange Limited
Karachi.

APPLICATION FOR CERTIFICATE OF REGISTRATION OF OFFICE/BRANCH OFFICE WITHIN/OUTSIDE THE EXCHANGE

Dear Sir,
I am/we are enclosing herewith the documents for grant of registration for opening of Office/Branch Office at _____ and issuance of Certificate of Registration in this regard.

PARTICULARS

- 1. Name of the Broker with Code No. _____
- 2. Address of Office/Branch Office: _____
Phone No. _____
Fax No. _____
- 3. Form of Organization: _____
Corporate Body other than SMC Financial Institution
- 4. Please give names of Proprietor / Directors: _____
- 5. Whether Broker of more than one Stock Exchange? If so, please give name(s) of the other Stock Exchange(s) with Code Number(s). _____
- 6. Name of Branch Head along with CNIC and residential address. _____
(In case of Broker's Main Office, details of Broker/Nominee Director be mentioned)
- 7. Whether the premises of Branch Office are on ownership basis or rented. In case of rented premises, state the name of landlord and provide a copy of Tenancy Agreement. _____
- 8. List of employees, along with their CNICs and residential address. _____
- 9. List of authorized persons who will operate bank accounts at the Office/Branch Office. _____
- 10. Details of Telephone Booth(s) _____
(In case of Broker' Main Office)

I/we declare that the information given in this form is true to the best of my/our knowledge and belief.

I/we further undertake to abide by all the applicable Exchange in the matter.

Yours faithfully,
(Name and Signature)

