



Institute of Financial Markets of Pakistan

A company set up under section 42 of the Companies Act, 2017

SECURITIES & FUTURES ADVISORS CERTIFICATION



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**FINANCIAL
ADVISOR**

Introduction

This Certification Examination is specifically designed to ensure that capital market participants at brokerage houses, asset management companies/Pension fund management companies and/or those financial advisor/planner working independently have sufficient understanding of the basic of financial planning, investment management, insurance planning, retirement Planning and income tax.

Objective of the Examination

This certification will cover the areas related to Concept of Financial Planning, Retirement Planning, Insurance Planning, Income Tax Issues and also investment vehicles in Pakistan.

Target Audience

Securities & Futures Advisors Certification Program is mandated for all financial advisors at various intermediaries in the capital market of Pakistan, advising for buying and selling of various assets, securities and plans.

Examination Specification

Element 1: Code of Ethics and Regulatory Framework (10 Qs)

Element 2: Structure of Financial Markets (10 Qs)

Element 3: Concept of Financial Planning (15 Qs)

Element 4: Measuring Investment Returns and Strategies (15 Qs)

Element 5: Insurance Planning and Risk Management (10 Qs)

Element 6: Retirement Planning and Taxation (10 Qs)

Element 7: Investment Vehicles and Types of Markets (15 Qs)

Element 8: Risk Measurement Models (15 Qs)

Total Qs: 100

Assessment Structure

This will be a 150 minutes examination of 100 Multiple Choice Questions (MCQs).

All questions will carry equal marks.

There will be no negative marking.